

ANALYTICAL CIRCLE GROUP ACTIONS ON COMPACT COMPLEX MANIFOLDS¹

BY SHAW MONG

Communicated by Glen E. Bredon, July 12, 1972

1. Introduction. Let M be a compact complex manifold (of m complex dimensions), and let G be a compact Lie group acting analytically on M . Then the Dolbeault complexes

$$0 \rightarrow \Gamma \left(\wedge^{p,0} (M) \right) \xrightarrow{\bar{\partial}} \cdots \xrightarrow{\bar{\partial}} \Gamma \left(\wedge^{p,q} (M) \right) \rightarrow \cdots \rightarrow 0,$$

$p = 0, \dots, m$, are G -elliptic complexes (for the definitions and following notions see [1], [2], [3]) and their analytical indices $\chi(A^{p,*}, G)$ (or simply χ^p) are elements in the group representation ring $R(G)$. Following Hirzebruch [4], we have the $\chi_y(A^{p,*}, G)$ (or χ_y)-characteristic, $\sum_{p=0}^m \chi^p(-y)^p$ (here we take the alternating sum rather than the sum in [4]), which is an element in $R(G)[y]$.

Let \mathcal{C}_k be the category of (M, G) such that M has k fixed points under the analytical action of G , and let $\mathcal{C} = \bigcup_{k=0}^{\infty} \mathcal{C}_k$. In this note we study the category \mathcal{C}_k , $k = 2, 3$, for the case $G = S^1$. (Note: (i) $\mathcal{C}_1 = \emptyset$ and (ii) $\chi_y = 0$ for $(M, S^1) \in \mathcal{C}_0$.) Precisely the problem is: what are the necessary conditions for $(M, S^1) \in \mathcal{C}_k$, $k = 2, 3$, and if they do exist, what is their χ_y and the representations of S^1 on the tangent planes over the fixed point set? The main tools for this study are the S^1 -index theory and Atiyah-Bott fixed point formula. Only the statement of the result is given here. The details of the proof will appear elsewhere.

2. Main theorems.

THEOREM 1. *If $(M, S^1) \in \mathcal{C}$, then $\chi_y \in Z[y]$. Furthermore, if at a fixed point A , the representation of S^1 on the tangent plane $T_A M$ is given by $T_A M(t) = t^{a_1} + \dots + t^{a_m}$, where $t \in R(S^1) = Z[t, t^{-1}]$, then*

$$(*) \quad \chi_y = \sum_{S^1(A)=A} \prod_{i=1}^m \left(\frac{1 - yt^{a_i}}{1 - t^{a_i}} \right).$$

THEOREM 2. *If $(M, S^1) \in \mathcal{C}_2$, then either (i) $M = S^2$ or (ii) (complex) $\dim M = 3$.*

AMS (MOS) subject classifications (1970). Primary 58G10, 57D25.

Key words and phrases. G -index, Atiyah-Bott fixed point formula, χ_y -characteristic, analytic actions, representation rings.

¹ This research was supported by NSF grant GU3171.

COROLLARY. Let $(M, S^1) \in \mathcal{C}_2$.

(i) If $M = S^2$, then $(*)$ is given by

$$1 + y = \frac{1 - yt^a}{1 - t^a} + \frac{1 - yt^{-a}}{1 - t^{-a}}$$

where a is a nonzero integer.

(ii) If (complex) $\dim M = 3$, then $(*)$ is given by

$$\begin{aligned} y + y^2 &= \left(\frac{1 - yt^{-a-b}}{1 - t^{-a-b}} \right) \left(\frac{1 - yt^a}{1 - t^a} \right) \left(\frac{1 - yt^b}{1 - t^b} \right) \\ &+ \left(\frac{1 - yt^{a+b}}{1 - t^{a+b}} \right) \left(\frac{1 - yt^{-a}}{1 - t^{-a}} \right) \left(\frac{1 - yt^{-b}}{1 - t^{-b}} \right) \end{aligned}$$

where (a, b) is a pair of positive integers.

A simple example for case (i) is given by S^1 acting on S^2 as a rotation along the axis through north and south poles on S^2 . It is an interesting problem arising from (ii) that if given any pair (a, b) of positive integers, can we find an analytical S^1 -action of case (ii) type?

THEOREM 3. If $(M, S^1) \in \mathcal{C}_3$, then M must be a complex surface and $(*)$ is given by

$$\begin{aligned} 1 + y + y^2 &= \left(\frac{1 - yt^a}{1 - t^a} \right) \left(\frac{1 - yt^b}{1 - t^b} \right) \\ &+ \left(\frac{1 - yt^{a-b}}{1 - t^{a-b}} \right) \left(\frac{1 - yt^{-b}}{1 - t^{-b}} \right) + \left(\frac{1 - yt^{b-a}}{1 - t^{b-a}} \right) \left(\frac{1 - yt^{-a}}{1 - t^{-a}} \right) \end{aligned}$$

where $a \neq b$ are any nonzero integers.

EXAMPLE. The linear action of S^1 on $CP(2)$ given by: $(z_0, z_1, z_2) \rightarrow (z_0, t^a z_1, t^b z_2)$, $a \neq b$, belongs to \mathcal{C}_3 .

REFERENCES

1. M. F. Atiyah and R. Bott, *The Lefschetz fixed point formula for elliptic complexes*. I, II, Ann. of Math. (2) **86** (1967), 374–407; *ibid.* (2) **88** (1968), 451–491. MR **35** #3701; MR **38** #731.
2. M. F. Atiyah and I. M. Singer, *The index of elliptic operators*. I, Ann. of Math. (2) **87** (1968), 484–530. MR **38** #5243.
3. M. F. Atiyah and G. B. Segal, *The index of elliptic operators*. II, Ann. of Math. (2) **87** (1968), 531–545. MR **38** #5244.
4. F. Hirzebruch, *Topological methods in algebraic geometry*, 3rd ed., Die Grundlehren der math. Wissenschaften, Band 131, Springer-Verlag, New York, 1966. MR **34** #2573.

DEPARTMENT OF MATHEMATICS, STATE UNIVERSITY OF NEW YORK AT ALBANY, ALBANY, NEW YORK 12203

(Current address): Département de Mathématiques, Université de Montréal, Montréal 101, Québec, Canada