INJECTIVE MODULES AND CLASSICAL LOCALIZATION IN NOETHERIAN RINGS

BY ARUN VINAYAK JATEGAONKAR Communicated by Alex Rosenberg, July 21, 1972

One of the main problems in the growing theory of noncommutative Noetherian rings can be loosely stated thus: If p is a prime ideal of a Noetherian ring R, what should one mean by the localization R_p of R at p? When does R_p exist and when is it nice? This problem has been considered by Goldie [1] and by Lambek and Michler [5]. In this note, we indicate a new approach to this problem and some of its advantages. We also introduce the concept of a left exact biradical for a ring, which may be of independent interest. Details will appear elsewhere.

As usual, a ring is Noetherian if it has the ascending chain condition on right ideals as well as left ideals. A subset of a ring is an Ore set if it is right Ore as well as left Ore. We refer the reader to [9] for all unexplained terminology and results concerning left exact radicals.

Let R be a ring. The complete lattice of all left exact radicals for mod-R (resp. R-mod) is denoted as K_r (resp. K_l). If \mathcal{D} is a multiplicatively closed subset of R, $\rho_{\mathcal{D}} \in K_r$ and $\lambda_{\mathcal{D}} \in K_l$ are defined as follows: For each $M \in \text{mod-}R$ (resp. $M \in R$ -mod), $\rho_{\mathcal{D}}(M)$ (resp. $\lambda_{\mathcal{D}}(M)$) is the largest submodule of M, each element of which is annihilated by some element of \mathcal{D} . If a is an ideal of R, we define $\rho_a^{\#}$ as $\sup\{\rho \in K_r | \rho(R/\mathfrak{a}) = 0\}$ and $\lambda_a^{\#}$ as $\sup\{\lambda \in K_l | \lambda(R/\mathfrak{a}) = 0\}$. The multiplicatively closed set $\{r \in R | [r + \mathfrak{a}] \text{ is} regular in R/\mathfrak{a}\}$ is denoted as $\mathscr{C}(\mathfrak{a})$.

THEOREM 1 (cf. [5]). If \mathfrak{s} is a semiprime ideal in a right Noetherian ring then $\rho_{\mathfrak{s}}^{\#} = \rho_{\mathscr{G}(\mathfrak{s})}$.

Matlis [6] has used localization to show that injective modules over a commutative Noetherian ring are nice. In the following two theorems, we establish an intimate connection between localizability and niceness of certain right injectives over a right Noetherian ring. Also see Theorems 7 and 8.

THEOREM 2. Let \mathfrak{s} be a semiprime ideal in a right Noetherian ring R. Then the following four conditions are equivalent:

AMS (MOS) subject classifications (1970). Primary 16A08, 16A46, 16A52; Secondary 18E40.

Key words and phrases. Injective module, torsion theory, left exact radical, biradical, localization, classical localization, Ore set, Noetherian ring, stable semiprime ideal, localization of a Noetherian ring at a prime ideal, fully bounded Noetherian ring, Noetherian ring of Krull dimension one.

(1) $\mathscr{C}(\mathfrak{s})$ is a right Ore set in R.

(2) There exists a right Ore set \mathscr{C} in R such that $\rho_{\mathscr{C}} = \rho_{\mathfrak{s}}^{\#}$.

(3) Let \mathscr{D} be any multiplicatively closed subset of R such that $\mathscr{D} \subseteq \mathscr{C}(\mathfrak{s})$ and $\rho_{\mathscr{D}} = \rho_{\mathfrak{s}}^{\#}$. Then \mathscr{D} is right Ore in R.

(4) Let N be any right R-module which is R-isomorphic with a uniform right ideal of the ring R/s. Let M_R be any essential extension of N_R such that M/N is $\rho_s^{\#}$ -torsion. Then $s \subseteq \text{ann } M$.

THEOREM 3. Let \mathfrak{s} be a semiprime ideal in a right Noetherian ring R and let $\overline{R} = R/\rho_{\mathfrak{s}}^{\#}(R)$. Assume that $\mathscr{C}(\mathfrak{s})$ is a right Ore set in R. Then,

(1) $\rho_{\mathfrak{s}}^{\#}(R) \subseteq \mathfrak{s}$ and $\overline{\mathfrak{s}} = \mathfrak{s}/\rho_{\mathfrak{s}}^{\#}(R)$ is a semiprime ideal in the right Noetherian ring \overline{R} . The image of $\mathscr{C}(\mathfrak{s})$ in \overline{R} is $\mathscr{C}(\overline{\mathfrak{s}})$ which is a right Ore set of regular elements of \overline{R} . If R is a semiprime ring, so is \overline{R} .

(2) Let R_s denote the classical right quotient ring of \overline{R} with respect to $\mathscr{C}(\overline{s})$. Then R_s is a semilocal right Noetherian ring with $J(R_s) = \overline{s}R_s$. The classical total right quotient ring of $\overline{R}/\overline{s}$ is isomorphic with $R_s/J(R_s)$.

(3) The injective hull of R/\mathfrak{s} in mod-R is R-isomorphic with the injective hull of $\overline{R}/\overline{\mathfrak{s}}$ in mod- \overline{R} which, in turn, is R-isomorphic with the injective hull of $R_\mathfrak{s}/J(R_\mathfrak{s})$ in mod- $R_\mathfrak{s}$.

The following example suggests that, in an attempt to localize a Noetherian ring R at a prime ideal p, one should *not* overemphasize the set $\mathscr{C}(\mathfrak{p})$. Let n > 1 be a positive integer and let R be the subring of $M_n(\mathbb{Z})$, consisting of all those matrices in which all the entries below the main diagonal belong to $2\mathbb{Z}$. Let \mathfrak{p}_i , $1 \leq i \leq n$, be the maximal ideal of R consisting of all those matrices in which the (i, i)th entry belongs to $2\mathbb{Z}$. One can easily see that, in mod-R, the sequence

(*)
$$0 \to \frac{\mathfrak{p}_i \cap \mathfrak{p}_{i+1}}{\mathfrak{p}_i \mathfrak{p}_{i+1}} \to \frac{\mathfrak{p}_{i+1}}{\mathfrak{p}_i \mathfrak{p}_{i+1}} \to \frac{\mathfrak{p}_{i+1}}{\mathfrak{p}_i \cap \mathfrak{p}_{i+1}} \to 0$$

is exact and nonsplit and that

$$\frac{\mathfrak{p}_i \cap \mathfrak{p}_{i+1}}{\mathfrak{p}_i \mathfrak{p}_{i+1}} \cong \frac{R}{\mathfrak{p}_{i+1}}, \qquad \frac{\mathfrak{p}_{i+1}}{\mathfrak{p}_i \cap \mathfrak{p}_{i+1}} \cong \frac{R}{\mathfrak{p}_i},$$

the indexing being modulo *n*. What should the localization of *R* at \mathfrak{p}_1 be? In view of condition (4) of Theorem 2, the sequence (*) with i = n indicates a "tie" of \mathfrak{p}_1 with \mathfrak{p}_n and this obvious obstacle prevents $\mathscr{C}(\mathfrak{p}_1)$ from being a right Ore set in *R*. Condition (4) of Theorem 2 also suggests a remedy viz., try $\mathscr{C}(\mathfrak{p}_1 \cap \mathfrak{p}_n)$. However, if n > 2 then the sequence (*) with i = n - 1indicates a tie of \mathfrak{p}_1 with \mathfrak{p}_{n-1} via \mathfrak{p}_n and this prevents $\mathscr{C}(\mathfrak{p}_1 \cap \mathfrak{p}_n)$ from being a right Ore set in *R*. (Note: $\operatorname{Ext}_R^1(R/\mathfrak{p}_{n-1}, R/\mathfrak{p}_1) = (0)$.) In this way, one can see that if a is any ideal of *R* such that $\bigcap_{i=1}^n \mathfrak{p}_i \subseteq \mathfrak{a} \subseteq \mathfrak{p}_1$ then there is an obvious obstacle which prevents $\mathscr{C}(\mathfrak{a})$ from being a right or left Ore set in R. There is nothing obvious to prevent $\mathscr{C} = \mathscr{C}(\bigcap_{i=1}^{n} \mathfrak{p}_{i})$ from being Ore. Indeed, it can be shown that \mathscr{C} is an Ore set of regular elements of R and that the localization of R at \mathscr{C} is the usual localization of the Z-order R at the prime 2 in Z.

This example suggests that, given a prime ideal p in a Noetherian ring R, one should seek a semiprime ideal $\gamma(p)$ such that the associated prime ideals of $\gamma(p)$ are precisely those prime ideals which have a "tie" with p and then examine whether $\mathscr{C}(\gamma(p))$ is right Ore; if this set fails then p is beyond first aid. In the context of HNP-rings with enough invertibles, a localization along these lines was developed by the present author [3]. Compared to the HNPR case, the "ties" between prime ideals in an arbitrary Noetherian ring are far from visible. To get an idea about these ties and get a candidate for $\gamma(p)$, we have to introduce the notion of a "left exact biradical for a ring".

A left exact biradical for a ring R is an ordered pair $(\lambda, \rho) \in \mathbf{K}_l \times \mathbf{K}_r$ such that $\lambda(R/t) = \rho(R/t)$ for every ideal t of R. The partial order on the set K of all left exact biradicals for R is defined by restricting the product partial order on $\mathbf{K}_l \times \mathbf{K}_r$. It turns out that (\mathbf{K}, \leq) is a complete lattice. If \mathfrak{a} is an ideal of R, we define $(\lambda_{\mathfrak{a}}, \rho_{\mathfrak{a}})$ as $\sup\{(\lambda, \rho) \in \mathbf{K} | \rho(R/\mathfrak{a}) = 0\}$. Clearly, $\rho_{\mathfrak{a}} \leq \rho_{\mathfrak{a}}^{\#}$ and $\lambda_{\mathfrak{a}} \leq \lambda_{\mathfrak{a}}^{\#}$; however, these inequalities may be strict. The particularly interesting case when $\mathfrak{a} = 0$ will be dealt with elsewhere.

If R is a commutative ring then there is an obvious bijection between K and $K_l = K_r$. If R is a semiprimary ring then there is a bijection between K and the set of central idempotents of R. If \mathcal{D} is an Ore set in a Noetherian ring R, it can be shown that $(\lambda_{\mathcal{D}}, \rho_{\mathcal{D}}) \in K$.

Henceforth, R will denote a Noetherian ring, P(R) will denote the set of all prime ideals of R and \mathfrak{s} will denote a semiprime ideal of R. Set $\Gamma_0(\mathfrak{s}) = \{\mathfrak{p} \in P(R) | \rho_{\mathfrak{s}}(R/\mathfrak{p}) = 0\}$. Let $\Gamma(\mathfrak{s})$ be the set of all those prime ideals of R which are maximal in the set $\Gamma_0(\mathfrak{s})$. The set $\Gamma(\mathfrak{s})$ is our candidate for the set of all those prime ideals of R which are "tied" to some prime ideal associated with \mathfrak{s} .

THEOREM 4. Let $\mathfrak{p}_1, \ldots, \mathfrak{p}_n$ be the prime ideals associated with a semiprime ideal \mathfrak{s} of a Noetherian ring R. Let $\Gamma(\mathfrak{s}) \subseteq \Gamma \subseteq \Gamma_0(\mathfrak{s})$. Then $\Gamma_0(\mathfrak{s}) = \bigcup_{i=1}^n \Gamma_0(\mathfrak{p}_i)$, $\Gamma(\mathfrak{s}) \subseteq \bigcup_{i=1}^n \Gamma(\mathfrak{p}_i)$ and $\rho_{\mathfrak{s}} = \inf_{1 \leq i \leq n} \rho_{\mathfrak{p}_i} = \inf_{i \in n} \{\rho_{\mathfrak{p}}^{\#}; \mathfrak{p} \in \Gamma\}$.

With appropriate definitions, it can be shown that (λ_s, ρ_s) is a prime (resp. semiprime) in **K** if s is a prime (resp. semiprime) ideal of R (cf. [2]).

Let $\mathfrak{m}, \mathfrak{n} \in \mathbf{P}(R)$. We use the symbol $\mathfrak{m} \sim \mathfrak{n}$ to signify that there exist ideals $\mathfrak{a} \subsetneq \mathfrak{b}$ in R such that $\mathfrak{m}\mathfrak{b} + \mathfrak{b}\mathfrak{n} \subseteq \mathfrak{a}$ and $\mathfrak{b}/\mathfrak{a}$ is nonsingular in (R/\mathfrak{m}) -mod as well as mod- (R/\mathfrak{n}) . If there exists a finite sequence $\mathfrak{m}_1, \ldots, \mathfrak{m}_k$ such that, for $1 \leq i \leq k - 1$, either $\mathfrak{m}_i \sim \mathfrak{m}_{i+1}$ or $\mathfrak{m}_{i+1} \sim \mathfrak{m}_i$ then we set $\mathfrak{m}_1 \sim \mathfrak{m}_k$. If $\mathfrak{p} \in \mathbf{P}(R)$, let $\Omega(\mathfrak{p}) = \{\mathfrak{q} \in \mathbf{P}(R) | \mathfrak{p} \sim \mathfrak{q}\}$. In several cases, it can be shown that $\Gamma(\mathfrak{p}) = \Omega(\mathfrak{p})$. In general, we have

THEOREM 5. If \mathfrak{p} is a prime ideal in a Noetherian ring R then $\Gamma_0(\mathfrak{p}) = \bigcup \{\Omega(\mathfrak{m}) : \mathfrak{m} \in \Gamma_0(\mathfrak{p}) \}.$

If the set $\Gamma(\mathfrak{s})$ is finite, \mathfrak{s} is called a *nondegenerate* semiprime ideal of R. For a nondegenerate \mathfrak{s} , we set $\gamma(\mathfrak{s}) = \bigcap \{ \mathfrak{p} \in \Gamma(\mathfrak{s}) \}$. If $\Gamma(\mathfrak{s})$ is precisely the set of prime ideals associated with \mathfrak{s} then \mathfrak{s} is said to be a *stable* semiprime ideal of R. It can be shown that a semiprime ideal \mathfrak{s} is stable iff $\rho_{\mathfrak{s}} = \rho_{\mathfrak{s}}^{\#} = \rho_{\mathfrak{C}(\mathfrak{s})}$ iff $\lambda_{\mathfrak{s}} = \lambda_{\mathfrak{s}}^{\#} = \lambda_{\mathfrak{C}(\mathfrak{s})}$. In the example given above, $\gamma(\mathfrak{p}_1) = \bigcap_{i=1}^n \mathfrak{p}_i$ and it is stable.

We *conjecture* that all semiprime ideals in a Noetherian ring are nondegenerate and all but a finite number of them are stable.

THEOREM 6. Let \mathfrak{s} be a nondegenerate semiprime ideal in a Noetherian ring R. Then $\gamma(\mathfrak{s})$ is a stable semiprime ideal of R, $\Gamma(\gamma(\mathfrak{s})) = \Gamma(\mathfrak{s})$ and $(\lambda_{\mathfrak{s}}, \rho_{\mathfrak{s}}) = (\lambda_{\gamma(\mathfrak{s})}, \rho_{\gamma(\mathfrak{s})}) = (\lambda_{\mathscr{C}\gamma(\mathfrak{s})}, \rho_{\mathscr{C}\gamma(\mathfrak{s})})$. If \mathfrak{a} is any stable semiprime ideal of R such that $(\lambda_{\mathfrak{s}}, \rho_{\mathfrak{s}}) = (\lambda_{\mathfrak{a}}, \rho_{\mathfrak{a}})$ then $\mathfrak{a} = \gamma(\mathfrak{s})$. If \mathcal{D} is any Ore set in R contained in $\mathscr{C}(\mathfrak{s})$ then $\mathcal{D} \subseteq \mathscr{C}(\gamma(\mathfrak{s}))$.

A nondegenerate semiprime ideal \mathfrak{s} is said to be *classical* if $\mathscr{C}(\gamma(\mathfrak{s}))$ is an Ore set in *R*. Theorem 6 implies that if a prime ideal \mathfrak{p} is classical in Goldie's sense [1] and if the intersection of the symbolic powers of \mathfrak{p} is contained in $\rho_{\mathfrak{p}}(R)$ then \mathfrak{p} is stable and classical in our sense.

Let \mathfrak{s} be a nondegenerate semiprime ideal in a Noetherian ring R and let \mathscr{D} be a one-sided Ore set in R such that $\mathscr{C}(\gamma(\mathfrak{s})) \subseteq \mathscr{D} \subseteq \mathscr{C}(\mathfrak{s})$. Is \mathscr{D} necessarily a two-sided Ore set in R? The available information suggests that the answer should be in the affirmative.

We now indicate some applications of our approach to localization. Recall that a prime Noetherian ring is bounded if every essential onesided ideal contains a nonzero two-sided ideal. A Noetherian ring R is fully bounded if R/p is bounded for every $p \in P(R)$. It is well known that a Noetherian ring R is fully bounded if R is finitely generated as a module over its centre; in such a ring R, it can be shown that every semiprime ideal is classical.

THEOREM 7. If \mathfrak{s} is a nondegenerate semiprime ideal in a fully bounded Noetherian ring R then \mathfrak{s} is classical and the classical ring of quotients of R with respect to the Ore set $\mathscr{C}(\gamma(\mathfrak{s}))$ is a semilocal fully bounded Noetherian ring.

THEOREM 8. Let R be a fully bounded Noetherian ring. Then $\bigcap_{n=1}^{\infty} J^n(R) = (0)$. If E is the injective hull of a simple right or left R-module then any finitely generated submodule of E has finite length.

Assume that R is semilocal as well. Let m be a maximal ideal of R. Then

 $\Gamma(\mathfrak{m})$ consists of those maximal ideals \mathfrak{n} of R which have the following property: There exists a finite sequence $\mathfrak{m} = \mathfrak{m}_1, \ldots, \mathfrak{m}_k = \mathfrak{n}$ of maximal ideals of R such that $(\mathfrak{m}_i\mathfrak{m}_{i+1}) \cap (\mathfrak{m}_{i+1}\mathfrak{m}_i) \neq \mathfrak{m}_i \cap \mathfrak{m}_{i+1}$ for $1 \leq i \leq k-1$. In particular, $\Gamma(\mathfrak{m}) = \Omega(\mathfrak{m})$.

Theorems 2, 3, 7 and 8 show that a substantial portion of the wellknown work of Matlis [6] on injectives over commutative Noetherian rings holds over fully bounded Noetherian rings. The finiteness assertions proved by Matlis can be obtained by imposing a suitable polynomial identity (cf. [8]).

Recall that a semiprime Noetherian ring R has Krull dimension one iff R/L is of finite length for every essential one-sided ideal L of R and R is nonsemisimple.

THEOREM 9. Let R be a semiprime Noetherian ring of Krull dimension one. If a semiprime ideal \mathfrak{s} of R contains an invertible ideal of R then \mathfrak{s} is classical and $\gamma(\mathfrak{s})$ is the prime radical of any invertible ideal of R which is maximal among those contained in \mathfrak{s} . The classical quotient ring of R with respect to $\mathscr{C}(\gamma(\mathfrak{s}))$ is a fully bounded semilocal semiprime Noetherian ring of Krull dimension one. Any right or left R-module M of finite length can be uniquely decomposed as $M = K \oplus L$ where every composition factor of K is annihilated by $\gamma(\mathfrak{s})$ and no composition factor of L is annihilated by $\gamma(\mathfrak{s})$.

The above theorem shows that the usual localization of classical orders over commutative Dedekind domains [7] and the localization in HNPR developed in [3], [4] are special cases of our localization.

Let R be a semiprime Noetherian ring with total quotient ring Q. Let s be a semiprime ideal of R such that $\rho_s(R) = (0)$; this condition is trivially satisfied if R is a prime ring. The rings of quotients $Q_{\rho_s}(R)$ and $Q_{\lambda_s}(R)$ can be realized as subrings of Q. The subring $B_s(R) = Q_{\rho_s}(R) \cap Q_{\lambda_s}(R)$ of Q may be an appropriate candidate for the localization of R at s even when s is not classical. This construction can be generalized but, at present, we do not know whether the ring $B_s(R)$ is of any interest in connection with R.

References

1. A. W. Goldie, *The structure of Noetherian rings*, Lectures on Rings and Modules, Lecture Notes in Math., vol. 246, Springer-Verlag, Berlin, and New York, 1972.

4. J. Kuzmanovich, Localization in HNP-rings (to appear).

5. J. Lambek and G. Michler, The torsion theory at a prime ideal in a right Noetherian ring (to appear).

6. E. Matlis, Injective modules over Noetherian rings, Pacific J. Math. 8 (1958), 511-528. MR 20 # 5800.

^{2.} O. Goldman, Rings and Modules of quotients, J. Algebra 13 (1969), 10-47. MR 39 # 6914.

^{3.} A. V. Jategaonkar, An unpublished privately circulated letter to J. Kuzmanovich, November 1970.

K. W. Roggenkamp and V. Huber-Dyson, Lattices over orders. I, Lecture Notes in Math., vol. 115, Springer-Verlag, Berlin and New York, 1970.
 A. Rosenberg and D. Zelinsky, Finiteness of the injective hull, Math. Z. 70 (1958/59),

372-380. MR 22 #12129.
9. B. Stenström, *Rings and modules of quotients*, Lecture Notes in Math., vol. 237, Springer-Verlag, Berlin and New York, 1971.

DEPARTMENT OF MATHEMATICS, CORNELL UNIVERSITY, ITHACA, NEW YORK 14850 (current address)