

ON THE MEAN CURVATURE OF SUBMANIFOLDS OF EUCLIDEAN SPACE

BY BANG-YEN CHEN¹

Communicated by S. Sternberg, April 12, 1971

Let $x: M^n \rightarrow E^m$ be an immersion of an n -dimensional manifold M^n in a euclidean space E^m of dimension m ($m > n > 1$), and let ∇ and ∇' be the covariant differentiations of M^n and E^m , respectively. Let \mathbf{u} and \mathbf{v} be two tangent vector fields on M^n . Then the second fundamental form \mathbf{h} is given by

$$(1) \quad \nabla'_{\mathbf{u}} \mathbf{v} = \nabla_{\mathbf{u}} \mathbf{v} + \mathbf{h}(\mathbf{u}, \mathbf{v}).$$

If $\{\mathbf{e}_1, \dots, \mathbf{e}_n\}$ is an orthonormal basis in the tangent space $T_p(M)$ at $p \in M^n$, then the mean curvature vector $\mathbf{H}(p)$ at p is given by

$$(2) \quad \mathbf{H}(p) = (1/n) \sum_{i=1}^n \mathbf{h}(\mathbf{e}_i, \mathbf{e}_i).$$

Let $\langle \cdot, \cdot \rangle$ denote the scalar product of E^m . If there exists a function f on M such that $\langle \mathbf{h}(\mathbf{u}, \mathbf{v}), \mathbf{H} \rangle = f \langle \mathbf{u}, \mathbf{v} \rangle$ for all tangent vector fields \mathbf{u}, \mathbf{v} on M^n , then M^n is called a *pseudo-umbilical submanifold* of E^m . If the covariant derivative of \mathbf{H} in E^m is tangent to $x(M^n)$ everywhere, then \mathbf{H} is said to be parallel in the normal bundle. In [2], [3], the author proved that if M^n is closed, then the mean curvature vector \mathbf{H} satisfies

$$(3) \quad \int_{M^n} \langle \mathbf{H}, \mathbf{H} \rangle^{n/2} dV \geq c_n,$$

where dV denotes the volume element of M^n and c_n is the area of the unit n -sphere. The equality sign of (3) holds when and only when M^n is imbedded as a hypersphere in an $(n+1)$ -dimensional linear subspace of E^m . It is interesting to know whether the inequality (3) can be improved for some special submanifolds of E^m .

The main purpose of this paper is to announce some results in this direction together with some results on pseudo-umbilical submanifolds. Details will appear elsewhere.

AMS 1970 subject classifications. Primary 53A05, 53A10, 53B25; Secondary 53C40.

Key words and phrases. Mean curvature vector, minimal surface, pseudo-umbilical submanifold, Clifford torus, α th curvatures of first and second kinds.

¹ This work has been supported in part by NSF Grant GU-2648.

By studying the behaviors of the mean curvature vector \mathbf{H} we can prove

LEMMA 1. *The position vector field \mathbf{X} of M^n in E^m is parallel to the mean curvature vector \mathbf{H} when and only when M^n is either a minimal submanifold of E^m or a minimal submanifold of a hypersphere of E^m centered at the origin.*

By using Lemma 1, we can prove

PROPOSITION 1 (YANO-CHEN [5]). *M^n is a pseudo-umbilical submanifold of E^m such that the mean curvature vector \mathbf{H} is parallel in the normal bundle when and only when M^n is either a minimal submanifold of E^m or a minimal submanifold of a hypersphere of E^m .*

If the codimension is equal to 2, then a pseudo-umbilical submanifold of E^m with constant mean curvature is always a pseudo-umbilical submanifold such that the mean curvature vector is parallel in the normal bundle. Hence we have

PROPOSITION 2. *M^n is a pseudo-umbilical submanifold of E^{n+2} with constant mean curvature when and only when M^n is either a minimal submanifold of E^{n+2} or a minimal hypersurface of a hypersphere of E^{n+2} .*

Let F be a field and $H_i(M^n, F)$ denote the i th cohomology group of M^n over the field F . Let $\beta(M^n) = \max \{ \sum_{i=0}^n \dim H_i(M^n, F); F \text{ fields} \}$. Then, by verifying the properties of the length of second fundamental form h , we can prove

THEOREM I. *Let M^n be an n -dimensional closed manifold immersed in E^m with nonnegative scalar curvature. Then we have*

$$(4) \quad \int_{M^n} \langle \mathbf{H}, \mathbf{H} \rangle^{n/2} dV > a\beta(M^n),$$

where

$$(5) \quad \begin{aligned} a &= (4n^n)^{-1/2} c_n, & \text{if } n \text{ is even,} \\ &= (2n^n c_{m-n-1} c_{m+n-1})^{-1/2} (c_{2n})^{1/2} c_{m-1}, & \text{if } n \text{ is odd.} \end{aligned}$$

THEOREM II. *Let M^2 be a flat torus in E^4 . Then we have*

$$(6) \quad \int_{M^2} \langle \mathbf{H}, \mathbf{H} \rangle dV \geq 2\pi^2.$$

Then the equality sign of (6) holds when and only when M^2 is a Clifford torus in E^4 .

PROOF (SKETCH). The proof of (6) follows from a direct computation of the first curvature of second kind, $\lambda_1(p)$, (for the definition,

see [1]) and the relations between the mean curvature and λ_1 . If the equality of (6) holds, then we can prove that M^2 is a minimal surface of a 3-sphere in E^4 . From this we see that M^2 is a Clifford torus in E^4 . The converse of this is trivial.

For each unit normal vector \mathbf{e} to $x(M^n)$ at $x(p)$, let $h_{\mathbf{e}}$ be the linear transformation from the tangent space $T_p(M)$ into itself defined by

$$(7) \quad \langle h_{\mathbf{e}}(\mathbf{u}), \mathbf{v} \rangle = \langle \mathbf{h}(\mathbf{u}, \mathbf{v}), \mathbf{e} \rangle$$

for all tangent vectors \mathbf{u}, \mathbf{v} at p . Let $K(p, \mathbf{e}) = \det(h_{\mathbf{e}})$. Then $K(p, \mathbf{e})$ is called the Lipschitz-Killing curvature at (p, \mathbf{e}) . By deriving some integral formulas for the α th curvatures of first and second kinds (for the definitions, see [1]), we can prove

THEOREM III. *Let M^2 be an oriented closed surface in E^m . If M^2 is contained in a hypersphere of E^m , then M^2 is a pseudo-umbilical surface of E^m when and only when the Lipschitz-Killing curvature in the unit direction of the mean curvature vector \mathbf{H} is maximal over the fibre of the unit normal bundle $B_{\mathbf{v}}, B_{\mathbf{v}} = \{(p, \mathbf{e}) : p \in M^2, \mathbf{e} \text{ a unit normal vector in } E^m \text{ at } x(p)\}$.*

THEOREM IV (ADDED IN PROOF). *The Veronese surface in E^5 , the generalized Clifford tori in E^{n+2} and the n -sphere in E^{n+p} are the only closed pseudo-umbilical submanifolds M^n of E^{n+p} with mean curvature vector nowhere zero satisfying*

$$(8) \quad R \geq \frac{n(p-1)\langle \mathbf{H}, \mathbf{H} \rangle}{2p-3} \left[(n-1) \left(\frac{2p-3}{p-1} \right) - 1 \right]$$

where R denotes the scalar curvature of M^n .

The proof of this theorem will appear in a forthcoming paper "Pseudo-umbilical submanifolds in a Riemannian manifold of constant curvature. II".

REFERENCES

1. B.-y. Chen, *On an inequality of T. J. Willmore*, Proc. Amer. Math. Soc. **26** (1970), 473-479.
2. ———, *On an inequality of mean curvatures of higher degree*, Bull. Amer. Math. Soc. **77** (1971), 157-159.
3. ———, *On the total curvature of immersed manifolds. I. An inequality of Fenchel-Borsuk-Willmore*, Amer. J. Math. **93** (1971), 148-162.
4. ———, *On the total curvature of immersed manifolds. II. Mean curvature and length of second fundamental form* (to appear).
5. K. Yano and B.-y. Chen, *Minimal submanifolds of a higher dimensional sphere*, Tensor (to appear).