

## A NEW LOCAL PROPERTY OF EMBEDDINGS

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It is known that the possible embeddings of a topological  $n-1$  manifold  $M^{n-1}$  in the euclidean space  $E^n$  differ in the cases  $n=3$  and  $n>3$  in a curious way. A topological  $n-1$  sphere can fail to be locally flat at an arbitrary finite number of points if  $n=3$ . For  $n>3$  this cannot happen at a set consisting of a single point [2]. It is unresolved if an  $S^{n-1}$  in  $E^n$  can fail to be locally flat at a pair of points. In this note we introduce a new notion, described in detail below, called a *locally weakly flat* embedding and show that if a manifold  $M^{n-1}$  in  $E^n$  is locally flat at each point except possibly at the points of a finite set  $Y$  and if  $M^{n-1}$  is locally weakly flat at each point of  $Y$ , then  $M^{n-1}$  is in fact locally flat at every point. In the concluding paragraph an unsolved problem is posed.

Let  $p \in M^k \subset E^n$ , or more generally  $M^k \subset M^n$ . Suppose  $\epsilon > 0$ . Let  $B_\epsilon^n$  be a ball of diameter less than  $\epsilon$  whose interior contains  $p$ . For  $0 < t \leq \epsilon$  let  $B_t$  denote a ball whose interior contains  $p$  and is concentric to  $B_\epsilon^n$ , i.e., regard  $B_t$  as a topological product  $S^{n-1} \times [0, t]$  with  $S^{n-1} \times [0]$  identified with  $p$ . For all  $t$  such that  $\epsilon-t$  is sufficiently small we hypothesize that  $\dot{B}_t \cap M$  is a  $k-1$  sphere such that the pairs

$$(E^n, \dot{B}_t \cap M^k \times I^{n-k+1}) \approx (E^n, S^{k-1} \times I^{n-k+1})$$

are homeomorphic. If for a sequence of positive numbers  $\epsilon_1, \epsilon_2, \dots$  converging to zero, this condition holds, we describe the embedding by saying  $M^k$  is locally weakly flat at  $p$ . If this holds for all  $p \in M^k$ ,  $M^k$  is locally weakly flat in  $M^n$ , denoted by LWF.

A comparison with other local properties of embeddings [3] shows that  $LF = LU \Rightarrow LWF \Rightarrow LSPU \Rightarrow LPU$ .

For  $n=3, k=2$  these implications may be reversed [4]. There are examples, for  $n=3$ , that show that at a single point, local peripheral unknottedness, or local weakly flatness does not imply local flatness [5].

For  $n=3, k=1$ , LU and LPU are entirely independent. In this paper attention is restricted to  $k=n-1$ .

**THEOREM.** *Let  $M^{n-1} \subset E^n$  be a closed  $n-1$  manifold that is locally*

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*flat at each point except possibly at the points of a finite set  $Y$ . Suppose that  $M^{n-1}$  is LWF at each point. Then  $M^{n-1}$  is locally flat at each point.*

The proof rests on an adaptation of a theorem of M. Brown's to what I refer to as the "Turning Lemma" for annuli. The same idea can be used to establish a "Union Lemma" for  $n-1$  disks in  $E^n$ .

**Notations.** In order to ease our descriptions we define once and for all the meaning of

- (1) nice  $k$ -disk in  $S^k$ , denoted by  $D^k$ ;
- (2) nice  $k$ -disk in  $E^{k+1}$ , denoted by  $D^k$ ;
- (3) nice  $k$ -annulus in  $S^k$ , denoted by  $A^k$ ;
- (4) nice  $k$ -annulus in  $E^{k+1}$ , denoted by  $A^k$ .

By (1) we mean the boundary  $\partial D^k$  of  $D^k$  has a shell neighborhood in  $S^k$ . By (2) we mean that  $D^k$  is the image of an equatorial plane section under some homeomorphism of a standard  $k+1$  ball into  $E^{k+1}$ . By (3) we mean each boundary component of  $\partial A^k$ , the boundary of  $A^k$ , has a shell neighborhood in  $S^k$ . By (4) we mean  $A^k$  is the image of an equatorial plane section under some homeomorphism of a standard  $I^2 \times S^{k-1}$  into  $E^{k+1}$ .

**Some recent results needed for the proof.** 1. Let  $h$  be a homeomorphic embedding of  $S^n \times [-1, 1]$  into  $S^{n+1}$ , where  $[a, b]$  denotes the closed real number interval  $a \leq t \leq b$ . Then the closure of either complementary domain of  $h(S^n \times [0])$  is an  $(n+1)$ -cell (Theorem 5 of *A proof of the generalized Schoenflies theorem*, M. Brown).

2. Let  $B$  be a subset of a metric space  $X$ . Suppose  $B = U_1 \cup U_2$ , where  $U_1, U_2$  are open in  $B$  and  $U_1 \cap U_2 \neq \square$ . If both  $U_1, U_2$  are collared in  $X$ , then  $B$  is collared in  $X$ . If  $B$  is an orientable bounded manifold of  $\dim n$  in  $E^{n+1}$ , and  $B$  is collared on each "side,"  $B$  is bi-collared at each point of  $B \setminus \partial B$ . (Lemma 4 of *Locally flat embeddings of topological manifolds*, M. Brown [1]).

3. Let  $D_1$  and  $D_2$  be topological  $n$ -disks in  $E^{n+1}$ . Suppose each of  $D_1$  and  $D_2$  is nice (see above under Notations). Let  $D_1 \cap D_2 = \partial D_1 \cap \partial D_2 = S^{n-1}$ . Suppose  $S^{n-1}$  lies in the interior of a nice annulus  $A$  that is a subset of  $D_1 \cup D_2$ .<sup>2</sup> Then  $S = D_1 \cup D_2$  is nice.

3'. Let  $\tilde{D}$  and  $\tilde{A}$  be respectively a nice  $n$ -disk, a nice  $n$ -annulus in  $E^{n+1}$ . Suppose  $\tilde{D} \cup \tilde{A}$  is a disk. Further  $\partial \tilde{D}$  lies in  $\text{Int } \tilde{A}$ . Then  $\tilde{D} \cup \tilde{A}$  is a nice disk in  $E^{n+1}$ . The proofs of 3 and 3' are so similar to that of 3'' we omit them.

<sup>2</sup> The symbol "int" occurs in two senses. The meaning will be clear since in one case it means the bounded component of the complement of a set and in the other case it refers to the points not on the combinatorial boundary of some manifold with boundary.

3''. THE TURNING LEMMA. Let  $F$  be a homeomorphism,  $F: S^{n-1} \times I^2 \rightarrow E^{n+1}$ . Let  $I_1$  and  $J_1$  be intervals lying in the interior of  $I^2$  such that  $I_1 \cap J_1 = \{0\}$ , an endpoint of each of them. Suppose

- (i)  $F|S^{n-1} \times I_1 = A_1$ ,  $F|S^{n-1} \times J_1 = A_2$ , and
- (ii)  $F|S^{n-1} \times \{0\} = S^{n-1}$ .

Then  $A_1 \cup A_2$  is nice in  $E^{n+1}$ .

To put it another way, whenever two  $n$ -annuli  $A_1$  and  $A_2$  are nice in  $E^{n+1}$  and their common part is a component  $S_{12}$  of the boundary of each of them, and if  $F$  satisfies the consistency conditions (i) and (ii) above, then  $A_1 \cup A_2$  is nice.

PROOF. Let  $g$  be a homeomorphism of  $I^2$  on  $I^2$  so that  $I_1 \cup J_1$  is carried onto  $I_1 \cup J_1$  carrying  $\{0\}$  into an inner point of  $J_1$ , leaving the other endpoints fixed,<sup>3</sup> and also leaving the points of  $S' = \partial I^2$  fixed. Then

$$G(x, y) = F(x, g(y))$$

defines a homeomorphism of  $S^{n-1} \times I^2$  onto  $F(S^{n-1} \times I^2)$  and  $A_1$  onto  $\bar{A}_1$  (say). Then  $\text{Int}(A_1 \cup A_2) = \text{Int } A_2 \cup \text{Int } \bar{A}_1$  and  $\text{Int } A_2 \cap \text{Int } \bar{A}_1$  is open and non-null. Then if  $B = (\text{Int } A_2) \cup (\text{Int } \bar{A}_1)$ ,  $B$  is collared,<sup>4</sup> and, in fact bi-collared. Hence  $A_1 \cup A_2$  is nice in  $E^{n+1}$ .

PROOF OF THE THEOREM. Let  $p$  be a point of  $Y$  and  $\epsilon$  sufficiently small that  $S(p, \epsilon) \cap (Y \setminus p) = \square$  (the empty set).

Let  $B_1, B_2, \dots$  be a sequence of balls with diameter approaching zero that are "concentric" about  $p$ , each of which meets  $M$  nicely, as guaranteed by the condition  $(E^n, \dot{B}_i^n \cap M \times I^2) \approx (E^n, S^{n-2} \times I^2)$ . The spheres  $\dot{B}_1, \dot{B}_2, \dots$  maybe taken disjoint. Let  $\dot{B}_i$  and  $\dot{B}_{i+1}$  determine an annulus  $A_i$  on  $M$ . Since  $\dot{B}_i \cap M$  is nice in  $M$ , a homeomorphism of  $B_i$  onto itself moving points an arbitrarily small amount may be defined to insure  $A_i$  is an annulus. The boundary components of  $A_i$  are denoted by  $S_i$  and  $S_{i+1}$ . Let  $B_i$  be decomposed by  $S_i$  into two components  $C_i^N$  and  $C_i^S$ , whose closures are closed  $n-1$  disks and the notation is chosen so that  $C_1^N, C_2^N, \dots$  all lie on the same side of  $E^n \setminus M$ . Since  $S^{n-2}$  is nicely embedded in  $E^n$ , it is clear that the consistency conditions required in the hypotheses of 3' above hold for  $S_i$  relative to  $A_i$  and  $C_i^N$ . Hence  $A_i \cup C_i^N$  is a nice disk  $F_i$ . Since  $S_{i+1}$  is nice relative to  $C_{i+1}^N$  and  $A_i$ ,  $C_{i+1}^N \cup A_i$  is a nice  $n-1$  disk  $G_i$ . The conditions of 3 (above) are fulfilled so that  $F_i \cup G_i$  is a flat  $n-1$  sphere. By passing  $n-1$  planes parallel to the base of an  $n$ -simplex that converge to the  $a$

<sup>3</sup> Such a homeomorphism is easily found via the plane Schoenflies theorem.

<sup>4</sup> This is the content of Lemma 4 of [1].

vertex, one may slice the  $n$ -simplex into a sequence of nice  $n$ -cells  $\sigma_1^n, \dots$  with diameters approaching zero. By mapping each  $\sigma_i^n$  to  $F_i \cup G_i$  so that the consecutive functions agree on the common face of  $\sigma_i^n$  and  $\sigma_{i+1}^n$ , the manifold  $M$  is seen to have a collar at  $p$  relative to the complementary domain determined by  $C_1^N, \dots$ . A similar construction of the other side of  $M$  shows that  $M$  is in fact locally bi-collared at  $p$ .

By noting that the set  $Y$  of  $M$  consisting of points where  $M$  fails to be locally flat is closed, it is easy to extend the above theorem to the case cardinal of  $Y \leq \aleph_0$ .

*Added in proof.* COROLLARY. *If  $S$  is an  $n-1$  sphere that is locally flat except possibly at two points  $p$  and  $q$  and if  $S$  is LWF at either  $p$  or  $q$ , then  $S$  is flat.*<sup>5</sup>

A question we have been unable to resolve is contained in the following.

PROBLEM. If  $M^{n-1}$  is LWF, is it LF in  $M^n$ ? The result is known to be true for  $n = 3$ .

#### REFERENCES

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<sup>5</sup> One may define a concept of  $M^{n-1}$  being LWF with respect to the complementary domain A (or the other complementary domain B) and derive a similar result.