

ANALYTIC CONTINUATION OF THE PRINCIPAL SERIES

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The purpose of this note is to announce results obtained in the analytic continuation of the (nondegenerate) "principal series" of representations of the $n \times n$ complex unimodular group. This study has as its starting point a similar one for the 2×2 real unimodular group previously carried out by us in [4].

We let G be the $n \times n$ complex unimodular group and C its diagonal subgroup consisting of elements $c = (c_1, c_2, \dots, c_n)$. A continuous character λ of C is given by

$$\lambda(c) = \left(\frac{c_1}{|c_1|} \right)^{m_1} \cdots \left(\frac{c_n}{|c_n|} \right)^{m_n} |c_1|^{s_1} \cdots |c_n|^{s_n}$$

where the sequences of integers m_1, m_2, \dots, m_n and complex numbers s_1, s_2, \dots, s_n are uniquely determined by setting $0 \leq m_1 + m_2 + \dots + m_n < n$ and $s_1 + s_2 + \dots + s_n = 0$. Notice that λ is unitary, i.e., has values in the circle group, if $\text{Re}(s_j) = 0, j = 1, 2, \dots, n$. Gelfand and Neumark have shown how to construct for each unitary λ an irreducible unitary representation $a \rightarrow T(a, \lambda)$ of the group G [2]. To describe these representations (i.e., the principal series) we follow the method but not the notation of [2].

Let V be the subgroup of G of elements having ones on the main diagonal and zeros above the main diagonal. Then G acts on V in a natural way; we denote the action of $a \in G$ on $v \in V$ by $v\bar{a}$ (the transformations $v \rightarrow v\bar{a}$ are linear fractional transformations when $n = 2$ and generalizations thereof in higher dimensions). The operators of the representation $T(\cdot, \lambda)$ are given by

$$T(a, \lambda)f(v) = m(v, a; \lambda)f(v\bar{a})$$

where $m(v, a; \lambda)$ is an appropriate multiplier, and the underlying Hilbert space is $L_2(V)$.

In order to state our results we introduce a tube \mathfrak{J} lying in the complex hyperplane $s_1 + s_2 + \dots + s_n = 0$. The base B of \mathfrak{J} is the smallest convex set which contains the points $(\sigma, -\sigma, 0, 0, \dots, 0)$, $-1 < \sigma < 1$ and is invariant under all permutations of coordinates. A

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point (s_1, s_2, \dots, s_n) , $s_j = \sigma_j + it_j$ belongs to \mathfrak{J} if and only if $s_1 + s_2 + \dots + s_n = 0$ and $(\sigma_1, \sigma_2, \dots, \sigma_n) \in B$.

THEOREM 1. *For each character $\lambda = \lambda(m_1, m_2, \dots, m_n; s_1, s_2, \dots, s_n)$ for which $(s_1, s_2, \dots, s_n) \in \mathfrak{J}$ there exists a representation $a \rightarrow R(a, \lambda)$ of G on $L_2(V)$. The family of all such representations has the following properties:*

(1) *For each fixed λ , $a \rightarrow R(a, \lambda)$ is a continuous uniformly bounded representation.*

(2) *For fixed a , $R(a, \lambda)$ as a function of (s_1, s_2, \dots, s_n) is analytic in the tube \mathfrak{J} .*

(3) *When λ is unitary, $R(\cdot, \lambda)$ is unitarily equivalent to $T(\cdot, \lambda)$, the corresponding member of the principal series.*

(4) *$R(\cdot, \lambda) = R(\cdot, p\lambda)$ for every "permutation" p of the character λ .*

(5) *$R(\cdot, \lambda)' = R(\cdot, \lambda')$ where $R(a, \lambda)' = R(a^{-1}, \lambda)^*$ and $\lambda'(c) = \bar{\lambda}(c^{-1})$.*

In their work on the principal series Gelfand and Neumark obtained a trace formula for certain operators associated with the representations $a \rightarrow T(a, \lambda)$, [2, p. 73]. The formula involves a function (the character of the representation) which we denote by $\psi(a, \lambda)$. It is initially defined for unitary characters λ but extends in an obvious way by analyticity to nonunitary characters. We prove the following result.

THEOREM 2. *Let λ be as in Theorem 1 and f be the convolution of two bounded functions on G with compact support. Then the operator*

$$R(f, \lambda) = \int_G f(a) R(a, \lambda) da$$

is of trace class, and

$$\text{tr } R(f, \lambda) = \int_G f(a) \psi(a, \lambda) da.$$

REMARKS. (i) It is known that $T(\cdot, \lambda)$ and $T(\cdot, p\lambda)$ are unitarily equivalent for every permutation p [2, p. 118]. In the present context this unitary equivalence becomes an identity by (4) of Theorem 1.

(ii) It follows almost immediately from (4) and (5) that $R(\cdot, \lambda)$ is unitary if there exists a permutation p such that $\lambda' = p\lambda$, where λ' is the contragredient of λ defined by $\lambda'(c) = \bar{\lambda}(c^{-1})$. If $\lambda' = p\lambda$ and p equals the identity we are dealing with the principal series. However, when p is not the identity and $\lambda' = p\lambda$, it then follows from Theorem 2 that $R(\cdot, \lambda)$ is unitarily equivalent to a member of the complementary series [3].

(iii) By means of Theorem 2 we also show that if $\lambda' \neq p\lambda$ for all p , then the representation $R(\cdot, \lambda)$ is not equivalent to a unitary one, although it is uniformly bounded. The existence of a group $(SL(2, R))$ and uniformly bounded representations of it not equivalent to unitary ones was first proved by Ehrenpreis and Mautner [1].

We shall briefly describe the ideas behind the proofs of Theorems 1 and 2. We begin by limiting our attention to unitary characters λ . A basic fact we prove can be stated as follows:

LEMMA. *Let G_0 be the subgroup of G consisting of the matrices a such that $a_{jn} = 0$, $1 \leq j \leq n-1$. For any character λ , call the integer r , uniquely determined by $0 \leq r < n$, $r = m_1 + m_2 + \cdots + m_n$, the residue of the character λ . Then if λ_1 and λ_2 have the same residue the restrictions of $T(\cdot, \lambda_1)$ and $T(\cdot, \lambda_2)$ to G_0 are unitarily equivalent.*

Thus when we restrict to G_0 , there are only n (essentially) different representations among the principal series. This is remarkable in view of the known fact that the members of the principal series are all irreducible on G_0 [2, p. 22]. Hence there is a unitary operator $W(\lambda)$ so that if we set

$$R(a, \lambda) = W(\lambda)T(a, \lambda)W^{-1}(\lambda)$$

then $R(a, \lambda)$ for fixed $a \in G_0$ depends only on the residue of λ . We call the representations $R(\cdot, \lambda)$ the *normalized principal series*. It is these that can be continued analytically (i.e., to nonunitary λ 's) as in Theorem 1, while the $T(\cdot, \lambda)$ cannot.

The actual construction of the operators $W(\lambda)$ is too complicated to describe here but is intimately connected with the construction of the intertwining operators $A(p, \lambda)$ between $T(\cdot, \lambda)$ and $T(\cdot, p\lambda)$. In fact, it follows from part (4) of Theorem 1 that up to a constant multiple

$$A(p, \lambda) = W^{-1}(p\lambda)W(\lambda).$$

Moreover, we show that the operator $W(\lambda)$ can be written as a product of $n(n-1)/2$ operators of the type $A(p, \lambda)$.

The work described above has many points of contact with the analysis of the special case of the 2×2 (real) group which we carried out previously in [4]. However, there is an essential difference. This is due to the fact that the study of the representations in question is closely related to the Fourier analysis on $L_2(V)$. When $n=2$, V is a commutative group; but this is not so when $n>2$, and therein lies the major obstacle to the proof of Theorem 1.

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