

## SOME REMARKS ON EULER'S $\phi$ FUNCTION AND SOME RELATED PROBLEMS

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The function  $\phi(n)$  is defined to be the number of integers relatively prime to  $n$ , and  $\phi(n) = n \cdot \prod_{p|n} (1 - p^{-1})$ .

In a previous paper<sup>1</sup> I proved the following results:

(1) The number of integers  $m \leq n$  for which  $\phi(x) = m$  has a solution is  $o(n [\log n]^{\epsilon-1})$  for every  $\epsilon > 0$ .

(2) There exist infinitely many integers  $m \leq n$  such that the equation  $\phi(x) = m$  has more than  $m^c$  solutions for some  $c > 0$ .

In the present note we are going to prove that the number of integers  $m \leq n$  for which  $\phi(x) = m$  has a solution is greater than  $cn(\log n)^{-1} \log \log n$ .

By the same method we could prove that the number of integers  $m \leq n$  for which  $\phi(x) = m$  has a solution is greater than  $n(\log n)^{-1} (\log \log n)^k$  for every  $k$ . The proof of the sharper result follows the same lines, but is much more complicated. If we denote by  $f(n)$  the number of integers  $m \leq n$  for which  $\phi(x) = m$  has a solution we have the inequalities

$$n(\log n)^{-1} (\log \log n)^k < f(n) < n(\log n)^{\epsilon-1}.$$

By more complicated arguments the upper and lower limits could be improved, but to determine the exact order of  $f(n)$  seems difficult.

Also Turán and I proved some time ago that the number of integers  $m \leq n$  for which  $\phi(m) \leq n$  is  $cn + o(n)$ . We shall give this proof, and also discuss some related questions:

**LEMMA 1.** *Let  $a < \epsilon$ ,  $b < n$ ,  $a \neq b$ ,  $\epsilon = (\log \log n)^{-100}$ . Then the number of solutions  $N_n(a, b)$  of*

$$(1) \quad (p-1)a = (q-1)b, \quad p \leq na^{-1}, \quad q \leq nb^{-1},$$

*$p, q$  primes, does not exceed*

$$(2) \quad \frac{(a, b)}{ab} \frac{n}{(\log n)^2} (\log \log n)^{80}.$$

**PROOF.** Put  $(a, b) = d$ . Then we have  $p \equiv 1 \pmod{bd^{-1}}$ . Also  $(p-1)ab^{-1} + 1 = q$  is a prime. We can assume that both  $p$  and  $q$  in (1) are greater

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<sup>1</sup> *On the normal number of prime factors of  $p-1$* , Quart. J. Math. Oxford Ser. vol. 6 (1935) pp. 205-213.

than  $n^{1/2}$ , for the exceptional values of  $p$  and  $q$  give only  $2n^{1/2}$  solutions of (1). Let  $r < n^\delta$ , where  $\delta = (\log \log n)^{-10}$ , be a prime. If  $p$  is a solution of (1) it must satisfy the following conditions

$$\begin{aligned} p &\equiv 1 \pmod{bd^{-1}}, & p &< na^{-1}, \\ p &\not\equiv 0 \pmod{r}, & p &\not\equiv (-ba^{-1} + 1) \pmod{r}. \end{aligned}$$

If  $r$  is not a divisor of  $a(a-b)$  the excluded two residues are different. Thus we obtain by Brun's argument<sup>2</sup>

$$N_n(a, b) < 2n^{1/2} + c_1nd(ab)^{-1} \prod_{r \nmid a(a-b)} (1 - 2r^{-1}),$$

where  $r$  runs through the primes less than  $n^\delta$ .

Now it is well known that<sup>3</sup>

$$\prod_{r \leq x} (1 - 2r^{-1}) < c_2(\log x)^{-2}, \quad \prod_{r \mid x} (1 - 2r^{-1}) > c_3(\log \log x)^{-2}.$$

Hence

$$\begin{aligned} N_n(a, b) &< 2n^{1/2} + c_4nd(ab)^{-1}(\log \log n)^{22}(\log n)^{-2} \\ &< nd(ab)^{-1}(\log \log n)^{30}(\log n)^{-2}, \end{aligned}$$

which completes the proof.

LEMMA 2.  $\sum (p-1)^{-1} < (\log \log n)^{20}d^{-1}$  if this sum is extended over all  $p < n^e$  for which  $p \equiv 1 \pmod{d}$ .

Clearly (summing over the indicated  $p$ )

$$\sum p^{-1} \leq d^{-1} \sum' x^{-1},$$

where the dash indicates that the summation is extended over the  $x$  for which  $x < nd^{-1}$  and  $xd + 1$  is a prime. Let  $y < nd^{-1}$ ; first we estimate the number of these  $x \leq y \leq n$ . Let  $r < y^\delta$  ( $\delta = (\log \log n)^{-10}$ ) be a prime; if  $(r, d) = 1$  then  $x \not\equiv -d^{-1} \pmod{r}$ . Brun's method<sup>4</sup> gives that the number of these  $x \leq y$  is less than

$$cy \prod (1 - r^{-1}) < cy(\log y)^{-1}(\log \log y)^{10} \log \log d,$$

where the product is extended over the  $r$  which satisfy  $r < y^\delta$ ,  $(r, d) = 1$ . Thus a simple argument gives

$$\sum' x^{-1} < c \sum_{z < n} (\log \log z)^{10} (\log \log d) (z \log z)^{-1} < (\log \log n)^{30},$$

which proves the lemma.

<sup>2</sup> Landau, *Vorlesungen über Zahlentheorie*, vol. 1, p. 71.

<sup>3</sup> Hardy-Wright, *Theory of numbers*.

<sup>4</sup> Landau, *ibid.*

LEMMA 3. *The number  $A(n)$  of integers  $m$  of the form  $m = pq$ , where*

$$(3) \quad pq \leq n,$$

*$p, q$  primes,  $p > q, q < n^\epsilon$ , equals*

$$n(\log \log n)(\log n)^{-1} + o([n(\log \log n)(\log n)^{-1}]) = \pi_2(n) + o(\pi_2(n)).$$

REMARK. Thus the number of integers satisfying (3) is asymptotically equal to the number  $\pi_2(n)$  of integers which are less than  $n$  and have 2 prime factors.<sup>5</sup>

The number of integers satisfying (3) is clearly not less than

$$\begin{aligned} \sum (\pi(nq^{-1}) - n^\epsilon) &= \sum nq^{-1}(\log(nq^{-1}))^{-1} - n^{2\epsilon} \\ &\quad + \sum o(nq^{-1}[\log(nq^{-1})]^{-1}) \\ &= n(\log \log n)(\log n)^{-1} + o(n(\log \log n)(\log n)^{-1}) \end{aligned}$$

(here  $\pi(n)$  denotes the number of primes, and the sums are taken over  $q < n^\epsilon$ ), since  $\sum q^{-1} = \log_2 n + \log \epsilon + o(1)$  and  $\log(nq^{-1})$  is asymptotic to  $\log n$  for  $q < n^\epsilon$ . (The sum  $\sum q^{-1}$  is for  $q < n^\epsilon$ .)

THEOREM. *The number  $f(n)$  of different integers  $m$  of the form  $m = \phi(pr)$  where  $p, r$  are primes and  $pr \leq n$  equals*

$$n(\log \log n)(\log n)^{-1} + o(n(\log \log n)(\log n)^{-1}) = \pi_2(n) + o(\pi_2(n)).$$

Denote by  $B(n)$  the number of solutions of  $(p-1)(r-1) = (q-1)(s-1)$ , where  $p, q, r, s$  are primes, with  $pq, rs < n$  and  $s, r < n^\epsilon$ . Clearly

$$f(n) \geq A(n) - B(n).$$

We have by Lemma 1 (the following sum being for  $r, s < n^\epsilon$ )

$$\begin{aligned} B(n) &= \sum N_n(r-1, s-1) \\ &< n(\log \log n)^{30}(\log n)^{-1} \sum (r-1, s-1)(r-1)^{-1}(s-1)^{-1}. \end{aligned}$$

Put  $(r-1, s-1) = d$ . Then

$$B_n < n(\log n)^{-2}(\log \log n)^{30} \sum \sum d(q-1)^{-1}(s-1)^{-1},$$

where the first sum is for  $d < n^\epsilon$  and the second for  $r \equiv s \equiv 1 \pmod d$ , with  $r, s < n^\epsilon$ . By Lemma 2 we have, summing over the same  $r$  and  $s$ ,

$$\sum (r-1)^{-1}(s-1)^{-1} < (\log \log n)^{40}d^{-2}.$$

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<sup>5</sup> Denote by  $\pi_k(n)$  the number of integers having  $k$  different prime factors. Landau proves (*Verteilung der Primzahlen*, vol. 1, pp. 208-213) that  $\pi_k(n) \sim (n/\log n)(\log \log n)^{k-1}/(k-1)!$ . The same asymptotic formula holds if  $\pi_k(n)$  denotes the number of integers having  $k$  prime factors, multiple factors counted multiply. (Landau, *ibid.*)

Hence

$$B(n) = c\epsilon n(\log n)^{-1}(\log \log n)^{70} = o(n(\log n)^{-1}).$$

Hence by Lemma 3

$$f(n) \geq n(\log \log n)(\log n)^{-1} - o(n(\log n)^{-1}),$$

which completes the proof. (Clearly  $f(n) < \pi_2(n) < (1 + \epsilon)n(\log \log n) \cdot (\log n)^{-1}$ .) Our result shows that the number of different integers not greater than  $n$  of the form  $(p-1)(q-1)$  is asymptotic to the total number of integers not greater than  $n$  of the form  $(p-1)(q-1)$ . Nevertheless there exist integers  $m$  such that  $(p-1)(q-1) = m$  has arbitrarily many solutions.<sup>6</sup>

By similar but more complicated methods we can prove:

The number of integers not greater than  $n$  of the form

$$\prod_{i=1}^k (p_i - 1) = \phi(p_1, \dots, p_k) \quad (p_i \text{ primes})$$

is greater than

$$cn(\log \log n)^{k-1} [(k-1)! \log n]^{-1} = c\pi_k(n) + o(\pi_k(n))$$

( $\pi_k(n)$  denotes the number of integers not greater than  $n$  having exactly  $k$  prime factors). The constant  $c$  depends on  $k$  and tends to 0 as  $k \rightarrow \infty$ . For  $k \geq 3$ ,  $c < 1$ . We omit the proof of these results.

**THEOREM.** *The number  $M(n)$  of integers for which  $\phi(m) \leq n$  equals  $cn + o(n)$ .*

Denote by  $f(x)$  the density of integers for which  $m/\phi(m) \geq x$ . It is well known that this density exists.<sup>7</sup> We are going to prove that

$$c = 1 + \int_1^\infty f(x) dx.$$

First we have to show that  $\int_1^\infty f(x) dx$  exists. Since  $f(x)$  is nondecreasing it will suffice to show that for large  $r$ ,  $f(r) < cr^{-2}$ . We have

$$\begin{aligned} \sum_{m=1}^n (m/\phi(m))^2 &= \sum_{m=1}^n \prod_{p|m} (1 + p^{-1} + \dots)^2 < \sum_{m=1}^n \prod_{p|m} (1 + 5p^{-1}) \\ &= \sum_{m=1}^n \sum_{d|m} \mu(d) d^{-1} 5^{v(d)} < n \sum_{d=1}^\infty 5d^{-2} < cn. \end{aligned}$$

<sup>6</sup> P. Erdős, *On the totient of the product of two primes*, Quart. J. Math. Oxford Ser. vol. 7 (1936) pp. 227-229.

<sup>7</sup> Schönberg, Math. Zeit. vol. 28 (1928) pp. 171-199.

Hence

$$\lim n^{-1} \sum_{m=1}^n (m/\phi(m))^2 < c$$

and this shows  $f(r) < cr^{-2}$ .

Let  $k$  be a large number. Consider the integers  $m$  satisfying  $nuk^{-1} \leq m < n(u+1)k^{-1}$ ,  $u \geq k$ . We clearly have

$$\limsup M(n)/n < 1 + k^{-1} \sum_{u=k}^{\infty} f(uk^{-1}),$$

$$\liminf M(n)/n > 1 + k^{-1} \sum_{u=k}^{\infty} f((u+1)k^{-1}).$$

(If  $uk^{-1} \leq m \leq (u+1)k^{-1}$  and  $m/\phi(m) \geq (u+1)k^{-1}$ ,  $\phi(m) < n$  and if  $m/\phi(m) < uk^{-1}$ ,  $\phi(m) > n$ .) If  $k \rightarrow \infty$  both sums tend to  $\int_1^{\infty} f(x)dx$ , thus

$$\lim M(n)/n = 1 + \int_1^{\infty} f(x)dx$$

which completes the proof.

Let  $\sigma(m)$  be the sum of the divisors of  $m$ . By the same methods as used before we can prove the following results:

(1) The number of integers  $m$  for which  $\sigma(m) \leq n$  is  $cn + o(n)$ .

(2) Denote by  $g(n)$  the number of integers  $m \leq n$  for which  $\sigma(x) = m$  is solvable. Then  $n(\log n)^{-1}(\log \log n)^k < g(n) < n(\log n)^{-1}(\log n)^e$ .

It seems likely that there exist integers  $m$  such that the equation  $\phi(x) = m$  has more than  $m^{1-\epsilon}$  solutions, and also that there exist, for every  $k$ , consecutive integers  $n, n+1, \dots, n+k-1$  such that  $\phi(n) = \phi(n+1) \cdot \dots \cdot \phi(n+k-1)$ .<sup>8</sup> We can make analogous conjectures for  $\sigma(n)$ . It also would seem likely that there are infinitely many pairs of integers  $x$  and  $y$  with  $\sigma(x) = \sigma(y) = x+y$ , that is, there are infinitely many friendly numbers, but these conjectures seem intractable at present.

One final remark: Let  $\psi(n) \geq 0$  be a multiplicative function which has a distribution function.<sup>9</sup>  $f(x)$  denotes the density of integers with  $\psi(n) \geq x$ . Denote by  $M(n)$  the number of integers for which  $n\psi(n) \leq n$ . Then  $\lim M(n)/n$  always exists since it can be shown that  $\int_0^{\infty} f(x)dx$  always exists. The proof is the same as in the case of  $\phi(n)$ .

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<sup>8</sup> It is known that there exists a number  $n < 10000$  such that  $\phi(n) = \phi(n+1) = \phi(n+2)$ , but I do not remember  $n$  and cannot trace the reference.

<sup>9</sup> The necessary and sufficient condition for the existence of the distribution function is given by Erdős-Wintner, Amer. J. Math. vol. 61 (1939) pp. 713-721.