

ON THE CONVERSE OF THE TRANSITIVITY OF MODULARITY

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E. H. Moore's theorem on the transitivity of modularity is as follows: Consider the basis¹ $\mathfrak{A}, \mathfrak{B}, \epsilon$; if a positive hermitian matrix ϵ_0 is modular as to ϵ , then every vector which is modular as to ϵ_0 is modular² as to ϵ (that is, $\mathfrak{M}_{\epsilon_0} \subset \mathfrak{M}_{\epsilon}$).

In his doctoral thesis, the author establishes the converse of the preceding theorem as a consequence of the Hellinger-Toeplitz theorem.³ In this note, we give a new proof for the converse of the transitivity of modularity, and then deduce the generalized Hellinger-Toeplitz theorem as a corollary. The converse of the transitivity of modularity is, therefore, equivalent to the Hellinger-Toeplitz theorem. We also establish the converse of the transitivity of modularity for matrices, and a theorem on the transitivity of accordance and finiteness.

THEOREM I. *Consider the basis $\mathfrak{A}, \mathfrak{B}, \epsilon$; and let ϵ_0 be a positive hermitian matrix. Then the following assertions are equivalent:*

- (1) every vector μ_0 modular as to ϵ_0 is modular as to ϵ ;
- (2) ϵ_0 is modular as to ϵ ;
- (3) ϵ_0 is modular as to ϵ .

If one of the preceding conditions is satisfied, the modulus of ϵ_0 as to ϵ is equal to the norm of ϵ_0 as to ϵ .

In the course of demonstration, we let \mathfrak{M}_0 denote the space of vectors μ_0 modular as to ϵ_0 ; J_0 , the integration process based on ϵ_0 ; and M_0 , the modulus as to ϵ_0 . Similar interpretations are given to the symbols \mathfrak{M}, J, M , for the base matrix ϵ . A vector which is finite as to ϵ is denoted by β .

If every μ_0 is modular as to ϵ , the matrix ϵ_0 is of type $\mathfrak{M}_0\overline{\mathfrak{M}}$. Then $J\epsilon_0\beta$ is in \mathfrak{M}_0 for every β , and $J_0(J\beta\epsilon_0)\mu_0 = J\beta J_0\epsilon_0\mu_0 = J\beta\mu_0$ for every pair β, μ_0 . Consequently, for every β , $M_0J\epsilon_0\beta$ is equal to the least upper bound of $|J\beta\mu_0|$ for all μ_0 such that $M_0\mu_0 \leq 1$, by part (2) of Theorem (41.9) in G.A. Similarly, for every μ_0 , which is modular as to ϵ by hypothesis, $M\mu_0$ is equal to the least upper bound of $|J\beta\mu_0|$

¹ E. H. Moore, *General Analysis* (G.A. for abbreviation), Part I, p. 4, and Part II, p. 84.

² Theorem (46.4), part (1) in G.A., II, p. 137.

³ *Spaces associated with non-modular matrices with applications to reciprocals*, Chicago thesis, 1931, pp. 3-9. The same proof is given in G.A., II, p. 193.

for all β such that $M\beta \leq 1$. If the class \mathfrak{L} is identified as the class of vectors $\bar{\beta}$ such that $M\bar{\beta} \leq 1$, and F_l , on \mathfrak{M}_0 to \mathfrak{M} , is defined to be $(|J\bar{\beta}\mu_0| | \mu_0)$ for every $l = \bar{\beta}$, then by Theorem (53.55) in G.A.,⁴ the upper bound of $M_0J\epsilon_0\beta$, for all β finite as to ϵ such that $M\beta \leq 1$, is finite. By Theorem (46.85) in G.A., ϵ_0 is modular as to $\epsilon_0 \epsilon$. Since ϵ_0 is hermitian, ϵ_0 is also modular⁵ as to $\epsilon \epsilon_0$.

When condition (2) is true, then condition (3) is secured by a simple application⁶ of the composition of modularity⁷ to $\epsilon_0 = J_0\epsilon_0\epsilon_0$. That the last condition implies the first is proved in Theorem (46.4) of Moore's G.A.

From $\epsilon_0 = J_0\epsilon_0\epsilon_0$ and part (2) of Theorem (46.9) in G.A., we have $N_{\epsilon_0\epsilon}\epsilon_0 = M_{\epsilon\epsilon}J_0\epsilon_0\epsilon_0 = M_{\epsilon\epsilon}\epsilon_0$. This completes the proof.

The hypothesis of the preceding theorem is assumed for the following corollary:

COROLLARY. *Let \mathfrak{M}_{0*} consist of all μ_0 whose moduli as to ϵ_0 are bounded by a fixed constant. If \mathfrak{M}_{0*} is a subset of \mathfrak{M} , then the moduli as to ϵ of all vectors in \mathfrak{M}_{0*} are also bounded.*

We may assume, without losing generality, that the moduli of all vectors in \mathfrak{M}_{0*} are at most unity. Since the spaces \mathfrak{M}_0 and \mathfrak{M} are linear, the condition that every μ_0 for which $M_0\mu_0 \leq 1$ is modular as to ϵ is equivalent to condition (1) in the preceding theorem. Consequently ϵ_0 is modular as to $\epsilon \epsilon_0$. The equation $\mu_0 = J_0\epsilon_0\mu_0$ gives, by Theorem (46.7) in G.A., that $M\mu_0 \leq M_{\epsilon\epsilon_0}\epsilon_0$ whenever $M_0\mu_0 \leq 1$.

THEOREM II. *Consider the basis $\mathfrak{A}, \mathfrak{B}^1, \mathfrak{B}^2, \epsilon^1, \epsilon^2$; and let $\epsilon_0^1, \epsilon_0^2$ be two positive hermitian matrices. Then the following assertions are equivalent:*

- (1) every matrix κ^{12} modular as to $\epsilon_0^1 \epsilon_0^2$ is of type $\mathfrak{M}^1\overline{\mathfrak{M}}^2$;
- (2) ϵ_0^1 is modular as to $\epsilon^1 \epsilon^1$, and ϵ_0^2 is modular as to $\epsilon^2 \epsilon^2$;
- (3) every matrix κ^{12} modular as to $\epsilon_0^1 \epsilon_0^2$ is modular as to $\epsilon^1 \epsilon^2$.

For the demonstration of the theorem, we shall show that (1) \rightarrow (2) \rightarrow (3) \rightarrow (1). The second implication is proved in part (2) of Theorem (46.4) in G.A. The last implication follows from the fact that every matrix κ^{12} modular as to $\epsilon^1 \epsilon^2$ is of type $\mathfrak{M}^1\overline{\mathfrak{M}}^2$. To show

⁴ See also Hildebrandt, *On uniform limitedness of sets of functional operations*, this Bulletin, vol. 29 (1923), pp. 309–315; Fréchet, *Sur les fonctionnelles bilinéaires*, Transactions of this Society, vol. 16 (1915), pp. 217–218.

⁵ By a similar reasoning, we may, of course, deduce the Hellinger-Toeplitz theorem as a consequence of Theorem (53.55).

⁶ See the author's thesis, loc. cit., p. 8, or Moore, G.A., II, p. 193.

⁷ Moore, G.A., II, p. 144.

the first implication, consider any $\mu_0^1, \mu_0^2 \neq 0^2$ which are modular as to $\epsilon_0^1, \epsilon_0^2$ respectively, Theorem (47.2) in G.A. shows that $\mu_0^1 \mu_0^2$ is modular as to $\epsilon^1 \epsilon^2$, and hence by hypothesis, $\mu_0^1 \mu_0^2$ is of type $\mathfrak{M}^1 \overline{\mathfrak{M}}^2$. Since $\mu^2 \neq 0^2$, let $a \equiv \mu^2(p^2) \neq 0$. Then $\mu_0^1 \cdot a$, and hence μ_0^1 , is modular as to ϵ^1 . This proves that every μ_0^1 modular as to ϵ_0^1 is modular as to ϵ^1 . By Theorem I, ϵ_0^1 is modular as to $\epsilon^1 \epsilon^1$. Similarly, we prove that ϵ_0^2 is modular as to $\epsilon^2 \epsilon^2$. The proof was suggested by Dr. Coral.

THEOREM III. (Generalized Hellinger-Toeplitz theorem.) *Consider the basis $\mathfrak{A}, \mathfrak{B}^1, \mathfrak{B}^2, \epsilon^1, \epsilon^2$. A matrix κ^{12} is modular as to $\epsilon^1 \epsilon^2$ if and only if κ^{12} is by rows of $\overline{\mathfrak{M}}^2$ and $J^2 \kappa^{12} \mu^2$ is modular as to ϵ^1 for every μ^2 .*

To prove the theorem, we make use of the fact that κ^{12} is modular as to $\epsilon^1 \epsilon^2$ if and only if the following condition holds:

(M) κ^{12} is by rows of $\overline{\mathfrak{M}}^2$, and $J^2 \kappa^{12} \kappa^{*21}$ is modular as to $\epsilon^1 \epsilon^1$.

This is Theorem (46.9) in G.A., with the omission of the redundant condition that κ^{12} is by columns accordant as to ϵ^1 . (For when κ^{12} satisfies the conditions (M), κ^{12} is by columns A^1 . To prove this, we note that $J^2 \kappa^{12} \kappa^{*21}$ is A^{11} by Theorem (46.65) in G.A. Consequently, when $S_\sigma^1 \epsilon^1 \alpha^1 = 0^1$, then $J^2(S_\sigma^1 \bar{\alpha}^1 \kappa^{12}, S_\sigma^1 \kappa^{*21} \alpha^1) = S_\sigma^1 S_\sigma^1 \bar{\alpha}^1 J^2 \kappa^{12} \kappa^{*21} \alpha^1 = 0$, which implies that $S_\sigma^1 \bar{\alpha}^1 \kappa^{12} = 0^2$, since J^2 is proper. Thus κ^{12} is by columns A^1 .) Consequently, it suffices to prove the following statement: *When κ^{12} is by rows of $\overline{\mathfrak{M}}^2$, the matrix $J^2 \kappa^{12} \kappa^{*21}$ is modular as to $\epsilon^1 \epsilon^1$ if and only if $J^2 \kappa^{12} \mu^2$ is modular as to ϵ^1 for every μ^2 .*

Using the notation introduced by E. H. Moore in his study of generalized Fourier theory, we denote the positive hermitian matrix $J^2 \kappa^{12} \kappa^{*21}$ by ϵ_\star^1 . It was shown by Moore that the space of vectors modular as to ϵ_\star^1 is equal⁸ to the space of vectors $J^2 \kappa^{12} \mu^2$ for all μ^2 in \mathfrak{M}^2 . When κ^{12} is assumed to be by rows of $\overline{\mathfrak{M}}^2$, the assertion that $J^2 \kappa^{12} \mu^2$ is modular as to ϵ^1 for every μ^2 is equivalent to the assertion that every vector modular as to ϵ_\star^1 is modular as to ϵ^1 . By Theorem I, the latter assertion is valid if and only if ϵ_\star^1 is modular as to $\epsilon^1 \epsilon^1$. This proves the theorem.

The basis stated in the preceding theorem is assumed for the following corollary:

COROLLARY. *Suppose that κ^{12} is by rows of $\overline{\mathfrak{M}}^2$. Then κ^{12} is modular as to $\epsilon^1 \epsilon^2$ if and only if every vector modular as to $J^2 \kappa^{12} \kappa^{*21}$ is modular as to ϵ^1 .*

The transitivity for accordance and finiteness is stated in the following theorem:

⁸ Moore, G. A., I, p. 22.

THEOREM IV. Consider the basis \mathfrak{A} , \mathfrak{B} , ϵ and let ϵ_0 be a positive hermitian matrix. Then

(a) every vector accordant as to ϵ_0 is accordant as to ϵ if and only if ϵ_0 is accordant as to ϵ ;

(b) every vector finite as to ϵ_0 is finite as to ϵ if and only if ϵ_0 is of type $F\bar{F}$.

In part (a), if every vector accordant as to ϵ_0 is accordant as to ϵ , then ϵ_0 , being of type $A_0\bar{A}_0$, is of type $A\bar{A}$. By Theorem (46.5) in G.A., ϵ_0 is accordant as to ϵ . Conversely every vector ξ accordant as to ϵ_0 satisfies the relation $\xi = J_0\epsilon_0\xi = L_\sigma J_0\epsilon_0\xi_\sigma$. Now $J_0\epsilon_0\xi_\sigma$, being a finite (right) linear combination of the columns of ϵ_0 , is a vector accordant as to ϵ for every σ . By Theorem (48.2) in G.A., ξ is accordant as to ϵ . Part (b) is an immediate consequence of the definition of finiteness.

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