

$p$ -ALGEBRAS OF EXPONENT  $p^*$ 

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A. A. Albert and O. Teichmüller have recently investigated the structure of  $p$ -algebras, that is, normal simple algebras of degree  $p^e$  and characteristic  $p$ .‡ In particular they showed that a necessary and sufficient condition that such an algebra have exponent  $p$  is that it be similar to an algebra  $A$  having a maximal subfield  $C = F(c_1, c_2, \dots, c_m)$ , where  $c_i^p = \gamma_i \in F$ , the underlying field. The latter algebra is cyclic. It is the purpose of this note to apply some results of my paper *Abstract derivation and Lie algebras*§ to obtain a new generation of  $A$ . For  $m=1$  this generation is more symmetric than the cyclic generation. We obtain a condition that  $A$  be a matrix algebra in terms of the new generation, and when  $m=1$  we have as a consequence a reciprocity law for fields of characteristic  $p$ .

Let  $A$  be a normal simple algebra of degree  $p^m$  (order  $p^{2m}$ ) over a field  $F$  of characteristic  $p$  and suppose  $A$  contains the maximal subfield  $C = F(c_1, c_2, \dots, c_m)$ ,  $c_i^p = \gamma_i \in F$ . Let  $D$  be an arbitrary derivation of  $C$  over  $F$ , that is, a mapping  $x \rightarrow xD$  of  $C$  into itself such that

$$\begin{aligned}(x + y)D &= xD + yD, & (x\alpha)D &= (xD)\alpha, \\ (xy)D &= (xD)y + x(yD), & \alpha \in F.\end{aligned}$$

It is known that  $D$  may be chosen so that the only elements  $z$  such that  $zD=0$  are those of  $F$ ,|| and for a  $D$  of this type I have shown that

$$(1) \quad x(D^{p^m} + D^{p^{m-1}}\tau_1 + \dots + D\tau_m) = 0, \quad \tau_i \in F,$$

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‡ A. A. Albert, *On normal division algebras of degree  $p^e$  over  $F$  of characteristic  $p$* , Transactions of this Society, vol. 39 (1936), pp. 183–188, and *Simple algebras of degree  $p^e$  over a centrum of characteristic  $p$* , Transactions of this Society, vol. 40 (1936), pp. 112–126. O. Teichmüller,  *$p$  Algebren*, Deutsche Mathematik, vol. 1 (1936), pp. 362–388.

§ Transactions of this Society, vol. 42 (1937), pp. 206–224, referred to as J.

|| R. Baer, *Algebraische Theorie der differentierbaren Funktionenkörper*. I, Sitzungsberichte Heidelberger Akademie, 1927, pp. 15–32.

or

$$x^{(p^m)} + x^{(p^{m-1})}\tau_1 + \cdots + x'\tau_m = 0$$

for all  $x \in C$ , but no equation of the form

$$x^{(r)} + x^{(r-1)}b_1 + \cdots + x'b_{r-1} + b_r = 0, \quad b_i \in C,$$

can hold if  $r < p^m$ .\* I have shown also that any derivation in a simple subalgebra of a normal simple algebra may be extended to an inner derivation in the latter.† Thus there exists an element  $d$  in  $A$  such that  $[x, d] \equiv xd - dx = xD$  for all  $x \in C$ .

We note that

$$(2) \quad xd^k = d^kx + C_{k,1}d^{k-1}x' + \cdots + x^{(k)},$$

where the coefficients are those of the binomial theorem, and hence  $xd^{p^j} = d^{p^j}x + x^{(p^j)}$ . It follows from (1) that  $(d^{p^m} + d^{p^{m-1}}\tau_1 + \cdots + d\tau_m)$  commutes with every  $x$ , and since  $C$  is a maximal subfield of  $A$ ,  $(d^{p^m} + d^{p^{m-1}}\tau_1 + \cdots + d\tau_m) = c \in C$ . Deriving with respect to  $d$  (taking commutators), we have  $[c, d] = 0$ , and so  $c = \delta \in F$  and

$$(3) \quad d^{p^m} + d^{p^{m-1}}\tau_1 + \cdots + d\tau_m = \delta.$$

We assert that  $C$  and  $d$  generate the whole of  $A$ . Suppose

$$(4) \quad d^r + d^{r-1}b_1 + \cdots + b_r = 0, \quad b_i \in C,$$

is an equation of least degree having coefficients in  $C$  and satisfied by  $d$ . If  $x \in C$  by (2)

$$d^{r-1}x_1 + d^{r-2}x_2 + \cdots + x_r = 0,$$

where, if we use the  $C_{r,k}$  notation for binomial coefficients,

$$\begin{aligned} x_1 &= C_{r,1}x', & x_2 &= C_{r,2}x'' + C_{r-1,1}x'b_1, \cdots, \\ x_r &= x^{(r)} + x^{(r-1)}b_1 + \cdots + x'b_{r-1}. \end{aligned}$$

Since (4) has minimum degree,  $x_1 = x_2 = \cdots = x_r = 0$ . But by (1)  $x_r = 0$  is impossible for all  $x$  unless  $r \geq p^m$ . It follows that  $r = p^m$  and  $1, d, \cdots, d^{p^m-1}$  are (right) independent over  $C$ . Thus  $C$  and  $d$  generate an algebra of order  $p^m$  over  $C$  and hence  $p^{2m}$  over  $F$ , and so  $C$  and  $d$  generate all of  $A$ . The field  $C$ , the derivation

\* J, p. 218.

† J, p. 214.

$D$ , and the equation (3) give a complete description of  $A$ .

Let  $V(x)$  for  $x \in C$  be the function

$$V_{p^m}(x) + V_{p^{m-1}}(x)\tau_1 + \dots + V_1(x)\tau_m,$$

where

$$V_{p^i}(x) = x^{p^i} + (x^{(p-1)})^{p^{i-1}} + (x^{(p^2-1)})^{p^{i-2}} + \dots + x^{(p^{i-1})}.$$

I have shown that  $V(x) \in F$ , and that it has properties analogous to the norm in cyclic fields.\* Now suppose  $\delta = V(x_0)$ . If  $d_1 = d - x_0$ , then  $[x, d_1] = xD$  for all  $x$ , and since

$$d_1^{p^j} = (d - x_0)^{p^j} = d^{p^j} - V_{p^j}(x_0),$$

we have

$$d_1^{p^m} + d_1^{p^{m-1}}\tau_1 + \dots + d_1\tau_m = 0,$$

and so  $A \cong F_{p^m}$ , the algebra of all  $p^m$ -rowed square matrices with elements in  $F$ .†

Conversely suppose that  $A \cong F_{p^m}$ . Then there exists in  $A$  a field  $\tilde{C} \cong C$  and an element  $\tilde{d}_1$  such that  $[\tilde{x}, \tilde{d}_1] = \tilde{x}\tilde{D}$  where  $x \longleftrightarrow \tilde{x}$  in the isomorphism between  $C$  and  $\tilde{C}$  and

$$\tilde{d}_1^{p^m} + \tilde{d}_1^{p^{m-1}}\tau_1 + \dots + \tilde{d}_1\tau_m = 0.$$

This isomorphism between  $C$  and  $\tilde{C}$  may be extended to an automorphism in  $A$ .‡ Hence there exists an element  $d_1$  corresponding to  $\tilde{d}_1$  such that  $[x, d_1] = xD$  and

$$d_1^{p^m} + d_1^{p^{m-1}}\tau_1 + \dots + d_1\tau_m = 0.$$

We observe that  $d - d_1$  commutes with all the elements of  $C$ , and hence  $d_1 = d - x_0$ ,  $x_0 \in C$ . It follows as before that  $\delta = V(x_0)$ .

**THEOREM.** *A necessary and sufficient condition that  $A$  be  $\cong F_{p^m}$  is that  $\delta = V(x_0)$ ,  $x_0 \in C$ .*

We now consider the special case where  $m = 1$ ,  $C = F(c)$ ,  $c^p = \gamma$ . Let  $D$  be the derivation such that  $cD = 1$ . It is easily seen that  $D^p = 0$  and hence  $A$  is generated by  $c$  and  $d$  such that

\* See J, p. 224.

† The symbol  $\cong$  denotes isomorphism. For the above equations and result see J, p. 223.

‡ M. Deuring, *Algebren*, 1935, p. 42.

$[c, d] = 1$  and  $d^p = \delta$ . Thus  $A$  has the basis  $d^i c^j$  ( $i, j = 0, 1, \dots, p-1$ ) such that

$$(5) \quad c^p = \gamma, \quad d^p = \delta, \quad cd - dc = 1.$$

The condition that  $A \cong F_p$  is  $\delta = V(x_0)$ ,  $x_0 \in F(c)$ . Here  $V(x) = x^p + x^{(p-1)}$ , and so if  $x = \xi_0 + c\xi_1 + \dots + c^{p-1}\xi_{p-1}$ , then

$$(6) \quad V(x) = (\xi_0^p - \xi_{p-1}) + \gamma\xi_1^p + \dots + \gamma^{p-1}\xi_{p-1}^p.$$

If  $\delta$  is not a  $p$ -th power, (5) is essentially symmetric in  $c$  and  $d$ . We define the derivation  $d \rightarrow dE = -1$  in  $F(d)$ . Since  $E^p = 0$ , the condition that  $A \cong F_p$  is that

$$\gamma = V(y_0) = y_0 E^{p-1} + y_0^p, \quad y_0 \in F(d).$$

But if

$$y = \eta_0 + d\eta_1 + \dots + d^{p-1}\eta_{p-1},$$

then

$$V(y) = (\eta_0^p - \eta_{p-1}) + \delta\eta_1^p + \dots + \delta^{p-1}\eta_{p-1}^p.$$

Thus we have the following reciprocity theorem for arbitrary fields of characteristic  $p$ .

**THEOREM.** *If  $\gamma$  and  $\delta$  are not  $p$ -th powers in  $F$ , then  $(\xi_0^p - \xi_{p-1}) + \gamma\xi_1^p + \dots + \gamma^{p-1}\xi_{p-1}^p = \delta$  is solvable for  $\xi_i \in F$  if and only if  $(\eta_0^p - \eta_{p-1}) + \delta\eta_1^p + \dots + \delta^{p-1}\eta_{p-1}^p = \gamma$  is solvable for  $\eta_i \in F$ .*

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