

## ON AXISYMMETRIC SOLUTIONS OF THE CONFORMAL SCALAR CURVATURE EQUATION ON $S^n$

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**1. Introduction.** Consider the sphere  $S^n = \{(y_1, \dots, y_{n+1}) : y_1^2 + \dots + y_{n+1}^2 = 1\}$  with the standard metric  $ds_0^2 = dy_1^2 + \dots + dy_{n+1}^2$ . For  $n \geq 3$ , we consider the following problem of prescribing scalar curvature on  $S^n$ : given a smooth function  $K$  on  $S^n$ , we want to find a metric  $ds^2$  conformal to  $ds_0^2$  such that the given function  $K$  is the scalar curvature of the new metric  $ds^2$ . If we write  $ds^2 = v^{\frac{4}{n-2}} ds_0^2$ , then the problem of prescribing scalar curvature on  $S^n$  is equivalent to finding a positive smooth solution  $v$  of

$$\Delta_{g_0} v - \frac{n(n-2)}{4} v + \frac{n-2}{4(n-1)} K(y) v^{\frac{n+2}{n-2}} = 0 \quad \text{on } S^n, \quad (1.1)$$

where  $\Delta_{g_0}$  is the Beltrami-Laplace operator associated with  $ds_0^2$ . By using  $x \in \mathbf{R}^n$  to denote the coordinate of the stereographic projection of a point  $y$  on  $S^n$  and  $u(x) = v(y) \left(\frac{2}{1+|x|^2}\right)^{\frac{n-2}{2}}$ , equation (1.1) reduces to

$$\begin{cases} \Delta u(x) + K(x) u^{\frac{n+2}{n-2}} = 0 & \text{in } \mathbf{R}^n, \\ u(x) = O(|x|^{2-n}) & \text{at } \infty \end{cases} \quad (1.2)$$

after an appropriate scaling. Recently, there have been many works denoted to this problem. We refer interested readers to [1], [2], [3], [9], [10], [11], ...,

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and references therein. In particular, we would like to mention that the degree theory, developed by Chang-Gursky-Yang [4] and Li [9], is very useful in finding solutions of (1.1). To apply this degree theory, we need to find a priori bound for all solutions of (1.1). Assume that  $u_i$  is a sequence of solutions on  $S^n$  such that  $u_i(P_i) \rightarrow +\infty$  for a sequence  $P_i \in S^n$ . Let  $P_0 = \lim_{i \rightarrow +\infty} P_i$ . Li [9] has shown that  $P_0$  must be a critical point of  $K$ . The authors also gave another proof for this fact in [5]. In fact, [5] considers local solutions  $u_i$  of (1.1), i.e.,  $u_i$  are solutions of

$$\Delta u_i + K(x)u_i^{\frac{n+2}{n-2}} = 0 \quad \text{in } B_1, \quad (1.3)$$

where  $B_1$  is the unit ball with center 0. Assume  $u_i(x_i) \rightarrow +\infty$  and  $|x_i| \leq \frac{1}{2}$ . Then we proved that the limiting point  $x_0 = \lim_{i \rightarrow +\infty} x_i$  must be a critical point of  $K$ . Thus, it is an interesting question how the property of  $K$  near its critical points affects the blow-up behavior of a sequence of solutions of (1.3). Assume that 0 is the only critical point of  $K$  which satisfies

$$K(x) = K(0) + Q(x) + R(x) \quad (1.4)$$

in a neighborhood of 0, where  $Q(x)$  is homogeneous with degree  $\sigma$  and satisfies  $c_1|x|^{\sigma-1} \leq |\nabla Q(x)| \leq c_2|x|^{\sigma-1}$ , and where both  $|R(x)||x|^{-\sigma}$  and  $|\nabla R(x)||x|^{-\sigma+1}$  tend to 0 as  $|x| \rightarrow 0$ . Let  $Q(x)$  satisfy

$$\left( \begin{array}{l} \int_{\mathbf{R}^n} \nabla Q(x + \xi) U_0^{\frac{2n}{n-2}}(x) dx \\ \int_{\mathbf{R}^n} Q(x + \xi) U_0^{\frac{2n}{n-2}}(x) dx \end{array} \right) \neq 0 \quad \text{for all } \xi \in \mathbf{R}^n, \quad (1.5)$$

where  $U_0$  is the positive smooth solution of

$$\begin{cases} \Delta U_0(x) + K(0)U_0^{\frac{n+2}{n-2}} = 0 & \text{in } \mathbf{R}^n, \\ U_0(0) = \max_{\mathbf{R}^n} U_0(x) = 1. \end{cases} \quad (1.6)$$

It was proved in [6] that

**Theorem A.** *Suppose  $u_i$  is a sequence of  $C^2$  solutions of (1.3) and  $K$  satisfies the assumptions (1.4) and (1.5) above with  $1 < \sigma < n$ . Assume that  $u_i$  is uniformly bounded in any compact set of  $\bar{B}_1 \setminus \{0\}$ . Then the followings hold.*

- (i) *If  $1 < \sigma < n - 2$  and  $Q$  satisfies  $\int_{\mathbf{R}^n} Q(\xi + x) U_0^{\frac{2n}{n-2}}(x) dx > 0$  whenever  $\int_{\mathbf{R}^n} \nabla Q(\xi + x) U_0^{\frac{2n}{n-2}}(x) dx = 0$  and  $\xi \in \mathbf{R}^n$ , then  $u_i$  is uniformly bounded in  $\bar{B}_1$ .*

- (ii) If  $M_i = \max_{\bar{B}_1} u_i \rightarrow +\infty$  as  $i \rightarrow +\infty$  and  $\frac{n-2}{2} \leq \sigma < n$ , then  $m_i = \min_{\bar{B}_{\frac{1}{2}}} u_i \sim M_i^{1-\frac{2\hat{\sigma}}{n-2}}$ , where  $\hat{\sigma} = \min(\sigma, n-2)$  and  $a_i \sim b_i$  denotes  $a_i/b_i$  is bounded between two positive constants independent of  $i$ . Moreover, if  $u_i(x_i) = M_i$ , then  $\max_{r_i \leq |x| \leq \frac{1}{2}} u_i(x+x_i) \sim m_i$ , and  $u_i(x+x_i) \sim M_i U_0(M_i^{\frac{2}{n-2}} x)$  for  $|x| \leq r_i$ , where  $r_i \sim M_i^{(\frac{2\hat{\sigma}}{n-2}-1)}$  and  $U_0$  satisfies (1.6).
- (iii) If  $M_i \rightarrow +\infty$  as  $i \rightarrow +\infty$  and  $\sigma < \frac{n-2}{2}$ , then  $u_i(x)$  converges to a singular solution of (1.3) in  $B_1 \setminus \{0\}$  with  $\lim_{i \rightarrow +\infty} \int_{B_1} u_i^{\frac{2n}{n-2}} dx = +\infty$ .

For the case  $n-2 \leq \sigma < n$ , Theorem A was first proved by Li [9]. Based on the method of moving planes, the authors [5] also gave another proof in this situation. For the case  $1 < \sigma \leq n-2$ , Theorem A was proved in [6]. As an application of Theorem A, the following apriori bound holds for all solutions of (1.1).

**Theorem 1.1.** *Suppose that  $K$  is a  $C^1$  positive function on  $S^n$  with isolated critical points only. For a critical point  $P_0$  of  $K$ , let  $x = (x_1, \dots, x_n)$  denote the stereographic projection of a point on  $S^n$  with  $P_0$  as the South Pole. Assume for any critical point  $P_0$ ,  $K(x)$  satisfies the assumption of Theorem A in a neighborhood of 0 with  $\frac{n-2}{2} < \sigma = \sigma(P_0) < n-2$ . Then there exists a constant  $C > 0$  such that for any solution  $v$  of (1.1),  $\max_{S^n} v \leq C$ .*

At the first sight, we may apply the degree theory, developed by Chang-Gursky-Yang and Li, to obtain solutions of (1.1) if  $K$  satisfies the assumption of Theorem 1.1. However, the study for radial solutions suggests that the degree among all solutions might be zero. It is one of the purposes of this article to show that the degree among radial solutions is equal to zero when both of the exponents of the flatness of  $K$  at North and South poles is less than  $n-2$ . For a positive  $C^1$  function  $K$  satisfying the assumptions of Theorem 1.1, we suspect the degree of solutions of (1.1) including non-radial ones might be equal to zero. We will continue to study this problem in a forthcoming paper.

Consider the situation when  $K(y)$  and solutions  $v$  depend on  $y_{n+1}$ -axis only. In this case, equation (1.2) becomes

$$\begin{cases} u''(r) + \frac{n-1}{r}u'(r) + K(r)u^{\frac{n+2}{n-2}} = 0 & \text{for } r \geq 0, \\ u(r) = O(r^{2-n}) & \text{at } \infty. \end{cases} \tag{1.7}$$

Let  $K(r)$  satisfy one of the following statements.

$$\left\{ \begin{array}{l} \text{(i) } K(r) = K(0) - Ar^\sigma + R(r) \text{ in a neighborhood of 0 for} \\ 1 < \sigma \leq n \text{ and } A > 0, \text{ where both } R(r)r^{-\sigma} \text{ and } R'(r)r^{-\sigma+1} \\ \text{tend to 0 as } r \rightarrow 0^+. \\ \text{(ii) } |K(r) - K(0)| \leq Ar^\sigma \text{ for some } \sigma > n \\ \text{and } \int_0^\infty K'(r)r^{-n}dr < 0. \end{array} \right. \quad (1.8)$$

Let  $K^*(r) = K(\frac{1}{r})$ . Then we have

**Theorem 1.2.** *Suppose that both  $K$  and  $K^*$  satisfy (1.8) with the exponents  $\sigma$  and  $\beta$  respectively. Let  $\tilde{\sigma} = \min(\sigma, n)$  and  $\tilde{\beta} = \min(\beta, n)$ . Assume that  $\sigma > \frac{n-2}{2}$ ,  $\beta > \frac{n-2}{2}$  and  $\frac{1}{\tilde{\sigma}} + \frac{1}{\tilde{\beta}} \neq \frac{2}{n-2}$ . Then there exists a constant  $C > 0$  such that any solution  $u$  of (1.7) satisfies  $u(r) < C(1+r)^{-n+2}$ . Moreover, let  $\deg$  denote the degree among radial solutions of (1.7), i.e.,*

$$\deg = \deg(v + \Delta^{-1}(Kv^{\frac{n+2}{n-2}}), \{v = v(r) \mid \frac{1}{C} < v(r)(1+r)^{n-2} < C\}, 0).$$

Then, (i) when  $\frac{1}{\tilde{\sigma}} + \frac{1}{\tilde{\beta}} < \frac{2}{n-2}$ ,  $\deg = -1$ ; (ii) when  $\frac{1}{\tilde{\sigma}} + \frac{1}{\tilde{\beta}} > \frac{2}{n-2}$ ,  $\deg = 0$ .

We are going to see that Theorem A is the key point to derive the apriori bound. In general situation, the proof of Theorem A is very complicated. However, for the radial case, we will present a much simple proof of Theorem A, based on an estimate by Cheng-Chern (Theorem 3.3 in [3].) The details of the arguments will be given in Section 3. For the proof of last part of Theorem 1.2, we need to consider a particular  $K$ . Define

$$K(r) = \begin{cases} 1 - (Ar)^\sigma & \text{for } 0 \leq r \leq A^{-1}, \\ 0 & \text{for } A^{-1} \leq r \leq B, \\ 1 - (Br^{-1})^\beta & \text{for } r \geq B. \end{cases} \quad (1.9)$$

**Theorem 1.3.** *Let  $K$  satisfy (1.9) with  $\sigma > 1, \beta > 1$  and  $\frac{1}{\tilde{\sigma}} + \frac{1}{\tilde{\beta}} > \frac{2}{n-2}$ , where  $\tilde{\sigma} = \min(\sigma, n)$  and  $\tilde{\beta} = \min(\beta, n)$ . There exists a constant  $C > 0$  such that if  $AB \geq C$ , then (1.7) has no solution.*

Under the assumption  $\frac{n(n-2)}{n+2} < \sigma, \beta \leq n$  and  $\frac{1}{\tilde{\sigma}} + \frac{1}{\tilde{\beta}} \geq \frac{2}{n-2}$ , Theorem 1.3 was proved by Bianchi-Egnell [2]. We extend their result to allow

the exponents less than  $\frac{n(n-2)}{n+2}$ . Our approach is based on the apriori estimates mentioned earlier, and is different from the method of phase planes by Bianchi-Engnell.

The paper is organized as follows. In Section 2, Theorem 1.1 is proved as an application of Theorem A. In Section 3, we are going to derive Theorem A for the radial solutions, based on a previous result by Cheng and Chern [CC]. Finally, both Theorem 1.2 and Theorem 1.3 are proved in Section 4.

**2. An apriori bound.**

**Proof of Theorem 1.1.** Suppose  $v_i$  is a sequence of solutions of (1.1) on  $S^n$  and  $\max_{S^n} v_i \rightarrow +\infty$  as  $i \rightarrow +\infty$ . Let  $m_i = \min_{S^n} v_i$  and  $w_i(y) = m_i^{-1}v_i(y)$ . Let  $\Gamma = \{P \in S^n \mid P \text{ is a critical point of } K\}$ . By the result mentioned in Introduction,  $v_i(y)$  is uniformly bounded in any compact set of  $S^n \setminus \Gamma$ . By the Harnack inequality for linear elliptic equations,  $w_i(y)$  is uniformly bounded in any compact set of  $S^n \setminus \Gamma$ . By Theorem A, we have  $v_i(y) \rightarrow 0$  as  $i \rightarrow +\infty$  in any compact set of  $S^n \setminus \Gamma$ . Therefore after passing to a subsequence,  $w_i(y)$  converges to a function  $h(y)$  in  $C_{loc}^2(S^n \setminus \Gamma)$ , where  $h$  satisfies

$$\Delta_{g_0} h - \frac{1}{4}n(n-2)h = 0 \text{ in } S^n \setminus \Gamma. \tag{2.1}$$

We claim that, in fact,  $h$  is a smooth solution of (2.1) on  $S^n$ .

To see the claim, let  $P_0 \in \Gamma$  and  $x = (x_1, \dots, x_n)$  be the stereographic projection of  $S^n$  with  $P_0$  as the South Pole. Let  $u_i(x) = v_i(y) \left(\frac{2}{1+|x|^2}\right)^{\frac{n-2}{2}}$ . Then  $u_i$  satisfies

$$\Delta u_i + K(x)u_i^{\frac{n+2}{n-2}} = 0 \text{ in } \mathbf{R}^n.$$

By the assumptions, we may assume that  $K(x)$  has no other critical points in  $|x| < 2\delta_0$  except the origin, and  $u_i$  is uniformly bounded in any compact subset of  $\overline{B}_{2\delta_0} \setminus \{0\}$  with  $M_i = \max_{\overline{B}_{2\delta_0}} u_i \rightarrow +\infty$ . Let  $\tilde{u}_i(x) = u_i(x + x_i)$ , where  $u_i(x_i) = M_i$ . By Theorem A, we have

$$\begin{cases} \tilde{u}_i(x) \simeq m_i \simeq M_i^{1-\frac{2\sigma}{n-2}} & \text{for } r_i \leq |x| \leq \frac{\delta_0}{2} \\ \tilde{u}_i(x) \simeq M_i U_0(M_i^{\frac{2}{n-2}} x) & \text{for } |x| \leq r_i, \end{cases} \tag{2.2}$$

where  $r_i = cM_i^{-\frac{2}{n-2} + \frac{2\sigma}{(n-2)^2}}$  and  $U_0$  is the solution of (1.6). Therefore, we

can write

$$\begin{aligned} & m_i^{-1} \int_{|x| \leq \delta_0} K(x) u_i^{\frac{n+2}{n-2}}(x) dx \\ \leq & m_i^{-1} \int_{|x| \leq r_i} K(x) \tilde{u}_i^{\frac{n+2}{n-2}}(x) dx + m_i^{-1} \int_{r_i \leq |x| \leq 2\delta_0} K(x) \tilde{u}_i^{\frac{n+2}{n-2}}(x) dx. \end{aligned}$$

By the Harnack inequality and (2.2), the two integrals can be estimated by

$$m_i^{-1} \int_{|x| \leq r_i} K(x) \tilde{u}_i^{\frac{n+2}{n-2}}(x) dx \sim M_i^{\frac{2\sigma}{n-2}-2} \quad \text{and} \quad (2.3)$$

$$m_i^{-1} \int_{r_i \leq |x| \leq 2\delta_0} \tilde{u}_i^{\frac{n+2}{n-2}}(x) dx \sim m_i^{\frac{4}{n-2}}. \quad (2.4)$$

Suppose  $h_0(x) = h(y) \left( \frac{2}{1+|x|^2} \right)^{\frac{n-2}{2}} = a|x|^{2-n} + b(x)$  in  $B_{\delta_0}$  for some  $a \geq 0$  and for a smooth harmonic function  $b(x)$ . Then

$$\begin{aligned} -a|S^{n-1}|(n-2) &= \int_{|x|=\delta_0} \frac{\partial h_0}{\partial r}(x) d\sigma_x \\ &= - \lim_{i \rightarrow +\infty} m_i^{-1} \int_{|x| \leq \delta_0} K(x) u_i^{\frac{n+2}{n-2}}(x) dx = 0 \end{aligned}$$

by (2.3) and (2.4). Thus,  $h$  is smooth at  $P_0$ . The claim is proved. Since the conformal Laplacian  $\Delta_{g_0} - \frac{1}{4}n(n-2)$  has a positive first eigenvalue, we conclude that  $h(y) \equiv 0$  on  $S^n$ . But, by our construction,  $\min_{S^n} h(y) \geq 1$ , which yields a contradiction.

**3. Estimates of lower bounds.** Following the conventional notations, we let  $v(r, \alpha)$  denote the solution of

$$v''(r) + \frac{n-1}{r}v' + K(r)v^{\frac{n+2}{n-2}} = 0, \quad v(0, \alpha) = \alpha, \quad v'(0, \alpha) = 0. \quad (3.1)$$

**Lemma 3.1.** *Suppose that  $K$  is bounded in  $[0, 1]$  and satisfies  $|K(r) - K(0)| \leq Cr^\sigma$  for  $0 \leq r \leq \delta_0$  with some constants  $K(0) > 0$  and  $\sigma > 0$ . Then there is  $\alpha_0 > 0$  such that for each  $\alpha \geq \alpha_0$ , we have  $v(r; \alpha) > 0$  for  $0 \leq r \leq \delta(\alpha, \sigma)$  and*

$$|v(r, \alpha) - v_0(r, \alpha)| \leq C_1 \alpha G(\alpha, \sigma) \quad (3.2)$$

for  $0 \leq r \leq \delta(\alpha, \sigma)$ , where

$$v_0(r, \alpha) = \alpha(1 + B^2 r^2)^{-\frac{n-2}{2}}, \quad (3.3)$$

$$B^2 = \frac{K(0)\alpha^{\frac{4}{n-2}}}{n(n-2)}, \quad (3.4)$$

$$G(\alpha, \sigma) = \begin{cases} B^{-\sigma} & \text{if } 0 < \sigma < n, \\ B^{-\sigma} \log(B) & \text{if } \sigma = n, \\ B^{-n} & \text{if } \sigma > n, \end{cases} \quad (3.5)$$

$$\delta(\alpha, \sigma) = \begin{cases} \delta_1 B^{-1+\frac{\sigma}{n-2}} & \text{if } 0 < \sigma \leq n-2, \\ 1 & \text{if } \sigma > n-2, \end{cases} \quad (3.6)$$

and constants  $C_1$  and  $\delta_1$  depend on  $\frac{C}{K(0)}$ ,  $\delta_0$  and  $n$  only.

**Proof.** (3.2) was due to Cheng-Chern (see Theorem 3.3 in [3]). However,  $\delta(\alpha, \sigma)$  is not stated sharply as (3.6). For the reader's convenience, we present a brief sketch of the proof here. We refer [3] for more detailed arguments.

Following the notations in [3], we let  $\eta = Br$  and  $w(\eta) = \alpha^{-1}v(r, \alpha)$ . Set  $z(\eta)$  by  $w(\eta) = w_0(\eta)z(\eta)$ , where  $w_0(\eta) = (1 + |\eta|^2)^{-\frac{n-2}{2}}$ . Then  $z(\eta)$  satisfies

$$\begin{cases} z'' + \left(\frac{n-1}{\eta} + \frac{2w'_0}{w_0}\right)z' + n(n-2)w_0^{\frac{4}{n-2}}\tilde{K}(\eta)z^{\frac{n+2}{n-2}} - n(n-2)w_0^{\frac{4}{n-2}}z = 0, \\ z(0) = 1 \text{ and } z'(0) = 0, \end{cases}$$

where  $\tilde{K}(\eta) = K(0)^{-1}K(B^{-1}\eta)$ . By the assumptions,

$$|\tilde{K}(\eta) - 1| \leq \frac{C}{K(0)}B^{-\sigma}|\eta|^\sigma$$

for  $0 \leq \eta \leq B\delta_0$ . Assume

$$|z(\eta) - 1| \leq \varepsilon_1 \quad (3.7)$$

for  $0 \leq \eta \leq \eta_0$ , where  $\varepsilon_1$  is a small positive number and  $\eta_0 \leq B\delta_0$ . Cheng-Chern proved that if  $\varepsilon_1$  is sufficiently small (depending on  $n$  only) and (3.7) holds for  $0 \leq \eta \leq \eta_0$ , then

$$|z(\eta) - 1| \leq C_1 G(\alpha, \sigma) w_0^{-1}(\eta)$$

for  $0 \leq \eta \leq \eta_0$ , where  $C_1$  is a constant depending on  $\frac{C}{K(0)}$  and  $n$  only (Please see the argument in [3] from (3.21) up to (3.31)). Let  $\tilde{\eta}_0 = \sup\{\eta_0 : (3.7) \text{ holds for } 0 \leq \eta \leq \eta_0\}$ . Choose  $\delta(\alpha, \sigma)$  as in (3.6). If  $\alpha$  is large, we have  $B\delta(\alpha, \sigma) \geq 1$ . It is obvious to see that

$$C_1 G(\alpha, \sigma) w_0^{-1}(B\delta(\alpha, \sigma)) \leq C_1 G(\alpha, \alpha) (B\delta)^{n-2} \leq \varepsilon_1$$

provided that  $\delta_1$  is small when  $0 < \sigma \leq n-2$  and  $\alpha$  is large when  $\sigma > n-2$ . Hence  $B\delta(\alpha, \sigma) \leq \tilde{\eta}_0$ . The proof of Lemma 3.1 is complete.  $\square$

Suppose  $v(r)$  is a solution of (3.1). Set  $w(t) = v(r)r^{\frac{n-2}{2}}$  and  $t = \log r$ . Then  $w(t)$  satisfies

$$w'' - \left(\frac{n-2}{2}\right)^2 w + \tilde{K}(t)w^{\frac{n+2}{n-2}}(t) = 0 \quad (3.8)$$

for  $t \leq 0$ , where  $\tilde{K} = K(e^t)$ .

**Lemma 3.2.** *Assume  $|K'(r)| \leq Cr^{\sigma-1}$  for some  $\sigma > 1$  and  $K(r)$  is nonincreasing in  $[0, 1]$ . Then there exist  $\delta_0 > 0$  and  $\varepsilon_0 > 0$  such that the following holds.*

(i) *Assume  $w(t)$  is nonincreasing in  $[t_0, t_1]$  and  $t_1 \leq \log \delta_0$ , then*

$$t_1 - t_0 \leq \frac{2}{n-2} \log \frac{w(t_0)}{w(t_1)} + c_1 \quad (3.9)$$

for some constant  $c_1$  depending on  $n$  only. Furthermore, if  $t_1$  is a local minimum point, then

$$t_1 - t_0 \geq \frac{2}{n-2} \log \frac{w(t_0)}{w(t_1)}. \quad (3.10)$$

(ii) *Assume that  $w(t)$  is nondecreasing in  $[t_1, t_2]$  and  $w(t_2) \leq \varepsilon_0$ , then*

$$t_2 - t_1 \leq \frac{2}{n-2} \log \frac{w(t_2)}{w(t_1)} + c_1 \quad (3.11)$$

for some constant  $c_1$ . Furthermore, if  $t_1$  is a local minimum point, then

$$t_2 - t_1 \geq \frac{2}{n-2} \log \frac{w(t_2)}{w(t_1)}. \quad (3.12)$$



**Proof.** Let

$$E(t) = w'^2 - \left(\frac{n-2}{2}\right)^2 w^2 + \frac{n-2}{n} \tilde{K}(t) w^{\frac{2n}{n-2}}. \quad (3.13)$$

By (3.8), we have  $E'(t) = \frac{n-2}{n} \tilde{K}'(t) w^{\frac{2n}{n-2}} \leq 0$ . Since  $E(-\infty) = 0$ ,  $E(t) < 0$  for all  $t \leq 0$ . Hence  $w(t) \leq C_n$  for some constant  $C_n > 0$ .

Let  $\varepsilon_0$  be small and will be chosen later. Assume  $w(t_0) \leq \varepsilon_0$ . By (3.8), we have

$$\left(\frac{n-2}{2}\right)^2 w - c_1 w^{\frac{n+2}{n-2}} \leq w_{tt} \leq \left(\frac{n-2}{2}\right)^2 w^2 \quad (3.14)$$

By the first inequality above, we have that  $w'^2 - \left(\frac{n-2}{2}\right)^2 w^2 + \frac{c_1(n-2)}{n} w^{\frac{2n}{n-2}}$  is nonincreasing for  $t_0 \leq t \leq t_1$ . Thus for  $t_0 \leq t \leq t_1$ ,

$$w'^2 - g(w) \geq -g(w(t_1)), \quad (3.15)$$

where  $g(w) = \left(\frac{n-2}{2}\right)^2 w^2 - \frac{(n-2)c_1}{n} w^{\frac{2n}{n-2}}$ . Integrating (3.15), we have

$$t_1 - t_0 \leq \int_{w(t_1)}^{w(t_0)} \frac{dw}{\sqrt{g(w) - g(w(t_1))}} = \int_1^{\frac{w(t_0)}{w(t_1)}} \frac{d\eta}{\sqrt{\bar{g}(\eta) - \bar{g}(1)}} \quad (3.16)$$

where  $\bar{g}(\eta) = \left(\frac{n-2}{2}\right)^2 \eta^2 - \frac{(n-2)c_1}{n} w^{\frac{4}{n-2}}(t_1) \eta^{\frac{2n}{n-2}}$ . Choose  $\varepsilon_0 > 0$  small such that

$$\frac{1}{\sqrt{\bar{g}(\eta) - \bar{g}(1)}} \leq \frac{2}{n-2} \frac{1}{\sqrt{\eta^2 - 1}} + c_1 \frac{w^{\frac{4}{n-2}}(t_1) \eta^{\frac{4}{n-2}}}{\sqrt{\eta^2 - 1}} \quad (3.17)$$

for  $0 \leq \eta \leq \frac{\varepsilon_0}{w(t_1)}$ . Then, by (3.16)

$$\begin{aligned} t_1 - t_0 &\leq \frac{2}{n-2} \int_1^{\frac{w(t_0)}{w(t_1)}} \frac{d\eta}{\sqrt{\eta^2 - 1}} + c_1 w^{\frac{4}{n-2}}(t_1) \int_1^{\frac{w(t_0)}{w(t_1)}} \frac{\eta^{\frac{4}{n-2}}}{\sqrt{\eta^2 - 1}} d\eta \\ &\leq \frac{2}{n-2} \log \frac{w(t_0)}{w(t_1)} + c_2, \end{aligned} \quad (3.18)$$

where  $c_2$  is an universal constant. It is easy to see that (3.9) holds true if  $w(t_1) \geq \varepsilon_0$ . Without loss of generality, we may assume  $w(t_1) \leq \varepsilon_0$  and  $w(t_0) \geq \varepsilon_0$ . Since  $w(-\infty) = 0$ , let  $T_0 < t_1$  denote the maximum point of

$w$  which is closest to  $t_1$ . To prove (3.9), it suffices to show that  $t_0 - T_0$  is bounded by a constant depending on  $n$  only if  $t_1 \leq \log \delta_0$  for some small  $\delta_0 > 0$ . By (3.13),

$$-E(T_0) = \frac{n-2}{n} \int_{-\infty}^{T_0} |\tilde{K}'(t)| w^{\frac{2n}{n-2}}(t) dt \leq c_1 \int_{-\infty}^{T_0} \exp(\sigma t) dt \leq c_1 e^{\sigma T_0}.$$

Choose  $\delta_0$  so small such that

$$f(w(T_0)) = -E(T_0) + \frac{n-2}{n} (\tilde{K}(T_0) - \tilde{K}(t_1)) w^{\frac{2n}{n-2}}(T_0) \leq c_1 e^{\sigma t_1} \leq f(\varepsilon_0), \quad (3.19)$$

where  $f(w) = (\frac{n-2}{2})^2 w^2 - \frac{n-2}{n} \tilde{K}(t_1) w^{\frac{2n}{n-2}}$ . If  $\varepsilon_0$  is small enough, then  $w(T_0) > w_1$ , where  $w_1$  is the only local maximum point of  $f$ . Thus, we have  $f(w) \geq f(w(T_0))$  for all  $\varepsilon_0 \leq w \leq w(T_0)$ . In particular, we have  $f(w(t)) \geq f(w(T_0))$  for  $T_0 \leq t \leq t_0$ . Since

$$\frac{d}{dt} (w'^2 - (\frac{n-2}{2})^2 w^2 + \frac{n-2}{n} \tilde{K}(t_1) w^{\frac{2n}{n-2}}) = 2w'(\tilde{K}(t_1) - \tilde{K}(t)) w^{\frac{n+2}{2}} \geq 0$$

for  $T_0 \leq t \leq t_1$ , we have  $w'^2(t) \geq f(w(t)) - f(w(T_0))$ . Therefore,

$$t_0 - T_0 \leq \int_{\varepsilon_0}^{w(T_0)} \frac{dw}{\sqrt{f(w) - f(w(T_0))}}. \quad (3.20)$$

It is not difficult to show that the right hand side is bounded by a constant depending on  $\varepsilon_0$  and  $n$  only. Hence (3.9) is proved.

Suppose  $t_1$  is a local minimum. By the second inequality of (3.14),  $w'^2 - (\frac{n-2}{2})^2 w^2$  is nondecreasing in  $(t_0, t_1)$ . Hence

$$w'^2(t) - (\frac{n-2}{2})^2 w^2(t) \leq -(\frac{n-2}{2})^2 w^2(t_1). \quad (3.21)$$

Integrating (3.21), we obtain (3.10).

(ii) follows immediately from similar arguments as in the proof of (i) if  $t$  is replaced by  $2t_1 - t$ .  $\square$

As an application of Lemma 3.1, we consider a sequence of solutions  $v_i(x) = v_i(|x|)$  of

$$\Delta v_i + K_i(|x|) v_i^{\frac{n+2}{n-2}} = 0$$

for  $0 \leq |x| \leq 1$ , where  $K_i$  satisfies (1.8) uniformly, i.e.,

$$(1.8)' \left\{ \begin{array}{l} \text{There are positive constants } A, B \text{ independent of } i \text{ and } K_i(0) \\ \text{with } \lim_{i \rightarrow \infty} K_i(0) > 0 \text{ such that one of the following holds:} \\ \text{(i) } K_i(r) = K_i(0) - A_i r^{\sigma_i} + R_i(r) \text{ for } 0 \leq r \leq 1 \text{ with} \\ A \leq A_i \leq A^{-1}, 1 < \sigma_i \leq n, \text{ where } R_i(r)r^{-\sigma_i} \text{ and } R_i'(r)r^{-\sigma_i+1} \\ \text{converge to 0 as } r \rightarrow 0 \text{ uniformly in } i. \\ \text{(ii) } |K_i(r) - K_i(0)| \leq A r^{\sigma_i} \text{ for } 0 \leq r \leq 1 \text{ with } \sigma_i > n, \\ A > 0 \text{ and } \int_0^\infty K_i'(r)r^{-n} dr \leq -B < 0. \end{array} \right.$$

Let  $M_i = v_i(0)$  and  $\sigma = \lim_{i \rightarrow +\infty} \sigma_i > 1$ . Assume  $M_i \rightarrow +\infty$  as  $i \rightarrow +\infty$ . By Lemma 3.1, we have

$$M_i G(M_i, \sigma_i) \sim \begin{cases} o(1)M_i^{-1} & \text{if } \sigma > n - 2, \\ M_i^{-1} & \text{if } \sigma_i \geq n - 2 \text{ and } \sigma = n - 2, \\ M_i^{1 - \frac{2\sigma_i}{n-2}} & \text{if } \sigma_i < n - 2. \end{cases} \quad (3.22)$$

Let

$$r_i = \begin{cases} \delta_2 M_i^{(-1 + \frac{\sigma_i}{n-2}) \frac{2}{n-2}} & \text{if } 0 < \sigma \leq n - 2, \\ 1 & \text{if } \sigma > n - 2, \end{cases} \quad (3.23)$$

then

$$v_0(r_i, M_i) \sim \begin{cases} \delta_2^{-(n-2)} M_i^{(1 - \frac{2\sigma_i}{n-2})} & \text{if } 0 < \sigma \leq n - 2, \\ M_i^{-1} & \text{if } \sigma > n - 2. \end{cases} \quad (3.24)$$

Therefore, if  $\delta_2 < \delta_1$  is sufficient small (recall that  $\delta_1$  is the number in (3.6)), we have

$$v_i(r_i) \sim \begin{cases} M_i^{(1 - \frac{2\sigma_i}{n-2})} & \text{if } 0 < \sigma \leq n - 2, \\ M_i^{-1} & \text{if } \sigma > n - 2. \end{cases} \quad (3.25)$$

From now on,  $\delta_2$  is chosen small so that (3.25) holds, and then  $\delta_2$  is fixed throughout this section.

**Lemma 3.3.** *Suppose  $K_i$  and  $v_i$  are stated as above. Then the following statements hold:*

$$\left\{ \begin{array}{l} \text{(i) If } \sigma_i \geq n - 2, \text{ then } v_i(1) \sim M_i^{-1}. \\ \text{(ii) If } \frac{n-2}{2} \leq \sigma_i < n - 2, \text{ then } v_i(1) \sim M_i^{1 - \frac{2\sigma_i}{n-2}}. \\ \text{(iii) If } \sigma_i < \frac{n-2}{2}, \text{ then } v_i(1) \sim 1. \end{array} \right. \quad (3.26)$$

**Proof.** If  $\sigma = \lim_{i \rightarrow \infty} \sigma_i > n-2$ , then by (3.25),  $v_i(1) \sim M_i^{-1}$ . If  $\sigma_i \geq n-2$  and  $\sigma = n-2$ , then by (3.3) and (3.22),  $v_i(\delta_2) \sim M_i^{-1}$ . By the Harnack inequality,  $v_i(1) \sim v_i(\delta_2) \sim M_i^{-1}$ .

For the case  $\sigma_i < \frac{n-2}{2}$ , (3.26) was proved in [7] (See Theorem 1.5 in [7]). Hence we consider the case  $\frac{n-2}{2} \leq \sigma_i < n-2$ . By (3.25), we have

$$v_i(r_i) \sim M_i^{1 - \frac{2\sigma_i}{n-2}} \quad (3.27)$$

Let  $w_i(t) = v_i(r)r^{\frac{n-2}{2}}$  and  $t = \log r$ . It is easy to see that  $w_i$  has its first local maximum at  $T_i$ , where

$$T_i = \frac{-2}{n-2} \log M_i + C + o(1) \quad (3.28)$$

for some constant  $C \in \mathbf{R}$  as  $i \rightarrow +\infty$ . Let  $t_i$  be the first local minimum of  $w_i$  after  $T_i$ . Two cases happen.

**Case 1.** Assume  $t_i \geq T_0$  for some  $T_0$ . Suppose  $K_i$  is decreasing in  $r \leq r_0$ . Without loss of generality, we may assume  $T_0 \leq \log r_0$  and  $r_0 = 1$ . By (3.9), we have  $w_i(T_0)e^{\frac{n-2}{2}T_0} \leq c_1 w_i(T_i)e^{\frac{n-2}{2}T_i} \leq c_2 M_i^{-1}$ . Applying the Harnack inequality and the gradient estimates, we have  $v_i(r_0) \leq c_3 v_i(e^{T_0}) \leq c_4 M_i^{-1}$ . On the other hand, (3.13) implies

$$\left(\frac{n-2}{n}\right) \int_{-\infty}^{T_0} |\tilde{K}'_i(t)| w_i^{\frac{2n}{n-2}}(t) dt \leq \left(\frac{n-2}{2}\right)^2 w_i^2(T_0).$$

By Lemma 3.1 and the estimates above,

$$c_5 M_i^{-\frac{2\sigma_i}{n-2}} \leq \int_{-\infty}^{T_i} |\tilde{K}'_i(t)| w_i^{\frac{2n}{n-2}}(t) dt \leq c_6 M_i^{-2}$$

where  $\tilde{K}_i(t) = K_i(e^t)$ . Hence we conclude  $M_i^{2 - \frac{2\sigma_i}{n-2}}$  is bounded. By (3.27) and the Harnack inequality,  $v_i(1) \sim M_i^{-1} \sim M_i^{1 - \frac{2\sigma_i}{n-2}}$ .

**Case 2.** Assume  $\lim_{i \rightarrow \infty} t_i = -\infty$ . By (3.23) and (3.25), we have  $w_i(\log r_i) \sim M_i^{-\frac{\sigma_i}{n-2}}$ . Hence

$$w_i(t_i) \leq c_1 M_i^{-\frac{\sigma_i}{n-2}}. \quad (3.29)$$

On the other hand, by Lemma 3.1 again, we have

$$\begin{aligned} c_2 M_i^{-\frac{2\sigma_i}{n-2}} &\leq \frac{n-2}{n} \int_{-\infty}^{t_i} |\tilde{K}'_i(t)| w_i^{\frac{2n}{n-2}} dt \\ &= w_i^2(t_i) - \frac{n-2}{n} \tilde{K}_i(t_i) w_i^{\frac{2n}{n-2}} \leq \frac{1}{4} w_i^2(t_i). \end{aligned} \quad (3.30)$$

Together with (3.29), (3.10) implies  $w_i(t_i) \sim M_i^{-\frac{\sigma_i}{n-2}}$ . By (3.9) of Lemma 3.2,  $w_i(t_i) e^{\frac{n-2}{2}t_i} \sim w(T_i) e^{\frac{n-2}{2}T_i} \sim M_i^{-1}$ . Therefore,

$$e^{t_i} \sim M_i^{\frac{2}{n-2}(-1+\frac{\sigma_i}{n-2})}, \quad (3.31)$$

which in turn implies

$$v_i(e^{t_i}) \sim M_i^{1-\frac{2\sigma_i}{n-2}}. \quad (3.32)$$

Let  $\varepsilon_0$  be the positive constant in (ii) of Lemma 3.2. Let  $\bar{t}_i > t_i$  satisfy  $w_i(\bar{t}_i) = \varepsilon_0 \leq 0$  and  $w'_i(t) \geq 0$  for  $t \in (t_i, \bar{t}_i)$ . Assume  $w_i(\bar{t}_i) = \varepsilon_0$ . By (3.12),  $\bar{t}_i - t_i \geq \frac{2}{n-2} \log \frac{\varepsilon_0}{w_i(t_i)}$ . Let  $t_i^* < t_i$  satisfy  $w_i(t_i^*) = \varepsilon_0$  and  $w'_i(t) \leq 0$  for  $t_i^* \leq t \leq t_i$ . By (3.10),  $t_i - t_i^* \geq \frac{2}{n-2} \log \frac{\varepsilon_0}{w(t_i)}$ . Putting these two inequalities together, we have

$$\bar{t}_i - t_i^* \geq \frac{4}{n-2} \log \frac{\varepsilon_0}{w_i(t_i)}. \quad (3.33)$$

On the other hand, we have for  $i$  large

$$\frac{1}{2} w_i^2(t_i) \leq \frac{4}{n(n-2)} \left( \int_{-\infty}^{t_i^*} |\tilde{K}'_i(t)| w_i^{\frac{2n}{n-2}} dt + \int_{t_i^*}^{t_i} |\tilde{K}'_i(t)| w_i^{\frac{2n}{n-2}}(t) dt \right) \quad (3.34)$$

For the first term of the right hand side, we have

$$\int_{-\infty}^{t_i^*} |\tilde{K}_i^{-1}(t)| w_i^{\frac{2n}{n-2}} dt \leq c_1 A \int_{-\infty}^{t_i^*} e^{\sigma_i t} dt = \frac{c_1 A}{\sigma_i} e^{\sigma_i t_i^*}.$$

For the estimate of the second term, we note that  $w_i(t) \sim w_i(t_i^*) e^{\frac{n-2}{2}t_i^*} e^{-\frac{n-2}{2}t}$  for  $t \in (t_i^*, t_i)$ . Hence,

$$\begin{aligned} \int_{t_i^*}^{t_i} |\tilde{K}'_i(t)| w_i^{\frac{2n}{n-2}}(t) dt &\leq c_2 (w_i(t_i^*) e^{\frac{n-2}{2}t_i^*})^{\frac{2n}{n-2}} \int_{t_i^*}^{t_i} e^{(\sigma_i - n)t} dt \\ &\leq c_3 (w_i(t_i^*) e^{\frac{n-2}{2}t_i^*})^{\frac{2n}{n-2}} e^{(\sigma_i - n)t_i^*} = c_3 \varepsilon_0^{\frac{2n}{n-2}} e^{\sigma_i t_i^*}. \end{aligned}$$

By (3.34), we have  $w(t_i) \leq c_4 e^{\frac{\sigma_i}{2} t_i^*}$ . Therefore, we have from (3.33),  $\bar{t}_i - t_i^* \geq \frac{4}{n-2}(-\frac{\sigma_i}{2} t_i^*) - c_5$ , i.e.,

$$\bar{t}_i + \left(\frac{2\sigma_i}{n-2} - 1\right)t_i^* \geq -c_5. \quad (3.35)$$

If  $\sigma = \lim_{i \rightarrow \infty} \sigma_i > \frac{n-2}{2}$ , then  $t_i^* \geq -c_6$ , which yields a contradiction to  $\lim_{i \rightarrow +\infty} t_i^* \leq \lim_{i \rightarrow +\infty} t_i = -\infty$ .

Hence, when  $\sigma > \frac{n-2}{2}$ ,  $w_i(t) \leq \varepsilon_0$  for all  $t_i \leq t \leq 0$ . (Note that we assume  $K'_i \leq 0$  for  $r \leq 1$ .) By (3.11) and (3.12), we have  $v_i(1) \sim v_i(e^{t_i}) \sim M_i^{1-\frac{2\sigma_i}{n-2}}$ .

If  $\sigma = \frac{n-2}{2}$ , then we may assume  $w_i(\bar{t}_i) = \varepsilon_0$ , otherwise we have  $w_i(t) \leq \varepsilon_0$  for all  $t_i \leq t \leq 0$  and again  $v_i(1) \sim v_i(e^{t_i}) \sim M_i^{1-\frac{2\sigma_i}{n-2}}$  by (3.11) and (3.12). By (3.35), we have  $\bar{t}_i \geq -c_5$  and, by (3.32) and (3.12),  $(\frac{n-2}{2} - \sigma_i) \log M_i$  is bounded between two positive constants. It follows from (3.11) and (3.12),  $v_i(e^{\bar{t}_i}) \sim v_i(e^{t_i}) \sim M_i^{1-\frac{2\sigma_i}{n-2}} \sim 1$ . Therefore,  $v_i(1) \sim 1$ . Lemma 3.3 is completely proved.

**4. Proofs of Main Theorems.** In this section, we first prove Theorem 1.3. Let  $K$  be defined as in (1.9) and  $u$  be a solution. Set  $v(y) = A^{-\frac{n-2}{2}} u(A^{-1}y)$ . Then  $v$  satisfies

$$\Delta v + K_1(|y|)v^{\frac{n+2}{n-2}} = 0 \quad \text{for } |y| \leq 1, \quad (4.1)$$

where  $K_1(y) = 1 - |y|^\sigma$  for  $|y| \leq 1$ . Let  $u^*(r) = r^{2-n}u(\frac{1}{r})$  be the Kelvin transformation and  $v^*(y) = B^{-\frac{n-2}{2}}u^*(B^{-1}y)$ . Then  $v^*$  satisfies

$$\Delta u^* + K_1^*(|y|)v^{*\frac{n+2}{n-2}}(y) = 0 \quad \text{for } |y| \leq 1, \quad (4.2)$$

where  $K_1^*(y) = 1 - |y|^\beta$ .

**Proof of Theorem 1.3.** Suppose  $u$  is a solution of (1.7) with this specified  $K$  in (1.9). Then  $u(r) = cr^{2-n} + d$  for  $A^{-1} \leq r \leq B$ . Since  $u(A^{-1}) = A^{\frac{n-2}{2}}v(1)$  and  $u'(A^{-1}) = A^{\frac{n}{2}}v'(1)$ ,  $c, d$  can be solved in terms of  $v(1)$  and  $v'(1)$  by the following:

$$c = \frac{A^{-\frac{n-2}{2}}v'(1)}{2-n}, \quad d = A^{\frac{n-2}{2}}\left(v(1) + \frac{1}{n-2}v'(1)\right). \quad (4.3)$$

Since  $c$  and  $d$  can be solved in terms of  $v^*(1)$  and  $v^{*'}(1)$  also, we have

$$\begin{cases} \frac{A^{-\frac{n-2}{2}}v'(1)}{2-n} = B^{\frac{n-2}{2}}(v^*(1) + \frac{1}{n-2}v^{*'}(1)), \\ A^{\frac{n-2}{2}}(v(1) + \frac{1}{n-2}v'(1)) = \frac{B^{-\frac{n-2}{2}}v^{*'}(1)}{2-n}. \end{cases} \quad (4.4)$$

Now suppose there exists a sequence of solutions  $u_i$  of (1.7) with  $A_iB_i \rightarrow +\infty$ . Let  $v_i$  and  $v_i^*$  be the corresponding solutions of (4.1) and (4.2). Since it is well known that  $v_i(r) \leq cr^{\frac{2-n}{2}}$  and  $|v_i'(r)| \leq cr^{-\frac{n}{2}}$  for some constant  $c > 0$ , we have

$$v_i^*(1) + \frac{1}{n-2}v_i^{*'}(1) = \frac{(A_iB_i)^{-\frac{n-2}{2}}v_i'(1)}{n-2} \rightarrow 0$$

as  $i \rightarrow +\infty$ . The same conclusion for  $v_i(1) + \frac{1}{n-2}v_i'(1)$  holds also.

Applying the Pohozaev identity, we have

$$\frac{n-2}{2n} \int_0^1 K_1'(r)v_i^{\frac{2n}{n-2}}r^n dr = \frac{v_i'(1)}{2}(v_i'(1) + (n-2)v_i(1)) \rightarrow 0$$

as  $i \rightarrow +\infty$ . Hence, we have for any  $1 \geq r_0 > 0$ ,

$$cv_i^{\frac{2n}{n-2}}(r_0) \leq \int_0^{r_0} r^{\sigma+n-1}v_i^{\frac{2n}{n-2}}(r)dr \leq \int_0^1 |K_1'(r)|r^n v_i^{\frac{2n}{n-2}} dr.$$

Therefore,

$$\lim_{i \rightarrow \infty} v_i(r_0) = 0 \quad \text{and} \quad \lim_{i \rightarrow +\infty} v_i^*(r_0) = 0. \quad (4.5)$$

Suppose  $\sigma \leq \beta$ . By the assumptions,  $\sigma < n - 2$ . We claim

$$|v_i'(1)| = o(1)v_i(1) \quad (4.6)$$

for  $i \rightarrow +\infty$ .

We consider two cases separately.

**Case 1.** Assume that  $v_i(0)$  is bounded. Since  $v_i(1) \rightarrow 0$ , we have also  $v_i(0) \rightarrow 0$  as  $i \rightarrow 0$  by the Harnack inequality. Integrating (4.1) gives us

$$|v_i'(1)| = \int_0^1 K_1(r)v_i^{\frac{n+2}{n-2}}(r)r^{n-1}dr = o(1)v_i(0) = o(1)v_i(1).$$

**Case 2.** Assume that  $v_i(0) \rightarrow +\infty$  as  $i \rightarrow +\infty$ . Then, by Lemma 3.3 and (4.5), we have  $\frac{n-2}{2} < \sigma < n-2$  and

$$\begin{aligned} |v'_i(1)|v_i(1)^{-1} &\sim v_i(0)^{\left(\frac{2\sigma}{n-2}-1\right)} \int_0^1 K_1(r)v_i^{\frac{n+2}{n-2}}(r)dr \\ &= v_i(0)^{\frac{2\sigma}{n-2}-1} \left( \int_0^{r_i} K_1(s)v_i^{\frac{n+2}{n-2}}(s)ds + \int_{r_i}^1 K_1(s)v_i^{\frac{n+2}{n-2}}(s)ds \right) \end{aligned} \quad (4.7)$$

where  $r_i \sim v_i(0)^{\left(\frac{\sigma}{n-2}-1\right)\frac{2}{n-2}}$  by (3.23). By Lemma 3.1, we have

$$v_i(r) \sim v_i(0)(1 + (B_i r)^2)^{-\frac{n-2}{2}}, \quad (4.8)$$

where  $B_i \sim v_i(0)^{\frac{2}{n-2}}$  for  $r \leq r_i$ , and

$$v_i(r) \sim v_i(r_i) \sim v_i(0)^{1-\frac{2\sigma}{n-2}} \quad (4.9)$$

for  $r_i \leq r \leq 1$ . Using (4.8) and (4.9), we have

$$|v'_i(1)|v_i(1)^{-1} \sim v_i(0)^{\left(\frac{2\sigma}{n-2}-2\right)} + v_i(0)^{\left(1-\frac{2\sigma}{n-2}\right)\frac{4}{n-2}}$$

which tends to 0 as  $u \rightarrow +\infty$ . Hence (4.6) is proved.

Suppose both  $\sigma$  and  $\beta < n-2$ , then, by (4.4) and (4.6) (note that in this case, (4.6) also holds for  $v_i^*$ ),

$$\begin{aligned} v_i(1) &= O(1)(A_i B_i)^{-\frac{n-2}{2}} |v_i^{*'}(1)| = o(1)(A_i B_i)^{-\frac{n-2}{2}} v_i^*(1) \\ &= o(1)(A_i B_i)^{-(n-2)} v_i'(1) \end{aligned}$$

which yields a contradiction.

Suppose  $\beta \geq n-2$ . Then, by (4.4) and (4.6), we still have

$$v_i(1) = O(1)(A_i B_i)^{-\frac{n-2}{2}} |v_i^{*'}(1)|. \quad (4.10)$$

If  $v_i^*(0) \rightarrow 0$  as  $i \rightarrow +\infty$ , then the same arguments as in Case 1 above yield a contradiction again. Hence, we may suppose  $v_i^*(0) \rightarrow \infty$  as  $i \rightarrow +\infty$ . By Pohozaev's identity, we have

$$\int_o^{A^{-1}} K'(r)r^n u_i^{\frac{2n}{n-2}}(r)dr = - \int_B^\infty K'(r)u_i^{\frac{2n}{n-2}}r^n dr.$$



By scaling, the identity becomes

$$\int_0^1 K_1'(s) v_i^{\frac{2n}{n-2}}(s) s^n ds = \int_0^1 K_1^{*'}(s) v_i^{*\frac{2n}{n-2}} s^n ds. \quad (4.11)$$

Suppose  $v_i(0) \rightarrow 0$ . Then, obviously

$$\int_0^1 s^n |K_1'(s)| v_i^{\frac{2n}{n-2}}(s) ds \sim v_i^{\frac{2n}{n-2}}(1). \quad (4.12)$$

By Lemma 3.1 and similar arguments as in (4.8) and (4.9), we have

$$\int_0^1 |K_1^{*'}(s)| v_i^{*\frac{2n}{n-2}} s^n ds \sim \begin{cases} v_i^{*0}{}^{-\frac{2\beta}{n-2}} & \text{if } n-2 \leq \beta < n, \\ v_i^{*0}{}^{-\frac{2n}{n-2}} \log v_i^{*0} & \text{if } \beta = n, \\ (v_i^{*0})^{-\frac{2n}{n-2}} & \text{if } \beta > n. \end{cases} \quad (4.13)$$

Since  $v_i^*(1) \sim v_i^*(0)^{-1}$ , we have by (4.12) and (4.13),

$$v_i(1)^{\frac{2n}{n-2}} \sim \begin{cases} v_i^*(1)^{\frac{2\beta}{n-2}} & \text{if } n-2 \leq \beta < n, \\ v_i^*(1)^{\frac{2n}{n-2}} \log \frac{1}{v_i^*(1)} & \text{if } \beta = n, \\ (v_i^*(1))^{\frac{2n}{n-2}} & \text{if } \beta > n. \end{cases} \quad (4.14)$$

By (4.10), (4.14) implies

$$c_1 v_i^*(1)^{\frac{2\beta}{n-2}} \leq v_i(1)^{\frac{2n}{n-2}} \sim (A_i B_i)^{-n} |v_i^{*'}(1)|^{\frac{2n}{n-2}} \sim (A_i B_i)^{-n} |v_i^*(1)|^{\frac{2n}{n-2}}$$

for some constant  $c_1 > 0$ . Hence  $A_i B_i$  is bounded, which yields a contradiction.

Suppose  $v_i(0) \rightarrow +\infty$ . By (4.5) and Lemma 3.3, we have  $\frac{n-2}{2} < \sigma < n-2$ . By similar considerations as in (4.8) and (4.9), we can prove

$$\begin{aligned} \int_0^1 s^n |K_1'(s)| v_i^{\frac{2n}{n-2}}(s) ds &= \int_0^{r_i} s^n |K_1'(s)| v_i^{\frac{2n}{n-2}}(s) ds + \int_{r_i}^1 s^n |K_1'(s)| v_i^{\frac{2n}{n-2}}(s) ds, \\ \int_0^{r_i} s^n |K_1'(s)| v_i^{\frac{2n}{n-2}}(s) ds &\sim v_i(0)^{-\frac{2\sigma}{n-2}}, \end{aligned} \quad (4.15)$$

$$\int_{r_i}^1 s^n |K_1'(s)| v_i^{\frac{2n}{n-2}}(s) ds \sim v_i(0)^{(1-\frac{2\sigma}{n-2})\frac{2n}{n-2}}. \quad (4.16)$$

Hence, we have

$$\int_0^1 s^n |K_1'(s)| v_i^{\frac{2n}{n-2}}(s) ds \sim \begin{cases} v_i(0)^{-\frac{2\sigma}{n-2}} & \text{if } \frac{n(n-2)}{n+2} \leq \sigma < n-2, \\ (v_i(0))^{(1-\frac{2\sigma}{n-2})(\frac{2n}{n-2})} & \text{if } \frac{n-2}{2} < \sigma \leq \frac{n(n-2)}{n+2}. \end{cases} \quad (4.17)$$

If  $\sigma \geq \frac{n(n-2)}{n+2}$ , then by (4.10), (4.11), (4.13) and (4.17)

$$\begin{aligned} c_1 v_i^*(0)^{-\frac{2\tilde{\beta}}{n-2}} &\leq v_i(0)^{-\frac{2\sigma}{n-2}} \sim v_i(1)^{(\frac{2\sigma}{n-2}-1)^{-1} \frac{2\sigma}{n-2}} \\ &\sim (A_i B_i)^{-\tau} v_i^*(1)^{(\frac{2\sigma}{n-2}-1)^{-1} \frac{2\sigma}{n-2}} \end{aligned} \quad (4.18)$$

where  $\tau = \frac{n-2}{2} \frac{2\sigma}{n-2} (\frac{2\sigma}{n-2} - 1) = \sigma (\frac{2\sigma}{n-2} - 1) > 0$ . Since  $\frac{1}{\sigma} + \frac{1}{\tilde{\beta}} \geq \frac{2}{n-2}$ , we have  $(\frac{2\sigma}{n-2} - 1)^{-1} \frac{2\sigma}{n-2} \geq \frac{2\tilde{\beta}}{n-2}$ . Then (4.18) implies  $A_i B_i$  is bounded, a contradiction.

If  $\frac{n-2}{2} < \sigma \leq \frac{n(n-2)}{n+2}$ , then

$$c_1 v_i^*(0)^{-\frac{2\tilde{\beta}}{n-2}} \leq v_i(0)^{(1-\frac{2\sigma}{n-2})(\frac{2n}{n-2})} \sim v_i(1)^{\frac{2n}{n-2}} \sim (A_i B_i)^{-n} v_i^*(1)^{\frac{2n}{n-2}}.$$

Since  $\tilde{\beta} \leq n$ , we have  $A_i B_i$  is bounded again, which yields a contradiction. Therefore, the proof of Theorem 1.3 is completely finished.  $\square$

**Proof of Theorem 1.2** Without loss of generality, we may assume that both  $K$  and  $K^*$  satisfy

$$K(r) = K(0) - Ar^\sigma + R(r) \quad (4.19)$$

for some  $A > 0$  and  $\sigma > 1$ . When  $\sigma > n$ , we further assume

$$\int_0^\infty K'(r) r^{-n} dr < 0. \quad (4.20)$$

We consider a family of functions  $K_t(r)$ , continuous in both  $t$  and  $r$  for  $0 \leq t \leq 1$  and  $r \geq 0$ , with  $K_1(r) = K(r)$ . If  $\frac{1}{\tilde{\sigma}} + \frac{1}{\tilde{\beta}} < \frac{2}{n-2}$ , we set  $K_0(r)$  as

$$\begin{cases} K_0(r) = K(0) - \varepsilon_0 r^{n-2} + R_0(r), \\ K_0^*(r) = K(\infty) - \varepsilon_0 r^{n-2} + R_1(r) \end{cases} \quad (4.21)$$

in a neighborhood of 0, where  $\varepsilon_0 > 0$  is small but a fixed positive number. If  $\frac{1}{\tilde{\sigma}} + \frac{1}{\tilde{\beta}} > \frac{2}{n-2}$ , we let  $K_0(r)$  satisfy the form in (1.9). Furthermore, both

$K_t(r)$  and  $K_t^*(r)$  satisfy (4.19) and (4.20) (when  $\sigma > n$ ) uniformly in  $t$ , i.e.,

$$\left\{ \begin{array}{l} K_t(r) = K(0) - A(t)r^{\sigma(t)} + R_t(r) \text{ for small } r > 0, \\ K_t^*(r) = K(\infty) - B(t)r^{\beta(t)} + R_t^*(r) \text{ for small } r > 0, \\ \int_0^\infty K_t'(r)r^{-n}dr < -C < 0, \quad \text{and} \\ \int_0^\infty K_t^{*'}(r)r^{-n}dr < -C < 0. \end{array} \right. \quad (4.22)$$

where  $A(t)$ ,  $B(t)$  and  $C$  are bounded between two positive constants, and where  $|R_t(r)|r^{-\sigma(t)} + |R_t^*(r)|r^{-\beta(t)}$  and  $|R_t'(r)|r^{-\sigma(t)+1} + |R_t^{*'}(r)|r^{-\beta(t)+1}$  converge to 0 as  $r \rightarrow 0$  uniformly in  $t$ . We also assume

$$\frac{1}{\tilde{\sigma}(t)} + \frac{1}{\tilde{\beta}(t)} \neq \frac{2}{n-2} \quad (4.23)$$

for  $t > 0$ , and assume  $\tilde{\sigma}(t) > n-2$  and  $\tilde{\beta}(t) > n-2$  for small  $t > 0$  in case  $\frac{1}{\tilde{\sigma}} + \frac{1}{\tilde{\beta}} < \frac{2}{n-2}$ .

We want to prove there exists a constant  $C > 0$ , independent of  $t$ , such that any solution  $u(r)$  of

$$\left\{ \begin{array}{l} \Delta u(|x|) + K_t(|x|)u^{\frac{n+2}{n-2}} = 0 \quad \text{in } \mathbf{R}^n, \\ u(x) = O(|x|^{2-n}) \quad \text{at } \infty \end{array} \right. \quad (4.24)$$

satisfies

$$u(|x|) \leq C(1 + |x|)^{-n+2}. \quad (4.25)$$

Then we can employ the method of continuity to find the degree of radial solutions. Suppose the conclusion is not true. Then there exists a sequence of solutions  $u_i$  of (4.24) with  $t_i \rightarrow t_0$  as  $i \rightarrow +\infty$  (hence,  $K_i = K_{t_i} \rightarrow K_{t_0}$ ,  $\sigma_i$  and  $\beta_i \rightarrow \sigma_0$  and  $\beta_0$  respectively), and with either  $u_i(0) \rightarrow +\infty$  or  $u_i^*(0) \rightarrow +\infty$ .

Suppose  $u_i(0) = M_i \rightarrow +\infty$ . We discuss two cases separately.

(I) Either  $\sigma_0 > n-2$ , or,  $\sigma_0 = n-2$  and  $M_i^{1-\frac{\sigma_i}{n-2}}$  is bounded.

(II) Either  $\frac{n-2}{2} < \sigma_0 < n-2$ , or,  $\sigma_0 = n-2$  and  $M_i^{1-\frac{\sigma_i}{n-2}} \rightarrow +\infty$  as  $i \rightarrow +\infty$ .

Suppose  $u_i$  satisfies (I). Then by Lemma 3.1 and (3.24), we have

$$u_i(1) \sim M_i^{-1} \quad \text{and} \quad (4.26)$$

$$u_i(r) = M_i(1 + B_i^2 r^2)^{-\frac{n-2}{2}}(1 + o(1)) \quad (4.27)$$

for  $0 \leq r \leq r_0$ , where  $r_0 > 0$  is independent of  $i$  and

$$B_i^2 = \frac{K_i(0)M_i^{\frac{4}{n-2}}}{n(n-2)}. \quad (4.28)$$

Using (4.26)  $\sim$  (4.28), simple calculations can show that

$$\text{if } \sigma_0 < n, \quad - \int_0^1 K_i'(r)u_i^{\frac{2n}{n-2}}(r)r^n dr \sim M_i^{-\frac{2\sigma_i}{n-2}} \quad (4.29)$$

$$\text{if } \sigma_0 = n, \quad M_i^{\frac{2\sigma_i}{n-2}} \int_0^1 K_i'(r)u_i^{\frac{2n}{n-2}}(r)r^n dr \longrightarrow -\infty \quad (4.30)$$

as  $i \longrightarrow +\infty$ . If  $\sigma_0 \geq n$ , then for any  $0 < \tau < n$ , we have

$$\int_0^1 |K_i'(r)|u_i^{\frac{2n}{n-2}}(r)r^n dr = o(1)M_i^{-\frac{2\tau}{n-2}}. \quad (4.31)$$

If  $\sigma_0 > n$  and  $M_i u_i(r) \longrightarrow a r^{2-n}$  for some  $a > 0$  as  $i \longrightarrow +\infty$ , then

$$M_i^{\frac{2n}{n-2}} \int_0^1 K_i'(r)u_i^{\frac{2n}{n-2}}(r)r^n dr \longrightarrow a^{\frac{2n}{n-2}} \int_0^1 K_{t_0}'(r)r^{-n} dr, \quad (4.32)$$

as  $i \longrightarrow +\infty$ .

Suppose  $u_i$  satisfies (II). By Lemma 3.1 and (3.24), we have

$$u_i(r) \sim M_i^{1-\frac{2\sigma_i}{n-2}} \quad (4.33)$$

for  $r_i \leq r \leq 1$  where  $r_i$  is given in (3.23), and

$$u_i(r) = M_i(1 + B_i^2 |x|^2)^{-\frac{n-2}{2}}(1 + o(1)) \quad (4.34)$$

for  $0 \leq r \leq r_i$ . Using (4.33) and (4.34), we have if  $\sigma_0 > \frac{n(n-2)}{n+2}$ , then

$$- \int_0^1 K_i'(r)u_i^{\frac{2n}{n-2}}(r)r^n dr \sim M_i^{-\frac{2\sigma_i}{n-2}}. \quad (4.35)$$

If  $\frac{n-2}{2} < \sigma_0 < \frac{n(n-2)}{n+2}$ , then we can show

$$\int_0^1 K'_i(r) u_i^{\frac{2n}{n-2}}(r) r^n dr = M_i^{-\tau_i} b^{\frac{2n}{n-2}} \int_0^1 K'_i(r) r^n dr (1 + o(1)), \quad (4.36)$$

where  $\tau_i = (\frac{2\sigma_i}{n-2} - 1) \frac{2n}{n-2}$  and  $b = \lim_{i \rightarrow +\infty} M_i^{(\frac{2\sigma_i}{n-2} - 1)} u_i(1)$ .

To prove (4.36), we let  $w_i(r) = M_i^{(\frac{2\sigma_i}{n-2} - 1)} u_i(r)$ . The function  $w_i(r)$  is uniformly bounded in any compact set of  $(0, \infty)$ . Therefore, a subsequence of  $w_i$  (still denoted by  $w_i$ ) converges to  $h(r)$ . Since  $w_i$  satisfies

$$\Delta w_i + K_i(r) u_i^{\frac{4}{n-2}} w_i = 0$$

with  $u_i(r) \rightarrow 0$  in any compact set of  $(0, \infty)$ , we have  $h(r) = \frac{a}{r^{n-2}} + b$  for some  $a, b \geq 0$  and  $a + b > 0$ . The number  $a$  can be determined by

$$(2-n)a = \lim_{i \rightarrow +\infty} w'_i(1) = -M_i^{\frac{2\sigma_i}{n-2} - 1} \int_0^1 K_i(r) u_i^{\frac{n+2}{n-2}}(r) r^{n-1} dr.$$

Applying (4.34), we have

$$M_i \int_0^{r_i} K_i(r) u_i^{\frac{n+2}{n-2}} r^{n-1} dr \sim 1.$$

Hence, together with (4.33), it implies

$$\lim_{i \rightarrow +\infty} M_i^{\frac{2\sigma_i}{n-2} - 1} \int_0^1 K_i r^{n-1} u_i^{\frac{n+2}{n-2}}(r) dr = 0$$

since (II) is satisfied. Thus,  $a = 0$  (here we only assume (II) is satisfied). By (4.34), it is elementary to compute

$$\int_0^{r_i} |K'_i(r)| u_i^{\frac{2n}{n-2}}(r) r^n dr \sim M_i^{-\frac{2\sigma_i}{n-2}}. \quad (4.37)$$

Since  $\sigma < \frac{n(n-2)}{n+2}$ , we have

$$M_i^{(\frac{2\sigma_i}{n-2} - 1) \frac{2n}{n-2}} \int_0^{r_i} |K'_i(r)| u_i^{\frac{2n}{n-2}}(r) r^n dr \rightarrow 0 \quad (4.38)$$

as  $i \rightarrow +\infty$ . Thus,

$$\lim_{i \rightarrow +\infty} M_i^{(\frac{2\sigma_i}{n-2}-1)\frac{2n}{n-2}} \int_0^1 K_i'(r) u_i^{\frac{2n}{n-2}}(r) r^n dr = b^{\frac{2n}{n-2}} \int_0^1 K_i'(r) r^n dr,$$

from which (4.36) follows.

If  $\sigma = \frac{n(n-2)}{n+2}$ , we have

$$\int_0^1 |K_i'(r)| u_i^{\frac{2n}{n-2}}(r) r^n dr = O(M_i^{-\frac{2\sigma_i}{n-2}} + M_i^{(1-\frac{2\sigma_i}{n-2})\frac{2n}{n-2}}). \quad (4.39)$$

Now suppose both  $u_i(0) = M_i$  and  $u_i^*(0) = N_i \rightarrow +\infty$  as  $i \rightarrow +\infty$ .

**Case 1.** Both  $u_i$  and  $u_i^*$  satisfy (I), where  $u_i^*(r) = r^{2-n}u(\frac{1}{r})$  is the Kelvin transformation of  $u_i$ .

In this case, we have  $M_i^{-1} \sim u_i(1) = u_i^*(1) \sim N_i^{-1}$ . Hence  $M_i u_i(x)$  converges to  $a|x|^{2-n} + b$  for some  $a, b > 0$  as  $i \rightarrow +\infty$ . Without loss of generality, we may assume  $M_i \geq N_i$ . We can compute  $a$  and  $b$  by

$$\begin{aligned} (2-n)a &= \lim_{i \rightarrow +\infty} M_i u_i'(1) = \lim_{i \rightarrow +\infty} M_i \int_0^1 K_i(x) u_i^{\frac{n+2}{n-2}}(r) r^{n-1} dr \\ &= K(0)^{1-\frac{n}{2}} (n(n-2))^{\frac{n}{2}} \int_0^\infty \frac{r^{n-1}}{(1+r^2)^{\frac{n+2}{2}}} dr, \end{aligned} \quad (4.40)$$

$$\begin{aligned} (n-2)b &= \lim_{i \rightarrow +\infty} (-M_i u_i^{*'}(1)) = \lim_{i \rightarrow +\infty} \left(\frac{M_i}{N_i}\right) N_i \int_0^1 K_i^*(x) u_i^{*\frac{n+2}{n-2}}(r) r^{n-1} dr \\ &\geq (K(\infty))^{1-\frac{n}{2}} (n(n-2))^{\frac{n}{2}} \int_0^\infty \frac{s^{n-1}}{(1+s^2)^{\frac{n+2}{2}}} ds. \end{aligned} \quad (4.41)$$

If one of  $\sigma_0$  and  $\beta_0$  is bigger than  $n-2$ , say,  $\sigma_0 > n-2$ , then

$$\frac{n-2}{2n} \int_0^1 K_i'(r) u_i^{\frac{2n}{n-2}}(r) r^n dr = P(1, u_i) = -\frac{(n-2)^2}{2} ab M_i^{-2} (1 + o(1)), \quad (4.42)$$

where  $P(1, u_i) = \frac{n-2}{2} u_i u_i'(1) + \frac{1}{2} u_i'^2(1) + \frac{2n}{n-2} K_i(1) u_i^{\frac{2n}{n-2}}(1)$ . However, by (4.29) and (4.31), we have the left-hand side  $= o(1) M_i^{-2}$ , which yields a contradiction. If  $\sigma_0 = n-2$  and  $\beta_0 = n-2$ , the absolute value of the left hand side  $= \left(\frac{K(0)}{n(n-2)}\right)^{-(n-1)} \frac{(n-2)^2 \varepsilon_0}{2n} M_i^{-\frac{2\sigma_i}{n-2}} (1 + o(1)) < \frac{(n-2)^2}{2} ab M_i^{-2}$  by

(4.40) and (4.41), provided that  $\varepsilon_0$  is small, (we note that by our assumption on  $K_t$ , we must have  $t_0 = 0, \sigma_i \geq n - 2$  and  $\beta_i \geq n - 2$ ), which again leads to a contradiction.

**Case 2.** Assume  $u_i$  satisfies (I) and  $u_i^*$  satisfies (II). In this situation, we have  $\frac{1}{\sigma_0} + \frac{1}{\beta_0} \neq \frac{2}{n-2}$ .

Suppose  $\sigma_0 < n$  and  $\beta_0 > \frac{n(n-2)}{n+2}$ . Then the Pohozaev identity implies

$$\int_0^1 K_i'(r) u_i^{\frac{2n}{n-2}}(r) r^n dr = - \int_1^\infty K_i'(r) u_i^{\frac{2n}{n-2}} r^n dr = \int_0^1 K_i^{*'} u_i^{*\frac{2n}{n-2}}(r) r^n dr. \quad (4.43)$$

Since

$$M_i^{-1} \sim u_i(1) = u_i^*(1) \sim N_i^{1-\frac{2\beta_i}{n-2}}, \quad (4.44)$$

we have from (4.29), (4.35) and (4.43),

$$N_i^{(1-\frac{2\beta_i}{n-2})\frac{2\sigma_i}{n-2}} \sim M_i^{-\frac{2\sigma_i}{n-2}} \sim N_i^{-\frac{2\beta_i}{n-2}} \quad (4.45)$$

which implies

$$0 = \lim_{i \rightarrow +\infty} \left[ \frac{2\beta_i}{n-2} + \left(1 - \frac{2\beta_i}{n-2}\right) \frac{2\sigma_i}{n-2} \right] = \frac{2\beta_0}{n-2} + \left(1 - \frac{2\beta_0}{n-2}\right) \frac{2\sigma_0}{n-2},$$

i.e.,  $\frac{1}{\alpha_0} + \frac{1}{\beta_0} = \frac{2}{n-2}$ , which yields a contradiction.

Suppose  $\sigma_0 < n$  and  $\beta_0 < \frac{n(n-2)}{n+2}$ . Then by (4.29), (4.36) and (4.43), we have  $N_i^{(1-\frac{2\beta_i}{n-2})\frac{2\sigma_i}{n-2}} \sim M_i^{-\frac{2\sigma_i}{n-2}} \sim N_i^{(1-\frac{2\beta_i}{n-2})\frac{2n}{n-2}}$  which implies  $\sigma_0 = n$ , a contradiction again.

Suppose  $\beta_0 = \frac{n(n-2)}{n+2}$ . Since  $\frac{1}{\alpha_0} + \frac{1}{\beta_0} \neq \frac{2}{n-2}$ , we must have  $\sigma_0 < n$ , in this case, we have  $N_i^{(1-\frac{2\beta_i}{n-2})\frac{2\sigma_i}{n-2}} \sim M_i^{-\frac{2\sigma_i}{n-2}} \leq c(N_i^{(1-\frac{2\beta_i}{n-2})\frac{2n}{n-2}} + N_i^{-\frac{2\beta_i}{n-2}})$ , which implies

$$\begin{aligned} 0 &\geq \frac{2\beta_0}{n-2} + \left(1 - \frac{2\beta_0}{n-2}\right) \frac{2\sigma_0}{n-2} = \left(1 - \frac{2\beta_0}{n-2}\right) \left(\frac{2(\sigma_0 - n)}{n-2}\right) \\ &= \frac{2(n - \sigma_0)}{n-2} \left(\frac{2\beta_0}{n-2} - 1\right) > 0, \end{aligned}$$

a contradiction.

Suppose  $\sigma_0 \geq n$ . Then  $\beta_0$  satisfies either  $\beta_0 > \frac{n(n-2)}{n+2}$  or  $\beta_0 < \frac{n(n-2)}{n+2}$ . If  $\beta_0 > \frac{n(n-2)}{n+2}$ , by (4.31) and (4.43), we have

$$N_i^{-\frac{2\beta_i}{n-2}} \leq o(1)M_i^{-\frac{2\tau}{n-2}} = o(1)N_i^{(1-\frac{2\beta_i}{n-2})\frac{2\tau}{n-2}}$$

for any  $\tau < n$ . Hence, it leads to

$$0 \geq \lim_{i \rightarrow +\infty} \left[ \left( \frac{2\beta_i}{n-2} - 1 \right) \frac{2n}{n-2} - \frac{2\beta_i}{n-2} \right] = \frac{2(n+2)}{(n-2)^2} \left( \beta_0 - \frac{n(n-2)}{n+2} \right) > 0,$$

a contradiction.

If  $\beta_0 < \frac{n(n-2)}{n+2}$ , then, by (4.36) and (4.43), the identity

$$\begin{aligned} & b^{\frac{2n}{n-2}} N_i^{(1-\frac{2\beta_i}{n-2})\frac{2n}{n-2}} \int_0^1 K_i^{*'}(r) r^n dr (1 + o(1)) \\ &= \int_0^1 K_i'(r) u_i^{\frac{2n}{n-2}}(r) r^n dr = N_i^{(1-\frac{2\beta_i}{n-2})\frac{2n}{n-2}} b^{\frac{2n}{n-2}} \int_0^1 K_i'(r) r^{-n} dr (1 + o(1)) \end{aligned} \quad (4.46)$$

holds, where  $b = \lim_{i \rightarrow +\infty} N_i^{(\frac{2\beta_i}{n-2}-1)} u_i^*(1)$ . To see this, from the proof of (4.36),  $N_i^{(\frac{2\beta_i}{n-2}-1)} u_i^*(r) \rightarrow b$  in any compact set of  $(0, \infty)$ . By Kelvin transformations, it implies  $N_i^{(\frac{2\beta_i}{n-2}-1)} u_i(r) \rightarrow br^{2-n}$  in any compact set of  $(0, \infty)$ , and then (4.46) follows immediately (we note that  $\int_0^1 K_i'(r) r^{-n} dr$  might be  $-\infty$  if  $\sigma = n$ ). Therefore,

$$\int_0^1 K_i'(r) r^{-n} dr = \int_0^1 K_i^{*'}(r) r^n dr = - \int_1^\infty K_i'(r) r^{-n} dr,$$

i.e.,

$$\int_0^\infty K_i'(r) r^{-n} dr = 0,$$

which yields a contradiction to our assumption. Hence, the proof for Case 2 is complete.

**Case 3.** Both  $u_i$  and  $u_i^*$  satisfies (II). In this case, we have

$$M_i^{1-\frac{2\sigma_i}{n-2}} \sim u_i(1) = u_i^*(1) \sim N_i^{1-\frac{2\beta_i}{n-2}}.$$



From the proof of (4.36), we see that both  $M_i^{\frac{2\sigma_i}{n-2}-1}u_i(r)$  and  $M_i^{\frac{2\sigma_i}{n-2}-1}u_i^*(r)$  converges to positive constants in any compact set. On the the other hand,  $M_i^{\frac{2\sigma_i}{n-2}-1}u_i^*(r) = M_i^{\frac{2\sigma_i}{n-2}-1}u_i(\frac{1}{r})r^{2-n}$  converges to  $ar^{2-n}$  for some  $a > 0$ . Hence we obtain a contradiction.

Next, we assume  $M_i \rightarrow +\infty$  as  $i \rightarrow +\infty$  and  $N_i = u_i^*(0)$  is bounded. Since  $u_i(1) \sim M_i^{1-\frac{2\hat{\sigma}_i}{n-2}}$  where  $\hat{\sigma}_i = \sigma_i$  if (II) is satisfied, and  $\hat{\sigma}_i = n-2$  if (I) is satisfied, we have  $u_i^*(0) \sim u_i(1) \sim M_i^{1-\frac{2\hat{\sigma}_i}{n-2}}$ , i.e., there is some constants  $c > 0$  such that  $M_i^{\frac{2\hat{\sigma}_i}{n-2}-1}u_i(r) \leq cr^{2-n}$  for all  $r > 0$  if (I) is satisfied, for  $r \geq r_i$  with  $r_i$  given in (3.23) if (II) is satisfied.

Suppose (II) is satisfied for  $u_i$ . Since  $M_i^{\frac{2\sigma_i}{n-2}-1}u_i(r)$  converges to a positive constant as  $i \rightarrow +\infty$  from the proof of (4.36), we obtain a contradiction. Suppose (I) is satisfied for  $u_i$  and  $\sigma < n$ ,

$$M_i^{-\frac{2\sigma_i}{n-2}} \sim - \int_0^1 K_i'(r)u_i^{\frac{2n}{n-2}}(r)r^n dr = \int_1^\infty K_i'(r)u_i^{\frac{2n}{n-2}}(r)r^n dr \leq cM_i^{-\frac{2n}{n-2}},$$

which yields a contradiction. If  $\sigma \geq n$ , then we obtain

$$0 = \lim_{i \rightarrow +\infty} M_i^{\frac{2n}{n-2}} \int_0^\infty K_i'(r)u_i^{\frac{2n}{n-2}}(r)r^n dr = a^{\frac{2n}{n-2}} \int_0^\infty K_t'(r)r^{-n} dr < 0,$$

which yields a contradiction, where  $M_i u_i(r) \rightarrow ar^{2-n}$  as  $i \rightarrow +\infty$  is used. Therefore, the proof of (4.25) is completely finished. And then, the conclusion of Theorem 1.2 follows immediately from Theorem 0.7 of [10] for part(i) and from Theorem 1.3 above for part (ii).

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