

## REMARKS ON GLOBAL EXISTENCE FOR A FAMILY OF INEQUALITIES AND APPLICATIONS TO MULLINS AND NAVIER-STOKES EQUATIONS

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**Abstract.** We give a new method based on the existence of a family of inequalities to prove the global existence of a time evolution equation. We apply this method to various problems.

### INTRODUCTION

In this paper, we shall first present some abstract global-existence results related to a family of energy inequalities with small data.

As a few applications of such results, we shall consider the Mullins equations established in [7] 1957, related to a surface diffusion. The system of fourth order reads as follows: Find  $u : [0, +\infty) \times [0, 1] \rightarrow \mathbb{R}$  satisfying

$$(M_u) \quad \begin{cases} u_t = -B\partial_x \left\{ (1 + u_x^2)^{-\frac{1}{2}} \partial_x [(1 + u_x^2)^{-\frac{3}{2}} u_{xx}] \right\}, \\ u \text{ is periodic,} \quad u(0, x) = u_0(x) \text{ (initial data).} \end{cases}$$

Here  $B > 0$  is a given constant that we choose to be equal to 1 in the sequel,  $\partial_x$  (respectively  $\partial_t$ ) stands for the space (respectively time) derivative, and we denote  $\partial_t u = \frac{\partial u}{\partial t}$ ,  $\partial_x u = u' = \frac{\partial u}{\partial x}$ ,  $u_{xx} = u^{(2)} = \frac{\partial^2 u}{\partial x^2}$ ,  $\dots$ . We shall show that

*There is a computable constant  $c > 0$ , scale invariant, such that if the initial data  $u_0 \in H_{per}^2(0, 1)$  with  $c|u_0|_{L^2(0,1)} \cdot (1 + |u_0^{(2)}|_{L^2(0,1)}^2)^{10} < 1$ , then the system  $(M_u)$  possesses a unique global solution  $u \in L^\infty(\mathbb{R}_+; H_{per}^2(0, 1)) \cap L^2(0, T; H_{per}^4(0, 1))$  for all finite  $T$ . Furthermore, as  $t \rightarrow +\infty$ ,  $u(t)$  converges*

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exponentially to  $\bar{u}_0 = \int_0^1 u_0(x)dx$  in  $C_b(\mathbb{R}_+)$ . More precisely, there exist  $c(u_0) > 0$  and  $\bar{\lambda}(u_0) > 0$  such that

$$|u(t) - \bar{u}_0|_\infty \leq \left| \frac{\partial u}{\partial x}(t) \right|_\infty \leq c(u_0)e^{-\bar{\lambda}(u_0)t}.$$

Additional results on  $(M_u)$  will be given; namely, we will show that if a blow-up appears at a time  $T_{\max} < +\infty$ , then there is a point  $x^* \in [0, 1]$  such that

$$\limsup_{(t,x) \rightarrow (T_{\max}, x^*)} \left| \frac{\partial u}{\partial x}(t, x) \right| = +\infty.$$

The uniqueness of the local solution for  $(M_u)$  is included in a general framework [6]. Special approximations of  $(M_u)$  are given in [2]. Numerical results confirm the above results on global existence and the nature of blow-up.

For the second application, we shall reconsider the Navier-Stokes equations and make a slight improvement, in the case of three dimensions, on the class of initial data for which we have a global strong solution. Borrowing the notation used in [3], [9], [10], and [12], we shall look at the following abstract equation: Let  $(V, \|\cdot\|)$  and  $(H, |\cdot|)$  be two Hilbert spaces (see the appendix for the exact choice for Navier-Stokes). The norm  $\|\cdot\|$  of  $V$  (respectively  $|\cdot|$  of  $H$ ) is associated to the scalar product  $((\cdot, \cdot))$  (respectively  $(\cdot, \cdot)$ ).

Let  $f \in L^2(0, T; H)$  and  $u_0 \in V$ . A strong solution  $u$  is a function satisfying

$$(N.S) \quad \begin{cases} i) \frac{du}{dt} + \nu Au + B(u, u) = f \text{ in } V' \text{ (dual of } V), \\ ii) u(0) = u_0, \\ iii) u \in L^2(0, T; D(A)) \cap L^\infty(0, T; V). \end{cases}$$

For the properties of  $A$  and  $B$ , we shall refer to the above references ( $A$  is linear such that  $\langle Av, w \rangle_{V', V} = ((v, w)) \forall v, w \in V \times V$ , and  $B$  is associated to the bilinear operator).

In order to compare the usual well-known result with our result, we shall recall Leray's theorem (stated in [3], [9], and [10]) in the case  $f = 0$  (for simplicity).

**Theorem 1** (Leray's theorem for global existence in dimension 3). *Assume that  $f = 0$ . There exists a scale-independent positive constant  $c$  such that for  $u_0 \in V$  satisfying*

$$\|u_0\|^2 < \frac{1}{4} \frac{\nu^2}{c^2} \lambda_1^{\frac{1}{2}}, \quad (0.1)$$

there exists a strong solution  $u$  of Navier-Stokes equations (N.S). Here  $\lambda_1 > 0$  is the first eigenvalue of  $A$  and satisfies

$$\|v\| \leq \frac{1}{\sqrt{\lambda_1}} |Av|, \quad \forall v \in D(A), \quad \text{and} \quad \lambda_1 = \inf_{v \in V, v \neq 0} \frac{\|v\|^2}{|v|^2}.$$

Our result will give the following statement, an improvement of Leray's theorem (when  $f = 0$ ):

There exists a scale-independent positive constant  $c > 0$  such that for  $u_0 \in V$  satisfying

$$|u_0| \|u_0\| < \frac{2}{c^2} \cdot \nu^2, \quad (0.2)$$

there exists a strong solution of the Navier-Stokes equations. The constant  $c$  is the same as before.

**Remark 1.** Condition (2) is better than condition (1) since

- (1) Condition (1) implies condition (2).
- (2) The class of  $u_0$  satisfying condition (2) (when  $f = 0$ ) is strictly larger than the class of functions satisfying condition (1), for instance, if  $u_0$  has a **small norm in  $\mathbf{H}$**  and satisfies with  $\nu$  and  $\lambda_1$

$$|u_0| \|u_0\| < \frac{2}{c^2} \nu^2 < 8 \frac{\|u_0\|^2}{\lambda_1^{\frac{1}{2}}}.$$

Here, as in relation (0.2), the constant  $c$  could be chosen as

$$c = \sup_{\varphi \in D(A) \setminus \{0\}} \frac{|B(\varphi, \varphi)|}{\|\varphi\|^{\frac{3}{2}} |A\varphi|^{\frac{1}{2}}}.$$

- (3) The method works for nonzero  $f$ .
- (4) Global existence for small data has been investigated by various authors [4], [5]. The main differences with respect to those previous works are the method and the conditions on the initial data. For instance in [4], they use the integral representation of the solution  $u$  and the Picard fixed point. For the application in dimension 3, their condition is that  $u_0$  is small in  $D(A^{\frac{1}{4}})$ . By an interpolation argument, our smallness condition implies the smallness in  $D(A^{\frac{1}{4}})$ . Furthermore, in their case a strong solution is obtained under the condition that  $t \rightarrow f(t)$  is Hölder continuous and the smallness of the data is not explicit as we did.

A complete survey on the Navier-Stokes topics has been recently given by Temam [11].

## 1. SOME FUNDAMENTAL LEMMAS

The first simple and fundamental lemma that we need is

**Lemma 1.** *Let  $y$  be nonnegative and absolutely continuous on some interval  $[0, T_{\max})$  satisfying the differential inequality*

$$y'(t) \leq g(t)y(t)^m, \quad \text{for a.e. } t > 0,$$

with  $m > 1$  and  $g$  a nonnegative function such that there is a constant  $M > 0$  independent of  $T_{\max}$  such that

$$\int_0^t g(\sigma) d\sigma \leq M, \quad \forall t \geq 0.$$

If  $(m-1)y^{m-1}(0)M < 1$ , then for all  $t \geq 0$

$$y(t) \leq \frac{y(0)}{[1 - (m-1)y^{m-1}(0)M]^{\frac{1}{m-1}}}.$$

**Proof.** Let  $\delta_0 > 0$  be such that  $(m-1)(y(0) + \delta_0)^{m-1}M < 1$ . Then for all  $\delta \in (0, \delta_0)$ , if we set  $y_\delta(t) = y(t) + \delta$ , it satisfies the differential inequality  $y'_\delta(t) \leq g(t)y_\delta(t)^m$ . Integrating this last differential, we derive

$$y_\delta(t) \leq \frac{y_\delta(0)}{[1 - (m-1)y_\delta(0)^{m-1}M]^{\frac{1}{m-1}}}.$$

Letting  $\delta \rightarrow 0$ , we get the result.  $\square$

For the applications, we shall present the following corollary.

**Corollary 1.** *Let  $H$  be a vector space and  $p, q$ , and  $N$  be three (nonlinear) mappings from  $D \subset H$  into  $\mathbb{R}_+$ . Let  $[0, T_{\max})$  be an interval of  $\mathbb{R}_+$  and  $u : [0, T_{\max}) \rightarrow D$  be such that  $p(u)$  and  $q(u)$  are absolutely continuous and  $N(u)$  is measurable. We assume that  $u$  satisfies the following energy inequalities:*

$$\begin{cases} \frac{d}{dt}q(u(t)) + N(u(t)) \leq f(t), \\ \frac{d}{dt}p(u(t)) \leq c_1 N(u(t))p(u(t))^m, \end{cases}$$

with  $m > 1$  and  $c_1 > 0$  constants and  $f \in L^1_+(\mathbb{R}_+)$ . We set

$$M = c_1 \left[ \int_0^{+\infty} f(\sigma) d\sigma + q(u_0) \right].$$

If  $(m-1)Mp(u_0)^{m-1} < 1$ , then

$$p(u(t)) \leq \frac{p(u_0)}{[1 - (m-1)Mp(u_0)^{m-1}]^{\frac{1}{m-1}}}, \quad \forall t \geq 0.$$

**Proof.** Setting  $g(t) = c_1 N(u(t))$ , we derive from the first inequality that for all  $t \geq 0$

$$\int_0^t g(\sigma) d\sigma \leq c_1 \left[ \int_0^{+\infty} f(\sigma) d\sigma + q(u_0) \right] = M.$$

We may appeal Lemma 1 for the second inequality to get the result.  $\square$

## 2. APPLICATIONS

**2.1. Mullins equations.** The Mullins equation concerns a surface diffusion (see [7] for more details). If we call  $\frac{\partial N}{\partial t}$  the local normal velocity of the surface,  $\kappa$  its curvature, and  $s$  the arc length, then the equation can be written as

$$\frac{\partial N}{\partial t} = B \frac{\partial^2 \kappa}{\partial s^2}. \quad (2.1)$$

Using Cartesian coordinates, introducing  $u : \frac{dx}{ds} = (1+u_x^2)^{-\frac{1}{2}}$ , and expressing  $N_t = -\frac{u_t}{\sqrt{1+u_x^2}}$ , which corresponds to the projection of the velocity on the axis directed by the unit normal  $\begin{pmatrix} u_x \\ -1 \end{pmatrix} \frac{dx}{ds}$ , the equation (2.1) implies (see [2] for details)

$$u_t = -B \partial_x \left\{ (1+u_x^2)^{-\frac{1}{2}} \partial_x \left( (1+u_x^2)^{-\frac{3}{2}} u_{xx} \right) \right\}.$$

The local existence of such an equation has been investigated in a different framework and in a more general form in [6]. To announce this local existence theorem, we shall recall the following spaces for periodic functions:

For  $m \geq 1$ , we denote by

$$H_{per}^m(0, 1) = \left\{ \varphi \in H^m(0, 1) : \varphi^{(j)}(0) = \varphi^{(j)}(1), \quad j = 0, \dots, m-1 \right\}.$$

This space is a Hilbert space with the following scalar product:

$$((\varphi, \psi))_m = ((\varphi, \psi)) = \int_0^1 \varphi(x) \psi(x) dx + \int_0^1 \psi^{(m)}(x) \varphi^{(m)}(x) dx.$$

The associated norm is  $|\varphi|_{H_{per}^m} = \sqrt{((\varphi, \varphi))}$ , which is equivalent to

$$\left| \int_0^1 \varphi(x) dx \right| + \left( \int_0^1 \left( \varphi^{(m)}(x) \right)^2 dx \right)^{\frac{1}{2}} \cdot \frac{d^j \varphi}{dx^j} = \varphi^{(j)},$$

which denotes the  $j^{th}$  derivative of  $\varphi$ . The norm in the usual space  $L^p(0, 1)$  will be denoted  $|\cdot|_p = |\cdot|_{L^p(0,1)}$ .

As usual, we shall denote the time evolution spaces

$$L^p(0, T; H_{per}^m(0, 1)), C^k([0, T]; H_{per}^m(0, 1)).$$

We have shown in particular

**Theorem 2** (see [6]). *For each  $u_0 \in H_{per}^2(0, 1)$ , there is a time  $T_{\max} > 0$  and a unique solution  $u$  belonging to*

$$L^2(0, T; H_{per}^4(0, 1)) \cap C([0, T]; H_{per}^2(0, 1))$$

with  $\frac{\partial u}{\partial t} \in L^2(0, T; L^2(\Omega))$  for all  $T < T_{\max}$  satisfying

$$(M_u) \begin{cases} u_t = -\partial_x \left\{ (1 + u_x^2)^{-\frac{1}{2}} \partial_x \left( (1 + u_x^2)^{-\frac{3}{2}} u_{xx} \right) \right\} & \text{in } (0, 1), t > 0, \\ u(0) = u_0. \end{cases}$$

Furthermore, we have the following energy inequality:

$$\frac{1}{2} \frac{d}{dt} \int_0^1 u(t, x)^2 dx + \int_0^1 \frac{u_{xx}^2}{(1 + u_x^2)^3} dx \leq 0. \quad (2.2)$$

If for all  $T_0 > 0$ , there exists a finite constant  $C(T_0, u_0) > 0$  such that  $\sup_{t \leq T_0} |u(t)|_{H_{per}^2} \leq C(T_0, u_0)$ , then  $T_{\max} = +\infty$  (that is,  $u$  is a global solution on  $\mathbb{R}_+$ ).

To complete this theorem, we shall prove the theorem with more accuracy, that is,

**Theorem 3.** *There exists a computable constant  $c > 0$ , scale independent, such that if  $u_0 \in H_{per}^2(0, 1)$  with  $\gamma = c|u_0|_{L^2(0,1)}(1 + |u_0^{(2)}|_{L^2}^2)^{10} < 1$ , then the unique local solution  $u$  is a global one in  $L^\infty(\mathbb{R}_+; H_{per}^2(0, 1)) \cap L^2(0, T; H_{per}^4(0, 1))$  for all time  $T < +\infty$ . Furthermore, for all  $t > 0$ ,*

$$\text{i) } |u^{(2)}(t)|_{L^2}^2 \leq \frac{1 + |u_0^{(2)}|_{L^2}^2}{(1 - \gamma^2)^{\frac{1}{20}}} = \lambda_0(u_0),$$

$$\text{ii) } |u(t) - \bar{u}_0|_{L^2} \leq |u_0 - \bar{u}_0|_{L^2} e^{-\bar{\lambda}(u_0)t}, \text{ with } \bar{u}_0 = \int_0^1 u_0(x) dx, \bar{\lambda}(u_0) = \frac{1}{[1 + \lambda_0(u_0)^2]^3},$$

$$\text{iii) } |u'(t)|_{L^\infty(0,1)} \leq c(u_0)e^{-\frac{3\bar{\lambda}(u_0)}{4}t}.$$

**Proof.** The proof relies on Corollary 1 of Lemma 1, where we choose  $q(v) = \frac{1}{2} \int_0^1 v^2(x)dx$ ,  $N(v) = \int_0^1 \frac{(v^{(2)})^2}{(1+(v')^2)^3} dx$ , and  $p(v) = 1 + \int_0^1 (v^{(2)})^2(x)dx$ ,  $v \in D = H_{per}^2(0,1)$ .

The first differential inequality of Corollary 1 of Lemma 1 is then given by relation (2.2) with  $f = 0$ .

To find the second differential inequality, we shall use the following interpolation lemmas, which can be found in [8] and [10] (see also [1]).

**Lemma 2** (see [8]). *Let  $v \in W^{1,r}(0,1)$ ,  $\int_0^1 v(x)dx = 0$  and  $1 \leq r \leq +\infty$ . Let  $1 \leq n \leq s \leq +\infty$ , where  $n$  and  $s$  are real numbers. Then*

$$|v|_{L^s(0,1)} \doteq |v|_s \leq \left(1 + \frac{r-1}{r}n\right)^a |v'|_r^a |v|_n^{1-a},$$

with  $a \left(\frac{1}{n} - \frac{1}{r} + 1\right) = \frac{1}{n} - \frac{1}{s}$ .

**Lemma 3** (see [10]). *Let  $m_1, m_2$ , and  $m_3$  be three integers with  $0 \leq m_1 < m_2 < m_3$ . Let  $\theta \in (0,1)$  be such that  $m_2 = \theta m_1 + (1-\theta)m_3$ . Then for all  $v \in H_{per}^{m_3}(0,1)$  with  $\int_0^1 v(x)dx = 0$  we have*

$$|v|_{H_{per}^{m_2}(0,1)} \leq |v|_{H_{per}^{m_1}}^\theta |v|_{H_{per}^{m_3}}^{1-\theta},$$

with  $H_{per}^0(0,1) = L^2(0,1)/\mathbb{R}$ .

We expand the main equation of the system ( $M_u$ ) to derive that the unique local solution satisfies

$$\frac{\partial u}{\partial t} + \frac{u^{(4)}}{(1+u'^2)^2} = -\frac{(15u'^2 - 3)(u^{(2)})^3}{(1+u'^2)^4} - 10\frac{u'u^{(2)}u^{(3)}}{(1+u'^2)^3}. \quad (2.3)$$

Multiplying relation (2.3) by  $u^{(4)}$ , and integrating by parts the first term, we then have

$$\frac{d}{dt} p(u(t)) + 2 \int_0^1 \frac{(u^{(4)})^2}{(1+u'^2)^2} dx \leq I_1(t) + I_2(t), \quad (2.4)$$

with  $I_1(t) = 36 \int_0^1 \frac{|u^{(2)}|^3 |u^{(4)}|}{(1+u'^2)^3} dx$  and  $I_2(t) = 20 \int_0^1 \frac{|u^{(2)}||u^{(3)}||u^{(4)}|}{(1+u'^2)^{\frac{5}{2}}} dx$ . (The integration by parts is justified by the regularity of  $u$  or using the fact that  $u$  is a limit of a smooth sequence  $u_{\varepsilon,m}$  (see [6]).) From now on, we shall denote by  $c$  various constants which are scale independent and independent of  $u$ .

By Young's inequality, we derive that for all  $\eta > 0$  there exists a constant  $c_\eta$  (depending only on  $\eta$ ) such that

$$I_1(t) = 36 \int_0^1 \frac{|u^{(2)}|^3 |u^{(4)}|}{(1+u'^2)^3} dx \leq \eta \int_0^1 \frac{(u^{(4)})^2}{(1+u'^2)^2} dx + c_\eta \int_0^1 |u^{(2)}|^6 dx. \quad (2.5)$$

Using Lemma 2, Lemma 3, and the Sobolev embedding  $H^1(0,1) \subset L^\infty(0,1)$ , we then have

$$\begin{aligned} c_\eta \int_0^1 |u^{(2)}|^6 dx &\leq c_\eta |u^{(4)}|_{L^2} |u^{(2)}|_{L^2}^5 \\ &\leq c_\eta \left| \frac{u^{(4)}}{1+u'^2} \right|_{L^2} \left( 1 + |u^{(2)}|_{L^2}^2 \right)^{\frac{5}{2}} \left| \frac{u^{(2)}}{(1+u'^2)^{\frac{3}{2}}} \right|_{L^2} |u^{(2)}|_{L^2}^4. \end{aligned} \quad (2.6)$$

Setting  $N(u(t)) = \int_0^1 \frac{(u^{(2)})^2}{(1+u'^2)^3} dx$  and using the Cauchy-Schwarz-Young inequality we get, for all  $\eta > 0$ ,

$$c_\eta \int_0^1 |u^{(2)}|^6 dx \leq \eta \int_0^1 \frac{(u^{(4)})^2}{(1+u'^2)^2} dx + c_\eta N(u(t)) (1 + |u^{(2)}|_{L^2}^2)^9. \quad (2.7)$$

Combining relations (2.5) and (2.7), we derive

$$I_1(t) \leq 2\eta \int_0^1 \frac{(u^{(4)})^2}{(1+u'^2)^2} dx + c_\eta N(u(t)) p(u(t))^9, \quad (2.8)$$

while for the second term of the inequality (2.4), i.e.,

$$I_2(t) = 20 \int_0^1 \frac{|u^{(2)}| |u^{(3)}| |u^{(4)}|}{(1+u'^2)^{\frac{5}{2}}} dx,$$

we have from the Hölder and Young inequalities that, for all  $\delta > 0$ , there exists a constant  $c_\delta^1 > 0$  such that

$$I_2(t) \leq \delta \int_0^1 \frac{(u^{(4)})^2}{(1+u'^2)^2} dx + c_\delta^1 \int_0^1 |u^{(2)}|^6 dx + c_\delta^1 \int_0^1 |u^{(3)}|^3 dx. \quad (2.9)$$

Arguing as in relations (2.6) and (2.7), we have for all  $\delta > 0$ ,

$$c_\delta^1 \int_0^1 |u^{(2)}|^6 dx \leq \delta \int_0^1 \frac{(u^{(4)})^2}{(1+u'^2)^2} dx + c_\delta N(u(t)) p(u(t))^9. \quad (2.10)$$

From interpolation inequalities and the Sobolev embeddings, we have

$$c_\delta^1 \int_0^1 |u^{(3)}|^3 dx \leq c_\delta^2 |u^{(4)}|_{L^2}^{\frac{7}{4}} |u^{(2)}|_{L^2}^{\frac{5}{4}} \leq c_\delta^3 \left| \frac{u^{(4)}}{1+u'^2} \right|_{L^2}^{\frac{7}{4}} |u^{(2)}|_{L^2}^{\frac{5}{4}} (1 + |u^{(2)}|_{L^2}^2)^{\frac{7}{4}}. \quad (2.11)$$



From Young's inequality, we derive from relation (2.10) that  $\forall \delta > 0$ ,  $\exists c_\delta^4 > 0$  such that

$$c_\delta^1 \int_0^1 |u^{(3)}|^3 dx \leq \delta \int_0^1 \frac{|u^{(4)}|^2}{(1+u'^2)^2} dx + c_\delta^4 |u^{(2)}|_{L^2}^{10} \left(1 + |u^{(2)}|_{L^2}^2\right)^{14}. \quad (2.12)$$

As before, introducing  $p(u(t))$  and  $N(u(t))$ , we get from (2.12) that

$$c_\delta^1 \int_0^1 |u^{(3)}|^3 \leq \delta \int_0^1 \frac{|u^{(4)}|^2}{(1+u'^2)^2} dx + c_\delta^4 N(u(t)) p(u(t))^{21}. \quad (2.13)$$

Combining relation (2.8) with (2.13), we derive that

$$I_1(t) + I_2(t) \leq 3(\eta + \delta) \int_0^1 \frac{|u^{(4)}|^2}{(1+u'^2)^2} dx + c_{\eta\delta} N(u(t)) p(u(t))^{21}. \quad (2.14)$$

Combining this last relation with relation (2.4), choosing  $3(\eta + \delta) = 1$ , one obtains the following differential inequality:

$$\frac{d}{dt} p(u(t)) + \int_0^1 \frac{|u^{(4)}|^2}{(1+u'^2)^2} dx \leq c_0 N(u(t)) p(u(t))^{21}. \quad (2.15)$$

From relation (2.2), we know that

$$\frac{d}{dt} q(u(t)) + N(u(t)) \leq 0. \quad (2.16)$$

We may appeal to Corollary 1 of Lemma 1 to derive that

$$1 + |u^{(2)}(t)|_{L^2}^2 \leq \frac{1 + |u_0^{(2)}|_2^2}{[1 - c|u_0|_2^2(1 + |u_0^{(2)}|_2^2)^{20}]^{\frac{1}{20}}} = \lambda_0(u_0), \quad (2.17)$$

provided that  $c|u_0|_2^2(1 + |u_0^{(2)}|_2^2)^{20} < 1$ , with  $c = 10c_0$ . Thus,

$$\int_0^t \int_0^1 \frac{|u^{(4)}|^2}{(1+u'^2)^2} dx \leq c(u_0) < +\infty$$

for all  $t \geq 0$ , which implies that

$$\int_0^t \int_0^1 (u^{(4)})^2 \leq c(u_0)(1 + \lambda_0(u_0)^2)^2.$$

Thus,  $T_{\max} = +\infty$ .

Next we want to show that  $u(t)$  tends to  $\bar{u}_0 = \int_0^1 u_0(x) dx$  as  $t$  goes to infinity in  $C_b(\mathbb{R}_+)$  (the set of continuous and bounded functions). Since

$$\frac{1}{2} \frac{d}{dt} \int_0^1 u^2(t, x) dx + \int_0^1 \frac{(u^{(2)})^2}{(1+u'^2)^3} dx \leq 0 \quad (2.18)$$

and  $|u'(t)|_\infty \leq |u^{(2)}(t)|_{L^2(0,1)}$ , we then derive  $|u - \bar{u}_0|_{L^2(0,1)}^2 \leq |u^{(2)}|_{L^2(0,1)}^2$  and

$$\frac{d}{dt} \int_0^1 u^2(t, x) dx + \frac{2}{[1 + \lambda_0(u_0)^2]^3} \int_0^1 |u - \bar{u}_0|^2 dx \leq 0. \quad (2.19)$$

Since  $\int_0^1 u(t, x) dx = \bar{u}_0$ , we then have

$$\int_0^1 u^2(t, x) dx = \int_0^1 u^2(t, x) dx - \bar{u}_0^2. \quad (2.20)$$

Thus, we have the Gronwall inequality

$$\frac{d}{dt} \int_0^1 |u - \bar{u}_0|^2 dx + 2\lambda_1(u_0) \int_0^1 |u - \bar{u}_0|^2 dx \leq 0, \quad (2.21)$$

with  $\lambda_1(u_0) = \frac{1}{[1 + \lambda_0(u_0)^2]^3}$ . Thus, we have

$$\left( \int_0^1 |u(t) - \bar{u}_0|^2 dx \right)^{\frac{1}{2}} \leq e^{-\lambda_1(u_0)t} \left( \int_0^1 |u_0 - \bar{u}_0|^2 \right)^{\frac{1}{2}}. \quad (2.22)$$

Setting  $V(t) = u(t) - u_0$ , we have from the interpolation Lemmas 2 and 3 that

$$|V'(t)|_{L^\infty(0,1)} \leq \sqrt{2} |V^{(2)}|_{L^2}^{\frac{1}{2}} |V'|_{L^2}^{\frac{1}{2}} \leq \sqrt{2} |u^{(2)}(t)|_{L^2}^{\frac{3}{4}} |u(t) - u_0|_{L^2}^{\frac{3}{4}}. \quad (2.23)$$

Thus, the combination of relations (2.22) and (2.23) leads to

$$|u'(t)|_{L^\infty(0,1)} \leq c(u_0) e^{-\frac{3}{4}\lambda_1(u_0)t}, \quad \text{with } c(u_0) = \sqrt{2}\lambda_0(u_0)^{\frac{3}{8}} |u_0 - \bar{u}_0|_{L^2}^{\frac{3}{4}}.$$

**Remark 2.** Since the constants in Lemmas 2 and 3 are explicit, the above method can lead to an estimate of the constant  $c$  by making precise the various constants  $c_\eta$  and  $c_j^i$  appearing here. Nevertheless, in a later work we will give an alternative formulation of the system  $(M_u)$  from which we can compute more easily the constant  $c$ .

**2.2. Description of the blow-up for  $(M_u)$ .** We shall first prove the following theorem, which will give the description of the (eventual) blow-up for  $(M_u)$ .

**Theorem 4.** *Let  $u$  be a solution of  $(M_u)$ ,  $T_0 > 0$ . Assume that  $u \in L^\infty(0, T; H_{per}^2(0, 1)) \cap L^2(0, T; H_{per}^4(0, 1))$ , for all  $T < T_0$ . Then*

$$\sup_{0 \leq t \leq T_0} \left| \frac{\partial u}{\partial x}(t) \right|_{L^\infty(0,1)}$$

is finite if and only if

$$\sup_{0 \leq t \leq T_0} \left| \frac{\partial^2 u}{\partial x^2}(t) \right|_{L^2(0,1)}$$

is finite. In this case,  $u$  is a global solution of  $(M_u)$  on  $[0, T_0]$ .

As a first corollary of such a theorem, we have

**Corollary 2.** *Let  $(u, [0, T_{\max}))$  be the unique (maximal) solution of  $(M_u)$ ; i.e.,  $u \in L^\infty(0, T; H_{per}^2(0, 1)) \cap L^2(0, T; H_{per}^4(0, 1))$  for all  $T < T_{\max}$ . If  $T_{\max} < +\infty$ , then there exists  $x^* \in [0, 1]$  such that*

$$\limsup_{(t,x) \rightarrow (T_{\max}, x^*)} \left| \frac{\partial u}{\partial x}(t, x) \right| = +\infty.$$

The main key lemma for the proof of Theorem 4 is the existence of Lyapunov functional. We shall consider  $u$  under the assumptions of Theorem 4.

**Lemma 4.** *Let  $G : \mathbb{R} \rightarrow \mathbb{R}$  be a  $C^2$  function such that  $G''(\sigma) = \frac{1}{(1+\sigma^2)^{\frac{3}{2}}}$ . Then, we have*

$$\frac{d}{dt} \int_0^1 G(u_x) dx = - \int_0^1 (1 + u_x^2)^{-\frac{1}{2}} \left( \frac{\partial^2}{\partial x^2} G'(u_x) \right)^2 dx.$$

**Proof of Lemma 4.** The periodic function  $v = u_x$  satisfies the equation

$$\partial_t v = -\partial_x^2 \left\{ (1 + v^2)^{-\frac{1}{2}} \partial_x^2 (G'(v)) \right\}. \quad (2.24)$$

Let us multiply this equation by  $G'(v)$  and integrate by parts; we have

$$\frac{d}{dt} \int_0^1 G(v) dx = - \int_0^1 (1 + v^2)^{-\frac{1}{2}} \left( \frac{\partial^2}{\partial x^2} G'(v) \right)^2 dx. \quad (2.25)$$

As a consequence of the above lemma, one has

**Lemma 5.** *As before, we set  $v = u_x$ . Then for all  $t \geq 0$*

$$\begin{aligned} \text{i) } & \int_0^t \int_0^1 (1 + v^2)^{-\frac{11}{2}} [v_{xx}(1 + v^2) - 3v_x^2 v]^2 d\tau dx \\ & \leq \int_0^1 G(u_{0x}) dx - \int_0^1 G(v)(t, x) dx; \end{aligned}$$

ii) *setting  $w = (1 + v^2)^{-\frac{11}{4}} [v_{xx}(1 + v^2) - 3v_x^2 v]$ , then*

$$\int_0^1 v_{xx}^2 (1 + v^2) dx + \int_0^1 v_x^4(t, x) dx \leq \int_0^1 (1 + v^2)^{\frac{11}{4}} w v_{xx} dx.$$

**Proof of Lemma 5.** The computation of  $\partial_x^2 G'(v)$  gives us

$$\partial_x^2 G'(v) = (1 + v^2)^{-\frac{5}{2}} [v_{xx}(1 + v^2) - 3v_x^2 v].$$

Using this expression in Lemma 4 and integrating between 0 and  $t$ , we have the first statement, i).

For the second statement, we compute  $(1 + v^2)^{\frac{11}{4}} w v_{xx}$  and integrate over  $[0, 1]$  to obtain

$$\int_0^1 (1 + v^2)^{\frac{11}{4}} w v_{xx} dx = \int_0^1 v_{xx}^2 (1 + v^2) dx - 3 \int_0^1 v_x^2 v_{xx} v dx. \quad (2.26)$$

But one has by integration by parts

$$-3 \int_0^1 v_x^2 v v_{xx} dx = - \int_0^1 (v_x^3)_x v dx = \int_0^1 v_x^4(t, x) dx. \quad (2.27)$$

We then deduce the result.  $\square$

**Proof of Theorem 4.** If  $\sup_{t \leq T_0} |\frac{\partial^2 u}{\partial x^2}(t)|_{L^2(0,1)}$  is finite, then from Sobolev embedding

$$\sup_{t \leq T_0} |\frac{\partial u}{\partial x}(t)|_{L^\infty(0,1)} \leq \sup_{t \leq T_0} |\frac{\partial^2 u}{\partial x^2}(t)|_{L^2(0,1)} < +\infty.$$

Conversely, assume that  $\sup_{t \leq T_0} |\frac{\partial u}{\partial x}(t)|_{L^\infty(0,1)} = c(T_0, u_0) < +\infty$ . Then, for all  $t \leq T_0$ , Lemma 5 implies

$$\begin{aligned} \int_0^t \int_0^1 w^2(\tau, x) d\tau dx &\leq \int_0^1 [G(v_0) - G(v)](t, x) dx \\ &\leq \sup_{\sigma \in \mathbb{R}} |G'(\sigma)| \int_0^1 |v_0(x) - v(t, x)| dx \\ &\leq \sup_{\sigma} |G'(\sigma)| \left[ \int_0^1 |u_{0x}(x)| dx + c(T_0, u_0) \right] = c_1(T_0, u_0) < +\infty. \end{aligned}$$

Thus, from the Cauchy-Schwarz inequality, we then have

$$\begin{aligned} \int_0^t \int_0^1 (1 + v^2)^{\frac{11}{4}} w v_{xx} d\tau dx \\ \leq (1 + c(T_0, u_0)^2)^5 \int_0^t \int_0^1 w^2(\tau, x) d\tau dx + \frac{1}{2} \int_0^t d\tau \int_0^1 (1 + v^2) v_{xx}^2 dx. \end{aligned}$$

Combining this last relation with the second statement, ii), of Lemma 5, we derive

$$\begin{aligned} & \int_0^{T_0} d\tau \int_0^1 (1+v^2)v_{xx}^2 dx + \int_0^{T_0} \int_0^1 v_x^4 d\tau dx \\ & \leq 2(1+c(T_0, u_0)^2)^5 c_1(T_0, u_0) = c_2(T_0, u_0). \end{aligned} \quad (2.28)$$

Next, we recall that from relation (2.4), (2.5) and (2.9), we have,  $\forall \eta > 0$ ,  $\exists c_\eta > 0$ ,

$$\frac{d}{dt} |u^{(2)}(t)|_{L^2(0,1)}^2 + 2(1-\eta) \int_0^1 \frac{(u^{(4)})^2}{(1+u'^2)^2} \leq c_\eta \left( \int_0^1 |u^{(3)}|^3 dx + \int_0^1 |u^{(2)}|^6 dx \right). \quad (2.29)$$

From relation (2.28), we deduce that

$$\int_0^{T_0} \int_0^1 (u^{(3)})^2 dx d\tau + \int_0^{T_0} \int_0^1 (u^{(2)})^4 dx d\tau \leq c_2(T_0, u_0). \quad (2.30)$$

By interpolation Lemma 2, we deduce

$$\begin{aligned} \int_0^1 |u^{(2)}|^6 dx & \leq |u^{(2)}|_{L^\infty(0,1)}^4 |u^{(2)}|_{L^2(0,1)}^2 \leq 2|u^{(1)}|_{L^2}^2 |u^{(3)}|_{L^2}^2 |u^{(2)}|_{L^2}^2 \\ & \leq 2c(T_0, u_0)^2 |u^{(3)}(t)|_{L^2}^2 |u^{(2)}|_{L^2}^2, \end{aligned} \quad (2.31)$$

and we have

$$\begin{aligned} \int_0^1 |u^{(3)}|^3 dx & \leq |u^{(3)}|_\infty |u^{(3)}|_{L^2}^2 \leq 4^{\frac{1}{3}} |u^{(3)}|_\infty |u^{(1)}|_{L^2}^{\frac{2}{3}} |u^{(4)}|_{L^2}^{\frac{4}{3}} \\ & \leq 8c(T_0, u_0) |u^{(2)}|_{L^2}^{\frac{1}{2}} |u^{(4)}|_{L^2}^{\frac{11}{6}} \leq \eta \int_0^1 \frac{(u^{(4)})^2}{(1+u'^2)^2} dx + c_\eta(T_0) |u^{(2)}|_{L^2}^6 \\ & \leq c_\eta(T_0) |u^{(2)}|_{L^4}^4 |u^{(2)}|_{L^2}^2 + \eta \int_0^1 \left( \frac{u^{(4)}}{1+u'^2} \right)^2 dx. \end{aligned} \quad (2.32)$$

Thus, setting  $g(t) = |u^{(3)}(t)|_{L^2}^2 + |u^{(2)}(t)|_{L^4}^4$  and choosing  $\eta = \frac{1}{4}$ , we deduce the Gronwall inequality, from relations (2.29), (2.31), and (2.32),

$$\frac{d}{dt} |u^{(2)}|_{L^2}^2 \leq c(T_0) g(t) |u^{(2)}|_{L^2}^2,$$

which implies that  $\forall T_0$

$$|u^{(2)}(t)|_{L^2}^2 \leq |u_0^{(2)}|_{L^2}^2 e^{c(T_0) \int_0^{T_0} g(\sigma) d\sigma}. \quad (2.33)$$

The right-hand side of (2.33) is finite according to relation (2.30).  $\square$

**Proof of Corollary 2.** If  $T_{\max} < +\infty$ , then necessarily

$$\sup_{t \leq T_{\max}} \left| \frac{\partial^2 u}{\partial x^2}(t) \right|_{L^2(0,1)} = +\infty;$$

otherwise,  $u$  is a global solution on  $[0, T_{\max}]$  and can be extended on  $[T_{\max}, T_{\max} + \delta]$ , which contradicts the fact that it is maximal. Thus, from Theorem 4, we deduce that

$$\sup_{t \leq T_{\max}} \left| \frac{\partial u}{\partial x}(t) \right|_{L^\infty(0,1)} = \sup_{t \leq T_{\max}} \left| \frac{\partial^2 u}{\partial x^2}(t) \right|_{L^2(0,1)} = +\infty.$$

This implies that there is a sequence  $t_n \nearrow T_{\max}$  and  $x_n \in [0, 1]$  such that

$$\sup_{t \leq t_n} \left| \frac{\partial u}{\partial x}(t) \right|_{L^\infty(0,1)} = \left| \frac{\partial u}{\partial x}(t_n, x_n) \right|$$

and

$$\lim_n \left| \frac{\partial u}{\partial x}(t_n, x_n) \right| = +\infty.$$

There is  $x^* \in [0, 1]$  and a subsequence  $x_{\sigma(n)}$  such that  $x_{\sigma(n)} \rightarrow x^*$ . We then have

$$\limsup_{(t,x) \rightarrow (T_{\max}, x^*)} \left| \frac{\partial u}{\partial x}(t, x) \right| \geq \lim_n \left| \frac{\partial u}{\partial x}(t_{\sigma(n)}, x_{\sigma(n)}) \right| = +\infty.$$

**2.3. Navier-Stokes equations.** Let us consider the Navier-Stokes equations, following the notation and results that can be found in [3], [9], and [10]. We recall the physical situations and definitions in the appendix. For any  $u_0 \in V$ , we have a local (maximal) strong solution  $(u, [0, T_{\max}))$  such that

$$\begin{cases} \frac{du}{dt} + \nu Au + B(u, u) = 0, \\ u(0) = u_0, \\ u \in L^2(0, T; D(A)) \cap L^\infty(0, T; V) \text{ for all } T < T_{\max}. \end{cases}$$

We have to show that if  $\|u_0\| < 2\frac{\nu^2}{c^2}$ , then  $T_{\max} = +\infty$ . To apply Corollary 1 of Lemma 1 we shall consider  $D = V \subset H$ ,  $p(v) = \frac{\|v\|^2}{2}$ ,  $q(v) = \frac{|v|^2}{2}$ , and  $N(v) = \nu\|v\|^2$ . The following energy inequalities are well-known (see the above references):

$$\begin{cases} \frac{1}{2} \frac{d}{dt} \|u(t)\|^2 + \nu \|u(t)\|^2 = 0, \\ \frac{1}{2} \frac{d}{dt} \|u(t)\|^2 + \nu |Au(t)|^2 = -(B(u(t), u(t)), Au(t)) \leq c \|u(t)\|^{\frac{3}{2}} |Au(t)|^{\frac{3}{2}}. \end{cases}$$

By Young's inequality this implies

$$\begin{cases} \frac{d}{dt}q(u(t)) + N(u(t)) = 0, \\ \frac{d}{dt}p(u(t)) + \nu|Au(t)|^2 \leq \frac{3}{4}\nu|Au(t)|^2 + \frac{c^4}{4}\nu^{-3}\|u(t)\|^6, \end{cases}$$

from which we get

$$\begin{cases} \frac{d}{dt}q(u(t)) + N(u(t)) = 0, \\ \frac{d}{dt}p(u(t)) \leq c^4\nu^{-4}N(u(t))p(u(t))^2. \end{cases}$$

We may appeal Corollary 1 of Lemma 1 with  $f = 0$ ,  $c_1 = c^4\nu^{-4}$ , and  $m = 2$  so that the condition reads  $c_1q(u_0)p(u_0) < 1 \iff |u_0|\|u_0\| < 2\frac{\nu^2}{c^2}$ . Thus, we have for all  $t \geq 0$

$$\|u(t)\| \leq \frac{2\|u_0\|}{[4 - c^4\nu^{-4}|u_0|^2\|u_0\|^2]^{\frac{1}{2}}}, \quad (2.34)$$

from which we deduce the theorem.

**Theorem 5.** *Let  $c$  be the Sobolev constant given by*

$$c = \sup_{\varphi \in D(A) \setminus \{0\}} \frac{|B(\varphi, \varphi)|}{\|\varphi\|^{\frac{3}{2}}|A\varphi|^{\frac{1}{2}}}.$$

*Then for any  $u_0 \in V$  satisfying  $|u_0|\|u_0\| < \frac{2}{c^2}\nu^2$ , there is a strong solution  $u$  of the Navier-Stokes equations such that  $u \in L^\infty(\mathbb{R}_+, V)$  and satisfying estimates (2.34).*

In the physical problem that we recall below in the appendix, we need initial data  $\vec{u}_0 \in H_0^1(\Omega)^3$  such that  $\operatorname{div}(\vec{u}_0) = 0$ . Here is a construction of initial data satisfying condition (2), that is,  $|u_0|\|u_0\| < \frac{2}{c^2}\nu^2$ .

**Theorem 6.** *Let  $\Omega$  be an open, bounded set of  $\mathbb{R}^3$ , starshaped with respect to a point  $x_0$ . For all  $a > 0$  and all  $\vec{v} \in \mathcal{V} = \{\vec{\varphi} \in C_c^\infty(\Omega)^3, \operatorname{div}(\vec{\varphi}) = 0\}$  we have a sequence  $\vec{u}_{0j} \in \mathcal{V}$  such that*

$$\begin{aligned} \text{i) } & |\vec{u}_{0j}| \doteq |\vec{u}_{0j}|_{L^2(\Omega)^3} \xrightarrow{j \rightarrow \infty} 0 \text{ and } \|\vec{u}_{0j}\| \doteq |\nabla \vec{u}_{0j}|_2 \xrightarrow{j \rightarrow \infty} +\infty, \\ \text{ii) } & |\vec{u}_{0j}|\|\vec{u}_{0j}\| = a^2|\vec{v}|\|\vec{v}\|. \end{aligned}$$

*In particular, if we choose  $a$  such that  $a^2|\vec{v}|\|\vec{v}\| < 2\frac{\nu^2}{c^2}$ , then  $\vec{u}_{0j}$  satisfies condition (2) and  $\|\vec{u}_{0j}\| \xrightarrow{j \rightarrow \infty} +\infty$ .*

**Proof of Theorem 6.** For  $\vec{v} \in \mathcal{V}$ ,  $j \geq 1$ , and  $a > 0$  the function  $\vec{u}_{0j} = aj\vec{v}(j(x - x_0) + x_0)$  belongs to  $\mathcal{V}$ . Since  $\Omega$  is starshaped with respect to  $x_0$ ,

one has  $|\vec{u}_{0j}| = \frac{a}{\sqrt{j}}|\vec{v}|$  and  $\|\vec{u}_{0j}\| = a\sqrt{j}\|\vec{v}\|$ , from which we derive the result.  $\square$

**Theorem 7.** *Under the construction given in Theorem 6, for all  $\nu > 0$ , for all  $v \doteq \vec{v} \in \mathcal{V}$ ,  $a > 0$  small, there is a strong global solution  $u_j$  of*

$$\frac{du_j}{dt} + \nu Au_j + B(u_j, u_j) = 0, \quad u_j(0) = u_{0j}.$$

The norm  $\|u_{0j}\| \rightarrow +\infty$  as  $j \rightarrow +\infty$ .

**Proof.** It is a direct consequence of Theorem 6 and Theorem 3.

**Theorem 8.** *Let  $f \in L^2(\mathbb{R}_+, H)$ ,  $u_0 \in V$ . Assume that*

$$\left( \mu \int_0^{+\infty} |f(t)|^2 dt + |u_0|^2 \right) \left( 1 + \|u_0\|^2 \right) < 2 \frac{\nu^4}{c^4}, \quad (\text{c1}),$$

with  $\mu = \frac{1}{\lambda_1 \nu} + \frac{4\nu^3}{c^4}$ . Then, there is a unique global, strong solution of the Navier-Stokes equations, with  $u_0$  and  $f$  as data, say

$$\frac{du}{dt} + \nu Au + B(u, u) = f, \quad u(0) = u_0.$$

**Proof.** The usual energy inequalities associated to the Navier-Stokes equations (see [3] and [9]) are

$$\begin{cases} \frac{1}{2} \frac{d}{dt} |u(t)|^2 + \nu \|u(t)\|^2 = (f(t), u(t)), \\ \frac{1}{2} \frac{d}{dt} \|u(t)\|^2 + \nu |Au(t)|^2 \leq c \|u(t)\|^{\frac{3}{2}} |Au(t)|^{\frac{3}{2}} + (f(t), Au(t)), \end{cases}$$

from which we derive (using Young's inequalities)

$$(\mathcal{A}) \quad \begin{cases} \frac{d}{dt} |u(t)|^2 + \nu \|u(t)\|^2 \leq \frac{1}{\lambda_1 \nu} |f(t)|^2, \\ \frac{d}{dt} \|u(t)\|^2 \leq \frac{c^4 \nu^{-3}}{2} \|u(t)\|^6 + \frac{2}{\nu} |f(t)|^2. \end{cases}$$

We set  $N(u(t)) = \nu \|u(t)\|^2 + \frac{4\nu^3}{c^4} |f(t)|^2$ ,  $\mu = \frac{1}{\lambda_1 \nu} + \frac{4\nu^3}{c^4}$ ,  $q(u(t)) = |u(t)|^2$ , and  $p(u(t)) = \|u(t)\|^2 + 1$ . Then the system  $(\mathcal{A})$  can be written as

$$(\mathcal{B}) \quad \begin{cases} \frac{d}{dt} q(u(t)) + N(u(t)) \leq \mu |f(t)|^2, \\ \frac{d}{dt} p(u(t)) \leq \frac{c^4 \nu^{-4}}{2} N(u(t)) p(u(t))^2. \end{cases}$$

We may apply Corollary 1 of Lemma 1 to derive the result.  $\square$

**Sufficient conditions for obtaining (c1) when  $\|u_0\|$  is large.** According to Theorem 6, for each element  $v \in \mathcal{V}$ ,  $a > 0$ , we have a sequence  $u_{0j} \in \mathcal{V}$  such that



- i)  $|u_{0j}| \|u_{0j}\| = a^2 |v| \|v\|,$
- ii)  $|u_{0j}| \xrightarrow{j \rightarrow +\infty} 0, \|u_{0j}\| \xrightarrow{j \rightarrow +\infty} +\infty.$

To fulfill condition (c1), we can choose for instance  $a$  and  $u_{0j}$  such that

$$a^2 |v| \|v\| < \frac{1}{\sqrt{2}} \frac{\nu^2}{c^2} \quad \text{and} \quad |u_{0j}| < \frac{1}{\sqrt{2}} \frac{\nu^2}{c^2}, \quad \|u_{0j}\| \geq 1.$$

Then for all  $f \in L^2(\mathbb{R}_+, H)$ , with  $\mu \|u_{0j}\| \int_0^{+\infty} |f(t)|^2 dt < \frac{1}{2} \frac{\nu^4}{c^4}$ , the condition (c1) is fulfilled.  $\square$

### 3. APPENDIX: NAVIER-STOKES EQUATIONS ([3], [9])

Let  $\Omega$  be an open, bounded, smooth set of  $\mathbb{R}^3$ ,  $f : \mathbb{R}_+ \times \Omega \rightarrow \mathbb{R}$  and  $u_0 : \Omega \rightarrow \mathbb{R}^3$  being given. The Navier-Stokes equations consist in finding a vector field  $u = (u_1, u_2, u_3) : \mathbb{R}_+ \times \Omega \rightarrow \mathbb{R}^3$  and  $p : \mathbb{R}_+ \times \Omega \rightarrow \mathbb{R}$  a scalar function such that

$$(N.S) \quad \begin{cases} \frac{\partial u}{\partial t} - \nu \Delta u + \sum_{i=1}^3 u_i \cdot \frac{\partial u}{\partial x_i} + \nabla p = f & \text{in } \mathbb{R}_+ \times \Omega, \\ \operatorname{div} u = \frac{\partial u_1}{\partial x_1} + \frac{\partial u_2}{\partial x_2} + \frac{\partial u_3}{\partial x_3} = 0 & \text{in } \mathbb{R}_+ \times \Omega, \\ u = 0 & \text{in } \mathbb{R}_+ \times \partial\Omega, \\ u(0, x) = u_0(x) & \text{(initial data), } x \in \Omega. \end{cases}$$

The spaces  $H$  and  $V$  are defined by

$$H = \text{closure in } L^2(\Omega) \text{ of } \mathcal{V} = \{v \in C_c^\infty(\Omega)^3, \operatorname{div} v = 0\},$$

$$V = \text{closure in } H_0^1(\Omega)^3 \text{ of } \mathcal{V}.$$

For the variational formulation, we need the following bilinear form on  $V \times V \times V$  and scalar products:

- $b(u, v, w) = \sum_{i,j=1}^3 \int_{\Omega} u_i \frac{\partial v_j}{\partial x_i} w_j dx$ ,  $u = (u_1, u_2, u_3)$ ,  $v = (v_1, v_2, v_3)$ , and  $w = (w_1, w_2, w_3)$ ;
- $((u, v)) = \int_{\Omega} \nabla u \cdot \nabla v dx$ ,  $(u, v) = \int_{\Omega} u \cdot v dx$ ;
- $\langle T, w \rangle =$  the duality product between  $T \in V'$  and  $w \in V$ .

$V'$  is the dual space of  $V$ . The bilinear form and the scalar product  $((\cdot, \cdot))$  define operators by setting, for  $u \in V$ ,

$$\begin{cases} \langle B(u, u), w \rangle = b(u, u, w) & \forall w \in V, \\ \langle Au, w \rangle = ((u, w)) & \forall w \in V. \end{cases}$$

Thus, for  $u \in L^2(0, T; V)$ ,  $T < +\infty$ , we have  $B(u, u) \in L^1(0, T; V')$  and  $Au \in L^2(0, T; V')$ , where  $A$  is linear. Thus, the variational formulation of  $(N.S)$  for  $f \in L^2(0, T; H)$ ,  $u_0 \in V$  gives

$$\begin{cases} \frac{d}{dt}(u, v) + \nu((u, v)) + b(u, u, v) = (f, v) & \forall v \in V, \\ u(0) = u_0, \end{cases}$$

which is equivalent to

$$\begin{cases} \frac{du}{dt} + \nu Au + B(u, u) = f & \text{(equality in } V'), \\ u(0) = u_0. \end{cases}$$

The main properties of  $B$  are given in [9] and [10].

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