

## NOTE ON THE CLASS NUMBER OF THE $p$ TH CYCLOTOMIC FIELD, III

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**Abstract:** Let  $p = 2\ell^f + 1$  be a prime number with  $f \geq 2$  and an odd prime number  $\ell$ . For  $0 \leq t \leq f$ , let  $K_t$  be the imaginary subfield of the  $p$ th cyclotomic field  $\mathbb{Q}(\zeta_p)$  with  $[K_t : \mathbb{Q}] = 2\ell^t$ . Denote by  $h_{p,t}^-$  the relative class number of  $K_t$ , and by  $h_{p,t}^+$  the class number of the maximal real subfield  $K_t^+$ . It is known that the ratio  $h_{p,f}^-/h_{p,f-1}^-$  is odd (and hence so is  $h_{p,f}^+/h_{p,f-1}^+$ ) whenever 2 is a primitive root modulo  $\ell^2$ . We show that  $h_{p,f}^+/h_{p,f-1}^+$  is odd under a somewhat milder assumption on  $\ell$  and that the ratio  $h_{p,f-1}^-/h_{p,f-2}^-$  is always odd when  $\ell = 3$ .

**Keywords:** relative class number, cyclotomic field.

### 1. Introduction

Let  $p$  be an odd prime number. Let  $K = \mathbb{Q}(\zeta_p)$  be the  $p$ th cyclotomic field, and  $h_p^-$  the relative class number of  $K$ . Here, for an integer  $m \geq 2$ ,  $\zeta_m$  denotes a primitive  $m$ th root of unity. When  $p = 2\ell + 1$  for some odd prime number  $\ell$ , it is conjectured that  $h_p^-$  is odd. There are several results and computations related to this conjecture, for which see Estes [3], Steinhagen [12], Metsänkylä [10] and some references therein. In the previous papers [4, 5], we extended some of these results for prime numbers of the form  $p = 2\ell^f + 1$  with  $f \geq 2$  and  $p = 2^{e+1}\ell + 1$  with  $e \geq 1$ . In what follows, let  $p = 2\ell^f + 1$  be a prime number with  $f \geq 2$  and an odd prime number  $\ell$ . For each  $0 \leq t \leq f$ , we denote by  $K_t$  the imaginary subfield of  $K$  of degree  $2\ell^t$  over  $\mathbb{Q}$  and by  $k_t = K_t^+$  the maximal real subfield of  $K_t$ . Let  $h_{p,t}^-$  be the relative class number of  $K_t$ , and  $h_{p,t}^+$  the class number of  $k_t$  in the usual sense. Then we have  $K_f = K$ ,  $h_{p,f}^- = h_p^-$ ,  $K_0 = \mathbb{Q}(\sqrt{-p})$  and  $k_0 = \mathbb{Q}$ . Using class field theory, we can easily show that  $h_{p,t-1}^\pm$  divides  $h_{p,t}^\pm$  for each  $t$ . In [4], we proved that the ratio  $h_{p,f}^-/h_{p,f-1}^-$  is odd whenever 2 is a primitive root modulo  $\ell^2$ , and gave some computational results in the range  $p = 2\ell^f + 1 < 2^{56}$ , which suggest that  $h_{p,t}^-/h_{p,t-1}^-$  might be odd if  $t_0 + 1 \leq t \leq f$  with  $t_0 = \text{ord}_\ell(2^{\ell-1} - 1)$ . It is

known that the ratio  $h_{p,t}^+/h_{p,t-1}^+$  is odd if  $h_{p,t}^-/h_{p,t-1}^-$  is odd (see Lemma 1 in §2), and hence it follows from the above that the ratio  $h_{p,f}^+/h_{p,f-1}^+$  is odd whenever 2 is a primitive root modulo  $\ell^2$ .

The purposes of this note are (i) to relax the assumption of the last assertion on the real class number (Proposition 1) and (ii) to deal with the case  $t = f - 1$  for the relative class number (Propositions 2, 3). The assertion on the real class number is given for a fixed  $f$  and varying  $\ell$ , while the ones on the relative class number are given for a fixed  $\ell$  and varying  $f$ .

**Proposition 1.** *Under the above setting, assume that  $\ell \equiv 3 \pmod{4}$  and the order of 2 modulo  $\ell^2$  is  $(\ell - 1)\ell/2$ . Then the ratio  $h_{p,f}^+/h_{p,f-1}^+$  is odd.*

**Proposition 2.** *Let  $\ell$  be an odd prime number such that 2 is a primitive root modulo  $\ell^2$ . Then the ratio  $h_{p,f-1}^-/h_{p,f-2}^-$  is odd for any prime number  $p = 2\ell^f + 1$  if  $p > (2\ell(\ell - 1))^{\ell(\ell-1)}$ .*

Let  $\ell = 3$ . By the computation of Williams and Zarnke [15], it is known that when  $f \leq 325$ ,  $p = 2 \cdot 3^f + 1$  is a prime number for

$$f = 1, 2, 4, 5, 6, 9, 16, 17, 30, 54, 57, 60, 65, 132, 180, 320.$$

We see from Proposition 2 that  $h_{p,f-1}^-/h_{p,f-2}^-$  is odd if  $p > 12^6$  since 2 is a primitive root modulo 9. In view of the above data, this implies that the ratio is odd when  $f \geq 16$  as  $2 \cdot 3^{16} + 1 > 12^6$ . On the other hand, we already know by [4, Proposition 2] that  $h_{p,t}^-/h_{p,t-1}^-$  is odd for any  $2 \leq t \leq f$  when  $p = 2 \cdot 3^f + 1 < 2^{56}$ , namely when  $f \leq 30$  in the above data. Therefore, we obtain the following:

**Proposition 3.** *When  $\ell = 3$ ,  $h_{p,f-1}^-/h_{p,f-2}^-$  is odd for any prime number  $p = 2 \cdot 3^f + 1$ .*

**Remark 1.**

- (I) When  $p = 2\ell + 1$  (the case  $f = 1$ ), it is shown in [3, 10, 12] that  $h_p^-$  is odd (and hence so is  $h_p^+$ ) when  $\ell \equiv 3 \pmod{4}$  and the order of 2 modulo  $\ell$  is  $(\ell - 1)/2$ . It is not clear to us whether their methods can be applied for showing that  $h_{p,f}^-/h_{p,f-1}^-$  is odd under the setting and the assumption of Proposition 1.
- (II) A similar condition appears also for an odd prime number  $r$ . Let  $p = 2\ell + 1$  be as above, and assume that  $\ell \equiv 3 \pmod{4}$  and that the order of  $r$  modulo  $\ell$  is  $\ell - 1$  or  $(\ell - 1)/2$ . Then Jakubec and Trojovský [9, Theorem 1] and Trojovský [13, Theorem 1] showed that  $h_p^+$  is not divisible by  $r$  when  $r \leq 10000$ .

## 2. Proof of Proposition 1

For a while, we work in a more general setting. Let  $p$  be an odd prime number with  $p \equiv 3 \pmod{4}$ , and put  $K = \mathbb{Q}(\zeta_p)$  and  $K_0 = \mathbb{Q}(\sqrt{-p})$ . We denote by  $Cl_N$

the ideal class group of a number field  $N$  in the usual sense. Let  $Cl_K^-$  be the kernel of the norm map  $Cl_K \rightarrow Cl_{K^+}$  where  $N^+$  is the maximal real subfield of an imaginary abelian field  $N$ . We denote by  $A_K^-$  and  $A_K^+$  the 2-primary parts of the class groups  $Cl_K^-$  and  $Cl_{K^+}$ , respectively. The Galois group  $\Delta = \text{Gal}(K^+/\mathbb{Q})$  is naturally identified with  $\text{Gal}(K/K_0)$  as  $K = K^+K_0$ . We can naturally regard the groups  $A_K^-$  and  $A_K^+$  as modules over the group ring  $\mathbb{Z}[\Delta]$ . We fix algebraic closures  $\bar{\mathbb{Q}}$  and  $\bar{\mathbb{Q}}_2$  of the rationals  $\mathbb{Q}$  and the 2-adic rationals  $\mathbb{Q}_2$ , respectively, and we fix an embedding  $\bar{\mathbb{Q}} \hookrightarrow \bar{\mathbb{Q}}_2$  in all what follows. A character of  $\Delta$  or a Dirichlet character of conductor  $p$  is assumed to be  $\bar{\mathbb{Q}}$ -valued and at the same time as  $\bar{\mathbb{Q}}_2$ -valued via the embedding  $\bar{\mathbb{Q}} \hookrightarrow \bar{\mathbb{Q}}_2$ . Further, a character of  $\Delta$  is often regarded as an even Dirichlet character of conductor  $p$ . For a character  $\chi$  of  $\Delta$ , let

$$e_\chi = \frac{1}{|\Delta|} \sum_{\sigma \in \Delta} \text{Tr}_\chi(\chi(\sigma^{-1}))\sigma \in \mathbb{Z}_2[\Delta] \tag{1}$$

be the idempotent of  $\mathbb{Z}_2[\Delta]$  associated to  $\chi$ ,  $\text{Tr}_\chi$  being the trace map from  $\mathbb{Q}_2(\chi)$  to  $\mathbb{Q}_2$ . Here,  $\mathbb{Z}_2$  denotes the ring of 2-adic integers and  $\mathbb{Q}_2(\chi)$  the subfield of  $\bar{\mathbb{Q}}_2$  generated by the values of  $\chi$  over  $\mathbb{Q}_2$ . For a module  $X$  over  $\mathbb{Z}[\Delta]$ , we set  $X(\chi) = \widehat{X}^{e_\chi}$  or  $e_\chi \widehat{X}$ , where  $\widehat{X} = X \otimes_{\mathbb{Z}} \bar{\mathbb{Z}}_2$ . The following assertion is shown in Cornacchia [1, Theorem 1]. (See also [8, Theorem 4] for an alternative proof.)

**Lemma 1.** *Under the above setting, the following conditions are equivalent to each other.*

- (I) *At least one of  $A_K^-(\chi)$  and  $A_K^-(\chi^{-1})$  is trivial.*
- (II) *Both of  $A_K^+(\chi)$  and  $A_K^+(\chi^{-1})$  are trivial.*

The following assertion is a consequence of Lemma 1.

**Lemma 2.** *Under the setting of Lemma 1, assume that  $-1 \equiv 2^a \pmod{d}$  for some  $a \in \mathbb{Z}$  where  $d$  is the order of  $\chi$ . Then  $A_K^-(\chi)$  is trivial if and only if so is  $A_K^+(\chi)$ .*

**Proof.** Under the assumption on  $d$ , we see that  $\chi$  and  $\chi^{-1}$  are conjugate over  $\mathbb{Q}_2$ , and hence that  $X(\chi) = X(\chi^{-1})$  for every  $\mathbb{Z}[\Delta]$ -module  $X$ . Therefore, the assertion follows from Lemma 1. ■

Let  $\delta$  be the quadratic character associated to  $K_0 = \mathbb{Q}(\sqrt{-p})$ . Regarding a character  $\chi$  of  $\Delta$  as an even Dirichlet character of conductor  $p$ , we denote by

$$B_{1,\delta\chi} = \frac{1}{p} \sum_{a=1}^{p-1} a\delta\chi(a)$$

the generalized Bernoulli number. As for the order of  $A_K^-(\chi)$ , Greither [6, Theorem A] proved that

$$|A_K^-(\chi)| = |\mathcal{O}_\chi / \beta_{\delta\chi^{-1}} \mathcal{O}_\chi| \quad \text{with} \quad \beta_{\delta\chi} = \frac{1}{2} B_{1,\delta\chi} \tag{2}$$

as a consequence of the Iwasawa main conjecture. Here,  $\mathcal{O}_\chi$  is the ring of integers of  $\mathbb{Q}_2(\chi)$ .

We return back to the specific setting in §1 with  $p = 2\ell^f + 1$  and recall what we have shown in the previous paper [4]. We use the same notation as above. In particular,  $K = K_f$  and  $\Delta = \text{Gal}(K_f/K_0) = \text{Gal}(k_f/\mathbb{Q})$ . In what follows, we assume that  $\text{ord}_\ell(2^{\ell-1} - 1) = 1$ , where  $\text{ord}_\ell(*)$  is the additive  $\ell$ -adic valuation with  $\text{ord}_\ell(\ell) = 1$ . This is satisfied in the setting of Propositions 1 and 2. For an element  $x \in \mathbb{Z}_p$ , let  $s_p(x) \in \mathbb{Z}$  be the unique integer with  $s_p(x) \equiv x \pmod p$  and  $0 \leq s_p(x) \leq p - 1$ . Fixing a primitive root  $g$  modulo  $p$ , we put

$$G_{t,j_0} = G_{t,j_0}(T) = \sum_{v=0}^{\ell-1} \left( \sum_{u=0}^{\ell^f-t-1} s_p(g^{2(\ell^t u + \ell^{t-1} v + j_0)}) \right) T^v \ (\in \mathbb{Z}[T])$$

for each integer  $j_0 \geq 0$ . Let  $\chi_t$  be an arbitrary character of  $\Delta$  with order  $\ell^t$  for each  $0 \leq t \leq f$ . The value  $\beta_{\delta\chi_t}$  is contained in  $F_t = \mathbb{Q}(\zeta_{\ell^t})$ . In [4, page 303], we have shown that

$$\text{Tr}_{F_t/F_1} \left( \zeta_{\ell^t}^{-j_0} \beta_{\delta\chi_t} \right) = \frac{\ell^{t-1}}{p} G_{t,j_0}(\zeta_\ell) \tag{3}$$

with

$$\zeta_{\ell^t} = \chi_t(g^2) \quad \text{and} \quad \zeta_\ell = \zeta_{\ell^t}^{\ell^{t-1}} = \chi_t(g^{2\ell^{t-1}}). \tag{4}$$

What we have actually shown in the proof of the main theorem of [4] is the following. Let  $\Phi_\ell = \Phi_\ell(T)$  be the  $\ell$ th cyclotomic polynomial. For a polynomial  $G = G(T) \in \mathbb{Z}[T]$ , let  $\tilde{G} = G \pmod 2 \in \mathbb{F}_2[T]$ . Here,  $\mathbb{F}_2$  is the finite field of two elements.

**Lemma 3.** *When  $t = f$ , there exists some  $j_0$  such that  $\tilde{G}_{f,j_0}$  is not divisible by  $\tilde{\Phi}_\ell$ .*

Assume that  $\ell \equiv 3 \pmod 4$  and that the order of 2 modulo  $\ell^2$  is  $(\ell - 1)\ell/2$ . Let  $D_t$  be the decomposition group of the prime 2 for the abelian extension  $F_t/\mathbb{Q}$ . Then the assumption on  $\ell$  implies that for each  $1 \leq t \leq f$ , the Galois group  $\text{Gal}(F_t/\mathbb{Q})$  is generated by  $D_t$  and the complex conjugation. We fix a character  $\chi_t$  of  $\Delta$  with order  $\ell^t$ . Then we observe from the above that any character of  $\Delta$  with order  $\ell^t$  is conjugate to  $\chi_t$  or  $\chi_t^{-1}$  over  $\mathbb{Q}_2$ . Hence, we obtain

$$X = \bigoplus_{t=1}^f (X(\chi_t) \oplus X(\chi_t^{-1})) \oplus X(\chi_0)$$

for every  $\mathbb{Z}_2[\Delta]$ -module  $X$ .

**Proof of Proposition 1.** Under the setting and assumptions of Proposition 1, assume to the contrary that  $h_{p,f}^+/h_{p,f-1}^+$  is even. Then it follows from the above that at least one of  $A_K^+(\chi_f)$  or  $A_K^+(\chi_f^{-1})$  is nontrivial. By Lemma 1, this implies that both of  $A_K^-(\chi_f)$  and  $A_K^-(\chi_f^{-1})$  are nontrivial. Let  $\mathfrak{P}_f$  be the prime ideal of  $F_f$  over 2 corresponding to the fixed embedding  $\bar{\mathbb{Q}} \hookrightarrow \bar{\mathbb{Q}}_2$ , and we put  $\mathfrak{P}_1 = \mathfrak{P}_f \cap F_1$ .

Then we see from (2) that  $\beta_{\delta_{\chi_f}} \equiv \beta_{\delta_{\chi_f^{-1}}} \equiv 0 \pmod{\mathfrak{P}_f}$ . Because of the assumption on  $\ell$ , the prime ideal  $\mathfrak{P}_1$  of  $F_1$  remains prime in  $F_f$ . It follows that

$$\mathrm{Tr}_{F_f/F_1}(\zeta^{-1}\beta_{\delta_{\chi_f}}) \equiv \mathrm{Tr}_{F_f/F_1}(\zeta^{-1}\beta_{\delta_{\chi_f^{-1}}}) \equiv 0 \pmod{\mathfrak{P}_1}$$

for any  $\ell^f$ th root  $\zeta$  of unity. Therefore, we observe from (3) and (4) that  $\zeta_\ell = \chi_f(g^{2\ell^{f-1}}) \pmod{\mathfrak{P}_1}$  and  $\zeta_\ell^{-1} = \chi_f^{-1}(g^{2\ell^{f-1}}) \pmod{\mathfrak{P}_1}$  are roots of  $\tilde{G}_{f,j_0}$  for all  $j_0$ . On the other hand, the assumption on  $\ell$  implies that  $\tilde{\Phi}_\ell$  is decomposed as  $\tilde{\Phi}_\ell = P(X)Q(X)$  where  $P(X)$ ,  $Q(X)$  are irreducible over  $\mathbb{F}_2$  and  $Q(X) = X^{(\ell-1)/2}P(1/X)$  is the reciprocal polynomial of  $P(X)$ . Therefore, it follows that  $\tilde{G}_{f,j_0}$  are multiple of  $\tilde{\Phi}_\ell$  for all  $j_0$ . However, this is impossible by Lemma 3. ■

### 3. Cyclotomic units

In what follows, we *always assume* that 2 is a primitive root modulo  $\ell^2$ , and work under the setting of §1. Then, all characters of  $\Delta = \mathrm{Gal}(K_f/K_0)$  with order  $\ell^t$  are conjugate to  $\chi_t$  over  $\mathbb{Q}_2$ . Hence, it follows that

$$X = \bigoplus_{t=0}^f X(\chi_t)$$

for every  $\mathbb{Z}[\Delta]$ -module  $X$ . In particular, the 2-part of the ratio  $h_{p,t}^-/h_{p,t-1}^-$  equals  $|A_K^-(\chi_t)|$ . Thus, we obtain from Lemma 2 the equivalence

$$2 \nmid h_{p,t}^-/h_{p,t-1}^- \iff A_K^+(\chi_t) = \{0\}. \tag{5}$$

Let  $E$  be the group of units of  $k_f = \mathbb{Q}(\zeta_p)^+$ , and  $C$  the subgroup of  $E$  consisting of cyclotomic units of  $k_f$  in the sense of Washington [14, §8.1]. As is well known,  $E/C$  is a finite abelian group and  $|E/C| = h^+$  ([14, Theorem 8.2]). Cornacchia and Greither [2, Proposition 2] proved

$$|(E/C)(\chi_t)| = |A_K^+(\chi_t)| \tag{6}$$

for each  $t$  as a consequence of the Iwasawa main conjecture.

For  $t$  with  $0 \leq t < f$ , let  $N_{f,t}$  be the norm map from  $k_f$  to  $k_t$ , which is identified with the norm map from  $K_f$  to  $K_t$ . We see that

$$e_{\chi_{f-1}} = \frac{1}{\ell^2}(\ell N_{f,f-1} - N_{f,f-2}).$$

This follows from the definition (1) as follows. For each  $\sigma \in \Delta$ , we note that  $\chi_{f-1}(\sigma) = 1$  if and only if  $\sigma^\ell = 1$ , and that with  $1 \leq i \leq f-1$ ,  $\chi_{f-1}(\sigma)$  is a primitive  $\ell^i$ th root of unity if and only if the order of  $\sigma$  equals  $\ell^{i+1}$ . The prime number 2 remains prime in  $\mathbb{Q}(\zeta_{\ell^{f-1}})$  since 2 is a primitive root modulo  $\ell^2$ . Hence,  $\mathbb{Q}_2(\chi_{f-1}) = \mathbb{Q}_2(\zeta_{\ell^{f-1}})$  is of degree  $(\ell-1)\ell^{f-2}$  over  $\mathbb{Q}_2$ . Put  $\mathrm{Tr} = \mathrm{Tr}_{\chi_{f-1}}$  for brevity.

Then, we see that  $\text{Tr}(\delta) = 0$  for  $\delta \in \mu_{\ell^{f-1}} \setminus \mu_{\ell}$ , and that  $\text{Tr}(\delta) = (\ell - 1)\ell^{f-2}$  or  $-\ell^{f-2}$  for  $\delta \in \mu_{\ell}$  according as  $\delta = 1$  or not. Combining these, we can easily show the assertion from (1).

We put  $\mathcal{O} = \mathbb{Z}_2[\zeta_{\ell^{f-1}}]$ . Then the  $\chi_{f-1}$ -part  $X(\chi_{f-1})$  of a  $\mathbb{Z}[\Delta]$ -module  $X$  is naturally regarded as an  $\mathcal{O}$ -module. We see that  $E(\chi_{f-1}) \cong \mathcal{O}$  as  $\mathcal{O}$ -modules by a theorem of Minkowski on the group of units of a Galois extension over  $\mathbb{Q}$  (cf. Narkiewicz [11, Theorem 3.26]). Let  $g$  be a fixed primitive root modulo  $p$ , and put

$$\xi = \prod_j' \left( \zeta_p^{g^{2\ell^{f-2}j}} + 1 \right) \tag{7}$$

where  $j$  runs over the integers with  $0 \leq j \leq \ell^2 - 1$  and  $\ell \nmid j$ . Let  $\mathfrak{f} = \mathfrak{f}_2$  be the Frobenius automorphism of  $K_f$  at 2. We show the following:

**Lemma 4.** *If the ratio  $h_{p,f-1}^-/h_{p,f-2}^-$  is even, then  $\xi^{\mathfrak{f}} \equiv \xi^2 \pmod{4}$ .*

**Proof.** Put

$$\xi_1 = (\zeta_p + \zeta_p^{-1})^{\ell N_{f,f-1} - N_{f,f-2}},$$

which is an element of  $C(\chi_{f-1})$ . Assume that  $h_{p,f-1}^-/h_{p,f-2}^-$  is even. Then, as  $E(\chi_{f-1}) \cong \mathcal{O}$ , we see from (5) and (6) that  $C(\chi_{f-1}) \subseteq E(\chi_{f-1})^2$ . Therefore,  $\xi_1$  is a square in  $E$ , and hence  $\xi_1 \in (K_f^\times)^2$ . We see that  $\xi_1$  is Galois conjugate to the element

$$\xi_2 = (\zeta_p + 1)^{\ell N_{f,f-1}} \times (\zeta_p + 1)^{-N_{f,f-2}}.$$

Thus,  $\xi_2 \in (K_f^\times)^2$ . Let  $\sigma$  be the automorphism of  $K_f$  sending  $\zeta_p$  to  $\zeta_p^g$ . Then we see that

$$\begin{aligned} \xi_2 &= \left( \prod_{j=0}^{\ell-1} (\zeta_p + 1)^{\sigma^{2\ell^{f-1}j}} \right)^\ell \times \left( \prod_{j=0}^{\ell^2-1} (\zeta_p + 1)^{\sigma^{2\ell^{f-2}j}} \right)^{-1} \\ &= \left( \prod_{j=0}^{\ell-1} (\zeta_p + 1)^{\sigma^{2\ell^{f-1}j}} \right)^{\ell-1} \times \left( \prod_j' (\zeta_p + 1)^{\sigma^{2\ell^{f-2}j}} \right)^{-1} \\ &\equiv \xi^{-1} \pmod{(K_f^\times)^2}. \end{aligned}$$

Here, in the fourth product  $\prod_j'$ ,  $j$  runs over the same range as in (7). Thus, it follows that  $\xi = x^2$  for some  $x \in K_f^\times$ . As 2 is unramified in  $K_f$ , we have  $x^{\mathfrak{f}} \equiv x^2 \pmod{2}$ . Hence,

$$\xi^{\mathfrak{f}} = (x^{\mathfrak{f}})^2 \equiv (x^2)^2 \equiv \xi^2 \pmod{4}. \quad \blacksquare$$

Let  $J$  be the set of integers with  $0 \leq j \leq \ell^2 - 1$  and  $\ell \nmid j$ . For each  $m \in J$ , let  $J_m = J \setminus \{m\}$  and let  $\Psi_m$  be the set of maps  $\kappa : J_m \rightarrow \{0, 1\}$ . For  $m \in J$  and  $\kappa \in \Psi_m$ , we put

$$A(m, \kappa) = g^{2\ell^{f-2}m} + 2 \sum_{j \in J_m} \kappa(j)g^{2\ell^{f-2}j}.$$

**Lemma 5.** *Assume that there exist an integer  $m_0 \in J$  and a map  $\kappa_0 \in \Psi_{m_0}$  such that  $A(m, \kappa) \not\equiv A(m_0, \kappa_0) \pmod p$  for all pairs  $(m, \kappa) \neq (m_0, \kappa_0)$ . Then the ratio  $h_{p,f-1}^-/h_{p,f-2}^-$  is odd.*

**Proof.** Let  $m_0$  and  $\kappa_0$  be as above. Assume to the contrary that  $h_{p,f-1}^-/h_{p,f-2}^-$  is even. Then by Lemma 4 we see that

$$\begin{aligned} \prod_j' (\zeta_p^{2g^{2\ell^f-2j}} + 1) &\equiv \prod_j' (\zeta_p^{2\ell^f-2j} + 1)^2 \\ &\equiv \prod_j' ((\zeta_p^{2g^{2\ell^f-2j}} + 1) + 2\zeta_p^{2\ell^f-2j}) \pmod 4. \end{aligned} \tag{8}$$

The third product is congruent to

$$\begin{aligned} \prod_j' (\zeta_p^{2g^{2\ell^f-2j}} + 1) + 2 \sum_{m \in J} \zeta_p^{2\ell^f-2m} \prod_{j \in J_m} (\zeta_p^{2g^{2\ell^f-2j}} + 1) \\ \equiv \prod_j' (\zeta_p^{2g^{2\ell^f-2j}} + 1) + 2 \sum_{m \in J} \sum_{\kappa \in \Psi_m} \zeta_p^{A(m,\kappa)} \pmod 4. \end{aligned}$$

Therefore, it follows from (8) that

$$\sum_{m \in J} \sum_{\kappa \in \Psi_m} \zeta_p^{A(m,\kappa)} \equiv 0 \pmod 2.$$

Multiplying this by  $\zeta_p^{-A(m_0,\kappa_0)}$ , we obtain

$$1 + \sum_{(m,\kappa)}' \zeta_p^{A(m,\kappa)-A(m_0,\kappa_0)} \equiv 0 \pmod 2 \tag{9}$$

where  $(m, \kappa)$  runs over the pairs with  $(m, \kappa) \neq (m_0, \kappa_0)$ . The number  $N$  of such pairs equals  $|J| \times 2^{|J|-1} - 1$ , and hence it is odd. Therefore, taking the trace of the left hand side of (9) to the rationals  $\mathbb{Q}$ , we obtain

$$(p-1) + N \times (-1) \equiv 1 \pmod 2$$

because  $\zeta_p^{A(m,\kappa)-A(m_0,\kappa_0)}$  is a primitive  $p$ th root of unity by the assumption of Lemma 5. This contradicts the congruence (9). ■

As  $g$  is a primitive root modulo  $p$ , the order of  $g^{2\ell^f-2} \pmod p$  is  $\ell^2$ . As  $p \equiv 1 \pmod{\ell^f}$  and  $f \geq 2$ ,  $p$  splits completely in  $\mathbb{Q}(\zeta_{\ell^2})$ . Let  $\mathfrak{P}$  be an arbitrary prime ideal of  $\mathbb{Q}(\zeta_{\ell^2})$  over  $p$ , which is necessarily of degree one. Then there exists a primitive  $\ell^2$ th root  $\eta$  of unity in  $\mathbb{Q}(\zeta_{\ell^2})$  such that

$$\eta \equiv g^{2\ell^f-2} \pmod{\mathfrak{P}}. \tag{10}$$

For  $m \in J$  and  $\kappa \in \Psi_m$ , we put

$$B(m, \kappa) = \eta^m + 2 \sum_{j \in J_m} \kappa(j) \eta^j \in \mathbb{Q}(\zeta_{\ell^2}).$$

Then, by (10), we obtain the following equivalence on the condition in Lemma 5.

$$A(m, \kappa) \equiv A(m_0, \kappa_0) \pmod{p} \iff B(m, \kappa) \equiv B(m_0, \kappa_0) \pmod{\mathfrak{P}}. \quad (11)$$

#### 4. Proof of Proposition 2

Let  $\eta$  be the primitive  $\ell^2$ th root of unity satisfying (10). Because of (11), we can work in the  $\ell^2$ th cyclotomic field  $\mathbb{Q}(\zeta_{\ell^2})$ . We assume that 2 is a primitive root modulo  $\ell^2$ . Then the automorphism sending  $\eta$  to  $\eta^2$  is a generator of the Galois group  $\text{Gal}(\mathbb{Q}(\zeta_{\ell^2})/\mathbb{Q})$ . For each  $1 \leq i \leq \ell - 1$  and  $1 \leq j \leq \ell$ , we put

$$\eta_i = \eta^{2^{i-1}} \quad \text{and} \quad \eta_{i,j} = \eta_i^{1+(j-1)\ell} = \eta^{2^{i-1}(1+(j-1)\ell)}.$$

These  $\ell(\ell - 1)$  elements are all the primitive  $\ell^2$ th roots of unity. Let  $\rho$  be an automorphism of  $\mathbb{Q}(\zeta_{\ell^2})$  over  $\mathbb{Q}(\zeta_\ell)$  sending  $\eta$  to  $\eta^{1+\ell}$ . Then, setting  $\zeta_\ell = \eta^\ell$ , we see that

$$\eta_{i,j}^\rho = \eta_{i,j+1} = \zeta_\ell^{2^{i-1}} \eta_{i,j}.$$

It follows that

$$\text{Tr}(\eta_i) = \sum_{j=1}^{\ell} \eta_{i,j} = \eta_i \times \sum_{j=1}^{\ell} (\zeta_\ell^{2^{i-1}})^{j-1} = 0 \quad (12)$$

where  $\text{Tr}$  denotes the trace map from  $\mathbb{Q}(\zeta_{\ell^2})$  to  $\mathbb{Q}(\zeta_\ell)$ . Regarding  $\mathbb{Q}(\zeta_{\ell^2})$  as a vector space over  $\mathbb{Q}$ , let  $V$  be its subspace spanned by all the primitive  $\ell^2$ th roots of unity over  $\mathbb{Q}$ . For each  $i$  with  $1 \leq i \leq \ell - 1$ , let  $V_i$  be the subspace of  $V$  spanned by  $\eta_{i,j}$  with  $1 \leq j \leq \ell$ . The following lemma on these vector spaces over  $\mathbb{Q}$  is easy to show.

**Lemma 6.**

- (I) *The automorphism  $\rho$  acts on  $V_i$  via  $\zeta_\ell^{2^{i-1}}$ -multiplication, and  $V = V_1 \oplus V_2 \cdots \oplus V_{\ell-1}$ .*
- (II) *For each  $i$ , the equality (12) is the unique linear relation over  $\mathbb{Q}$  satisfied by the elements  $\eta_{i,j}$  with  $1 \leq j \leq \ell$ , namely  $\dim_{\mathbb{Q}} V_i = \ell - 1$ .*

Let  $I$  be the set of pairs  $(i, j)$  with  $1 \leq i \leq \ell - 1$  and  $1 \leq j \leq \ell$ . We identify the set  $I$  with  $J$  in §3 via the correspondence

$$(i, j) \longleftrightarrow 2^{i-1}(1 + (j - 1)\ell) \pmod{\ell^2}.$$

For each  $(u, v) \in I$ , let  $I_{u,v} = I \setminus \{(u, v)\}$  and let  $\Psi_{u,v}$  be the set of maps  $\kappa : I_{u,v} \rightarrow \{0, 1\}$ . For each map  $\kappa \in \Psi_{u,v}$ , we put

$$C(u, v, \kappa) = \eta_{u,v} + 2 \sum'_{(i,j)} \kappa(i, j) \eta_{i,j}$$

where  $(i, j)$  runs over the set  $I_{u,v}$ . We choose  $\kappa_0 \in \Psi_{1,1}$  so that  $\kappa_0(i, 1) = 1$  for  $i \geq 2$  and  $\kappa_0(i, j) = 0$  for  $j \geq 2$ , and put

$$C_0 = C(1, 1, \kappa_0) = \eta_{1,1} + 2(\eta_{2,1} + \cdots + \eta_{\ell-1,1}).$$

The triple  $(1, 1, \kappa_0)$  plays the role of the pair  $(m_0, \kappa_0)$  in Lemma 5.

**Lemma 7.** For  $(u, v) \in I$  and  $\kappa \in \Psi_{u,v}$ , we have  $C(u, v, \kappa) \neq C_0$  if  $(u, v, \kappa) \neq (1, 1, \kappa_0)$ .

**Proof.** We fix a triple  $(u, v, \kappa)$  with  $(u, v, \kappa) \neq (1, 1, \kappa_0)$ . For each  $i$  with  $i \neq u$ , we define an element  $X_i$  of  $V_i$  by

$$X_i = (\kappa(i, 1) - 1)\eta_{i,1} + \sum_{j=2}^{\ell} \kappa(i, j)\eta_{i,j}.$$

Further, we define elements  $Y_1$  and  $Z_1$  of  $V_1$  when  $(u, v) \neq (1, 1)$  by

$$Y_1 = (2\kappa(1, 1) - 1)\eta_{1,1} + 2 \sum_{j=2}^{\ell} \kappa(1, j)\eta_{1,j}, \quad \text{for } u \neq 1,$$

$$Z_1 = (2\kappa(1, 1) - 1)\eta_{1,1} + \eta_{1,v} + 2 \sum_{j \neq 1, v} \kappa(1, j)\eta_{1,j}, \quad \text{for } v \neq 1,$$

and elements  $Y_u$  and  $Z_u$  of  $V_u$  when  $u \neq 1$  by

$$Y_u = -\eta_{u,1} + 2 \sum_{j=2}^{\ell} \kappa(u, j)\eta_{u,j}, \quad \text{for } v = 1,$$

$$Z_u = 2(\kappa(u, 1) - 1)\eta_{u,1} + \eta_{u,v} + 2 \sum_{j \neq 1, v} \kappa(u, j)\eta_{u,j}, \quad \text{for } v \neq 1.$$

By Lemma 6(II), we see that  $X_i = 0$  if and only if  $\kappa(i, 1) - 1 = \kappa(i, j)$  for  $2 \leq j \leq \ell$ . As the value of  $\kappa$  is 0 or 1, we obtain the equivalence

$$X_i = 0 \iff \kappa(i, 1) = 1 \text{ and } \kappa(i, j) = 0 \text{ for } 2 \leq j \leq \ell. \tag{13}$$

Similarly, we can show that  $Y_k \neq 0$  and  $Z_k \neq 0$  with  $k = 1, u$  from Lemma 6(II).

First, we deal with the case  $(u, v) = (1, 1)$ . We have

$$C(1, 1, \kappa) - C_0 = 2 \sum_{j=2}^{\ell} \kappa(1, j)\eta_{1,j} + 2 \sum_{i=2}^{\ell-1} X_i. \tag{14}$$

Assume that  $C(1, 1, \kappa) = C_0$ . Then it follows from (14) and Lemma 6(I) that

$$\sum_{j=2}^{\ell} \kappa(1, j)\eta_{1,j} = X_2 = \cdots = X_{\ell-1} = 0.$$

From Lemma 6(II) and (13), we obtain  $\kappa = \kappa_0$ , which contradicts the assumption  $(u, v, \kappa) = (1, 1, \kappa) \neq (1, 1, \kappa_0)$ . Next, let  $u = 1$  and  $v \neq 1$ . Then we have

$$C(1, v, \kappa) - C_0 = Z_1 + 2 \sum_{i=2}^{\ell-1} X_i. \tag{15}$$

As  $Z_1 \neq 0$ , we see that  $C(1, v, \kappa) \neq C_0$  from Lemma 6(I). Finally, let  $u \neq 1$ . We have

$$C(u, v, \kappa) - C_0 = \begin{cases} Y_1 + Y_u + 2 \sum_{i \neq 1, u} X_i, & \text{for } v = 1 \\ Y_1 + Z_u + 2 \sum_{i \neq 1, u} X_i, & \text{for } v \geq 2. \end{cases} \tag{16}$$

Hence,  $C(u, v, \kappa) \neq C_0$  as  $Y_1 \neq 0$ . ■

**Proof of Proposition 2.** For each element  $\alpha = \sum_{\xi} a_{\xi} \xi$  in  $V$  with  $\xi \in \mu_{\ell^2} \setminus \mu_{\ell}$  and  $a_i \in \mathbb{Q}$ , we have

$$|\iota(\alpha)| \leq \sum_{\xi} |a_{\xi}|$$

for any embedding  $\iota$  of  $\mathbb{Q}(\zeta_{\ell^2})$  into the complex numbers  $\mathbb{C}$ . It follows that

$$N(\alpha) \leq \left( \sum_{\xi} |a_{\xi}| \right)^{\ell(\ell-1)}, \tag{17}$$

where  $N$  denotes the norm map from  $\mathbb{Q}(\zeta_{\ell^2})$  to  $\mathbb{Q}$ . For  $(u, v, \kappa) \neq (1, 1, \kappa_0)$ , we obtain

$$1 \leq N(C(u, v, \kappa) - C_0) \leq (2\ell(\ell - 1))^{\ell(\ell-1)}$$

from Lemma 7 and the estimate (17) because the coefficients of the primitive  $\ell^2$ th roots  $\eta_{i,j}$  of unity in (14), (15) and (16) are 0,  $\pm 1$  or  $\pm 2$ . Hence, if  $p > (2\ell(\ell - 1))^{\ell(\ell-1)}$ , we see that

$$C(u, v, \kappa) \not\equiv C_0 \pmod{\mathfrak{P}}$$

for  $(u, v, \kappa) \neq (1, 1, \kappa_0)$ . Here,  $\mathfrak{P}$  is an arbitrary prime ideal of  $\mathbb{Q}(\zeta_{\ell^2})$  over  $p$ . Therefore, by Lemma 5 and the equivalence (11), we obtain the assertion. ■

**Remark 2.** In [7], Horie studied the non- $\ell$ -part of the class numbers of the cyclotomic  $\mathbb{Z}_{\ell}$ -extension of  $\mathbb{Q}$ . We have used some of his ideas/techniques for showing Proposition 2.

**Corrigendum.** In the previous paper [4, §4], we gave five tables; Tables 3, 4, 5, 6 and 7. However, their labeling is wrong, and it is necessary to change Table  $n$  to Table  $n - 2$  for each  $3 \leq n \leq 7$  except for the one in the first line of [4, Proposition 3]. Further, in Table 7, the entry for the column  $r = 7$  and the row  $j_0 = 2$  is incorrect and it should be changed to 4.

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