

## NON-ISOTOPIC LEGENDRIAN SUBMANIFOLDS IN $\mathbb{R}^{2n+1}$

TOBIAS EKHOLM, JOHN ETNYRE & MICHAEL SULLIVAN

### Abstract

In the standard contact  $(2n + 1)$ -space when  $n > 1$ , we construct infinite families of pairwise non-Legendrian isotopic, Legendrian  $n$ -spheres,  $n$ -tori and surfaces which are indistinguishable using classically known invariants. When  $n$  is even, these are the first known examples of non-Legendrian isotopic, Legendrian submanifolds of  $(2n + 1)$ -space. Such constructions indicate a rich theory of Legendrian submanifolds. To distinguish our examples, we compute their contact homology which was rigorously defined in this situation in [7].

### 1. Introduction

A contact manifold is a  $(2n + 1)$ -manifold  $N$  equipped with a completely non-integrable field of hyperplanes  $\xi$ . An immersion of an  $n$ -manifold into  $N$  is *Legendrian* if it is everywhere tangent to the hyperplane field  $\xi$  and the image of a Legendrian embedding is called a *Legendrian submanifold*. *Standard contact  $(2n + 1)$ -space* is Euclidean space  $\mathbb{R}^{2n+1}$  equipped with the hyperplane field  $\xi = \text{Ker}(\alpha)$ , where  $\alpha$  is the contact 1-form  $\alpha = dz - \sum_{i=1}^n y_i dx_i$  in Euclidean coordinates  $(x_1, y_1, \dots, x_n, y_n, z)$ .

Any closed  $n$ -manifold  $M$  embeds in  $\mathbb{R}^{2n+1}$ , and it is a consequence of the h-principle for Legendrian immersions [20] that, provided  $M$  meets certain homotopy theoretic conditions (which is the case e.g. if  $M$  is stably parallelizable), any embedding of  $M$  into  $\mathbb{R}^{2n+1}$  may be arbitrarily well  $C^0$ -approximated by Legendrian embeddings. Thus, Legendrian submanifolds of standard contact  $(2n + 1)$ -space exist in abundance.

Any contact manifold of dimension  $2n + 1$  is locally contactomorphic (diffeomorphic through a map which takes contact hyperplanes to contact hyperplanes) to standard contact  $(2n + 1)$ -space. In this paper, we study local Legendrian knotting phenomena or, in other words, the question: *When are two Legendrian submanifolds of standard contact  $(2n + 1)$ -space isotopic through Legendrian submanifolds?*

For  $n = 1$ , the question above has been extensively studied, [5, 9, 13, 14, 15]. Here, the *classical invariants* of a Legendrian knot are its topological knot type, its rotation number (the tangential degree of the curve which arises as the projection of the knot into the  $xy$ -plane), and its Thurston–Bennequin invariant (the linking number of the knot and a copy of the knot shifted slightly in the  $z$ -direction). Many examples of Legendrian non-isotopic knots with the same classical invariants are known. Also, in higher dimensions, when the ambient contact manifold has more topology (for example, Legendrian knots in 1-jet spaces of  $S^n$ ) there are interesting examples of non-trivial Legendrian knots [11].

When  $n > 1$ , we define in Section 3 two *classical invariants* of an oriented Legendrian submanifold given by an embedding  $f: L \rightarrow \mathbb{R}^{2n+1}$ . Following [32], we first define its Thurston–Bennequin invariant (in the same way as in  $\mathbb{R}^3$ ). Second, we note that the h-principle for Legendrian immersions implies that  $f$  is determined, up to regular homotopy through Legendrian immersions, by certain homotopy theoretic invariants, associated to its differential  $df$ . We define its *rotation class* as its Legendrian regular homotopy class, which is determined by an element of  $[L, U(n)]$ . In Sections 3.4 and 3.3, we show that for  $n = 2k$ , the Thurston–Bennequin invariant is a topological invariant, and if  $L = S^{2k}$ , the rotation class vanishes. The topological embedding invariant in the 3-dimensional case disappears in higher dimensions since, for  $n \geq 2$ , any two embeddings of an  $n$ -manifold into  $\mathbb{R}^{2n+1}$  are isotopic [21].

Although the classical invariants often provide no help, our results indicate that the theory of Legendrian submanifolds of standard contact  $(2n+1)$ -space is very rich. For example, we show the following theorem.

**Theorem 1.1.** *For any  $n > 1$ , there is an infinite family of Legendrian embeddings of the  $n$ -sphere into  $\mathbb{R}^{2n+1}$  that are not Legendrian isotopic even though they have the same classical invariants.*

While this theorem, in some sense, generalizes the situation discussed above in dimension 3, there is an important distinction with the three dimensional case. Colin, Giroux and Honda [6] have announced the following result: in dimension 3, if you fix a Thurston–Bennequin invariant, a rotation number, and a knot type, there are only finitely many Legendrian knot types realizing this data. If one considers this question in higher dimensions, Theorem 1.1 provides counterexamples to the corresponding assertion.

In Section 4, we prove Theorem 1.1 and a similar theorem for Legendrian surfaces and  $n$ -tori by explicitly constructing such infinite families. We show that for any  $N > 0$ , there exist Legendrian isotopy classes of  $n$ -spheres and  $n$ -tori with fixed Thurston–Bennequin invariants and rotation classes which do not admit a representative having projection into  $\mathbb{R}^{2n}$  with less than  $N$  double points.

For an example of two non-isotopic 2-spheres, see Figure 3, Section 3.2 and Figure 4, Section 4.2. We construct the infinite families taking the *cuspidal connected sum* (defined in Lemma 4.4) of copies of the example from Figure 9. Other examples are constructed using *stabilizations* described in Sections 4.3.

Our construction of cuspidal connected sum raises an interesting question. In dimension 3, the connected sum of Legendrian knots is well defined [14], but in higher dimensions, there are several ways to make a Legendrian version of the connected sum. Lemma 4.4 discusses one such way that is helpful in proving Theorem 1.1; however, there are other direct generalizations of the 3 dimensional connected sum. Thus the correct definition of connected sum is not clear. Even if we just consider the “cuspidal connected sum” (from Lemma 4.4), it is still not clear if it is well defined. So we ask: *Is the connected sum well defined?* And more specifically: *Does the cuspidal connected sum depend on the cusps chosen in the construction?*

To show that Legendrian submanifolds are not Legendrian isotopic, we compute the *contact homology* of a Legendrian submanifold in standard contact  $(2n + 1)$ -space. The contact homology is invariant under Legendrian isotopy; hence, Legendrian submanifolds with different homologies could not be isotopic. In Section 2, we define contact homology using punctured holomorphic disks in  $\mathbb{C}^n \approx \mathbb{R}^{2n}$  with boundary on the projection of the Legendrian submanifold, and which limit to double points of the projection at the punctures. More concretely, if  $L \subset \mathbb{R}^{2n+1} = \mathbb{C}^n \times \mathbb{R}$  is a Legendrian submanifold, we associate to  $L$  a differential graded algebra  $(\mathcal{A}, \partial)$ , freely generated by the double points of the projection of  $L$  into  $\mathbb{C}^n$ . The differential  $\partial$  is defined by counting rigid holomorphic disks with properties as described above. This is analogous to the approach taken by Chekanov [5] in dimension 3; however, in that dimension, the entire theory can be reduced to combinatorics [15]. Our contact homology realizes, in the language of Symplectic Field Theory [12], Relative Contact Homology of standard contact  $(2n + 1)$ -space. In [7], we rigorously prove that contact homology is a well-defined invariant.

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## 2. Contact Homology and Differential Graded Algebras

In this section, we describe how to associate to a Legendrian submanifold  $L$  in standard contact  $(2n + 1)$ -space a differential graded algebra (DGA), denoted  $(\mathcal{A}, \partial)$ . In Section 2.1, we recall the notion of Lagrangian projection and define the algebra  $\mathcal{A}$ . The grading on  $\mathcal{A}$  is described in Section 2.3 after a review of the Maslov index in Section 2.2. Sections 2.4 and 2.5 are devoted to the definition of  $\partial$ . Section 2.6 sketches a proof of the invariance of the homology, under Legendrian isotopy, of  $(\mathcal{A}, \partial)$ . We call this the contact homology. The full proof requires much analysis which may be found in [7]. Finally, in Section 2.7, we compare contact homology as defined here with the contact homology sketched in [12].

**2.1. The algebra  $\mathcal{A}$ .** Throughout this paper, we consider the standard contact structure  $\xi$  on  $\mathbb{R}^{2n+1} = \mathbb{C}^n \times \mathbb{R}$  which is the hyperplane field given as the kernel of the contact 1-form

$$(2.1) \quad \alpha = dz - \sum_{j=1}^n y_j dx_j,$$

where  $x_1, y_1, \dots, x_n, y_n, z$  are Euclidean coordinates on  $\mathbb{R}^{2n+1}$ . A *Legendrian submanifold* of  $\mathbb{R}^{2n+1}$  is an  $n$ -dimensional submanifold  $L \subset \mathbb{R}^{2n+1}$  everywhere tangent to  $\xi$ . We also recall that the standard symplectic structure on  $\mathbb{C}^n$  is given by

$$\omega = \sum_{j=1}^n dx_j \wedge dy_j,$$

and that an immersion  $f: L \rightarrow \mathbb{C}^n$  of an  $n$ -dimensional manifold is *Lagrangian* if  $f^*\omega = 0$ .

The *Lagrangian projection* projects out the  $z$  coordinate:

$$(2.2) \quad \Pi_{\mathbb{C}}: \mathbb{R}^{2n+1} \rightarrow \mathbb{C}^n; \quad (x_1, y_1, \dots, x_n, y_n, z) \mapsto (x_1, y_1, \dots, x_n, y_n).$$

If  $L \subset \mathbb{C}^n \times \mathbb{R}$  is a Legendrian submanifold, then  $\Pi_{\mathbb{C}}: L \rightarrow \mathbb{C}^n$  is a Lagrangian immersion. Moreover, for  $L$  in an open dense subset of all Legendrian submanifolds (with  $C^\infty$  topology), the self-intersection of  $\Pi_{\mathbb{C}}(L)$  consists of a finite number of transverse double points. We call Legendrian submanifolds with this property *chord generic*.

The Reeb vector field  $X$  of a contact form  $\alpha$  is uniquely defined by the two equations  $\alpha(X) = 1$  and  $d\alpha(X, \cdot) = 0$ . The *Reeb chords* of a Legendrian submanifold  $L$  are segments of flow lines of  $X$  starting and

ending at points of  $L$ . We see from (2.1) that in  $\mathbb{R}^{2n+1}$ ,  $X = \frac{\partial}{\partial z}$  and thus  $\Pi_{\mathbb{C}}$  defines a bijection between Reeb chords of  $L$  and double points of  $\Pi_{\mathbb{C}}(L)$ . If  $c$  is a Reeb chord, we write  $c^* = \Pi_{\mathbb{C}}(c)$ .

Let  $\mathcal{C} = \{c_1, \dots, c_m\}$  be the set of Reeb chords of a chord generic Legendrian submanifold  $L \subset \mathbb{R}^{2n+1}$ . To such an  $L$ , we associate an algebra  $\mathcal{A} = \mathcal{A}(L)$  which is the free associative unital algebra over the group ring  $\mathbb{Z}_2[H_1(L)]$  generated by  $\mathcal{C}$ . We write elements in  $\mathcal{A}$  as

$$(2.3) \quad \sum_i t_1^{n_1, i} \dots t_k^{n_k, i} \mathbf{c}_i,$$

where the  $t_j$ 's are formal variables corresponding to a basis for  $H_1(L)$  thought of multiplicatively and  $\mathbf{c}_i = c_{i_1} \dots c_{i_r}$  is a word in the generators. It is also useful to consider the corresponding algebra  $\mathcal{A}_{\mathbb{Z}_2}$  over  $\mathbb{Z}_2$ . The natural map  $\mathbb{Z}_2[H_1(L)] \rightarrow \mathbb{Z}_2$  induces a reduction of  $\mathcal{A}$  to  $\mathcal{A}_{\mathbb{Z}_2}$  (set  $t_j = 1$ , for all  $j$ ).

**2.2. The Maslov index.** Let  $\Lambda_n$  be the Grassmann manifold of Lagrangian subspaces in the symplectic vector space  $(\mathbb{C}^n, \omega)$  and recall that  $H_1(\Lambda_n) = \pi_1(\Lambda_n) \cong \mathbb{Z}$ . There is a standard isomorphism

$$\mu : H_1(\Lambda_n) \rightarrow \mathbb{Z},$$

given by intersecting a loop in  $\Lambda_n$  with the Maslov cycle  $\Sigma$ . To describe  $\mu$  more fully, we follow [25] and refer the reader to this paper for proofs of the statements below.

Fix a Lagrangian subspace  $\Lambda$  in  $\mathbb{C}^n$  and let  $\Sigma_k(\Lambda) \subset \Lambda_n$  be the subset of Lagrangian spaces that intersects  $\Lambda$  in a subspace of  $k$  dimensions. The *Maslov cycle* is

$$\Sigma = \overline{\Sigma_1(\Lambda)} = \Sigma_1(\Lambda) \cup \Sigma_2(\Lambda) \cup \dots \cup \Sigma_n(\Lambda).$$

This is an algebraic variety of codimension one in  $\Lambda_n$ . If  $\Gamma : [0, 1] \rightarrow \Lambda_n$  is a loop, then  $\mu(\Gamma)$  is the intersection number of  $\Gamma$  and  $\Sigma$ . The contribution of an intersection point  $\Gamma(t')$  with  $\Sigma$  to  $\mu(\Gamma)$  is calculated as follows. Fix a Lagrangian complement  $W$  of  $\Lambda$ . Then for each  $v \in \Gamma(t') \cap \Lambda$ , there exists a vector  $w(t) \in W$  such that  $v + w(t) \in \Gamma(t)$  for  $t$  near  $t'$ . Define the quadratic form  $Q(v) = \frac{d}{dt}|_{t=t'} \omega(v, w(t))$  on  $\Gamma(t') \cap \Lambda$  and observe that it is independent of the complement  $W$  chosen. Without loss of generality,  $Q$  can be assumed non-singular and the contribution of the intersection point to  $\mu(\Gamma)$  is the signature of  $Q$ . Given any loop  $\Gamma$  in  $\Lambda_n$ , we say  $\mu(\Gamma)$  is the *Maslov index* of the loop.

If  $f : L \rightarrow \mathbb{C}^n$  is a Lagrangian immersion, then the tangent planes of  $f(L)$  along any loop  $\gamma$  in  $L$  give a loop  $\Gamma$  in  $\Lambda_n$ . We define the Maslov index  $\mu(\gamma)$  of  $\gamma$  as  $\mu(\gamma) = \mu(\Gamma)$  and note that we may view the Maslov index as a map  $\mu : H_1(L) \rightarrow \mathbb{Z}$ . Let  $m(f)$  be the smallest positive number that is the Maslov index of some non-trivial loop in  $L$ . If all loops have Maslov index equal to zero, then set  $m(L) = 0$ . We

call  $m(f)$  the *Maslov number* of  $f$ . When  $L \subset \mathbb{C}^n \times \mathbb{R}$  is a Legendrian submanifold, we write  $m(L)$  for the Maslov number of  $\Pi_{\mathbb{C}}: L \rightarrow \mathbb{C}^n$ .

**2.3. The Conley–Zehnder index of a Reeb chord and the grading on  $\mathcal{A}$ .** Let  $L \subset \mathbb{R}^{2n+1}$  be a chord generic Legendrian submanifold and let  $c$  be one of its Reeb chords with end points  $a, b \in L$ ,  $z(a) > z(b)$ . Choose a path  $\gamma: [0, 1] \rightarrow L$  with  $\gamma(0) = a$  and  $\gamma(1) = b$ . (We call such path a *capping path of  $c$* .) Then  $\Pi_{\mathbb{C}} \circ \gamma$  is a loop in  $\mathbb{C}^n$  and  $\Gamma(t) = d\Pi_{\mathbb{C}}(T_{\gamma(t)}L)$ ,  $0 \leq t \leq 1$  is a path of Lagrangian subspaces of  $\mathbb{C}^n$ . Since  $c^* = \Pi_{\mathbb{C}}(c)$  is a transverse double point of  $\Pi_{\mathbb{C}}(L)$ ,  $\Gamma$  is not a closed loop.

We close  $\Gamma$  in the following way. Let  $V_0 = \Gamma(0)$  and  $V_1 = \Gamma(1)$ . Choose any complex structure  $I$  on  $\mathbb{C}^n$  which is compatible with  $\omega$  ( $\omega(v, Iv) > 0$  for all  $v$ ) and with  $I(V_1) = V_0$ . (Such an  $I$  exists since the Lagrangian planes are transverse.) Define the path  $\lambda(V_1, V_0)(t) = e^{tI}V_1$ ,  $0 \leq t \leq \frac{\pi}{2}$ . The concatenation,  $\Gamma * \lambda(V_1, V_0)$ , of  $\Gamma$  and  $\lambda(V_1, V_0)$  forms a loop in  $\Lambda_n$  and we define the *Conley–Zehnder index*,  $\nu_{\gamma}(c)$ , of  $c$  to be the Maslov index  $\mu(\Gamma * \lambda(V_1, V_0))$  of this loop. It is easy to check that  $\nu_{\gamma}(c)$  is independent of the choice of  $I$ . However,  $\nu_{\gamma}(c)$  might depend on the choice of homotopy class of the path  $\gamma$ . More precisely, if  $\gamma_1$  and  $\gamma_2$  are two paths with properties as  $\gamma$  above, then

$$\nu_{\gamma_1}(c) - \nu_{\gamma_2}(c) = \mu(\gamma_1 * (-\gamma_2)),$$

where  $(-\gamma_2)$  is the path  $\gamma_2$  traversed in the opposite direction. Thus  $\nu_{\gamma}(c)$  is well defined modulo the Maslov number  $m(L)$ .

Let  $\mathcal{C} = \{c_1, \dots, c_m\}$  be the set of Reeb chords of  $L$ . Choose a capping path  $\gamma_j$  for each  $c_j$  and define the *grading* of  $c_j$  to be

$$|c_j| = \nu_{\gamma_j}(c_j) - 1,$$

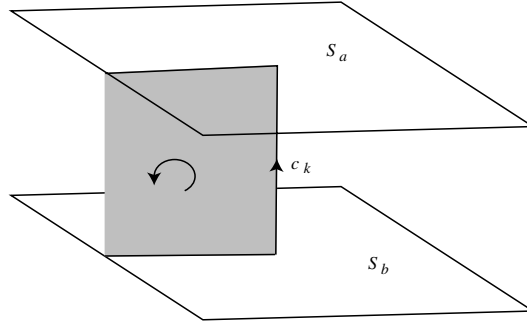
and for any  $t \in H_1(L)$ , define its grading to be  $|t| = -\mu(t)$ . This makes  $\mathcal{A}(L)$  into a graded ring. Note that the grading depends on the choice of capping paths, but as we will see below, this choice will be irrelevant.

The above grading on Reeb chords  $c_j$  taken modulo  $m(L)$  makes  $\mathcal{A}_{\mathbb{Z}_2}$  a graded algebra with grading in  $\mathbb{Z}_{m(L)}$ . (Note that this grading does not depend on the choice of capping paths.) In addition, the map from  $\mathcal{A}$  to  $\mathcal{A}_{\mathbb{Z}_2}$  preserves gradings modulo  $m(L)$ .

**2.4. The moduli spaces.** As mentioned in the introduction, the differential of the algebra associated to a Legendrian submanifold is defined using spaces of holomorphic disks. To describe these spaces, we need a few preliminary definitions.

Let  $D_{m+1}$  be the unit disk in  $\mathbb{C}$  with  $m + 1$  punctures at the points  $p_0, \dots, p_m$  on the boundary. The orientation of the boundary of the unit disk induces a cyclic ordering of the punctures. Let  $\partial\hat{D}_{m+1} = \partial D_{m+1} \setminus \{p_0, \dots, p_m\}$ .

Let  $L \subset \mathbb{C}^n \times \mathbb{R}$  be a Legendrian submanifold with isolated Reeb chords. If  $c$  is a Reeb chord of  $L$  with end points  $a, b \in L$ ,  $z(a) > z(b)$ , then there are small neighborhoods  $S_a \subset L$  of  $a$  and  $S_b \subset L$  of  $b$  that are mapped injectively to  $\mathbb{C}^n$  by  $\Pi_{\mathbb{C}}$ . We call  $\Pi_{\mathbb{C}}(S_a)$  the *upper sheet* of  $\Pi_{\mathbb{C}}(L)$  at  $c^*$  and  $\Pi_{\mathbb{C}}(S_b)$  the *lower sheet*. If  $u: (D_{m+1}, \partial D_{m+1}) \rightarrow (\mathbb{C}^n, \Pi_{\mathbb{C}}(L))$  is a continuous map with  $u(p_j) = c^*$ , then we say  $p_j$  is *positive* (respectively *negative*) if  $u$  maps points clockwise of  $p_j$  on  $\partial D_{m+1}$  to the lower (upper) sheet of  $\Pi_{\mathbb{C}}(L)$  and points anti-clockwise of  $p_i$  on  $\partial D_{m+1}$  to the upper (lower) sheet of  $\Pi_{\mathbb{C}}(L)$  (see Figure 1).



**Figure 1.** Positive puncture lifted to  $\mathbb{R}^{2n+1}$ . The gray region is the holomorphic disk and the arrows indicate the orientation on the disk and the Reeb chord.

If  $a$  is a Reeb chord of  $L$  and if  $\mathbf{b} = b_1 \dots b_m$  is an ordered collection (a word) of Reeb chords, then let  $\mathcal{M}_A(a; \mathbf{b})$  be the space, modulo conformal reparameterization, of maps  $u: (D_{m+1}, \partial D_{m+1}) \rightarrow (\mathbb{C}^n, \Pi_{\mathbb{C}}(L))$  which are continuous on  $D_{m+1}$ , holomorphic in the interior of  $D_{m+1}$ , and which have the following properties

- $p_0$  is a positive puncture,  $u(p_0) = a^*$ ,
- $p_j$  are negative punctures for  $j > 0$ ,  $u(p_j) = b_j^*$ ,
- the restriction  $u|_{\partial \hat{D}_{m+1}}$  has a continuous lift  $\tilde{u}: \partial \hat{D}_{m+1} \rightarrow L \subset \mathbb{C}^n \times \mathbb{R}$ , and
- the homology class of  $\tilde{u}(\partial \hat{D}_{m+1}) \cup (\cup_j \gamma_j)$  equals  $A \in H_1(L)$ ,

where  $\gamma_j$  is the capping path chosen for  $c_j$ ,  $j = 1, \dots, m$ . Elements in  $\mathcal{M}_A(a; \mathbf{b})$  will be called *holomorphic disks with boundary on  $L$*  or sometimes simply holomorphic disks.

There is a useful fact relating heights of Reeb chords and the area of a holomorphic disk with punctures mapping to the corresponding double points. The *action* (or height)  $\mathcal{Z}(c)$  of a Reeb chord  $c$  is simply its length and the action of a word of Reeb chords is the sum of the actions of the chords making up the word.

**Lemma 2.1.** *If  $u \in \mathcal{M}_A(a; \mathbf{b})$ , then*

$$(2.4) \quad \mathcal{Z}(a) - \mathcal{Z}(\mathbf{b}) = \int_{D_m} u^* \omega = \text{Area}(u) \geq 0.$$

*Proof.* By Stokes theorem,  $\int_{D_m} u^* \omega = \int_{\partial D_m} u^* (-\sum_j y_j dx_j) = \int \tilde{u}^*(-dz) = \mathcal{Z}(a) - \mathcal{Z}(\mathbf{b})$ . The second equality follows since  $u$  is holomorphic and  $\omega = \sum_{j=1}^n dx_j \wedge dy_j$ . q.e.d.

Note that the proof of Lemma 2.1 implies that any holomorphic disk with boundary on  $L$  must have at least one positive puncture. (In contact homology, only disks with exactly one positive puncture are considered.)

We now proceed to describe the properties of moduli spaces  $\mathcal{M}_A(a; \mathbf{b})$  that are needed to define the differential. We prove in [7] that the moduli spaces of holomorphic disks with boundary on a Legendrian submanifold  $L$  have these properties provided  $L$  is generic among *admissible* Legendrian submanifolds.  $L$  is admissible if it is chord generic and it is real analytic in a neighborhood of all Reeb chord end points. More precise definitions of these concepts appears in [7] where it is shown that admissible Legendrian submanifolds are dense in the space of all Legendrian submanifolds. The moduli spaces  $\mathcal{M}_A(a; \mathbf{b})$  can be seen as the 0-sets of certain “ $\bar{\partial}$ -type”  $C^1$ -maps, between infinite-dimensional Banach manifolds. We say a moduli space is *transversely cut out* if 0 is a regular value of the corresponding map.

**Proposition 2.2** ([7]). *For a generic admissible Legendrian submanifold  $L \subset \mathbb{C}^n \times \mathbb{R}$ , the moduli space  $\mathcal{M}_A(a; \mathbf{b})$  is a transversely cut out manifold of dimension*

$$(2.5) \quad d = \mu(A) + |a| - |\mathbf{b}| - 1,$$

*provided  $d \leq 1$ . (In particular, if  $d < 0$ , then the moduli space is empty.)*

If  $u \in \mathcal{M}_A(a; \mathbf{b})$ , we say that  $d = \mu(A) + |a| - |\mathbf{b}| - 1$  is the *formal dimension of  $u$* , and if  $v$  is a transversely cut out disk of formal dimension 0, we say that  $v$  is a *rigid disk*.

We mention here two transversality results which will prove useful for our computations in Section 4.

**Proposition 2.3** ([7]). *Assume  $n > 1$  and  $S$  is a finite set of points on  $L$  containing all end points of Reeb chords and possibly other points as well. For  $L$  in a Baire subset of the space of admissible Legendrian submanifolds, no rigid holomorphic disk passes through the points in  $S$ .*

It is useful to have a criterion for a holomorphic disk lying in a coordinate plane to be transversally cut out. To this end, let  $\pi_i : \mathbb{C}^n \rightarrow \mathbb{C}$ ,  $i = 1, \dots, n$ , denote the complex projections.



**Proposition 2.4** ([7]). *Assume  $n > 1$ . Consider a holomorphic disk  $u$  with no (or one) negative punctures and of formal dimension of 0. Assume that  $\pi_i \circ u = 0$  for  $i = 2, \dots, n$  and that the tangent space of the Lagrangian immersion splits along the boundary of  $u$ . That is, the one path component of  $T_{u(\partial D_1)}\Pi_{\mathbb{C}}(L)$  (or two path components of  $T_{u(\partial D_2)}\Pi_{\mathbb{C}}(L)$ ) is of the form  $\gamma \times V$  where  $\gamma(t) \subset \mathbb{C} \times \{0\}$  is a real line and  $V(t) \subset \{0\} \times \mathbb{C}^{n-1}$ . If the path(s)  $V(t)$  are sufficiently close to a constant path(s) of Lagrangian subspaces (see [7] for the precise conditions), then  $u$  is cut out transversely.*

The moduli spaces we consider might not be compact, but their lack of compactness can be understood. It is analogous to “convergence to broken trajectories” in Morse/Floer homology and gives rise to natural compactifications of the moduli spaces, known as Gromov compactness.

A *broken holomorphic curve*,  $u = (u^1, \dots, u^N)$ , is a union of holomorphic disks,  $u^j : (D_{m_j}, \partial D_{m_j}) \rightarrow (\mathbb{C}^n, \Pi_{\mathbb{C}}(L))$ , where each  $u^j$  has exactly one positive puncture  $p^j$ , with the following property. To each  $p^j$  with  $j \geq 2$  is associated a negative puncture  $q_j^k \in D_{m_k}$  for some  $k \neq j$  such that  $u^j(p^j) = u^k(q_j^k)$  and  $q_{j'}^{k'} \neq q_j^k$  if  $j \neq j'$ , and such that the quotient space obtained from  $D_{m_1} \cup \dots \cup D_{m_N}$  by identifying  $p^j$  and  $q_j^k$  for each  $j \geq 2$  is contractible. The broken curve can be parameterized by a single smooth map  $v : (D_m, \partial D) \rightarrow (\mathbb{C}^n, \Pi_{\mathbb{C}}(L))$ . A sequence  $u_\alpha$  of holomorphic disks *converges* to a broken curve  $u = (u^1, \dots, u^N)$  if the following holds:

- For every  $j \leq N$ , there exists a sequence  $\phi_\alpha^j : D_m \rightarrow D_m$  of fractional linear transformations and a finite set  $X^j \subset D_m$  such that  $u_\alpha \circ \phi_\alpha^j$  converges to  $u^j$  uniformly with all derivatives on compact subsets of  $D_m \setminus X^j$
- There exists a sequence of orientation-preserving diffeomorphisms  $f_\alpha : D_m \rightarrow D_m$  such that  $u_\alpha \circ f_\alpha$  converges in the  $C^0$ -topology to a parameterization of  $u$ .

**Proposition 2.5** ([7]). *Any sequence  $u_\alpha$  in  $\mathcal{M}_A(a; \mathbf{b})$  has a subsequence converging to a broken holomorphic curve  $u = (u^1, \dots, u^N)$ . Moreover,  $u^j \in \mathcal{M}_{A_j}(a^j; \mathbf{b}^j)$  with  $A = \sum_{j=1}^N A_j$  and*

$$(2.6) \quad \mu(A) + |a| - |\mathbf{b}| = \sum_{j=1}^N (\mu(A_j) + |a^j| - |\mathbf{b}^j|).$$

Heuristically, this is the only type of non-compactness we expect to see in  $\mathcal{M}_A(a; \mathbf{b})$ : since  $\pi_2(\mathbb{C}^n) = 0$ , no holomorphic spheres can “bubble off” at an interior point of the sequence  $u_\alpha$ , and since  $\Pi_{\mathbb{C}}(L)$  is exact, no disks without positive punctures can form either. Moreover, since  $\Pi_{\mathbb{C}}(L)$  is compact, and since  $\mathbb{C}^n$  has “finite geometry at infinity”, or

is “tame at infinity” [3, 7, 10, 30, 31], all holomorphic curves with a uniform bound on area must map to a compact set.

**2.5. The differential and contact homology.** Let  $L \subset \mathbb{C}^n \times \mathbb{R}$  be a generic admissible Legendrian submanifold, let  $\mathcal{C}$  be its set of Reeb chords, and let  $\mathcal{A}$  denote its algebra. For any generator  $a \in \mathcal{C}$  of  $\mathcal{A}$ , we set

$$(2.7) \quad \partial a = \sum_{\dim \mathcal{M}_A(a; \mathbf{b})=0} (\#\mathcal{M}_A(a; \mathbf{b})) A \mathbf{b},$$

where  $\#\mathcal{M}$  is the number of points in  $\mathcal{M}$  modulo 2, and where the sum ranges over all words  $\mathbf{b}$  in the alphabet  $\mathcal{C}$  and  $A \in H_1(L)$  for which the above moduli space has dimension 0. We then extend  $\partial$  to a map  $\partial : \mathcal{A} \rightarrow \mathcal{A}$  by linearity and the Leibniz rule.

Since  $L$  is generic admissible, it follows from Proposition 2.5 that the moduli spaces considered in the definition of  $\partial$  are compact 0-manifolds and hence consist of a finite number of points. Thus  $\partial$  is well defined. Moreover,

**Proposition 2.6** ([7]). *The map  $\partial : \mathcal{A} \rightarrow \mathcal{A}$  is a differential of degree  $-1$ . That is,  $\partial \circ \partial = 0$  and  $|\partial(a)| = |a| - 1$  for any generator  $a$  of  $\mathcal{A}$ .*

The fact that  $\partial$  lowers degree by 1 follows from (2.5). After Propositions 2.5 (and a gluing result from [7]), the standard proof in Morse (or Floer) homology [28] applies to prove  $\partial \circ \partial = 0$ .

The *contact homology* of  $L$  is

$$HC_*(\mathbb{R}^{2n+1}, L) = \text{Ker } \partial / \text{Im } \partial.$$

It is essential to notice that since  $\partial$  respects the grading on  $\mathcal{A}$ , the contact homology is a graded algebra.

We note that  $\partial$  also defines a differential of degree  $-1$  on  $\mathcal{A}_{\mathbb{Z}_2}(L)$ .

**2.6. The invariance of contact homology under Legendrian isotopy.** Given a graded algebra  $\mathcal{A} = \mathbb{Z}_2[G]\langle a_1, \dots, a_n \rangle$ , where  $G$  is a finitely generated abelian group, a graded automorphism  $\phi : \mathcal{A} \rightarrow \mathcal{A}$  is called *elementary* if there is some  $1 \leq j \leq n$  such that

$$\phi(a_i) = \begin{cases} A_i a_i, & i \neq j \\ \pm A_j a_j + u, & u \in \mathbb{Z}_2[G]\langle a_1, \dots, a_{j-1}, a_{j+1}, \dots, a_n \rangle, \quad i = j, \end{cases}$$

where the  $A_i$  are units in  $\mathbb{Z}_2[G]$ . The composition of elementary automorphisms is called a *tame* automorphism. An isomorphism from  $\mathcal{A}$  to  $\mathcal{A}'$  is tame if it is the composition of a tame automorphism with an isomorphism sending the generators of  $\mathcal{A}$  to the generators of  $\mathcal{A}'$ . An isomorphism of DGA's is called *tame* if the isomorphism of the underlying algebras is tame.

Let  $(\mathcal{E}_i, \partial_i)$  be a DGA with generators  $\{e_1^i, e_2^i\}$ , where  $|e_1^i| = i$ ,  $|e_2^i| = i - 1$  and  $\partial_i e_1^i = e_2^i$ ,  $\partial_i e_2^i = 0$ . Define the *degree  $i$  stabilization*  $S_i(\mathcal{A}, \partial)$

of  $(\mathcal{A}, \partial)$  to be the graded algebra generated by  $\{a_1, \dots, a_n, e_1^i, e_2^i\}$  with grading and differential induced from  $\mathcal{A}$  and  $\mathcal{E}_i$ . Two differential graded algebras are called *stable tame isomorphic* if they become tame isomorphic after each is stabilized a suitable number of times.

**Proposition 2.7** ([7]). *If  $L_t \subset \mathbb{R}^{2n+1}$ ,  $0 \leq t \leq 1$  is a Legendrian isotopy between generic admissible Legendrian submanifolds, then the DGA's  $(\mathcal{A}(L_0), \partial)$  and  $(\mathcal{A}(L_1), \partial)$  are stable tame isomorphic. In particular, the contact homologies  $HC_*(\mathbb{R}^{2n+1}, L_0)$  and  $HC_*(\mathbb{R}^{2n+1}, L_1)$  are isomorphic.*

It is unknown to the authors if the first statement is strictly stronger than the second. For a proof that the first statement implies the second, see [5]. The proof of the first statement, sketched below is, in outline, the same as the proof of invariance of the stable tame isomorphism class of the DGA of a Legendrian 1-knot in [5]. However, the details in our case require considerably more work and are presented in [7]. In particular, we must substitute analytic arguments for the purely combinatorial ones that suffice in dimension three.

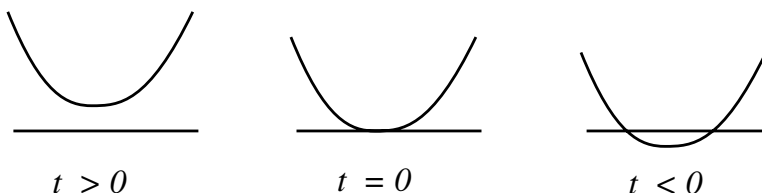
Note that Proposition 2.7 allows us to associate the stable tame isomorphism class of a DGA to a Legendrian isotopy class of Legendrian submanifolds: any Legendrian isotopy class has a generic admissible representative and by Proposition 2.7, the DGA's of any two generic admissible representatives agree.

*Sketch of proof.* Our proof is similar in spirit to Floer's original approach [16, 17] in the following way. We analyze bifurcations of moduli spaces of rigid holomorphic disks under variations of the Legendrian submanifold in a generic 1-parameter family of Legendrian submanifolds and how these bifurcations affect the differential graded algebra. Similar bifurcation analysis is also done in [22, 24, 30, 31]. Our set-up does not seem well suited to the more popular proof of Floer theory invariance which uses an elegant "homotopy of homotopies" argument (see, for example, [18, 29]).

A Legendrian isotopy  $\phi_t: L \rightarrow \mathbb{C}^n \times \mathbb{R}$ ,  $0 \leq t \leq 1$ , is *admissible* if  $\phi_0(L)$  and  $\phi_1(L)$  are admissible Legendrian submanifolds and if there exist a finite number of instants  $0 < t_1 < t_2 < \dots < t_m < 1$  and a  $\delta > 0$  such that the intervals  $[t_j - \delta, t_j + \delta]$  are disjoint subsets of  $(0, 1)$  with the following properties.

- (A) For  $t \in [0, t_1 - \delta] \cup \left( \bigcup_{j=1}^m [t_j + \delta, t_{j+1} - \delta] \right) \cup [t_m + \delta, 1]$ ,  $\phi_t(L)$  is an isotopy through admissible Legendrian submanifolds.
- (B) For  $t \in [t_j - \delta, t_j + \delta]$ ,  $j = 1, \dots, m$ ,  $\phi_t(L)$  undergoes a *standard self-tangency move*. That is, there exists a point  $q \in \mathbb{C}^n$  and neighborhoods  $N \subset N'$  of  $q$  with the following properties. The intersection  $N \cap \Pi_{\mathbb{C}}(\phi_t(L))$  equals  $P_1 \cup P_2(t)$  which, up to

biholomorphism looks like  $P_1 = \gamma_1 \times P'_1$  and  $P_2 = \gamma_2(t) \times P'_2$ . Here,  $\gamma_1$  and  $\gamma_2(t)$  are subarcs around 0 of the curves  $y_1 = 0$  and  $x_1^2 + (y_1 - 1 \pm (t - t_j))^2 = 1$  in the  $z_1$ -plane, respectively, and  $P'_1$  and  $P'_2$  are real analytic Lagrangian  $(n - 1)$ -disks in  $\mathbb{C}^{n-1} = \{z_1 = 0\}$  intersecting transversely at 0. Outside  $N' \times \mathbb{R}$ , the isotopy is constant. See Figure 2. (The full definition of a standard self tangency move appears in [7]. For simplicity, one technical condition there has been omitted at this point.)



**Figure 2.** Type B double point move.

**Lemma 2.8** ([7]). *Any two admissible Legendrian submanifolds of dimension  $n > 1$  which are Legendrian isotopic are isotopic through an admissible Legendrian isotopy.*

This result does not hold when  $n = 1$ ; one must allow also a “triple point move” see [5, 15].

We need to check that the differential graded algebra changes only by stable tame isomorphisms under Legendrian isotopies of type (A) and (B).

**Lemma 2.9** ([7]). *Let  $L_t, t \in [0, 1]$  be a type (A) isotopy between generic admissible Legendrian submanifolds. Then the DGA’s associated to  $L_0$  and  $L_1$  are tame isomorphic.*

Let  $L_t, t \in I = [-\delta, \delta]$  be an isotopy of type (B) where two Reeb chords  $\{a, b\}$  are born as  $t$  passes through 0. Let  $o$  be the degenerate Reeb chord (double point) at  $t = 0$  and let  $\mathcal{C}' = \{a_1, \dots, a_l, b_1, \dots, b_m\}$  be the other Reeb chords. We note that  $c_i \in \mathcal{C}'$  unambiguously defines a Reeb chord for all  $L_t$  and  $a$  and  $b$  unambiguously define two Reeb chords for all  $L_t$  when  $t > 0$ . It is easy to see that (with the appropriate choice of capping paths) the grading on  $a$  and  $b$  differ by 1, so let  $|a| = j$  and  $|b| = j - 1$ . Let  $(\mathcal{A}_-, \partial_-)$  and  $(\mathcal{A}_+, \partial_+)$  be the DGA’s associated to  $L_{-\delta}$  and  $L_\delta$ , respectively.

**Lemma 2.10** ([7]). *The stabilized algebra  $S_j(\mathcal{A}_-, \partial_-)$  is tame isomorphic to  $(\mathcal{A}_+, \partial_+)$ .*

This ends the sketch of the proof of Proposition 2.7. For more details, see [7]. q.e.d.

The above proof relies on studying the moduli space of curves for a moving Legendrian boundary condition. We mention two of the results here as we use them again in Section 4. Let  $L_t, t \in I = [0, 1]$  be a Legendrian isotopy. Let  $\mathcal{M}_A^t(a; \mathbf{b})$  denote the moduli space  $\mathcal{M}_A(a; \mathbf{b})$  for  $L_t$  and define

$$(2.8) \quad \mathcal{M}_A^I(a; \mathbf{b}) = \{(u, t) | u \in \mathcal{M}_A^t(a; \mathbf{b})\}.$$

As above, “generic” refers to a member of a Baire subset, see [7] for a more precise formulation of this term for 1-parameter families.

**Proposition 2.11** ([7]). *For a generic type (A) isotopy  $L_t, t \in I = [0, 1]$ , the following holds. If  $a, \mathbf{b}, A$  are such that  $\mu(A) + |a| - |\mathbf{b}| = d \leq 1$ , then the moduli space  $\mathcal{M}_A^I(a; \mathbf{b})$  is a transversely cut out  $d$ -manifold. If  $X$  is the union of all these transversely cut out manifolds which are 0-dimensional, then the components of  $X$  are of the form  $\mathcal{M}_{A_j}^{t_j}(a_j, \mathbf{b}_j)$ , where  $\mu(A_j) + |a_j| - |\mathbf{b}_j| = 0$ , for a finite number of distinct instances  $t_1, \dots, t_r \in [0, 1]$ . Furthermore,  $t_1, \dots, t_r$  are such that  $\mathcal{M}_B^{t_j}(c; \mathbf{d})$  is a transversely cut out 0-manifold for every  $c, \mathbf{d}, B$  with  $\mu(B) + |c| - |\mathbf{d}| = 1$ .*

At an instant  $t = t_j$  in the above proposition, we say a *handle slide* occurs, and an element in  $\mathcal{M}_{A_j}^{t_j}(a_j, \mathbf{b}_j)$  will be called a *handle slide disk*. (The term handle slide comes from the analogous situation in Morse theory.)

We also list a parameterized Gromov compactness result whose proof is identical to that of Proposition 2.5.

**Proposition 2.12.** *Any sequence  $u_\alpha$  in  $\mathcal{M}_A^I(a; \mathbf{b})$  has a subsequence that converges to a broken holomorphic curve with the same properties as in Proposition 2.5.*

**2.7. Relations with the relative contact homology of [12].** Our description of contact homology is a direct generalization of Chekanov’s ideas in [5]. We now show how the above theory fits into the more general, though still developing, relative contact homology of [12].

We start with a Legendrian submanifold  $L$  in a contact manifold  $(M, \xi)$  and try to build an invariant for  $L$ . To this end, let  $\alpha$  be a contact form for  $\xi$  and  $X_\alpha$  its Reeb vector field. We let  $\mathcal{C}$  be the set of all Reeb chords, which under certain non-degeneracy assumptions is discrete. Let  $\mathcal{A}$  be the free associative non-commutative unital algebra over  $\mathbb{Z}_2[H_1(L)]$  generated by  $\mathcal{C}$ . The algebra  $\mathcal{A}$  can be given a grading using the Conley–Zehnder index (see [12]). To do this, we must choose capping paths  $\gamma$  in  $L$  for each  $c \in \mathcal{C}$  which connects its end points. Note that  $c \in \mathcal{C}$ , being a piece of a flow line of a vector field, comes equipped with a parameterization  $c : [0, T] \rightarrow M$ . For later convenience, we reparameterize  $c$  by precomposing it with  $\times T : [0, 1] \rightarrow [0, T]$ .

We next wish to define a differential on  $\mathcal{A}$ . This is done by counting holomorphic curves in the symplectization of  $(M, \xi)$ . Recall the *symplectization* of  $(M, \xi)$  is the manifold  $W = M \times \mathbb{R}$  with the symplectic form  $\omega = d(e^w \alpha)$  where  $w$  is the coordinate in  $\mathbb{R}$ . Now, choose an almost complex structure  $J$  on  $W$  that is compatible with  $\omega$  ( $\omega(v, Jv) > 0$  if  $v \neq 0$ ), leaves  $\xi$  invariant and exchanges  $X_\alpha$  and  $\frac{\partial}{\partial w}$ . Note that  $\bar{L} = L \times \mathbb{R}$  is a Lagrangian (and hence totally real) submanifold of  $(W, \omega)$ . Thus we may study holomorphic curves in  $(W, \omega, J)$  with boundary on  $\bar{L}$ . Such curves must have punctures. When the Reeb field has no periodic orbits (as in our case), there can be no internal punctures, so all the punctures occur on the boundary. To describe the behavior near the punctures, let  $u : (D_m, \partial D_m) \rightarrow (W, \bar{L})$  be a holomorphic curve where  $D_m$  is as before. Each boundary puncture has a neighborhood that is conformal to a strip  $(0, \infty) \times [0, 1]$  with coordinates  $(s, t)$  such that approaching  $\infty$  in the strip is the same as approaching  $p_i$  in the disk. If we write  $u$  using these conformal strip coordinates near  $p_i$ , then we say  $u$  *tends asymptotically to a Reeb chord*  $c(t)$  at  $\pm\infty$  if the component of  $u(s, t)$  lying in  $M$  limits to  $c(t)$  as  $s \rightarrow \infty$  and the component of  $u(s, t)$  lying in  $\mathbb{R}$  limits to  $\pm\infty$  as  $s \rightarrow \infty$ . The map  $u$  must tend asymptotically to a Reeb chord at each boundary puncture. Some cases of this asymptotic analysis were done in [1]. For  $\{a, b_1, \dots, b_m\} \subset \mathcal{C}$ , we consider the moduli spaces  $\mathcal{M}_A^s(a; b_1, \dots, b_m)$  of holomorphic maps  $u$  as above such that: (1) at  $p_0$ ,  $u$  tends asymptotically to  $a$  at  $+\infty$ ; (2) at  $p_i$ ,  $u$  tends asymptotically to  $b_i$  at  $-\infty$ ; and (3)  $\Pi_M(u(\partial D_*)) \cup_i \gamma_i$  represents the homology class  $A$ . Here, the map  $\Pi_M : W \rightarrow M$  is projection onto the  $M$  factor of  $W$ . We may now define a boundary map  $\partial$  on the generators  $c_i$  of  $\mathcal{A}$  (and hence on all of  $\mathcal{A}$ ) by

$$\partial c_i = \sum \#(\mathcal{M}_A^s(c_i; b_1, \dots, b_m)) A b_1 \dots b_m,$$

where the sum is taken over all one dimensional moduli spaces and  $\#$  means the modulo two count of the points in  $\mathcal{M}_A^s/\mathbb{R}$ . Here, the  $\mathbb{R}$ -action is induced by a translation in the  $w$ -direction.

Though this picture of contact homology has been known for some time now, the analysis needed to rigorously define it has yet to appear. Moreover, there have been no attempts to make computations in dimensions above three. By specializing to a nice – though still rich – situation, we gave a rigorous definition of contact homology for Legendrian submanifolds in  $\mathbb{R}^{2n+1}$ , in [7].

Recall that in our setting  $(M, \alpha) = (\mathbb{R}^{2n+1}, dz - \sum_{j=1}^n y_j dx_j)$ , the set of Reeb chords is naturally bijective with the double points of  $\Pi_{\mathbb{C}}(L)$ . Thus, clearly the algebra of this subsection is identical to the one described in Section 2.1.

We now compare the differentials. We pick the complex structure on the symplectization of  $\mathbb{R}^{2n+1}$  as follows. The projection  $\Pi_{\mathbb{C}} : \mathbb{R}^{2n+1} \rightarrow$

$\mathbb{C}^n$  gives an isomorphism  $d\Pi_{\mathbb{C}}$  from  $\xi_x \subset T_x\mathbb{R}^{2n+1}$  to  $T_{\Pi_{\mathbb{C}}(x)}\mathbb{C}^n$  and thus, via  $\Pi_{\mathbb{C}}$ , the standard complex structure on  $\mathbb{C}^n$  induces a complex structure  $E : \xi \rightarrow \xi$  on  $\xi$ . Define the complex structure  $J$  on the symplectization  $\mathbb{R}^{2n+1} \times \mathbb{R}$  by  $J(v) = E(v)$  if  $v \in \xi$  and  $J(\frac{\partial}{\partial w}) = X$ . Then  $J$  is compatible with  $\omega = d(e^w\alpha)$ . Our moduli spaces and the ones used in the standard definition of contact homology are related as follows. If  $u$  in  $\mathcal{M}_A^s(a; b_1, \dots, b_m)$ , then define  $p(u)$  to be the map in  $\mathcal{M}_A(a; b_1, \dots, b_m)$  as  $p(u) = \Pi_{\mathbb{C}} \circ \Pi_M \circ u$ , where  $\Pi_M : \mathbb{R}^{2n+1} \times \mathbb{R} \rightarrow \mathbb{R}^{2n+1}$  is the projection from the symplectization back to the original contact manifold.

**Lemma 2.13.** *The map  $p : \mathcal{M}^s(a; b_1, \dots, b_m)/\mathbb{R} \rightarrow \mathcal{M}(a; b_1, \dots, b_m)$  is a homeomorphism.*

*Sketch of Proof.* This was proven in the three dimensional case in [15] and the proof here is similar. (For details, we refer the reader to that paper, but we outline the main steps.) It is clear from the definitions that  $p$  is a map between the appropriate spaces (we mod out by the  $\mathbb{R}$  in  $\mathcal{M}^s$  since the complex structure on the symplectization is  $\mathbb{R}$ -invariant and any two curves that differ by translation in  $\mathbb{R}$  will clearly project to the same curve in  $\mathbb{C}^n$ ). The only non-trivial part of this lemma is that  $p$  is invertible. To see this, let  $u \in \mathcal{M}^s$  be written  $u = (u', z, \tau) : D_m \rightarrow \mathbb{C}^n \times \mathbb{R} \times \mathbb{R}$ . The fact that  $u$  is holomorphic for our chosen complex structure implies that  $z$  is harmonic and hence determined by its boundary data. Moreover, the holomorphicity of  $u$  also implies that  $\tau$  is determined, up to translation in  $w$ -direction, by  $u'$  and  $z$ . Thus if we are given a map  $u' \in \mathcal{M}$ , then we can construct a  $z$  and  $\tau$  for which  $u = (u', z, \tau)$  will be a holomorphic map  $u : D_m \rightarrow \mathbb{R}^{2n+1} \times \mathbb{R}$ . If it has the appropriate behavior near the punctures, then  $u \in \mathcal{M}^s$ . The asymptotic behavior near punctures was studied in [26]. q.e.d.

### 3. Legendrian submanifolds

In this section, we review the Lagrangian projection and introduce the front projection, both of which are useful for the calculations of Section 4. In Sections 3.3 and 3.4, we discuss the Thurston–Bennequin invariant and the rotation class. Finally, in Section 3.5, we give a useful technique for calculating the Conley–Zehnder index of Reeb chords.

**3.1. The Lagrangian projection.** Recall that for a Legendrian submanifold  $L \subset \mathbb{C}^n \times \mathbb{R}$ ,  $\Pi_{\mathbb{C}} : L \rightarrow \mathbb{C}^n$  is a Lagrangian immersion. Note that  $L \subset \mathbb{C}^n \times \mathbb{R}$  can be recovered, up to rigid translation in the  $z$  direction, from  $\Pi_{\mathbb{C}}(L)$ : pick a point  $p \in \Pi_{\mathbb{C}}(L)$  and choose any  $z$  coordinate for  $p$ ; the  $z$  coordinate of any other point  $p' \in L$  is then determined by

$$(3.1) \quad \sum_{j=1}^n \int_{\gamma} y_j dx_j,$$

where  $\gamma = \Pi_{\mathbb{C}} \circ \Gamma$  and  $\Gamma$  is any path in  $L$  from  $p$  to  $p'$ . Furthermore, given any Lagrangian immersion  $f$  into  $\mathbb{C}^n$  with isolated double points, if the integral in (3.1) is independent of the path  $\gamma = f \circ \Gamma$ , then we obtain a Legendrian immersion  $\tilde{f}$  into  $\mathbb{R}^{2n+1}$  which is an embedding provided the integral is not zero for paths connecting double points.

A Lagrangian immersion  $f : L \rightarrow \mathbb{C}^n$  is *exact* if  $f^*(\sum_{j=1}^n y_j dx_j)$  is exact and, in this case, (3.1) is independent of  $\gamma$ . In particular, if  $H^1(L) = 0$ , then all Lagrangian immersions of  $L$  are exact. Also note that any Lagrangian regular homotopy  $f_t : L \rightarrow \mathbb{C}^n$  of exact Lagrangian immersions will lift to a Legendrian regular homotopy  $\tilde{f}_t : L \rightarrow \mathbb{C}^n \times \mathbb{R}$ .

**Example 3.1.** Consider  $S^n = \{(x, y) \in \mathbb{R}^n \times \mathbb{R} : |x|^2 + y^2 = 1\}$  and define  $f : S^n \rightarrow \mathbb{C}^n$  as

$$f(x, y) : S^n \rightarrow \mathbb{C}^n : (x, y) \mapsto ((1 + iy)x).$$

Then  $f$  is an exact Lagrangian immersion, with one transverse double point, which lifts to a Legendrian embedding into  $\mathbb{R}^{2n+1}$ . (When  $n = 1$ , the image of  $f$  is a figure eight in the plane with a double point at the origin.) See Figure 3 in the next subsection for an alternative description.

**3.2. The front projection.** The *front projection* projects out the  $y_j$ 's:

$$\Pi_F : \mathbb{R}^{2n+1} \rightarrow \mathbb{R}^{n+1} : (x_1, y_1, \dots, x_n, y_n, z) \mapsto (x_1, \dots, x_n, z).$$

If  $L \subset \mathbb{R}^{2n+1}$  is a Legendrian submanifold, then  $\Pi_F(L) \subset \mathbb{R}^{n+1}$  is its *front* which is a codimension one subvariety of  $\mathbb{R}^{n+1}$ . The front has certain singularities. More precisely, for generic  $L$ , the set of singular points of  $\Pi_F(L)$  is a hypersurface  $\Sigma \subset L$  which is smooth outside a set of codimension 3 in  $L$ , and which contains a subset  $\Sigma' \subset \Sigma$  of codimension 2 in  $L$  with the following property. If  $p$  is a smooth point in  $\Sigma \setminus \Sigma'$ , then there are local coordinates  $(x_1, \dots, x_n)$  around  $p$  in  $L$ ,  $(\xi_1, \dots, \xi_n, z)$  around  $\Pi_F(p)$  in  $\mathbb{R}^{n+1}$ , and constants  $\delta = \pm 1$ ,  $\beta, \alpha_2, \dots, \alpha_n$  such that

$$(3.2) \quad \Pi_F(x_1, \dots, x_n) = (x_1^2, x_2, \dots, x_n, \delta x_1^3 + \beta x_1^2 + \alpha_2 x_2 + \dots + \alpha_n x_n).$$

For a reference, see [2] page 115. The image under  $\Pi_F$  of the set of smooth points in  $\Sigma \setminus \Sigma'$  will be called the *cusplike edge* of the front  $\Pi_F(L)$ . See Figure 3.

Any map  $L \rightarrow \mathbb{R}^{n+1}$  with singularities of a generic front can be lifted (in a unique way) to a Legendrian immersion. (The singularities of such a map allow us to solve for the  $y_i$ -coordinates from the equation  $dz = \sum_{i=1}^n y_i dx_i$  and the solutions give an immersion.) In particular, at a smooth point of the front the  $y_i$ -coordinate equals the slope of the tangent plane to the front in the  $x_i z$ -plane.

A double point of a Legendrian immersion correspond to a double point of the front with parallel tangent planes. Also note that  $\Pi_F(L)$  cannot have tangent planes containing the  $z$ -direction. For a more thorough discussion of singularities occurring in front projections, see [2].





**Figure 3.** Front projection of Example 3.1 in dimension 3, on the left, and 5, on the right.

**3.3. The rotation class.** Let  $(M, \xi)$  be a contact  $(2n + 1)$ -manifold with a contact form  $\alpha$ . That is,  $\alpha$  is a 1-form on  $M$  with  $\xi = \text{Ker}(\alpha)$ . The complete non-integrability condition on  $\xi$  implies  $\alpha \wedge (d\alpha)^n \neq 0$  which in turn implies that for any  $p \in M$ ,  $d\alpha_p|_{\xi_p}$  is a symplectic form on  $\xi_p \subset T_pM$ .

Let  $f : L \rightarrow (M, \xi)$ , be a Legendrian immersion. Then the image of  $df_x : T_xL \rightarrow T_{f(x)}M$  is a Lagrangian plane in  $\xi_{f(x)}$ . Pick any complex structure  $J$  on  $\xi$  which is compatible with its symplectic structure. Then the complexification of  $df$ ,  $df_{\mathbb{C}} : TL \otimes \mathbb{C} \rightarrow \xi$  is a fiberwise bundle isomorphism. The homotopy class of  $(f, df_{\mathbb{C}})$  in the space of complex fiberwise isomorphisms  $TL \otimes \mathbb{C} \rightarrow \xi$  is called the *rotation class* of  $f$  and is denoted  $r(f)$  (or  $r(L)$  if  $L \subset M$  is a Legendrian submanifold embedded into  $M$  by the inclusion). The h-principle for Legendrian immersions [20] implies that  $r(f)$  is a complete invariant for  $f$  up to regular homotopy through Legendrian immersions.

When the contact manifold under consideration is  $\mathbb{R}^{2n+1}$  with the standard contact structure, we may further illuminate the definition of  $r(f)$ . Let  $(x, y, z) \in \mathbb{R}^n \times \mathbb{R}^n \times \mathbb{R}$  be coordinates on  $\mathbb{R}^{2n+1}$  as in Section 2.1. If  $J : \xi_{(x,y,z)} \rightarrow \xi_{(x,y,z)}$  is the complex structure defined by  $J(\partial_{x_j} + y_j\partial_z) = \partial_{y_j}$ ,  $J(\partial_{y_j}) = -(\partial_{x_j} + y_j\partial_z)$ , for  $j = 1, \dots, n$  then the Lagrangian projection  $\Pi_{\mathbb{C}} : \mathbb{R}^{2n+1} \rightarrow \mathbb{C}^n$  gives a complex isomorphism from  $(\xi, J)$  to the trivial bundle with fiber  $\mathbb{C}^n$ . Thus we may think of  $df_{\mathbb{C}}$  as a trivialization  $TL \otimes \mathbb{C} \rightarrow \mathbb{C}^n$ . Moreover, we can choose Hermitian metrics on  $TL \otimes \mathbb{C}$  and on  $\mathbb{C}^n$  so that  $df_{\mathbb{C}}$  is a unitary map. Then  $f$  gives rise to an element in  $U(TL \otimes \mathbb{C}, \mathbb{C}^n)$ . One may check that the group of continuous maps  $C(L, U(n))$  acts freely and transitively on  $U(TL \otimes \mathbb{C}, \mathbb{C}^n)$  and thus  $\pi_0(U(TL \otimes \mathbb{C}, \mathbb{C}^n))$  is in one-to-one correspondence with  $[L, U(n)]$ . Thus we may think of  $r(f)$  as an element in  $[L, U(n)]$ .

We note that when  $L = S^n$ , then

$$r(f) \in \pi_n(U(n)) \approx \begin{cases} \mathbb{Z}, & n \text{ odd}, \\ 0, & n \text{ even}. \end{cases}$$

Thus for spheres, we will refer to  $r(f)$  as the *rotation number*.

**3.4. The Thurston–Bennequin invariant.** Given an orientable connected Legendrian submanifold  $L$  in an oriented contact  $(2n + 1)$ -manifold  $(M, \xi)$ , we present an invariant, called the Thurston–Bennequin

invariant of  $L$ , describing how the contact structure “twists about  $L$ .” The invariant was originally defined by Bennequin [4] and, independently, by Thurston when  $n = 1$  and generalized to higher dimensions by Tabachnikov [32]. Here, we only recall the definition of the Thurston–Bennequin invariant when  $L$  is homologically trivial in  $M$  (which for  $M = \mathbb{R}^{2n+1}$  poses no additional constraints).

Pick an orientation on  $L$ . Let  $X$  be a Reeb vector field for  $\xi$  and push  $L$  slightly off of itself along  $X$  to get another oriented submanifold  $L'$  disjoint from  $L$ . The *Thurston–Bennequin invariant* of  $L$  is the linking number

$$(3.3) \quad \text{tb}(L) = \text{lk}(L, L').$$

Note that  $\text{tb}(L)$  is independent of the choice of orientation on  $L$  since changing it changes also the orientation of  $L'$ . The linking number is computed as follows. Pick any  $(n+1)$ -chain  $C$  in  $M$  such that  $\partial C = L$ , then  $\text{lk}(L, L')$  equals the algebraic intersection number of  $C$  with  $L'$ .

For a chord generic Legendrian submanifold  $L \subset \mathbb{R}^{2n+1}$ ,  $\text{tb}(L)$  can be computed as follows. Let  $c$  be a Reeb chord of  $L$  with end points  $a$  and  $b$ ,  $z(a) > z(b)$ . Let  $V_a = d\Pi_{\mathbb{C}}(T_a L)$  and  $V_b = d\Pi_{\mathbb{C}}(T_b L)$ . Given an orientation on  $L$ , these are oriented  $n$ -dimensional transverse subspaces in  $\mathbb{C}^n$ . If the orientation of  $V_a \oplus V_b$  agrees with that of  $\mathbb{C}^n$ , then we say the sign,  $\text{sign}(c)$ , of  $c$  is  $+1$ , otherwise we say it is  $-1$ . Then

$$(3.4) \quad \text{tb}(L) = \sum_c \text{sign}(c),$$

where the sum is taken over all Reeb chords  $c$  of  $L$ . To verify this formula, use the Reeb-vector field  $\partial_z$  to shift  $L$  off itself and pick the cycle  $C$  as the cone over  $L$  through some point with a very large negative  $z$ -coordinate.

Note that the parity of the number of double points of any generic immersion of an  $n$ -manifold into  $\mathbb{C}^n$  depends only on its regular homotopy class [33]. Thus the parity of  $\text{tb}(L)$  is determined by the rotation class  $r(L)$ . Some interesting facts [8] concerning the Thurston–Bennequin invariant are summarized in the following proposition.

**Proposition 3.2.** *Let  $L$  be a Legendrian submanifold in standard contact  $(2n+1)$ -space.*

- 1) *If  $n > 1$  is odd, then for any  $k \in \mathbb{Z}$ , we can find,  $C^0$  close to  $L$ , a Legendrian submanifold  $L'$  smoothly isotopic and Legendrian regularly homotopic to  $L$  with  $\text{tb}(L') = 2k$ .*
- 2) *If  $n$  is even, then  $\text{tb}(L) = (-1)^{\frac{n}{2}+1} \frac{1}{2} \chi(L)$ .*

The ideas associated with (1) are discussed below in Proposition 4.5. For (2), note that if  $n = 2k$  is even, then the sign of a double point  $c$  is independent of the ordering of the subspaces  $V_a$  and  $V_b$  and in this case,  $\text{tb}(L)$  equals Whitney’s invariant [33] for immersions of orientable

$2k$ -manifolds into oriented  $\mathbb{R}^{4k}$  which in turn equals  $-\frac{1}{2}\chi(\nu)$ , where  $\nu$  is the oriented normal bundle of the immersion [23]. Since the immersion is Lagrangian into  $\mathbb{C}^n$ , its normal bundle is isomorphic to the tangent bundle  $TL$  of  $L$  (via multiplication with  $i$ ) and as an oriented bundle, it is isomorphic to  $TL$  with orientation multiplied by  $(-1)^{\frac{n}{2}}$ .

If  $n = 1$ , the situation is much more interesting. In this case, there are two types of contact structures: tight and overtwisted. If the contact structure is overtwisted, then the above proposition is still true, but if the contact structure is tight (as is standard contact 3-space), then  $\text{tb}(L) \leq \chi(L) - |r(L)|$ . There are other interesting bounds on  $\text{tb}(L)$  in a tight contact structure, see [19, 27].

The Thurston–Bennequin invariant of a chord generic Legendrian submanifold can also be calculated in terms of Conley–Zehnder indices of Reeb chords. Recall that  $\mathcal{C}$  is the set of Reeb chords of  $L$ .

**Proposition 3.3.** *If  $L \subset \mathbb{R}^{2n+1}$  is an orientable chord generic Legendrian submanifold, then*

$$\text{tb}(L) = (-1)^{\frac{(n-2)(n-1)}{2}} \sum_{c \in \mathcal{C}} (-1)^{|c|}.$$

The proof of this proposition requires some notational setup in the next section. The proof is given after the proof of Lemma 3.4.

**3.5. Index computations in the front projection.** Though it was easier to define contact homology using the Lagrangian projection of a Legendrian submanifold, it is frequently easier to construct Legendrian submanifolds using the front projection. In preparation for our examples below, we discuss Reeb chords and their Conley–Zehnder indices in the front projection.

If  $L \subset \mathbb{R}^{2n+1}$  is a Legendrian submanifold, then the Reeb chords of  $L$  appears in the front projection as vertical line segment (i.e. a line segment in the  $z$ -direction) connecting two points of  $\Pi_F(L)$  with parallel tangent planes. (See Section 3.2 and note that  $L$  may be perturbed so that the Reeb chords as seen in  $\Pi_F(L)$  do not have end points lying on singularities of  $\Pi_F(L)$ .)

A generic arc  $\gamma$  in  $\Pi_F(L)$  connecting two such points  $a, b$  intersects the cusp edges of  $\Pi_F(L)$  transversely and meets no other singularities of  $\Pi_F(L)$  (it might also meet double points of the front projection, but “singularities” refers to non-immersion parts of  $\Pi_F(L)$ ). Let  $p$  be a point on a cusp edge where  $\gamma$  intersects it. Note that  $\Pi_F(L)$  has a well defined tangent space at  $p$ . Choose a line  $l$  orthogonal to this tangent space. Then, since the tangent space does not contain the vertical direction, orthogonal projection to the vertical direction at  $p$  gives a linear isomorphism from  $l$  to the  $z$ -axis through  $p$ . Thus the  $z$ -axis induces an orientation on  $l$ . Let  $\gamma_p$  be a small part of  $\gamma$  around  $p$  and let  $h_p: \gamma_p \rightarrow l$  be orthogonal projection. The orientation of  $\gamma$  induces

one on  $\gamma_p$  and we say that the intersection point is an *up-* (*down-*) *cusps* if  $h_p$  is increasing (decreasing) around  $p$ .

Let  $c$  be a Reeb chord of  $L$  with end points  $a$  and  $b$ ,  $z(a) > z(b)$ . Let  $q$  be the intersection point of the vertical line containing  $c \subset \mathbb{R}^{n+1}$  and  $\{z = 0\} \subset \mathbb{R}^{n+1}$ . Small parts of  $\Pi_F(L)$  around  $a$  and  $b$ , respectively, can be viewed as the graphs of functions  $h_a$  and  $h_b$  from a neighborhood of  $q$  in  $\mathbb{R}^n$  to  $\mathbb{R}$  (the  $z$ -axis). Let  $h_{ab} = h_a - h_b$ . Since the tangent planes of  $\Pi_F(L)$  at  $a$  and  $b$  are parallel, the differential of  $h_{ab}$  vanishes at  $q$ . If the double point  $c^*$  of  $\Pi_{\mathbb{C}}(L)$  corresponding to  $c$  is transverse, then the Hessian  $d^2h_{ab}$  is a non-degenerate quadratic form (see the proof below). Let  $\text{Index}(d^2h_{ab})$  denote its number of negative eigenvalues.

**Lemma 3.4.** *If  $\gamma$  is a generic path in  $\Pi_F(L)$  connecting  $a$  to  $b$ , then*

$$\nu_\gamma(c) = D(\gamma) - U(\gamma) + \text{Index}(d^2h_{ab}),$$

where  $D(\gamma)$  and  $U(\gamma)$  is the number of down- and up-cusps of  $\gamma$ , respectively.

*Proof.* To compute the Maslov index as described in Section 2.3, we use the Lagrangian reference space  $x = 0$  in  $\mathbb{R}^{2n}$  (that is, the subspace  $\text{Span}(\partial_{y_1}, \dots, \partial_{y_n})$ ) with Lagrangian complement  $y = 0$  ( $\text{Span}(\partial_{x_1}, \dots, \partial_{x_n})$ ).

We must compute the Maslov index of the loop  $\Gamma * \lambda(V_b, V_a)$  where  $V_b$  and  $V_a$  are the Lagrangian subspaces  $d\Pi_{\mathbb{C}}(T_bL)$  and  $d\Pi_{\mathbb{C}}(T_aL)$  and  $\Gamma(t)$  is the path of Lagrangian subspaces induced from  $\gamma$ . We first note that  $\Gamma(t)$  intersects our reference space transversely (in 0) if  $\gamma(t)$  is a smooth point of  $\Pi_F(L)$ , since near such points,  $\Pi_F(L)$  can be thought of as a graph of a function over some open set in  $x$ -space (i.e.  $\{z = 0\} \subset \mathbb{R}^{n+1}$ ). Thus, for generic  $\gamma$ , the only contributions to the Maslov index come from cusp-edge intersections and the path  $\lambda(V_b, V_a)$ .

We first consider the contribution from  $\lambda(V_b, V_a)$ . There exist orthonormal coordinates  $u = (u_1, \dots, u_n)$  in  $x$ -space so that in these coordinates

$$d^2h_{ab} = \text{Diag}(\lambda_1, \dots, \lambda_n).$$

We use coordinates  $(u, v)$  on  $\mathbb{R}^{2n} = \mathbb{C}^n$  where  $u$  is as above,  $\partial_j = \partial_{u_j}$ , and  $\partial_{v_j} = i\partial_j$  ( $i = \sqrt{-1}$ ). In these coordinates, our symplectic form is simply  $\omega = \sum_{j=1}^n du_j \wedge dv_j$ , and our two Lagrangian spaces are given by  $V_a = \text{Span}_{j=1}^n(\partial_j + id^2h_a\partial_j)$ ,  $V_b = \text{Span}_{j=1}^n(\partial_j + id^2h_b\partial_j)$ . One easily computes

$$\omega(\partial_j + id^2h_b\partial_j, \partial_j + id^2h_a\partial_j) = \omega(\partial_j, id^2h_{ab}\partial_j) = \lambda_j.$$

Moreover, let

$$\begin{aligned} W_j &= \text{Span}(\partial_j + id^2h_a\partial_j, \partial_j + id^2h_b\partial_j) \\ &= \text{Span}(\partial_j + id^2h_a\partial_j, id^2h_{ab}\partial_j) = \text{Span}(\partial_j + id^2h_b\partial_j, id^2h_{ab}\partial_j), \end{aligned}$$

then  $W_j$  and  $W_k$  are symplectically orthogonal,  $\omega(W_j, W_k) = 0$ , for  $j \neq k$ .

Let  $v_a(j)$  be a unit vector in direction  $\partial_j + id^2h_a\partial_j$  and similarly for  $v_b(j)$ . Define the almost complex structure  $I$  as follows

$$I(v_b(j)) = \text{Sign}(\lambda_j)v_a(j),$$

and note that it is compatible with  $\omega$ . Then  $e^{sI}v_b(j)$ ,  $0 \leq s \leq \frac{\pi}{2}$ , intersects the line in direction  $i\partial_j$  if and only if  $\lambda_j < 0$  and does so in the positive direction.

It follows that the contribution of  $e^{sI}V_b$ ,  $0 \leq s \leq \frac{\pi}{2}$  (i.e.  $\lambda(V_b, V_a)$ ) to the Conley–Zehnder index is  $\text{Index}(d^2h_{ab})$ .

Second, we consider cusp-edge intersections: at a cusp-edge intersection  $p$  (which we take to be the origin), there are coordinates  $u = (u_1, \dots, u_n)$  such that the front locally around  $p = 0$  is given by  $u \mapsto (x(u), z(u))$ , where

$$x(u) = (u_1^2, u_2, \dots, u_n), \quad z(u) = \delta u_1^3 + \beta u_1^2 + \alpha_2 u_2 + \dots + \alpha_n u_n,$$

where  $\delta$  is  $\pm 1$ , and  $\beta$  and  $\alpha_j$  are real constants. We can assume the oriented curve  $\gamma$  is given by  $u(t) = (\epsilon t, 0, \dots, 0)$ , where  $\epsilon = \pm 1$ . If we take the coorienting line  $l$  to be in the direction of the vector

$$v(p) = (-\beta, -\alpha_2, \dots, -\alpha_n, 1),$$

then the function  $h_p$  is

$$h_p(t) = \delta \epsilon^3 t^3,$$

and we have an up-cusp if  $\delta \epsilon > 0$  and a down-cusp if  $\delta \epsilon < 0$ .

The curve  $\Gamma(t)$  of Lagrangian tangent planes of  $\Pi_{\mathbb{C}}(L^n)$  along  $\gamma$  is given by

$$\Gamma(t) = \text{Span} \left( 2\epsilon t \partial_1 + i \frac{3\delta}{2} \partial_1, \partial_2, \dots, \partial_n \right).$$

The plane  $\Gamma(0)$  intersects our reference plane at  $t = 0$  along the line in direction  $i\partial_1$ . As described in Section 2.2, the sign of the intersection is given by the sign of

$$\frac{d}{dt} \omega \left( i \frac{3\delta}{2\sigma} \partial_1, 2\sigma \epsilon \partial_1 \right) = -3\delta \epsilon$$

Thus, we get negative signs at up-cusps and positive at down-cusps. The lemma follows. q.e.d.

*Proof of Proposition 3.3.* Recall from (3.4) that  $\text{tb}(L)$  can be computed by summing  $\text{sign}(c)$  over all Reeb chords  $c$ , where  $\text{sign}(c)$  is the oriented intersection between the upper and lower sheets of  $\Pi_{\mathbb{C}}(L)$  at  $c^*$ . So to prove the proposition, we only need to check that  $\text{sign}(c) = (-1)^{\frac{1}{2}(n^2+n+2)}(-1)^{|c|}$ .

We say the orientations on two hyperplanes transverse to the  $z$ -axis in  $\mathbb{R}^{n+1}$  agree if their projection to  $\{z = 0\} \subset \mathbb{R}^{n+1}$  induce the same

orientation on this  $n$ -dimensional subspace. Let  $c$  be a Reeb chord of  $L$  and let  $a$  and  $b$  denote its end points on  $\Pi_F(L)$ . If the orientations on  $T_a\Pi_F(L)$  and  $T_b\Pi_F(L)$  agree, then the above proof shows that the bases

$$(3.5) \quad \begin{aligned} & \left( \partial_1 + id^2h_a\partial_1, \dots, \partial_n + id^2h_a\partial_n, \partial_1 + id^2h_b\partial_1, \dots, \partial_n + id^2h_b\partial_n \right) \\ & \simeq \left( id^2h_{ab}\partial_1, \dots, id^2h_{ab}\partial_n, \partial_1, \dots, \partial_n \right), \end{aligned}$$

provide oriented bases for  $d\Pi_{\mathbb{C}}(T_aL) \oplus d\Pi_{\mathbb{C}}(T_bL)$ . Note that the standard orientation of  $\mathbb{C}^n$  is given by the positive basis  $(\partial_1, i\partial_1, \dots, \partial_n, i\partial_n)$  which after multiplication with  $(-1)^{\frac{n(n+1)}{2}}$  agrees with the orientation given by the basis  $(i\partial_1, \dots, i\partial_n, \partial_1, \dots, \partial_n)$ . Thus

$$\text{sign } c = (-1)^{\frac{n(n+1)}{2}} (-1)^{\text{Index}(d^2(h_{ab}))}.$$

However, the orientations of  $T_a\Pi_F(L)$  and  $T_b\Pi_F(L)$  do not always agree. Let  $\gamma$  be the path in  $L$  connecting  $a$  to  $b$ . The orientations on  $T_{\gamma(t)}(\Pi_F(L))$  do not change as long as  $\gamma$  does not pass a cusp edge. It follows from the local model for a cusp edge that each time  $\gamma$  transversely crosses a cusp edge, the orientation on  $T_{\gamma(t)}(\Pi_F(L))$  changes. Thus

$$\begin{aligned} \text{sign } c &= (-1)^{\frac{n(n+1)}{2}} (-1)^{D(\gamma)+U(\gamma)} (-1)^{\text{Index}(d^2h_{ab})} \\ &= (-1)^{\frac{1}{2}(n^2+n+2)} (-1)^{|c|} \end{aligned}$$

as we needed to show.

q.e.d.

#### 4. Examples and constructions

Before describing our examples, we discuss the linearized contact homology in Section 4.1. This is an invariant of Legendrian submanifolds derived from the DGA. Its main advantage over contact homology is that it is easier to compute. In Section 4.2, we do several simple computations of contact homology. In Sections 4.3 and 4.4, we describe two constructions: *stabilization* and *front spinning*. In these subsections, we construct infinite families of pairwise non-isotopic Legendrian  $n$ -spheres,  $n$ -tori and surfaces which are indistinguishable by the classical invariants.

**4.1. Linearized homology.** To distinguish Legendrian submanifolds using contact homology, one must find computable invariants of stable tame isomorphism classes of DGA's. We use an idea of Chekanov [5] to "linearize" the homology of such algebras. To keep the discussion simple, we will only consider algebras generated over  $\mathbb{Z}_2$  and not  $\mathbb{Z}_2[H_1(L)]$ .

Let  $\mathcal{A}$  be an algebra generated by  $\{c_1, \dots, c_m\}$ . For  $j = 0, 1, 2, \dots$  let  $\mathcal{A}_j$  denote the ideal of  $\mathcal{A}$  generated by all words  $\mathbf{c}$  in the generators

with  $l(\mathbf{c}) \geq j$ , where  $l(\mathbf{c})$  denotes the length of the word  $\mathbf{c}$ . A differential  $\partial: \mathcal{A} \rightarrow \mathcal{A}$  is called *augmented* if  $\partial(\mathcal{A}_1) \subset \mathcal{A}_1$  (in other words, if  $\partial c_j$  does not contain 1 for any  $j$ ). If  $(\mathcal{A}, \partial)$  is augmented, then  $\partial(\mathcal{A}_j) \subset \mathcal{A}_j$  for all  $j$ . A DGA  $(\mathcal{A}, \partial)$  is called *good* if its differential is augmented.

Let  $(\mathcal{A}, \partial)$  be a DGA with generators  $\{c_1, \dots, c_m\}$  and consider the vector space  $\mathcal{V} = \mathcal{A}_1/\mathcal{A}_2$  over  $\mathbb{Z}_2$ . If  $(\mathcal{A}, \partial)$  is good, then  $\partial: \mathcal{A} \rightarrow \mathcal{A}$  induces a differential  $\partial_1: \mathcal{V} \rightarrow \mathcal{V}$ . Note that  $\{c_1, \dots, c_m\}$  gives a basis in  $\mathcal{V}$  and that in this basis  $\partial_1 c_j$  equals the part of  $\partial c_j$  which is linear in the generators. We define the *linearized homology* of a  $(\mathcal{A}, \partial)$  as

$$\text{Ker}(\partial_1)/\text{Im}(\partial_1),$$

which is a graded vector space over  $\mathbb{Z}_2$ .

We want to apply this construction to DGA's associated to Legendrian isotopy classes. Let  $L \subset \mathbb{R}^{2n+1}$  be an admissible Legendrian submanifold with algebra  $(\mathcal{A}(L), \partial)$  generated by  $\{c_1, \dots, c_m\}$ . Let  $G$  be the set of tame isomorphisms of  $\mathcal{A}(L)$  and for  $g \in G$ , let  $\partial^g: \mathcal{A}(L) \rightarrow \mathcal{A}(L)$  be  $\partial^g = g\partial g^{-1}$ . We define the *linearized contact homology* of  $L$ ,  $HLC_*(\mathbb{R}^{2n+1}, L)$  to be the set of isomorphism classes of linearized homologies of  $(\mathcal{A}, \partial^g)$ , where  $g \in G$  is such that  $(\mathcal{A}, \partial^g)$  is good. (Note that this set may be empty.) Define  $G_0 \subset G$  to be the subgroup of tame isomorphisms  $g_0$  such that  $g_0(c_j) = c_j + a_j$  for all  $j$ , where  $a_j = 0$  or  $a_j = 1$ . Note that  $a_j = 0$  if  $|c_j| \neq 0$  since  $g_0$  is graded and that  $G_0 \approx \mathbb{Z}_2^k$ , where  $k$  is the number of generators of  $\mathcal{A}$  of degree 0.

**Lemma 4.1.** *If  $L_t \subset \mathbb{R}^{2n+1}$  is a Legendrian isotopy between admissible Legendrian submanifolds, then  $HLC_*(\mathbb{R}^{2n+1}, L_0)$  is isomorphic to  $HLC_*(\mathbb{R}^{2n+1}, L_1)$ . Moreover, if  $L \subset \mathbb{R}^{2n+1}$  is an admissible Legendrian submanifold, then  $HLC_*(\mathbb{R}^{2n+1}, L)$  is equal to the set of isomorphism classes of linearized homologies of  $(\mathcal{A}, \partial^{g_0})$ , where  $g_0 \in G_0$  is such that  $(\mathcal{A}, \partial^{g_0})$  is good.*

*Proof.* The first statement follows from the observation that the stabilization  $(S_j(\mathcal{A}), \partial)$  of a good DGA  $(\mathcal{A}, \partial)$  is good and that the linearized homologies of  $(S_j(\mathcal{A}), \partial)$  and  $(\mathcal{A}, \partial)$  are isomorphic. The second statement is proved in [5]. q.e.d.

Let  $L \subset \mathbb{R}^{2n+1}$  be an admissible Legendrian submanifold. Note that if  $\mathcal{A}(L)$  has no generator of degree 1, then  $(\mathcal{A}, \partial)$  is automatically good and if  $\mathcal{A}$  has no generator of degree 0, then  $G_0$  contains only the identity element. If the set  $HLC_*(\mathbb{R}^{2n+1}, L)$  contains only one element, we will sometimes below identify this set with its only element.

**4.2. Examples.** In this subsection, we describe several relatively simple examples in which the contact homology is easy to compute and defer more complicated computations to the following subsections.

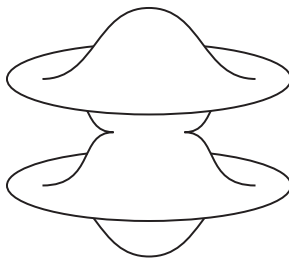
**Example 4.2.** The simplest example in all dimensions is  $L_0$  described in Example 3.1, with a single Reeb chord  $c$ . Using Lemma 3.4

and the fact that the difference of the  $z$ -coordinates at the end points of  $c$  is a local maximum, we find  $|c| = n$ . So  $\mathcal{A}(L_0) = \langle c \rangle$  and the differential is  $\partial c = 0$ , showing (if  $n > 1$ ) the contact homology is

$$HC_k(\mathbb{R}^{2n+1}, L_0) = \begin{cases} 0, & k \not\equiv 0 \pmod{n}, \text{ or } k < 0, \\ \mathbb{Z}_2, & \text{otherwise.} \end{cases}$$

If  $n = 1$ , this is still true, but  $\mathcal{M}(c; \emptyset)$  is not empty (it contains two elements [5]).

**Example 4.3.** Generalizing Example 4.2 above, we can consider the Legendrian sphere  $L'$  in  $\mathbb{R}^{2n+1}$  with 3 cusp edges in its front projection. See Figure 4.



**Figure 4.** The sphere  $L'$  with 3 cusps.

If one draws the pictures with an  $SO(n)$  symmetry about the  $z$ -axis, then there will be one Reeb chord running from the top of the sphere to the bottom, call it  $c$  and a  $(n - 1)$ -spheres worth of Reeb chords. Perturbing the symmetric picture slightly yields two Reeb chords  $a, b$  in place of the spheres worth in the symmetric picture. The gradings are

$$|c| = n + 2, \quad |a| = 1, \quad |b| = n.$$

The grading on  $c$  is computed using Lemma 3.4 by noting that the difference of the  $z$ -coordinates at the end points of  $c$  (is a local maximum) and any path in  $L'$  connecting the end points of  $c$  intersects the cusp edge three times and each intersection is a down-cusp. It is clear that whatever the DGA of  $L'$  is, it is different from that in the example above. In particular, if  $\partial a = 1$ , then the differential is not augmented (and cannot be augmented using a tame isomorphism) and hence different from the DGA of  $L$ . If  $\partial a = 0$ , then the DGA is good and its linearization distinguishes it from the DGA of  $L$ . Thus for  $n$  even, we have the first examples of non-isotopic Legendrian spheres. More generally, for any  $n$ , we have examples of non-isotopic Legendrian spheres with the same classical invariants.

Given two Legendrian submanifolds  $K$  and  $K'$ , we describe their “(cusp) connected sum”, an idea we use later to construct our infinite



family of examples. Isotop  $K$  and  $K'$  so that their fronts are separated by a hyperplane in  $\mathbb{R}^{n+1}$  containing the  $z$ -direction and let  $c$  be an arc beginning at a cusp edge of  $K$  and ending at a cusp edge of  $K'$  and parameterized by  $s \in [-1, 1]$ . Take a neighborhood  $N$  of  $c$  whose vertical cross sections consist of round balls whose radii vary with  $s$  and have exactly one minimum at  $s = 0$  and no other critical points. Introduce cusps along  $N$  as indicated in Figure 5. Define the “connected sum”



**Figure 5.** The neighborhood of  $c$ , on the left, drawn so that there would be a unique Reeb chord if it were the front projection of a Legendrian tube. On the right, cusps are added to the neighborhood so that it is the front projection of a Legendrian tube.

$K\#K'$  to be the Legendrian submanifold obtained from the joining of  $K \setminus (K \cap N)$ ,  $K' \setminus (K' \cap N)$  and  $\partial N$ . Note this operation might depend on the cusp edges one chooses on  $K$  and  $K'$ , but we will make this choice explicit in our examples. In dimension 3, it can be shown that the connected sum of two knots is well defined [5, 14]. It would be interesting to understand this operation better in higher dimensions.

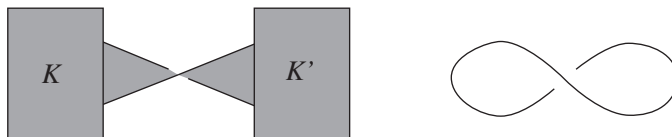
**Lemma 4.4.** *Let  $\mathcal{C}$  and  $\mathcal{C}'$  be the sets of Reeb chords of  $K$  and  $K'$ , respectively, and let  $|\cdot|_K$ ,  $|\cdot|_{K'}$ , and  $|\cdot|$  denote grading in  $\mathcal{A}(K)$ ,  $\mathcal{A}(K')$ , and  $\mathcal{A}(K\#K')$ , respectively. It is possible to perform the connected sum so that the set of Reeb chords of  $K\#K'$  is  $\mathcal{C} \cup \mathcal{C}' \cup \{h\}$  and so that the following holds.*

- 1) If  $c \in \mathcal{C}$ , then  $|c|_K = |c|$ , if  $c' \in \mathcal{C}'$ , then  $|c'|_{K'} = |c'|$ , and  $|h| = n - 1$ .
- 2)  $\partial h = 0$ .
- 3) If  $\mathcal{A}_K$  and  $\mathcal{A}_{K'}$  denote the subalgebras of  $\mathcal{A}(K\#K')$  generated by  $\mathcal{C} \cup \{h\}$  and  $\mathcal{C}' \cup \{h\}$ , respectively, then  $\partial(\mathcal{A}_K) \subset \mathcal{A}_K$  and  $\partial(\mathcal{A}_{K'}) \subset \mathcal{A}_{K'}$ .
- 4) If  $c \in \mathcal{C}$ , then  $\partial c \in \mathcal{A}(K\#K')_1$  if and only if  $\partial_K c \in \mathcal{A}(K)_1$  and similarly for  $c' \in \mathcal{C}'$ . (In other words, the constant part of  $\partial c$  ( $\partial c'$ ) does not change after the connected summation.)

*Proof.* We may assume that  $K$  and  $K'$  are on opposite sides of the hyperplane  $\{x_1 = 0\}$  and there is a unique point  $p$ , respectively  $p'$ , on a cusp edge of  $K$ , respectively  $K'$ , that is closest to  $K'$ , respectively  $K$ . We may further assume that all the coordinates, but the  $x_1$  coordinate of  $p$  and  $p'$  agree. Define  $K\#K'$  using  $c$ , the obvious horizontal arc connecting  $p$  and  $p'$ . It is now clear that all the Reeb chords in  $K$  and  $K'$  are in  $K\#K'$  and there is exactly one extra chord  $h$ , coming from the

minimum in the neighborhood  $N$  of  $c$ . It is also clear that the gradings of the inherited chords are unchanged and that  $|h| = n - 1$ .

Denote  $z_j = x_j + iy_j$ . The image of a holomorphic disk  $u : D \rightarrow \mathbb{C}^n$  with positive puncture at  $h^*$  must lie in the complex hyperplane  $\{z_1 = 0\}$ . To see this, notice that the projection of  $K\#K'$  onto the  $z_1$ -plane is as shown in Figure 6. Let  $u_1$  be the composition of  $u$  with



**Figure 6.** The projection onto the  $z_1$ -plane (left). The intersection with the  $z_2$ -plane (right).

this projection. If  $u_1$  is not constant, then  $u(\partial D)$  must lie in the shaded region in Figure 6. Thus the corner at  $h^*$  (note  $h^*$  projects to 0 in this figure) must be a negative puncture. Since any holomorphic disk with positive puncture at  $h^*$  must lie entirely in the hyperplane  $\{z_1 = 0\}$ , it cannot have any negative punctures. Thus  $\partial h$  has only a constant part. For  $n > 2$ , this implies  $\partial h = 0$  immediately. If  $n = 2$ , then  $\partial h = 0$  since in this case, there are exactly two holomorphic disks in the  $z_2$ -plane, see Figure 6. Proposition 2.4 implies both these disks contribute to the boundary map.

To see (3), consider the projection of  $K\#K'$  onto the  $z_1$ -plane, see Figure 6. If a holomorphic disk  $D$  intersected the projection of  $K$  and  $K'$ , then it would intersect the  $y_1$ -axis in a closed interval, with non-trivial interior, containing the origin. This contradicts the maximum principle since the intersection of the boundary of  $D$  with the  $y_1$ -axis can contain only the origin.

For the last statement, consider Reeb chords in  $K$ , those in  $K'$  can be handled in exactly the same way. Proposition 2.3 implies that we may choose the point  $p$  so that no rigid holomorphic disk  $u : D \rightarrow \mathbb{C}^n$  with boundary on  $K$  maps any point in  $\partial D$  to  $p$ . Since the space of rigid disks is a compact 0 manifold, there are only finitely many rigid disks,  $u_1, \dots, u_r$ , say. Since each  $u_k$  is continuous on the boundary  $\partial D$  we find that  $u_1(\partial D) \cup \dots \cup u_r(\partial D)$  stays a positive distance  $d$  away from  $p$ . Consider the ball  $B(p, \frac{1}{2}d)$  and use a tube attached inside  $B(p, \frac{1}{4}d)$  for the connected sum. If  $c \in \mathcal{C}$  and  $v$  is a rigid holomorphic disk with boundary on  $K\#K'$ , with positive puncture at  $c$ , no other punctures, and such that the image  $v(\partial D)$  is disjoint from  $\partial B(p, \frac{1}{2}d)$ , then  $v$  is also a disk with boundary on  $K$  and hence  $v = u_j$  for some  $j$ .

Since no holomorphic disk with boundary on  $K\#K'$  which touches a point in  $K$  can pass the hyperplane  $\{x_1 = 0\} \subset \mathbb{C}^n$ , it will also represent a disk on the connected sum  $K\#L_0$ , where  $L_0$  is a small

standard sphere. Pick a generic Legendrian isotopy  $K_t$ ,  $0 \leq t \leq 1$  of  $K \# L_0$  to  $K$  which is supported in  $(K \cap B(p, \frac{1}{4}d)) \# L_0$ . Then, either there exists  $t < 1$  such that all rigid disks  $v$  on  $K_t$  for  $t > 0$  satisfies  $v(\partial D) \cap (B(p, \frac{1}{2}d) \setminus B(p, \frac{1}{4}d)) = \emptyset$  or there exists a sequence of rigid disks  $v_j$  with boundary on  $L_{t_j}$ ,  $t_j \rightarrow 1$  as  $j \rightarrow \infty$  such that  $v_j(\partial D) \cap (B(p, \frac{1}{2}d) \setminus B(p, \frac{1}{4}d)) \neq \emptyset$ . In the first case, the lemma follows from the observation above. We show the second case cannot appear: by Gromov compactness, the sequence  $v_j$  has a subsequence which converges to a broken disk  $(v^1, \dots, v^N)$  with boundary on  $K$ . Since  $K$  is generic, there are no disks with negative formal dimension and all components of  $(v^1, \dots, v^N)$  must be rigid. But since  $v_j$  is rigid, the broken disk must in fact be unbroken by (2.6). Thus we find a rigid disk  $v^1$  with boundary on  $K$  such that  $v^1(\partial D) \cap B(p, d) \neq \emptyset$  contradicting our choice of  $p$ . The lemma follows. q.e.d.

**4.3. Stabilization and the proof of Theorem 1.1.** In this subsection, we describe a general construction that can be applied to Legendrian submanifolds called *stabilization*. The basic idea of stabilization is to take a part of the front of a Legendrian submanifold and pull it up past another part of the front. Using the stabilization technique, we prove Theorem 1.1. For those familiar with Legendrian knots in dimension 3, we compare our version of stabilization with the knot version in Figure 7.

We begin with a model situation. In  $\mathbb{R}^{n+1}$ , consider two unit balls  $F$  and  $E$  in the hyperplanes  $\{z = 0\}$  and  $\{z = 1\}$ , respectively, and such that their centers lie on a line parallel to the  $z$ -axis. Let  $M$  be a  $k$ -manifold embedded in  $F$ . Let  $N$  be a regular  $\epsilon$ -neighborhood of  $M$  in  $F$  for some positive  $\epsilon \ll 1$ . Deform  $F$  to  $F'$  by pushing  $M$  up to  $z = \epsilon$  and deform  $N$  so that the  $z$ -coordinate of  $p \in N$  is  $\epsilon - \text{dist}(p, M)$ . Note that there are many Reeb chords of  $F' \cup E$ , one for each point in  $M$  and  $F \setminus N$ . To deform this into a generic picture, choose a Morse function  $f : M \rightarrow [0, 1]$  and  $g : (F \setminus N) \rightarrow [0, 1]$  such that  $g^{-1}(1) = \partial F$  and  $g^{-1}(0) = \partial N$ . (It is important to notice that we may, if we wish, modify the boundary conditions on  $g|_{\partial F}$  depending on our circumstances.) Take a positive  $\delta \ll \epsilon$  and further deform  $F'$  by adding  $\delta f(p)$  to the  $z$  coordinate of points in  $M$  and subtracting  $\delta g(p)$  from the  $z$  coordinate of points in  $F \setminus N$ . The result is a generic pair of Lagrangian disks  $F'$  and  $E$  with one Reeb chord for each critical point of  $f$  and  $g$ . Define  $F''$  as we defined  $F'$ , but begin by dragging  $M$  up to  $z = 1 + \epsilon$  (instead of  $z = \epsilon$  as we did for  $F'$ ).

Now, if  $\Pi_F(L)$  is the front projection of a Legendrian submanifold  $L$  and there are two horizontal disks in  $\Pi_F(L)$ , we can identify them with  $F$  and  $E$  above. (Note we can always assume there are horizontal disks by either looking near a cusp and flattening out a region, or letting  $F$  and  $E$  be the disks obtained by flattening out the regions around the top

and bottom of a Reeb chord.) Legendrian isotop  $L$  so that  $F$  becomes  $F'$ . Replacing  $F'$  in  $\Pi_F(L)$  by  $F''$  will result in the front of a Legendrian submanifolds  $L'$  which is called the *stabilization of  $L$  along  $M$* .

**Proposition 4.5.** *If  $L'$  is the stabilization of  $L$  with notation as above, then*

- 1) *The rotation class of  $L'$  is the same as that of  $L$ .*
- 2) *The invariant  $\text{tb}$  is given by*

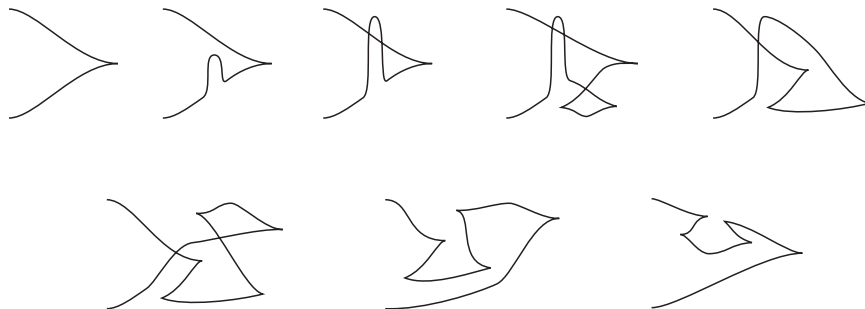
$$\text{tb}(L') = \begin{cases} \text{tb}(L), & \text{for } n \text{ even,} \\ \text{tb}(L) + (-1)^{(D-U)} 2\chi(M), & \text{for } n \text{ odd,} \end{cases}$$

where  $D, U$ , is the number of down-, up-cusps along a generic path from  $E$  to  $F$  in  $\Pi_F(L)$ .

- 3) *The Reeb chords of  $L$  and  $L'$  are naturally identified. The grading of any chord not associated with  $M, F$  and  $E$  is the same for both  $L$  and  $L'$ . Let  $c$  be a chord associated to  $M, F$  and  $E$  and let  $|c|_L$  be its grading in  $L$  and  $|c|_{L'}$  its grading in  $L'$ . Then*

$$|c|_{L'} = n - 2 - |c|_L.$$

This theorem may seem a little strange if one is used to Legendrian knots in  $\mathbb{R}^3$ . In particular, it is well known that in 3 dimensions, there are two different stabilizations and both change the rotation number. What is called a stabilization in dimension 3 is really a “half stabilization,” as defined here. (Recall such a “half stabilization” corresponds to adding zig-zags to the front projection and looks like a “Reidemeister Type 1” move in the Lagrangian projection [13].) In particular, if one does the above described stabilization near a cusp in dimension 3, it will be equivalent to doing both types of half stabilizations. See Figure 7.



**Figure 7.** Our stabilization in dimension 3 is equivalent to two normal 3 dimensional stabilizations [13].

**Remark 4.6.** The stabilization procedure will typically produce non-topologically isotopic knots when done in dimension 3. In particular, stabilization changes under-crossings to over-crossings in the Lagrangian projection.

*Proof.* Recall the rotation class is defined as the Legendrian regular homotopy class. Now, (1) is easy to see since the straight line homotopy from  $\Pi_F(L)$  to  $\Pi_F(L')$  will give a regular Legendrian homotopy between  $L$  and  $L'$ . Statement (2) follows from (3). As for (3), let  $c$  be a chord corresponding to a critical point of the Morse function  $f$ , then Lemma 3.4 implies that  $|c|_L = (k - \text{Morse Index}_c(f)) + D - U - 1$  and  $|c|_{L'} = \text{Morse Index}_c(f) + (n - k) - D + U - 1$  where  $k$  is the dimension of  $M$ . q.e.d.

We now consider some examples to see what effect stabilization has on contact homology.

**Example 4.7.** Let  $L$  be a Legendrian submanifold in  $\mathbb{R}^{2n+1}$  and  $p$  a point on a cusp of  $\Pi_F(L)$ . Consider a small ball  $B$  around  $p$  in  $\mathbb{R}^{n+1}$ . We can isotope the front projection so as to create two new Reeb chords  $c_1$  and  $c_2$  in  $B$ , see Figure 7, such that  $|c_1| = 0$  and  $|c_2| = 1$ . Let  $F'$  be the front obtained by pushing the lower end point of  $c_1$  past the upper sheet of  $\Pi_F(L)$  in  $B$  and let  $L'$  be the corresponding Legendrian submanifold.

**Proposition 4.8.** *The contact homology of  $L'$  is*

$$HC_k(\mathbb{R}^{2n+1}, L') = 0.$$

*Proof.* We can assume that  $p$  is at the origin in  $\mathbb{R}^{n+1}$ . For any  $\epsilon$ , define  $B_\epsilon$  to be the product of the ball of radius  $\epsilon$  about  $p$  in the  $x_1z$ -plane times  $[-\epsilon, \epsilon]^{n-1}$  (in  $x_2 \dots x_n$ -space). We may now assume that  $\Pi_F(L) \cap B_\epsilon$  is the cusp shown in Figure 7 times  $[-\epsilon, \epsilon]^{n-1}$  and that the stabilization is done in  $B_{\frac{\epsilon}{2}}$ . A “monotonicity” argument shows that any disk with a positive puncture at  $c_2$  (or  $c_1$ ) and leaving  $B_\epsilon$  has area bounded below. (See for example, [7].) However, the action of  $c_2$  can be made arbitrarily small. Therefore, any disk with a positive corner at  $c_2$  must stay in the ball  $B_\epsilon$ . The projection of  $\Pi_{\mathbb{C}}(L') \cap B_\epsilon$  to a  $z_j$ -plane  $j \neq 1$  is shown on the left-hand side of Figure 8. (The reason for the appearance of this picture is that we can choose the front so that  $\frac{\partial z}{\partial x_j} \cdot x_j \leq 0$ , for all  $j > 1$ .) The boundary of a projection of a holomorphic curve must lie in the



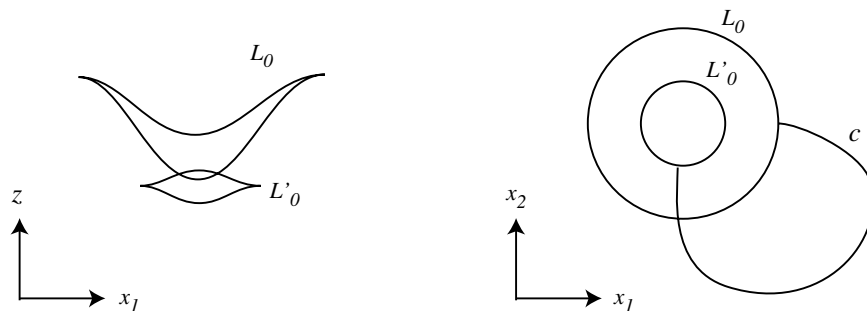
**Figure 8.**  $\Pi_{\mathbb{C}}(L')$  projected onto a  $z_j$ -line,  $j \neq 1$  (left) and intersected with the  $z_1$ -plane (right).

shaded region of the figure; moreover, the corner at  $c_2$  of such a disk

is negative. Thus, any holomorphic curve with positive puncture at  $c_2$  must lie entirely in the  $z_1$ -plane. The right-hand side of Figure 8 shows  $\Pi_{\mathbb{C}}(L') \cap B_\epsilon \cap \{z_1\text{-plane}\}$ . We see there one disk which by Proposition 2.4 contributes to the boundary of  $c_2$ . Thus  $\partial c_2 = 1$ , and one may easily check this implies  $HC_k(\mathbb{R}^{2n+1}, L') = 0$ . q.e.d.

This last example is not particularly surprising given the analogous theorem, long known in dimension 3 [5], that stabilizations (or actually “half stabilizations” even) kill the contact homology. With this in mind, the following examples might be a little surprising. They show that in higher dimensions, stabilization does not always kill the contact homology. The main difference with dimension 3 is the stabilizations we do below would, in dimension 3, change the knot type.

**Example 4.9.** When  $n = 2$ , we define the sphere  $L_1$  via its front projection, which is described in Figure 9. For  $n > 2$ , there is an analogous front projection: take two copies,  $L_0, L'_0$ , of the Legendrian sphere  $L_0$  from Example 4.2 and arrange them as shown in the figure. Deform  $L_0$  as shown in Figure 9. Take a curve  $c$ , parameterized by  $s \in [-1, 1]$ , from the cusp edge on  $L_0$  to the cusp edge on  $L'_0$ . By taking this curve to be very large, we can assume the rate of change in its  $z$ -coordinate is very small. Moreover, we will assume that by the time it passes under  $L_0$ , its  $z$ -coordinate is less than the  $z$ -coordinates of  $L'_0$  and thus has to “slope up” to connect with  $L'_0$ . (These choices will minimize the number of Reeb chords.) Take a neighborhood  $N$  of  $c$  whose vertical cross sections consist of round balls whose radii vary with  $s$  and have exactly one minimum at  $s = 0$  and no other critical points. Introducing cusps along  $N$  as indicated in Figure 5, we can join  $L_0, L'_0$  and  $\partial N$



**Figure 9.** On the left-hand side, the  $x_1z$ -slice of part of  $L_1$  is shown. To see this portion in  $\mathbb{R}^3$ , rotate the figure about its center axis. On the right-hand side, we indicate the arc  $c$  connecting the two copies of  $L_0$ .

together to form a front projection for a Legendrian sphere in  $\mathbb{R}^{2n+1}$ . Note that this is not the same cusp connect sum construction of Figure

6 since  $L_0$  and  $L'_0$  are not separated by a hyperplane. In particular, Lemma 4.4 does not apply to this case.

There are exactly six Reeb chords involving only  $L_0$  and  $L'_0$  which we label  $a_1, \dots, a_6$ . The chord  $a_1$  is the unique chord involving only  $L_0$  and similarly for  $a_2$  and  $L'_0$ . The longest chord is  $a_4$ ; the shortest chord is  $a_5$ . Finally  $a_3$ , respectively  $a_6$ , runs from the top, respectively bottom, of  $L'_0$  to the top, respectively bottom, of  $L_0$ . There is also a Reeb chord  $b$  that occurs in  $N$  where the radii of the cross sectional balls have a minimum. Using Lemma 3.4, we compute:

$$\begin{aligned} |a_1| &= |a_2| = |a_5| = n, \\ |b| &= n - 1, \\ |a_4| &= 0, \\ |a_3| &= |a_6| = -1. \end{aligned}$$

**Proposition 4.10.** *The following are true*

- 1)  $L_1$  is a stabilization of  $L_0$ .
- 2) For all  $n$ , the rotation classes of  $L_1$  and  $L_0$  agree.
- 3) When  $n$  is even  $\text{tb}(L_1) = \text{tb}(L_0)$  and when  $n$  is odd  $\text{tb}(L_1) = \text{tb}(L_0) - 2$ .
- 4) The linearized contact homology of  $L_1$  in homology grading  $-1$  is

$$HLC_{-1}(\mathbb{R}^{2n+1}, L_1) = \mathbb{Z}_2.$$

- 5)  $L_0$  and  $L_1$  are not Legendrian isotopic.

*Proof.* Let  $L'_1$  be the Legendrian sphere whose front is the same as the front of  $L_1$  except that  $L'_0$  has been moved down so as to make  $L_0$  and  $L'_0$  disjoint. Then  $L'_1$  is clearly Legendrian isotopic to  $L_0$  and stabilizing  $L'_1$  (using  $M$  a point) results in  $L_1$ . Thus Statement (1) holds. Statements (2) and (3) follow from (1) and Proposition 4.5. Statement (5) follows from (4).

The Reeb chords for  $L'_1$  and  $L_1$  are easily identified and their gradings are the same except for  $|a_5|_{L'_1} = -2$ . At this point, it is clear that  $HLC_{-1}(\mathbb{R}^{2n+1}, L_1) = \mathbb{Z}_2$  or  $\mathbb{Z}_2 \oplus \mathbb{Z}_2$ . (This is good enough to distinguish  $L_0$  and  $L_1$ .) Since  $L'_1$  and  $L_0$  are Legendrian isotopic, their linearized contact homologies must agree. Furthermore, the linearized contact homology of  $L_0$  is a one element set,

$$\begin{aligned} HLC_n &= HLC_n(\mathbb{R}^{2n+1}, L_0) = \mathbb{Z}_2, \\ HLC_j &= HLC_j(\mathbb{R}^{2n+1}, L_0) = 0, \quad j \neq n. \end{aligned}$$

Thus, if  $\partial'_1$  denotes the (linearized) differential on  $\mathcal{A}(L'_1)_1/\mathcal{A}(L'_1)_2$ , we conclude the following.

- (a)  $\partial'_1 a_5 = 0$  since  $a_5$  is the generator of lowest grading.

- (b)  $\text{Im}(\partial'_1 | \text{Span}(a_3, a_6)) = \text{Span}(a_5)$  since  $HCL_{-2} = 0$  and thus  $\text{Ker}(\partial'_1 | \text{Span}(a_3, a_6))$  is 1-dimensional.
- (c)  $\partial'_1 a_4$  spans  $\text{Ker}(\partial'_1 | \text{Span}(a_3, a_6))$  since  $HLC_{-1} = 0$ ,
- (d) If  $n > 2$ , then  $\partial'_1 b = 0$ . Also,  $\text{Im}(\partial'_1 | \text{Span}(a_1, a_2))$  is spanned by  $b$ .

Let  $\hat{L}_1$  be the Legendrian immersion “between”  $L'_1$  and  $L_1$  with one double point which arises as the length of the Reeb chord  $a_5$  shrinks to 0. Take  $\hat{L}_1$  to be generic admissible. Moreover, by Proposition 2.3, we may assume that no rigid holomorphic disk with boundary on  $\hat{L}_1$  and without puncture at  $a_5^*$  maps any boundary point to  $a_5^*$ . As in the proof of Lemma 4.4, we find a ball  $B(a_5^*, d)$  such that no rigid disk without puncture at  $a_5^*$  maps a boundary point into  $B(a_5^*, d)$ .

Let  $K_t, t \in [-\delta, \delta]$  be a small Legendrian regular homotopy such that  $K_0 = \hat{L}_1$ ,  $K_\delta$  is Legendrian isotopic to  $L'_1$  and  $K_{-\delta}$  is Legendrian isotopic to  $L_1$ . Moreover, we take  $K_t$  supported inside a small neighborhood of  $a_5$  which maps into  $B(a_5^*, \frac{1}{4}d)$  by  $\Pi_{\mathbb{C}}$ . Now, if  $u: D \rightarrow K_0$  is a disk on  $K_0$  which maps no boundary point into  $B(a_5^*, \frac{1}{2}d)$ , then  $u$  can be viewed as a disk with boundary on  $K_t$  and vice versa.

We show that there exists  $\epsilon > 0$  such that for  $|t| < \epsilon$ , there exist no rigid disks with boundary on  $K_t$  and without puncture at  $a_5$  which map a boundary point to  $B(a_5^*, \frac{1}{2}d)$ . If this is not the case, we extract a subsequence  $v_j$  of such maps which, by Gromov compactness, converges to a broken disk  $(v^1, \dots, v^N)$  with boundary on  $K_0$ . If  $N > 1$ , then by (2.6), at least one of the disks  $v^j$  must have negative formal dimension, but since  $K_0$  is generic admissible, no such disks exists and the limiting disk  $v^1$  is unbroken. Now,  $v^1$  is a rigid disk with boundary on  $K_0$  and without puncture at  $a_5^*$  which maps boundary points to  $B(a_5^*, d)$ . This contradicts the choice of  $K_0$  and hence proves the existence of  $\epsilon > 0$  with properties as claimed. Thus for  $n > 2$ , (c) above implies, with  $\partial_1$  the differential on  $\mathcal{A}(L_1)_1/\mathcal{A}(L_1)_2$ , that  $\partial_1(\text{Span}(a_4))$  is 1-dimensional and hence (4) holds. When  $n = 2$ , we have the same conclusion once we observe that  $\partial$  is an augmented differential. This also follows from the above argument. q.e.d.

Let  $L_2$  be the Legendrian sphere obtained by connect summing two copies of  $L_1$ . Note  $L_1$  only has one cusp edge so there is no ambiguity in the construction; thus, we choose any arc which is disjoint from the fronts of the two spheres that are being connect summed. We similarly define  $L_k$  by connect summing  $L_{k-1}$  with  $L_1$ .

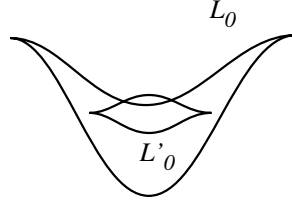
**Theorem 4.11.** *The Legendrian spheres  $L_k$  are all non-Legendrian isotopic and, for  $n$  even, have the same classical invariants.*

*Proof.* This follows since  $HLC_{-1}(\mathbb{R}^{2n+1}, L_k)$  equals  $\mathbb{Z}_2^k$ . q.e.d.

In order to construct examples in dimensions  $2n + 1$  where  $n$  is odd, we consider a variant of this example.



**Example 4.12.** Let  $L'_1$  be constructed as  $L_1$  is in Example 4.9 except start with  $L_0$  and  $L'_0$  as shown in Figure 10. Like  $L_1$ ,  $L'_1$  will have seven



**Figure 10.** The position of  $L_0$  and  $L'_0$  to construct  $L'_1$ .

Reeb chords which we label in a similar manner. Here, the gradings on the Reeb chords are

$$\begin{aligned} |a_1| &= |a_2| = |a_5| = n, \\ |a_3| &= |a_6| = |b| = n - 1, \\ |a_4| &= 0. \end{aligned}$$

**Proposition 4.13.** *The following are true*

- 1)  $L'_1$  is a stabilization of  $L_0$ .
- 2) For all  $n$ , the rotation class of  $L'_1$  and  $L_0$  agree.
- 3) When  $n$  is even,  $\text{tb}(L'_1) = \text{tb}(L_0)$  and when  $n$  is odd  $\text{tb}(L'_1) = \text{tb}(L_0) + 2$ .
- 4) The linearized contact homology of  $L'_1$  has only one element and in homology grading 0 is

$$\text{HLC}_0(\mathbb{R}^{2n+1}, L'_1) = \mathbb{Z}_2.$$

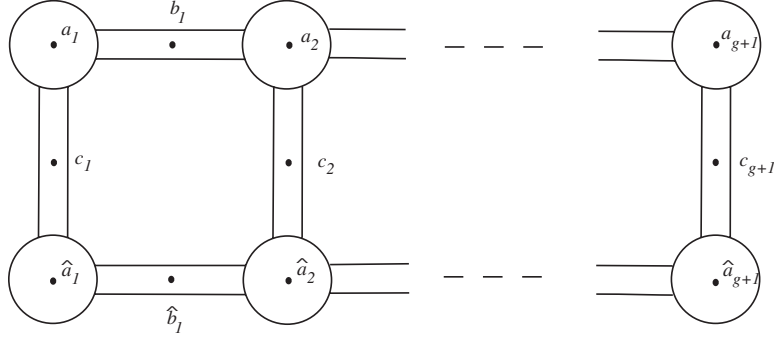
- 5)  $L_0$  and  $L'_1$  are not Legendrian isotopic.

The proof of this proposition is identical to the proof of Proposition 4.10. To obtain interesting examples when  $n$  is odd, we let  $K_1$  be the connected sum of  $L_1$  and  $L'_1$  and let  $K_k$  be the connected sum of  $K_{k-1}$  with  $K_1$ .

**Theorem 4.14.** *The classical invariants of  $K_k$  agree with those of  $L_0$ , but  $K_k$  and  $K_j$  are not Legendrian isotopic if  $k \neq j$ .*

This follows from Propositions 3.3, 4.10, 4.13, Lemma 4.4, and the computations of the linearized contact homology for  $L_1$  and  $L'_1$ .

Thus far, we have only considered Legendrian spheres. In the next example, we exhibit infinite families of Legendrian surfaces of non-zero genus in  $\mathbb{R}^5$ .



**Figure 11.** Top view of  $F_g$ .

**Example 4.15.** Let  $F_g$  be the Legendrian surface of genus  $g$  with front obtained by “connect summing” several standard 2-spheres as shown in Figure 11. Then  $\mathcal{A}(F_g)$  is generated by

$$\{a_j, \hat{a}_j, b_k, \hat{b}_k, c_j\}_{1 \leq j \leq 1+g, 1 \leq k \leq g},$$

where  $|a_j| = |\hat{a}_j| = 2$  and  $|b_k| = |\hat{b}_k| = |c_j| = 1$ . Using projection to and slicing with the  $z_1$ - and  $z_2$ -planes as above, we find

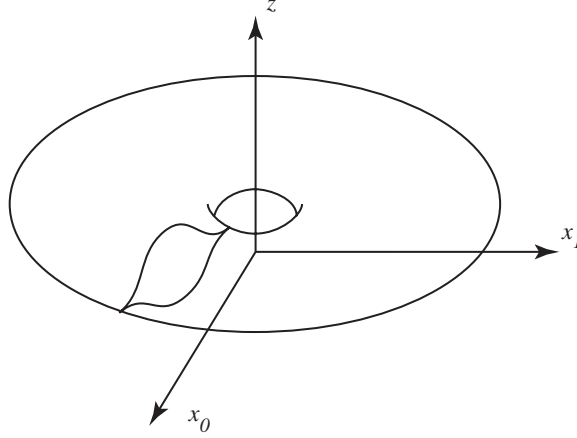
$$\begin{aligned} \partial a_1 &= b_1 + c_1, \\ \partial \hat{a}_1 &= \hat{b}_1 + c_1, \\ \partial a_j &= b_{j-1} + b_j + c_j, \text{ for } j \neq 1, 1+g, \\ \partial \hat{a}_j &= \hat{b}_{j-1} + \hat{b}_j + c_j, \text{ for } 1 < j < 1+g, \\ \partial a_{1+g} &= b_g + c_{1+g}, \\ \partial \hat{a}_{1+g} &= \hat{b}_g + c_{1+g}, \\ \partial b_k &= \partial \hat{b}_k = \partial c_j = 0, \text{ for all } j, k. \end{aligned}$$

We find  $HC_*(\mathbb{R}^5, F_g) = \mathbb{Z}_2\langle a, b_1, \dots, b_g \rangle$  where  $|a| = 2$  and  $|b_i| = 1$ . Let  $L_1$  be as in Example 4.9 and define  $F_g^0 = F_g$  and  $F_g^k = F_g^{k-1} \# L_1$ . Then the subspace of elements of grading  $-1$  in  $HLC_*(\mathbb{R}^5, F_g^k)$  is  $k$ -dimensional. Thus,  $F_g^k$  and  $F_g^j$  are not Legendrian isotopic if  $j \neq k$ . Clearly,  $tb(F_g^k) = tb(F_g^j)$ . To see that  $r(F_g^k) = r(F_g^j)$ , it suffices to check, via front projections, that the Maslov classes are the same on the generators of  $H_1(F_g^j) = H_1(F_g^j)$ .

**4.4. Front spinning.** Given a Legendrian manifold  $L \subset \mathbb{R}^{2n+1}$ , we construct the *suspension* of  $L$ , denoted  $\Sigma L$  as follows: let  $f: L \rightarrow \mathbb{R}^{2n+1}$  be a parameterization of  $L$ , and write

$$f(p) = (x_1(p), y_1(p), \dots, x_n(p), y_n(p), z(p)),$$

for  $p \in L$ . The front projection  $\Pi_F(L)$  of  $L$  is the subvariety of  $\mathbb{R}^{n+1}$  parameterized by  $\Pi_F \circ f(p) = (x_1(p), \dots, x_n(p), z(p))$ . We may assume that  $L$  has been translated so that  $\Pi_F(L) \subset \{x_1 > 0\}$ . If we embed  $\mathbb{R}^{n+1}$  into  $\mathbb{R}^{n+2}$  via  $(x_1, \dots, x_n, z) \mapsto (x_0 = 0, x_1, \dots, x_n, z)$ , then  $\Pi_F(\Sigma L)$  is obtained from  $\Pi_F(L) \subset \mathbb{R}^{n+1}$  by rotating it around the subspace  $\{x_0 = x_1 = 0\}$ . See Figure 12. We can parameterize  $\Pi_F(\Sigma L)$  by



**Figure 12.** The front of  $\Sigma L$ .

$(\sin \theta x_1(p), \cos \theta x_1(p), x_2(p), \dots, x_n(p)), \theta \in S^1$ . Thus,  $\Pi_F(\Sigma L)$  is the front for a Legendrian embedding  $L \times S^1 \rightarrow \mathbb{R}^{2n+3}$ . We denote the corresponding Legendrian submanifold  $\Sigma L$ . We have the following simple lemma.

**Lemma 4.16.** *The Legendrian submanifold  $\Sigma L \subset \mathbb{R}^{2n+3}$  has*

- 1) *the topological type of  $L \times S^1$ ,*
- 2) *the Thurston–Bennequin invariant  $\text{tb}(\Sigma L) = 0$ ,*
- 3) *Maslov class determined by*

$$\mu_{\Sigma L}(g) = \begin{cases} \mu_L(h), & \text{if } g = \iota h \text{ where } \iota : \pi_1(L) \rightarrow \pi_1(\Sigma L) \\ & \text{is the natural inclusion,} \\ 0, & \text{if } g = [\text{point} \times S^1], \end{cases}$$

- 4) *the same Maslov number as  $L$ ,  $m(\Sigma L) = m(L)$ , and*
- 5) *the rotation class of  $\Sigma L$  is determined by the rotation class of  $L$ .*

Though it seems difficult to compute the full contact homology of  $\Sigma L$ , we can extract useful information about its linear part. To this end, we introduce the following notation. Let  $L \subset \mathbb{R}^{2n+1}$  be a Legendrian submanifold and let  $\mathcal{A} = \mathcal{A}(L) = \mathbb{Z}_2[H_1(L)]\langle c_1, \dots, c_m \rangle$  be the graded algebra generated by its Reeb chords. We associate auxiliary algebras to  $L$  which are free unital algebras over  $\mathbb{Z}_2[H_1(\Sigma L)]$ . For any integer

$N$ , let  $\mathbb{Z}_{2N}^0 \subset \mathbb{Z}_{2N}$  denote the subgroup of even elements and let  $\mathbb{Z}_{2N}^1 = \mathbb{Z}_{2N} \setminus \mathbb{Z}_{2N}^0$ .

- Let

$$\mathcal{A}_\Sigma^N(L) = \mathbb{Z}_2[H_1(\Sigma L)] \langle c_j[\alpha], \hat{c}_j[\beta] \rangle_{1 \leq j \leq m, \alpha \in \mathbb{Z}_{2N}^0, \beta \in \mathbb{Z}_{2N}^1},$$

where  $|c_j[\alpha]| = |c_j|$ ,  $\alpha \in \mathbb{Z}_{2N}^0$  and  $|\hat{c}_j[\beta]| = |c_j| + 1$ ,  $\beta \in \mathbb{Z}_{2N}^1$ .

- For  $\beta \in \mathbb{Z}_{2N}$ , define the subalgebra  $\mathcal{A}_\Sigma^N[\beta] \subset \mathcal{A}_\Sigma^N = \mathcal{A}_\Sigma^N(L)$  as

$$\mathcal{A}_\Sigma^N[\beta] = \mathbb{Z}_2[H_1(\Sigma L)] \langle c_j[\beta - 1], c_j[\beta + 1], \hat{c}_j[\beta] \rangle_{1 \leq j \leq m}.$$

- Define the algebra

$$\mathcal{A}_\sigma(L) = \mathbb{Z}_2[H_1(\Sigma L)] \langle c_j, \hat{c}_j \rangle_{1 \leq j \leq m},$$

where  $|\hat{c}_j| = |c_j| + 1$ .

We note that there is a natural homomorphism  $\pi: \mathcal{A}_\Sigma^N \rightarrow \mathcal{A}_\sigma$  defined on generators by  $\pi(c_j[\alpha]) = c_j$ , and  $\pi(\hat{c}_j[\beta]) = \hat{c}_j$ . Also note that for each  $\alpha \in \mathbb{Z}_{2N}^0$ , there is a natural inclusion  $\Delta[\alpha]: \mathcal{A} \rightarrow \mathcal{A}_\Sigma^N$  defined on generators by  $\Delta[\alpha](c_i) = c_i[\alpha]$ , and using the natural inclusion  $H_1(L) \rightarrow H_1(\Sigma L)$  on coefficients.

Viewing  $\mathcal{A}(L)$  and  $\mathcal{A}_\sigma(L)$  as a vector space over  $\mathbb{Z}_2$ , see (2.3), and again using  $H_1(L) \rightarrow H_1(\Sigma L)$ , we define the linear map  $\Gamma: \mathcal{A}(L) \rightarrow \mathcal{A}_\sigma(L)$  by

$$\begin{aligned} \Gamma(1) &= 0, \quad \Gamma(t_1^{n_1} \dots t_s^{n_s} c_{i_1} \dots c_{i_r}) \\ &= t_1^{n_1} \dots t_r^{n_r} \left( \sum_{j=1}^r c_{i_1} \dots c_{i_{j-1}} \hat{c}_{i_j} c_{i_{j+1}} \dots c_{i_r} \right). \end{aligned}$$

**Proposition 4.17.** *Let  $c_1, \dots, c_m$  be the Reeb chords of  $L$  and let  $(\mathcal{A}, \partial)$  denote its DGA. Then there exists an even integer  $N$  and a representative  $X$  of the Legendrian isotopy class of  $\Sigma L$  with associated DGA  $(\mathcal{A}(X), \partial_\Sigma)$  satisfying*

$$(4.1) \quad \mathcal{A}(X) = \mathcal{A}_\Sigma^N,$$

$$(4.2) \quad \partial_\Sigma c_i[\alpha] = \Delta[\alpha](\partial c_i), \text{ for all } \alpha \in \mathbb{Z}_{2N}^0,$$

$$(4.3) \quad \partial_\Sigma \hat{c}_i[\beta] = c_i[\beta - 1] + c_i[\beta + 1] + \gamma_i^1[\beta] + \gamma_i^2[\beta], \text{ for all } \beta \in \mathbb{Z}_{2N}^1,$$

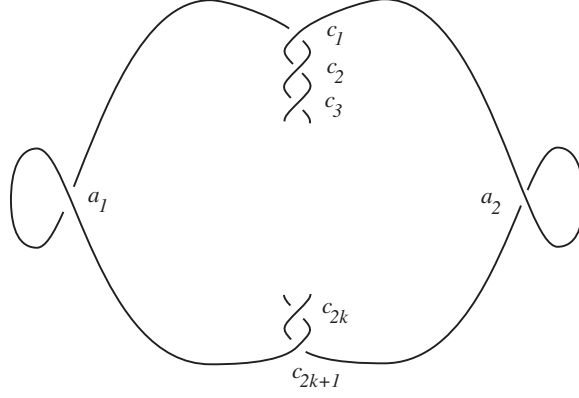
where  $\gamma_i^2[\beta]$  lies in the ideal of  $\mathcal{A}_\Sigma^N[\beta]$  generated by all monomials which are quadratic in the variables  $\hat{c}_1[\beta], \dots, \hat{c}_m[\beta]$ , and  $\gamma_i^1[\beta] \in \mathcal{A}_\Sigma^N[\beta]$  is linear in the generators  $\hat{c}_i[\beta]$  and satisfies

$$(4.4) \quad \pi(\gamma_i^1[\beta]) = \Gamma(\partial c_i).$$

Moreover,  $(\mathcal{A}(X), \partial_\Sigma)$  is stable tame isomorphic to  $(\mathcal{A}_\Sigma^2, \partial_\Sigma)$ .

We will prove this proposition in the next subsection, but first we consider its consequences. To simplify notation, we consider the algebra generated over  $\mathbb{Z}_2$  instead of  $\mathbb{Z}_2[H_1(\Sigma L)]$ .

**Example 4.18.** Let  $T_k$  be the Legendrian torus knot in Figure 13 with rotation number  $r(T_k) = 0$ . The algebra for  $T_k$  is  $\mathcal{A}(T_k) =$



**Figure 13.** The knots  $T_k$ .

$\mathbb{Z}_2\langle a_1, a_2, c_1, \dots, c_{2k+1} \rangle$  with  $|a_1| = |a_2| = 1$  and  $|c_j| = 0$  for all  $j$ . We have

$$\begin{aligned} \partial a_1 &= 1 + \sum_{\alpha} c_{\alpha} + \sum_{\alpha > \beta > \gamma} c_{\alpha} c_{\beta} c_{\gamma} + \dots + c_{2k+1} c_{2k} \dots c_1, \\ \partial a_2 &= 1 + \sum_{\alpha} c_{\alpha} + \sum_{\alpha < \beta < \gamma} c_{\alpha} c_{\beta} c_{\gamma} + \dots + c_1 c_2 \dots c_{2k+1}, \\ \partial c_j &= 0, \quad \text{all } j, \end{aligned}$$

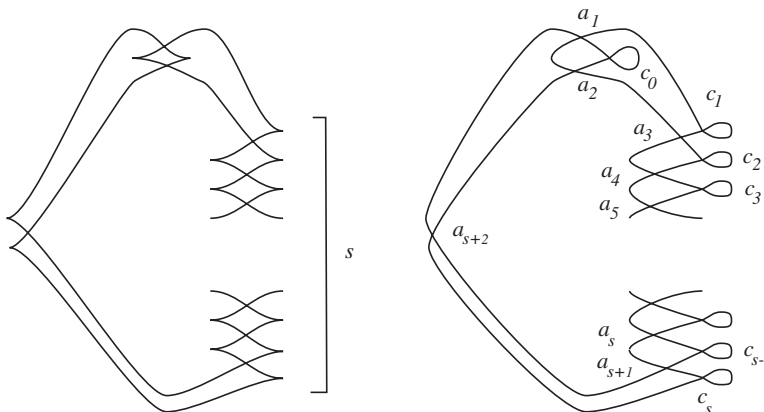
where  $\alpha$  and  $\gamma$  run over all odd integers in  $[1, 2k+1]$  and  $\beta$  runs over all even integers in the interval.

We note that  $\partial^g$ , where  $g$  is the elementary automorphism with  $g(c_1) = c_1 + 1$  and which fixes all other generators, is augmented and that the linearized homology of  $(\mathcal{A}, \partial^g)$  is (as a vector space without grading)  $\mathbb{Z}_2^{2k+1}$ . Applying the suspension operation  $n$  times, we get Legendrian  $n$ -tori  $\Sigma^n T_k$  with  $\text{tb}(\Sigma^n T_k) = 0$  for all  $n > 0$ , with rotation classes independent of  $k$  (see Lemma 4.16), and with Maslov number equal to 0. The algebras of  $\Sigma^n T_k$  admit an elementary isomorphism (add 1 to each  $c_1[\alpha_1][\alpha_2] \dots [\alpha_n]$  with  $|c_1[\alpha_1][\alpha_2] \dots [\alpha_n]| = 0$ ) making them good and such that the corresponding linearized homology is isomorphic to  $\mathbb{Z}_2^{2^n(2k+1)}$ . This implies that every chord generic Legendrian representative of  $\Sigma^n T_k$  has at least  $2^n(2k+1)$  Reeb chords. Moreover, since  $\Sigma^n T_j$  has a representative with  $2^n(2j+3)$  Reeb chords, it is easy to extract an infinite family of pairwise distinct Legendrian  $n$ -tori from the above.

Using Example 4.18, we find

**Theorem 4.19.** *There are infinitely many Legendrian  $n$ -tori in  $\mathbb{R}^{2n+1}$  that are pairwise not Legendrian isotopic even though their classical invariants agree.*

**Example 4.20.** As a final family of examples, we consider the Whitehead doubles of the unknot  $W_s$  shown in Figure 14. Note that  $r(W_s) = 0$ .



**Figure 14.** The front projection (left) and Lagrangian projection (right) of the knots  $W_s$ .

The algebra for  $W_s$  is

$$\mathcal{A}(\mathbb{R}^3, W_s) = \mathbb{Z}_2 \langle c_0, \dots, c_s, a_1, \dots, a_{s+2} \rangle$$

with  $|c_i| = 1, |a_1| = -|a_2| = s - 2$  and  $|a_i| = 0$  for  $i > 2$ . Moreover,

$$\begin{aligned} \partial c_0 &= 1 + a_1 a_2 + a_{s+2}, \\ \partial c_1 &= 1 + a_3 + a_2 a_1 a_3, \\ \partial c_i &= 1 + a_{i+1} a_{i+2} \quad \text{for } i > 1, \\ \partial a_i &= 0 \quad \text{for all } i. \end{aligned}$$

The differential is clearly not augmented. However, the automorphism that is the identity on all generators except

$$\phi(a_i) = a_i + 1, \quad i \geq 3,$$

is the unique, when  $s > 2$ , tame graded automorphism making the differential augmented. The only feature of the linearization we use is that

$$\begin{aligned} HLC_{2-s}(\mathbb{R}^5, \Sigma W_s) &= \mathbb{Z}_2, \\ HLC_i(\mathbb{R}^5, \Sigma W_s) &= 0 \quad \text{for } i < 2 - s. \end{aligned}$$

Thus, all the  $\Sigma W_s$ 's,  $s > 2$ , are distinct. Similarly, considering the linearized contact homology groups for  $\Sigma^n W_s$ , we get another proof of Theorem 4.19.

**4.5. Proof of the front spinning proposition.** To prove Proposition 4.17, we first analyze another Legendrian submanifold. Let  $\psi : \mathbb{R} \rightarrow \mathbb{R}_{\geq 0}$  be a smooth small perturbation of the constant function 1 that is 2-periodic and has non-degenerate local maxima at even integers and local minima at odd integers. Given a Legendrian submanifold  $L \subset \mathbb{R}^{2n+1}$  parameterized as at the beginning of this section, we define the front  $\Pi_F(L \times \mathbb{R})$  in  $\mathbb{R}^{n+2}$  by

$$(4.5) \quad G(p, t) = (\psi(t)z(p), t, x_1(p), \dots, x_n(p)).$$

Denote the resulting Legendrian submanifold of  $\mathbb{R}^{2(n+1)+1}$  by  $L \times \mathbb{R}$ . Heuristically,  $L \times \mathbb{R}$  is a kind of “cover” of  $\Sigma L$  and the contact homology boundary map of  $\Sigma L$  shall be determined by studying the boundary map of  $L \times \mathbb{R}$ . Recall, we are using coordinates  $(x_0, y_0, \dots, x_n, y_n, z)$  on  $\mathbb{R}^{2(n+1)+1}$ , and set  $z_j = x_j + iy_j$ . We begin with a simple lemma.

**Lemma 4.21.** *For each Reeb chord  $c_j$  of  $L$ , there are  $\mathbb{Z}$  Reeb chords,  $c_j[n]$ , for  $L \times \mathbb{R}$ ; moreover,  $|c_j[2n]| = |c_j| + 1$  and  $|c_j[2n + 1]| = |c_j|$ .*

**Lemma 4.22.** *A holomorphic disk in  $\mathbb{C}^{n+1}$  with boundary on  $\Pi_{\mathbb{C}}(L \times \mathbb{R})$  cannot intersect the hyperplane  $z_0 = k, k \in \mathbb{Z}$ . In addition, any holomorphic disk with a negative corner at  $c_j[2k]$  or a positive corner at  $c_j[2k + 1]$  must lie entirely in the plane  $z_0 = 2k, z_0 = 2k + 1$ , respectively.*

The proof of this lemma is identical to the proof of Lemma 4.4 once one has drawn the projection of  $\Pi_{\mathbb{C}}(L \times \mathbb{R})$  onto the  $z_0$ -plane. Also, an argument similar to that in the proof of Lemma 4.4 in combination with Proposition 2.4 shows:

**Lemma 4.23.** *There is a unique holomorphic disk with positive corner at  $c_j[2n]$  and negative corner at  $c_j[2n \pm 1]$  and this disk is transversely cut out.*

We now discuss perturbations of  $L$  necessary to ensure the appropriate moduli spaces are manifolds. To ensure all our moduli spaces are cut out transversely, we might have to perturb our Legendrian near positive corners of non-transversely cut out holomorphic disks. Note that due to Lemma 4.22, we see disks with positive corners at a Reeb chord in  $x_0 = 2k + 1$  lie in  $z_0 = 2k + 1$ . Thus the linear problem splits for these disks and an argument similar to the proof of Proposition 2.3 shows they are all transversely cut out. In perturbing  $L \times \mathbb{R}$  to be generic, we can assume the perturbation is near the hyperplanes  $x_0 = 2k, k \in \mathbb{Z}$  and none of the Reeb chords move.

Now, let  $\mathcal{B}_{2k} = \mathbb{Z}_2[H_1(L)]\langle c_j[2k - 1], c_j[2k], c_j[2k + 1] \rangle_{j=1}^m$  and  $\mathcal{B}_{2k+1} = \mathbb{Z}_2[H_1(L)]\langle c_j[2k + 1] \rangle_{j=1}^m$ . These are all sub-algebras of the algebra  $\mathcal{B}$  generated by all the Reeb chords for  $L \times \mathbb{R}$ . Let  $\partial_{\mathbb{R}}$  be the boundary map for  $L \times \mathbb{R}$ . From the above Lemmas, we clearly have

$$\partial_{\mathbb{R}}(\mathcal{B}_{2k+1}) \subset \mathcal{B}_{2k+1}$$

and

$$\partial_{\mathbb{R}}(\mathcal{B}_{2k}) \subset \mathcal{B}_{2k}.$$

Moreover, Lemma 4.22 and our discussion of the generic perturbation above give

**Lemma 4.24.** *Let  $\Gamma_{\pm 1} : \mathcal{A} \rightarrow \mathcal{B}_{\pm 1}$  be given by  $\Gamma_{\pm 1}(c_j) = c_j[\pm 1]$ . Then*

$$\partial_{\mathbb{R}}c_j[\pm 1] = \Gamma_{\pm 1}(\partial c_j).$$

To understand  $\partial_{\mathbb{R}}$  on  $\mathcal{B}_0$ , we begin with

**Lemma 4.25.**  $\partial_{\mathbb{R}}^2 = 0$ .

*Proof.* Let  $F$  be the part of the front of  $L \times \mathbb{R}$  between  $x_0 = -(2k + \frac{3}{2})$  and  $x_0 = 2k + \frac{3}{2}$ , say. Let  $F'$  be  $F$  translated  $4k + 10$  units in the  $x_1$ -direction. For sufficiently large  $k$ ,  $F \cap F' = \emptyset$ . For such a  $k$ , let  $G \cup G'$  be  $F \cup F'$  rotated by  $\frac{\pi}{2}$  around the affine subspace  $\{x_0 = 0, x_1 = 2k + 5\}$ . Now, make  $F \cup F' \cup G \cup G'$  into a closed Legendrian submanifold  $L'$  by adding “round corners.”

Using the lemmas above and a monotonicity argument as in the proof of Lemma 4.8, it is easy to see that the boundary map for  $L'$  agrees with  $\partial_{\mathbb{R}}$  on  $\mathcal{B}_0$  and  $\mathcal{B}_1$ . Since we know the square of the boundary map for a closed compact Legendrian is 0, the lemma follows. q.e.d.

**Lemma 4.26.** *We can choose  $L \times \mathbb{R}$  so that the part of  $\partial_{\mathbb{R}}(c_j[0])$  that is constant in the generators  $c_0[0], \dots, c_m[0]$ , is*

$$c_j[-1] + c_j[1].$$

*Proof.* This term is present in  $\partial_{\mathbb{R}}(c_j[0])$  by Lemma 4.23.

To see there are no disks  $D$  with just one corner (which of course is positive at  $c_j[0]$ ), assume we have such a disk  $D$ . Then consider  $\psi_s : \mathbb{R} \rightarrow \mathbb{R}$  where  $\psi_0 = \psi$  and  $\psi_1(s) = 1$  and the corresponding Legendrian submanifolds  $(L \times \mathbb{R})_s$  whose fronts are defined using  $\psi_s$  just as the front of  $L \times \mathbb{R}$  used  $\psi$  in (4.5). As we isotop  $L \times \mathbb{R} = (L \times \mathbb{R})_0$  to  $(L \times \mathbb{R})_1$  we see that  $D$  will have to converge to a (possibly broken) disk for  $(L \times \mathbb{R})_1$ . But arguing as in the lemmas above, we see that any such disk will have to have  $z_0$  constant and thus corresponds to a disk for  $L$ . However, there can be no rigid holomorphic disk for  $L$  with corner at  $c_j$  since  $|c_j| = |c_j[0]| - 1 = 1 - 1 = 0$ . Moreover, if we have a broken holomorphic disk, one can similarly see that one of the pieces of the broken disk will have negative formal dimension and thus cannot exist since we took  $L$  to be generic.

One may similarly argue that there are no holomorphic disks with one positive corner at  $c_j[0]$  and all negative corners at Reeb chords  $c_k[\pm 1]$ , where  $k \neq j$  for some  $j$ . q.e.d.



Lemma 4.26 implies

$$\partial_{\mathbb{R}}(c_j[0]) = c_j[-1] + c_j[1] + \eta_j + r_j,$$

where  $\eta_j$  is the part of  $\partial_{\mathbb{R}}(c_j[0])$  linear in  $c_1[0], \dots, c_m[0]$  and  $r_j$  is the remainder (terms which are at least quadratic in the  $c_j[0]$ 's). Since  $\partial_{\mathbb{R}}^2 = 0$ , we see that

$$(4.6) \quad \partial_{\mathbb{R}}(c_j[-1] + c_j[1]) = \sigma(\eta_j),$$

where  $\sigma$  is the algebra homomorphism defined by  $\sigma c_j[0] = c_j[-1] + c_j[1]$  and  $\sigma c_j[\pm 1] = c_j[\pm 1]$ . A straightforward calculation shows that

$$\eta_j = \Gamma_0(\partial c_j)$$

is a solution to (4.6) where  $\Gamma_0 : \mathcal{A} \rightarrow \mathcal{B}_0$  is the linear map defined on monomials by

$$\begin{aligned} \Gamma_0(c_{j_1} \dots c_{j_r}) &= c_{j_1}[0]c_{j_2}[-1] \dots c_{j_r}[-1] + c_{j_1}[1]c_{j_2}[0]c_{j_3}[-1] \dots c_{j_r}[-1] \\ &\quad + \dots + c_{j_1}[1] \dots c_{j_{r-1}}[1]c_{j_r}[0]. \end{aligned}$$

While this is not the only solution to (4.6), it is unique in the following sense: let  $\mathcal{B}' = \mathbb{Z}_2[H_1(L)]\langle c_1, \dots, c_m, c_1[0], \dots, c_m[0] \rangle$  and define  $\pi : \mathcal{B}_0 \rightarrow \mathcal{B}'$  by  $\pi(c_j[\pm 1]) = c_j$  and  $\pi(c_j[0]) = c_j[0]$ .

**Lemma 4.27.** *If  $\alpha$  is linear in the  $c_j[0]$ 's and  $\sigma(\alpha) = 0$ , then  $\pi(\alpha) = 0$ .*

*Proof.* To simplify the proof, we set  $d_j = c_j[-1] + c_j[1]$  and write elements of  $\mathcal{B}_0$  in terms of  $c_j[-1], d_j$  and  $c_j[0]$ . In these terms,  $\pi$  is defined by  $\pi(c_j[-1]) = c_j, \pi(d_j) = 0$  and  $\pi(c_j[0]) = c_j[0]$ . We now suppose  $\alpha$  is linear in the  $c_j[0]$ 's and  $\pi(\alpha) \neq 0$ . Thus  $\alpha$  contains a term of the form

$$c_{j_1}[-1] \dots c_{j_k}[-1]c_j[0]c_{j_{k+1}}[-1] \dots c_{j_l}[-1].$$

The map  $\sigma$  sends this term to

$$c_{j_1}[-1] \dots c_{j_k}[-1]d_j c_{j_{k+1}}[-1] \dots c_{j_l}[-1]$$

which cannot pair with any other monomial in  $\sigma(\alpha)$  and thus  $\sigma(\alpha) \neq 0$ . (We thank the referee for pointing out this proof.) q.e.d.

We are now ready to prove our main result of this subsection.

*Proof of Proposition 4.17.* Consider  $\Sigma L$  represented by rotating the front of  $L$  around a circle  $C$  with radius  $\frac{1}{\pi}N$  for some even integer  $N$ . Perturb this non-generic front with a function  $\phi$  on  $C$  similar to (4.5) so that  $\phi$  approximates the constant function 1, has local maxima at angles  $2m \cdot \frac{\pi}{N}$  and local minima at  $(2m + 1) \cdot \frac{\pi}{N}$ ,  $m = 0, \dots, N - 1$ . Let  $X_N$  denote the corresponding Legendrian submanifold. Then there is a natural 1–1 correspondence between the generators of the algebra  $\mathcal{A}(X_N)$  and the generators of  $\mathcal{A}_{\Sigma}^N(L)$ .

Considering the projections of  $X_N$  to the complex lines in  $\mathbb{C}^{n+1}$  which intersect  $\mathbb{R}^{n+1}$  in lines through antipodal local minima of  $\phi$ , we see that

the differential  $\partial_\Sigma$  of  $\mathcal{A}(X_N) = \mathcal{A}_\Sigma^N$  preserves the subalgebras  $\mathcal{A}_\Sigma^N[\beta]$  for every  $\beta \in \mathbb{Z}_{2N}^1$ . Moreover, a finite part of the front of  $X_N$  over an arc on  $C$  between two minima is for  $N$  sufficiently large an arbitrarily good approximation of the part of the front of  $L \times \mathbb{R}$  between  $-1$  and  $1$ . In fact, since all spaces of rigid disks on  $L \times \mathbb{R}$  are transversely cut out, there is a neighborhood of  $L \times \mathbb{R}$  in the space of (admissible) Legendrian submanifolds such that the moduli spaces of rigid disks on any Legendrian submanifold in this neighborhood is canonically isomorphic to those of  $L \times \mathbb{R}$ . This can be seen as follows: by Gromov compactness, there exists a neighborhood of  $L \times \mathbb{R}$  in the space of admissible Legendrian submanifolds such that for any  $Y$  in this neighborhood, there are no holomorphic disks with boundary on  $Y$  and with negative formal dimension, pick a generic type (A) isotopy from  $L \times \mathbb{R}$  to  $Y$  and apply Lemma 2.9. Thus, for sufficiently large  $N$ , the subalgebras  $(\mathcal{A}_\Sigma^N[\beta], \partial_\Sigma)$  are all isomorphic to the algebras  $(\mathcal{B}_0, \partial)$ . The first part of the proposition now follows from Lemmas 4.26 and 4.27.

For the statement of stable tame isomorphism class, note that the subalgebras of  $\mathcal{A}_\Sigma^N$  generated by all Reeb chords corresponding to maxima and minima over the circle in the closed upper (lower) half planes are both isomorphic to the subalgebra of  $\mathcal{A}(L \times \mathbb{R})$  generated by Reeb chords between  $1$  and  $N + 1$ . Change the front of  $L \times \mathbb{R}$  by shrinking the minima of  $\psi$  over  $1$  and  $N + 1$  until the corresponding Reeb chords are shorter than all other Reeb chords. We can then find a Legendrian isotopy which cancels pairs of maxima and minima of  $\psi$  between  $1$  and  $N + 1$ , leaving one maximum. Thus the subalgebra generated by Reeb chords between  $1$  and  $N + 1$  is stable tame isomorphic to  $\mathcal{B}_0$ . We claim that the subalgebras of  $\mathcal{B}_1$  and  $\mathcal{B}_{N+1}$  are left unchanged by the sequence of stabilizations and tame isomorphisms arising from this cancelation of pairs of Reeb chords. Our proof of the claim is modeled on the proofs of Lemmas 2.9 and 2.10 in [7]. Consider the chords  $a, b_1, \dots, b_n \in \mathcal{B}_1$  and homology class  $A$  such that  $\mu(A) + |a| - |\mathbf{b}| = 1$  where  $\mathbf{b} = b_1 \cdots b_n$ . Assume the isotopy occurs for  $t \in [0, 1]$ . Proposition 2.11 is stated only for type (A) isotopies; however, given that the type (B) isotopies do not cancel the chords in  $\mathcal{B}_1$  (or  $\mathcal{B}_{N+1}$ ), the proposition applies to our situation as well. In fact, because  $\mathcal{Z}(a)$  is small, a vanishing chord can never be part of a monomial in  $\partial(a)$ . The action argument also prevents  $a$  or  $b_i$  from being the positive corner of any handle-slide disks which might appear. Thus Proposition 2.11 implies the claim, and thus the sequence of stabilizations connects  $\mathcal{A}_\Sigma^2(L)$  to  $\mathcal{A}_\Sigma^N(L)$ . q.e.d.

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DEPARTMENT OF MATHEMATICS  
 UNIVERSITY OF SOUTHERN CALIFORNIA  
 LOS ANGELES, CA 90089-2532  
*E-mail address:* tekholm@usc.edu  
*E-mail address:* tomase@math.kth.se

SCHOOL OF MATHEMATICS  
 GEORGIA INSTITUTE OF TECHNOLOGY  
 ATLANTA, GA 30332-0160  
*E-mail address:* etnyre@math.gatech.edu

DEPARTMENT OF MATHEMATICS  
 LEDERLE GRADUATE RESEARCH TOWER  
 UNIVERSITY OF MASSACHUSETTS  
 AMHERST, MA 01003-9305  
*E-mail address:* sullivan@math.umass.edu