

## A Uniqueness Set for the Differential Operator $\Delta_z + \lambda^2$

Ryoko WADA and Mitsuo MORIMOTO

*Sophia University*

### Introduction

We consider the Laplacian

$$\Delta_s = (\partial/\partial z_1)^2 + (\partial/\partial z_2)^2 + \cdots + (\partial/\partial z_{d+1})^2$$

in the complex  $d+1$  space  $C^{d+1}$ . Let

$$M = \{z = (z_1, z_2, \dots, z_{d+1}) \in C^{d+1}; z \neq 0, z^2 = 0\}$$

be the complex cone defined by the quadratic equation

$$z^2 = z_1^2 + z_2^2 + \cdots + z_{d+1}^2 = 0.$$

Suppose  $\lambda$  is an arbitrary complex number. The first named author showed in [13] that the entire function  $f$  on  $C^{d+1}$  satisfying the differential equation

$$(0.1) \quad (\Delta_z + \lambda^2)f = 0$$

is completely defined by its restriction values on the complex subvariety  $M$ . In this sense, we call the cone  $M$  a uniqueness set for the differential operator  $\Delta_z + \lambda^2$ .

We shall show in this paper that this phenomenon occurs locally at the origin. More precisely, we shall prove a semi-local version using the Lie ball.

Let  $\tilde{B}(r)$  be the Lie ball of radius  $r$  with center at the origin in  $C^{d+1}$  (see definition in §1). The space of holomorphic functions on  $\tilde{B}(r)$  is denoted by  $\mathcal{O}(\tilde{B}(r))$ . We shall denote by  $\mathcal{O}_\lambda(\tilde{B}(r))$  the subspace of  $\mathcal{O}(\tilde{B}(r))$  defined by the differential equation (0.1). Remark that  $\mathcal{O}_0(\tilde{B}(r)) = \mathcal{O}_\Delta(\tilde{B}(r))$  in our notation in our previous paper [8], [10], etc., and that  $\mathcal{O}_0(\tilde{B}(r))$  is the space of harmonic functions on the Lie ball  $\tilde{B}(r)$ .

Let us consider the space of functions on  $M \cap \tilde{B}(r)$ :

$$(0.2) \quad \mathcal{O}(\tilde{B}(r))|_M = \{f|_{M \cap \tilde{B}(r)}; f \in \mathcal{O}(\tilde{B}(r))\}.$$

We may call  $\mathcal{O}(\tilde{B}(r))|_M$  the space of holomorphic functions on the truncated complex cone  $M \cap \tilde{B}(r)$ .

Our main result is that the restriction mapping is a linear topological isomorphism of  $\mathcal{O}_\lambda(\tilde{B}(r))$  onto  $\mathcal{O}(\tilde{B}(r))|_M$  (Theorem 2.4). The global version in [13] corresponds to the case  $r=\infty$ .

Our method of proof relies heavily on the properties of spherical harmonics. If  $d=1$ , the situation becomes very simple and is studied in [7].

The first author described in [14] a uniqueness set for more general linear partial differential operators of the second order with constant coefficients.

The Fourier-Borel transformation  $P_\lambda$  has been studied in [2], [6], [10], [12], [13], etc. We will determine in the last section the inverse image of  $\mathcal{O}_\lambda(\tilde{B}(r))$  by the transformation  $P_\lambda$  (Theorem 3.1).

### §1. Preliminaries.

Let  $d$  be a positive integer and assume  $d \geq 2$ .  $S=S^d=\{x \in \mathbf{R}^{d+1}; \|x\|=1\}$  denotes the unit sphere in  $\mathbf{R}^{d+1}$ , where  $\|x\|^2=x_1^2+x_2^2+\cdots+x_{d+1}^2$ .  $ds$  denotes the unique  $O(d+1)$  invariant measure on  $S$  with  $\int_S 1 ds = 1$ , where  $O(k)$  is the orthogonal group of degree  $k$ .  $\|\cdot\|_2$  and  $\|\cdot\|_\infty$  are the  $L^2$ -norm and sup norm on  $S$  respectively.  $H_{n,d}$  is the space of spherical harmonics of degree  $n$  in dimension  $d+1$ . For spherical harmonics, see Müller [11]. For  $S_n \in H_{n,d}$ ,  $\tilde{S}_n$  denotes the unique homogeneous harmonic polynomial of degree  $n$  on  $\mathbf{C}^{d+1}$  such that  $\tilde{S}_n|_S = S_n$ .

The Lie norm  $L(z)$  and the dual Lie norm  $L^*(z)$  on  $\mathbf{C}^{d+1}$  are defined as follows:

$$L(z)=L(x+iy):=[\|x\|^2+\|y\|^2+2\{\|x\|^2\|y\|^2-(x \cdot y)^2\}]^{1/2},$$

$$\begin{aligned} L^*(z)=L^*(x+iy):&=\sup\{|\xi \cdot z|; L(\xi) \leq 1\} \\ &=(1/\sqrt{2})[\|x\|^2+\|y\|^2+\{(\|x\|^2-\|y\|^2)^2+4(x \cdot y)^2\}]^{1/2}, \end{aligned}$$

where  $z, \xi \in \mathbf{C}^{d+1}$ , and  $z \cdot \xi = z_1 \xi_1 + z_2 \xi_2 + \cdots + z_{d+1} \xi_{d+1}$ ,  $x, y \in \mathbf{R}^{d+1}$ , (see Drużkowski [1]). We put

$$\tilde{B}(r):=\{z \in \mathbf{C}^{d+1}; L(z) < r\} \quad \text{for } 0 < r \leq \infty$$

and

$$\tilde{B}[r] := \{z \in C^{d+1}; L(z) \leq r\} \quad \text{for } 0 \leq r < \infty.$$

Note that  $\tilde{B}[0]$  is  $\{0\}$ . Let us denote by  $\mathcal{O}(\tilde{B}(r))$  the space of holomorphic functions on  $\tilde{B}(r)$ . Then  $\mathcal{O}(\tilde{B}(r))$  is an FS space.  $\mathcal{O}(\tilde{B}(\infty)) = \mathcal{O}(C^{d+1})$  is the space of entire functions on  $C^{d+1}$ . Let us define

$$\mathcal{O}(\tilde{B}[r]) := \text{ind lim}_{r' > r} \mathcal{O}(\tilde{B}(r')).$$

Then  $\mathcal{O}(\tilde{B}[r])$  is a DFS space. For  $\lambda \in C$ , we put  $\mathcal{O}_\lambda(\tilde{B}(r)) := \{f \in \mathcal{O}(\tilde{B}(r)); (\Delta_z + \lambda^2)f = 0\}$  and  $\mathcal{O}_\lambda(\tilde{B}[r]) = \{f \in \mathcal{O}(\tilde{B}[r]); (\Delta_z + \lambda^2)f = 0\}$ , where  $\Delta_z = (\partial/\partial z_1)^2 + (\partial/\partial z_2)^2 + \dots + (\partial/\partial z_{d+1})^2$ .  $P_n(C^{d+1})$  denotes the space of homogeneous polynomials of degree  $n$  on  $C^{d+1}$ .

For  $r > 0$  we put

$$X_{r,L} := \{f \in \mathcal{O}(C^{d+1}); \sup_{z \in C^{d+1}} |f(z)| \exp(-rL(z)) < \infty\}.$$

Then  $X_{r,L}$  is a Banach space with respect to the norm

$$\|f\|_{r,L} = \sup_{z \in C^{d+1}} |f(z)| \exp(-rL(z)).$$

Define

$$\text{Exp}(C^{d+1}: (r: L)) := \text{proj lim}_{r' > r} X_{r',L} \quad \text{for } 0 \leq r < \infty,$$

$$\text{Exp}(C^{d+1}: [r: L]) := \text{ind lim}_{r' < r} X_{r',L} \quad \text{for } 0 < r \leq \infty.$$

$\text{Exp}(C^{d+1}: (r: L))$  is an FS space and  $\text{Exp}(C^{d+1}: [r: L])$  is a DFS space.  $\text{Exp}(C^{d+1}) = \text{Exp}(C^{d+1}: [\infty: L])$  is called the space of entire functions of exponential type.  $\text{Exp}'(C^{d+1}: (r: L))$  and  $\text{Exp}'(C^{d+1}: [r: L])$  denote the spaces dual to  $\text{Exp}(C^{d+1}: (r: L))$  and  $\text{Exp}(C^{d+1}: [r: L])$  respectively.

$\tilde{S} = \{z \in C^{d+1}; z_1^2 + z_2^2 + \dots + z_{d+1}^2 = 1\}$  is the complex sphere. We define  $\text{Exp}(\tilde{S}: [r: L]) := \text{Exp}(C^{d+1}: [r: L])|_{\tilde{S}}$  and  $\text{Exp}(\tilde{S}: (r: L)) := \text{Exp}(C^{d+1}: (r: L))|_{\tilde{S}}$ . We write  $\text{Exp}(\tilde{S}: [\infty: L]) = \text{Exp}(\tilde{S})$  and  $\text{Exp}(\tilde{S}: (0: L)) = \text{Exp}(\tilde{S}: (0))$ . The topology of  $\text{Exp}(\tilde{S}: [r: L])$  is defined to be the quotient topology  $\text{Exp}(C^{d+1}: [r: L])/\mathcal{J}_{\text{exp}([r: L]}(C^{d+1})$ , where we put  $\mathcal{J}_{\text{exp}([r: L]}(C^{d+1}) = \{f \in \text{Exp}(C^{d+1}: [r: L]); f = 0 \text{ on } \tilde{S}\}$ . We also define the topologies of  $\text{Exp}(\tilde{S}: (r: L))$ ,  $\text{Exp}(\tilde{S})$  and  $\text{Exp}(\tilde{S}: (0))$  similarly.  $\text{Exp}'(\tilde{S}: [r: L])$ ,  $\text{Exp}'(\tilde{S}: (r: L))$ ,  $\text{Exp}'(\tilde{S})$  and  $\text{Exp}'(\tilde{S}: (0))$  denote the spaces dual to  $\text{Exp}(\tilde{S}: [r: L])$ ,  $\text{Exp}(\tilde{S}: (r: L))$ ,  $\text{Exp}(\tilde{S})$  and  $\text{Exp}(\tilde{S}: (0))$ , respectively.

If  $f$  is a function or a functional on  $S$ , we denote by  $S_n(f; )$  the  $n$ -th spherical harmonic component of  $f$ :

$$(1.1) \quad S_n(f; s) = N(n, d) \langle f, P_{n,d}(\cdot s) \rangle \quad \text{for } s \in S,$$

where

$$(1.2) \quad N(n, d) = \dim H_{n,d} = \frac{(2n+d-1)(n+d-2)!}{n! (d-1)!}$$

and  $P_{n,d}$  is the Legendre polynomial of degree  $n$  and of dimension  $d+1$ . We put  $L_n(x) = \|x\|^n P_{n,d}(\alpha \cdot x / \|x\|)$  for fixed  $\alpha \in S$ . Then  $L_n$  is the unique homogeneous harmonic polynomial of degree  $n$  with the following properties:

$$L_n(Ax) = L_n(x) \quad \text{for all } A \in O(d+1) \text{ such that } A\alpha = \alpha .$$

$$L_n(\alpha) = 1 .$$

We see that  $S_n(f; \cdot)$  belongs to  $H_{n,d}$  for  $n=0, 1, \dots$

Put  $A_+ = \{(n, k) \in \mathbb{Z}_+^2; n \equiv k \pmod{2} \text{ and } n \geq k\}$ , where  $\mathbb{Z}_+ = \{0, 1, 2, \dots\}$ . For any  $F \in \mathcal{O}(\tilde{B}(r))$  we can determine uniquely  $S_{n,k}(F; \cdot) \in H_{k,d}$  for every  $(n, k) \in A_+$  in such a way that

$$(1.3) \quad F(z) = \sum_{(n,k) \in A_+} (\sqrt{z^2})^{n-k} \tilde{S}_{n,k}(F; z) ,$$

where  $z^2 = z_1^2 + z_2^2 + \dots + z_{d+1}^2$ , and the right hand side of (1.3) converges uniformly on every compact set of  $\tilde{B}(r)$ . The  $S_{n,k}(F; \cdot)$  is called the  $(n, k)$ -component of  $F$  (see [8] [9]).

Next we consider a complex cone  $M$  as follows:

$$M = \{z \in \mathbb{C}^{d+1} \setminus \{0\}; z^2 = 0\} .$$

$M$  is identified with the cotangent bundle of  $S$  minus its zero section.  $P_n(M)$  denotes the restriction to  $M$  of  $P_n(\mathbb{C}^{d+1})$ . We put the subset  $N$  of  $M$  as follows:

$$N = \{z = x + iy \in M; \|x\| = \|y\| = 1\} ,$$

where  $x, y \in \mathbb{R}^{d+1}$ . The unit cotangent bundle to  $S$  is identified with the subset  $N$  and we have  $N \simeq O(d+1)/O(d-1)$ .  $dN$  denotes the unique  $O(d+1)$ -invariant measure on  $N$  with  $\int_N 1 dN(z) = 1$ . We define the inner product  $\langle \varphi, \psi \rangle_N = \int_N \varphi(z) \overline{\psi(z)} dN(z)$  and the norm  $\|\varphi\|_{N,2} = \langle \varphi, \varphi \rangle_N^{1/2}$ . It is known that for any  $f_n, g_n \in H_{n,d}$

$$(1.4) \quad \langle f_n, g_n \rangle_s = 2^{-2n} \frac{n! N(n, d) \Gamma((d+1)/2)}{\Gamma(n+(d+1)/2)} \langle \tilde{f}_n, \tilde{g}_n \rangle_N ,$$

where

$$\langle f, g \rangle_s = \int_s f(s) \overline{g(s)} ds ,$$

(see, for example [4] [5] [13]).  $\| \cdot \|_{N,\infty}$  denotes the sup norm on  $N$ .

## §2. Some properties of $\mathcal{O}_\lambda(\tilde{B}(r))$ .

**THEOREM 2.1.** *Let  $F \in \mathcal{O}_\lambda(\tilde{B}(r))$  and  $S_{n,k}$  be the  $(n, k)$ -component of  $F$ . Then we have*

$$(2.1) \quad S_{n,k} = (i\lambda/2)^{n-k} \frac{\Gamma(k+(d+1)/2)}{\Gamma((n-k)/2+1)\Gamma((n+k+d+1)/2)} S_{k,k}$$

for  $(n, k) \in \Lambda_+$  and

$$(2.2) \quad \limsup_{n \rightarrow \infty} \|S_{n,n}\|_\infty^{1/n} \leq 1/r .$$

Conversely, if we are given a sequence of spherical harmonics  $\{S_{n,k}\}_{(n,k) \in \Lambda_+}$  satisfying (2.1) and (2.2) and if we put for  $z \in \tilde{B}(r)$

$$(2.3) \quad F(z) = \sum_{(n,k) \in \Lambda_+} (\sqrt{z^2})^{n-k} \tilde{S}_{n,k}(z) ,$$

then the right hand side of (2.3) converges uniformly and absolutely on every compact set of  $\tilde{B}(r)$  and  $F$  belongs to  $\mathcal{O}_\lambda(\tilde{B}(r))$ . Furthermore we have

$$\tilde{S}_{n,k}(z) = \tilde{S}_{n,k}(F; z) \quad \text{for } (n, k) \in \Lambda_+ .$$

Remark the case  $\lambda=0$  is known (see [9]).

**PROOF.** By [8] Theorem 3.2 we have

$$(2.4) \quad \begin{aligned} \Delta_z F(z) &= \sum_{(n,k) \in \Lambda_+} \Delta_z ((\sqrt{z^2})^{n-k} \tilde{S}_{n,k}(z)) \\ &= \sum_{\substack{(n,k) \in \Lambda_+ \\ n>k}} (n-k)(n+k+d-1) (\sqrt{z^2})^{n-k-2} \tilde{S}_{n,k}(z) . \end{aligned}$$

(2.4) gives us, for  $0 \leq k \leq n-2$  with  $n \equiv k \pmod{2}$ ,

$$(2.5) \quad (n-k)(n+k+d-1) S_{n,k} = -\lambda^2 S_{n-2,k} ,$$

because  $F \in \mathcal{O}_\lambda(\tilde{B}(r))$  and  $H_{n,d} \perp H_{m,d}$  if  $n \neq m$ . (2.1) follows from (2.5). (2.2) follows from [8] Theorem 3.2 (3.33), since  $\limsup_{n \rightarrow \infty} \|f_n\|_\infty^{1/n} = \limsup_{n \rightarrow \infty} \|f_n\|_\infty^{1/n}$  if  $f_n \in H_{n,d}$ .

Conversely, suppose we are given a sequence  $\{S_{n,k}\}$  satisfying (2.1) and (2.2). By (2.2) for any  $\epsilon > 0$  there exists a constant  $C_\epsilon$  such that

$$(2.6) \quad \sup_{k \in \mathbb{Z}_+} \|S_{k,k}\|_\infty \leq C_s(r-\varepsilon)^{-k}.$$

By (2.1) and (2.6) we have for  $(n, k) \in A_+$

$$(2.7) \quad \begin{aligned} \|S_{n,k}\|_\infty &= (|\lambda|/2)^{n-k} \frac{\Gamma(k+(d+1)/2) \|S_{k,k}\|_\infty}{\Gamma((n-k)/2+1) \Gamma((n+k+d+1)/2)} \\ &\leq (|\lambda|/2)^{n-k} \frac{C_s(r-\varepsilon)^{-k}}{\Gamma((n-k)/2+1)}. \end{aligned}$$

From (2.7) we can see that  $\sum_{k=0}^{\infty} \sum_{l=0}^{\infty} (\sqrt{z^2})^{2l} \tilde{S}_{k+2l,k}(z)$  converges uniformly and absolutely on every compact set of  $\tilde{B}(r)$  since the Silov boundary of  $\tilde{B}(\rho)$  is  $\{\rho e^{i\theta} s; 0 \leq \theta < 2\pi, s \in S\}$  (see Hua [3]). So the right hand side of (2.3) converges uniformly and absolutely on every compact set of  $\tilde{B}(r)$ . Therefore  $F$  belongs to  $\mathcal{O}(\tilde{B}(r))$  and  $S_{n,k}(s) = S_{n,k}(F; s)$  for any  $s \in S$ . It is easy to show that  $\Delta_s F = -\lambda^2 F$ . Q.E.D.

**REMARK 2.2.** In Theorem 2.1 the condition (2.2) can be replaced by the following conditions

$$(2.2') \quad \limsup_{n \rightarrow \infty} \|\tilde{S}_{n,n}\|_{N,2}^{1/n} \leq 2/r$$

or

$$(2.2'') \quad \limsup_{n \rightarrow \infty} \|\tilde{S}_{n,n}\|_{N,\infty}^{1/n} \leq 2/r,$$

since for any  $f_n \in H_{n,d}$  we have

$$\begin{aligned} \limsup_{n \rightarrow \infty} \|\tilde{f}_n\|_{N,2}^{1/n} &= 2 \limsup_{n \rightarrow \infty} \|f_n\|_2^{1/n} = 2 \limsup_{n \rightarrow \infty} \|f_n\|_\infty^{1/n} \\ &= \limsup_{n \rightarrow \infty} \|\tilde{f}_n\|_{N,\infty}^{1/n}, \end{aligned}$$

by (1.4) and the fact that  $\tilde{B}[2] \supset N$ .

We recall the definition of the Bessel function of order  $\nu$ ,  $\nu \neq -1, -2, \dots$ :

$$(2.8) \quad J_\nu(t) = \left(\frac{t}{2}\right)^\nu \sum_{k=0}^{\infty} \frac{(-1)^k}{k! \Gamma(\nu+k+1)} \left(\frac{t}{2}\right)^{2k}.$$

**COROLLARY 2.3.** Let  $F \in \mathcal{O}_\lambda(\tilde{B}(r))$ . Then we have for any  $z \in \tilde{B}(r)$

$$(2.9) \quad F(z) = \sum_{n=0}^{\infty} \rho_0^{(d-1)/2} \frac{J_{n+(d-1)/2}(\lambda \sqrt{z^2})}{J_{n+(d-1)/2}(\lambda \rho_0)} (\sqrt{z^2})^{-n-(d-1)/2} \tilde{S}_n(F_{\rho_0}; z)$$

for every  $\rho_0 \in C$  such that  $0 < |\rho_0| < r$  and  $J_{n+(d-1)/2}(\lambda \rho_0) \neq 0$  for any  $n \in \mathbb{Z}_+$ .

where

$$(2.10) \quad S_n(F_{\rho_0}; s) = N(n, d) \int_S F(\rho_0 s') P_{n,d}(s' \cdot s) ds' .$$

PROOF. We put  $S_{n,k}(F; s) = S_{n,k}(s)$ . Then (2.1) gives

$$(2.11) \quad F(z) = \sum_{k=0}^{\infty} \sum_{l=0}^{\infty} (\sqrt{z^2})^{2l} \left( \frac{i\lambda}{2} \right)^{2l} \frac{\Gamma(k+(d+1)/2)}{l! \Gamma(k+l+(d+1)/2)} \tilde{S}_{k,k}(z) .$$

By (2.10) and (2.11) and the orthogonality of spherical harmonics we obtain

$$(2.12) \quad S_k(F_{\rho_0}; s) = S_{k,k}(s) \left\{ \sum_{l=0}^{\infty} \rho_0^{2l+k} \left( \frac{i\lambda}{2} \right)^{2l} \frac{\Gamma(k+(d+1)/2)}{l! \Gamma(1+k+(d+1)/2)} \right\}$$

because the right hand side of (2.11) converges uniformly on the sphere  $\rho_0 S$ . (2.8) and (2.12) imply

$$(2.13) \quad \tilde{S}_{k,k}(z) = \rho_0^{-k} \frac{(\lambda\rho_0/2)^{k+(d-1)/2}}{\Gamma(k+(d+1)/2) J_{k+(d-1)/2}(\lambda\rho_0)} S_k(F_{\rho_0}; z) .$$

(2.9) follows from (2.8), (2.11) and (2.13).

Q.E.D.

Our main theorem in this section is the following

**THEOREM 2.4.** (i) The restriction mapping  $F \rightarrow F|_M$  defines the following bijections:

$$(2.14) \quad \alpha_\lambda: \mathcal{O}_\lambda(\tilde{B}(r)) \longrightarrow \mathcal{O}(\tilde{B}(r))|_M \quad \text{for any } \lambda \in C .$$

(ii) If  $f \in \mathcal{O}(\tilde{B}(r))|_M$  then  $\alpha_\lambda^{-1}f$  can be expressed as follows:

$$(2.15) \quad \alpha_\lambda^{-1}f(z) = \int_N f(\rho z'/2) K_\lambda(z, \bar{z}'/\rho) dN(z') \quad \text{for } z \in \tilde{B}(r) ,$$

where  $L(z) < \rho < r$  and

$$(2.16) \quad K_\lambda(z, \xi) = \sum_{n=0}^{\infty} \{ N(n, d) \Gamma(n+(d+1)/2) (\lambda \sqrt{z^2}/2)^{-n-(d-1)/2} \\ \times J_{n+(d-1)/2}(\lambda \sqrt{z^2}) (z \cdot \xi)^n \} .$$

In particular, if  $\lambda=0$  we have a "Poisson" formula:

$$(2.17) \quad \alpha_0^{-1}f(z) = \int_N f(\rho z'/2) \frac{(1 + \bar{z}' \cdot (z/\rho))}{(1 - \bar{z}' \cdot (z/\rho))^d} dN(z') .$$

(iii)  $\alpha_\lambda$  is a linear topological isomorphism of  $\mathcal{O}_\lambda(\tilde{B}(r))$  onto  $\mathcal{O}(\tilde{B}(r))|_M$

if we equip  $\mathcal{O}(\tilde{B}(r))|_M$  with the topology of uniform convergence on every compact set of  $\tilde{B}(r) \cap M$ .

We need the following lemma in order to prove the theorem.

**LEMMA 2.5.** *For  $F \in \mathcal{O}(\tilde{B}(r))$  we have for any  $z \in C^{d+1}$*

$$(2.18) \quad \tilde{S}_{n,n}(F; z) = N(n, d) \int_N F(\rho z'/2) \left( \bar{z}' \cdot \frac{z}{\rho} \right)^n dN(z') ,$$

where  $\rho$  is any real number such that  $0 < \rho < r$  and the right hand side of (2.18) is independent of  $\rho$ .

**PROOF.** Since  $S_{n,n}(F; \cdot) \in H_{n,d}$  it is valid for any  $s \in S$

$$(2.19) \quad S_{n,n}(F; s) = N(n, d) \int_S S_{n,n}(s') P_{n,d}(s \cdot s') ds' .$$

(2.19) and (1.4) give

$$(2.20) \quad \begin{aligned} S_{n,n}(F; s) &= (N(n, d)/2^n) \frac{n! \Gamma((d+1)/2)}{\Gamma(n+(d+1)/2)} \\ &\quad \times \int_N \tilde{S}_{n,n}(F; z') \overline{\tilde{P}_{n,d}(z' \cdot s)} dN(z') \\ &= N(n, d) \int_N \tilde{S}_{n,n}(F; z/2) (\bar{z}' \cdot s)^n dN(z') , \end{aligned}$$

since  $\tilde{P}_{n,d}(z \cdot s) = \{2^n \Gamma(n+(d+1)/2)(z \cdot s)^n\}/\{n! N(n, d) \Gamma((d+1)/2)\}$  on  $N$ . If  $z' \in N$ ,  $(\bar{z}' \cdot z)^n$  is a homogeneous harmonic polynomial of degree  $n$  in  $z$ . Then we have by (2.20)

$$(2.21) \quad \begin{aligned} \tilde{S}_{n,n}(F; z) &= N(n, d) \int_N \tilde{S}_{n,n}(F; z'/2) (\bar{z}' \cdot z)^n dN(z') \\ &= N(n, d) \int_N \tilde{S}_{n,n}(F; \rho z'/2) \left( \bar{z}' \cdot \frac{z}{\rho} \right)^n dN(z') . \end{aligned}$$

(2.18) follows from (2.21) because  $\sum_{k=0}^{\infty} \tilde{S}_{k,k}(F; \rho z'/2)$  converges to  $F(\rho z'/2)$  on  $N$  and  $P_n(M) \perp P_m(M)$  ( $n \neq m$ ) on  $N$ . (2.21) is independent of  $\rho$  and so is (2.18). Q.E.D.

**PROOF OF THEOREM 2.4.** (i) For any  $\lambda \in C$  it is clear that  $\mathcal{O}_\lambda(\tilde{B}(r))|_M \subset \mathcal{O}(\tilde{B}(r))|_M$ . Let  $F \in \mathcal{O}_\lambda(\tilde{B}(r))$ . Then for any  $z \in M \cap \tilde{B}(r)$  we have

$$(2.22) \quad F(z) = \sum_{n=0}^{\infty} \tilde{S}_{n,n}(F; z) .$$

By (2.22) we have for any  $z' \in N$

$$(2.23) \quad F(rz'/4) = \sum_{n=0}^{\infty} (r/4)^n \tilde{S}_{n,n}(F; z') ,$$

because  $(r/4)N \subset \tilde{B}(r) \cap M$ . If  $\alpha_{\lambda}(F) = 0$  we have  $\tilde{S}_{n,n} = 0$  on  $N$  by (2.23) and the orthogonality of homogeneous polynomials on  $N$ . So the spherical harmonic function  $S_{n,n} = 0$  by (1.4) and  $F = 0$  by (2.1). Therefore  $\alpha_{\lambda}$  is injective.

Next for  $f \in \mathcal{O}(\tilde{B}(r))$  we define the function  $F$  as follows:

$$F(z) = \sum_{(n,k) \in A_+} (\sqrt{z^2})^{n-k} \tilde{S}_{n,k}(f; z) ,$$

where

$$\tilde{S}_{n,k}(z) = \frac{\Gamma(k + (d+1)/2)(i\lambda/2)^{n-k}}{\Gamma((n-k)/2+1)\Gamma((n+k+d+1)/2)} \tilde{S}_{k,k}(f; z) .$$

As  $f \in \mathcal{O}(\tilde{B}(r))$ ,  $\limsup_{n \rightarrow \infty} \|S_{n,n}\|_{\infty}^{1/n} = \limsup_{n \rightarrow \infty} \|S_{n,n}(f; \cdot)\|_{\infty}^{1/n} \leq 1/r$  by [8] Theorem 3.2. Hence by Theorem 2.1  $F \in \mathcal{O}_{\lambda}(\tilde{B}(r))$  and  $F|_M = f|_M$ . Therefore  $\alpha_{\lambda}$  is surjective.

(ii) Suppose  $f \in \mathcal{O}(\tilde{B}(r))|_M$ . By the proof of surjectivity of  $\alpha_{\lambda}$  in (i) and (2.18) we obtain for  $z \in \tilde{B}(r)$

$$(2.24) \quad \alpha_{\lambda}^{-1}f(z) = \sum_{k=0}^{\infty} \sum_{l=0}^{\infty} \left\{ (\sqrt{z^2})^{2l} (i\lambda/2)^{2l} \frac{\Gamma(k + (d+1)/2)N(k, d)}{l! \Gamma(l+k+(d+1)/2)} \right. \\ \left. \times \int_N f(\rho z'/2) \left( \bar{z}' \cdot \frac{z}{\rho} \right)^k dN(z') \right\} .$$

Now we have

$$(2.25) \quad K_{\lambda}(z, \bar{z}'/\rho) = \sum_{k=0}^{\infty} \sum_{l=0}^{\infty} (\sqrt{z^2})^{2l} (i\lambda/2)^{2l} \frac{\Gamma(k + (d+1)/2)N(k, d)(\bar{z}' \cdot (z/\rho))^k}{l! \Gamma(l+k+(d+1)/2)} .$$

So we have for  $z \in \tilde{B}(\rho)$

$$\alpha_{\lambda}^{-1}f(z) = \int_N f(\rho z'/2) K_{\lambda}(z, \bar{z}'/\rho) dN(z') ,$$

since the right hand side of (2.25) converges uniformly and absolutely on  $\tilde{B}[\rho - \varepsilon] \times N$  for any  $\varepsilon > 0$ . Hence we get (2.15). In particular, we obtain (2.17) since  $K_0(z, \bar{z}'/\rho) = \sum_{k=0}^{\infty} N(k, d)(\bar{z}' \cdot (z/\rho))^k$  and  $\sum_{k=0}^{\infty} N(k, d)x^k = (1+x)(1-x)^{-d}$  for  $x \in C$ ,  $|x| < 1$  (see, for example Müller [11] Lemma 3).

(iii) It is clear that  $\alpha_{\lambda}$  is continuous. Suppose that  $\{f_m\}_{m \in \mathbb{Z}_+} \subset \mathcal{O}(\tilde{B}(r))|_M$  and  $f_m \rightarrow 0$  in the topology of  $\mathcal{O}(\tilde{B}(r))|_M$ . By (2.15) we have for any  $\rho'$  with  $0 < \rho' < r$

$$(2.26) \quad \alpha_\lambda^{-1} f_m(z) = \int_N f_m(\rho z'/2) K_\lambda(z, \bar{z}'/\rho) dN(z')$$

if  $L(z) \leq \rho' < \rho < r$ . By (2.25), it is true that

$$(2.27) \quad \sup_{\substack{L(z) \leq \rho' \\ z' \in N}} |K_\lambda(z, \bar{z}'/\rho)| \leq \sup_{\substack{L(z) \leq \rho' \\ z' \in N}} \left\{ \sum_{n=0}^{\infty} N(n, d) \left| z \cdot \frac{\bar{z}'}{\rho} \right|^n \exp(|\lambda|^2 L(z)^2/4) \right\}.$$

(2.26) and (2.27) give that for any  $\rho'$  with  $0 < \rho' < r$

$$(2.28) \quad \begin{aligned} \sup_{L(z) \leq \rho'} |\alpha_\lambda^{-1} f_m(z)| &\leq \sup_{\substack{L(z) \leq \rho' \\ z' \in N}} |f_m(\rho z'/2)| |K_\lambda(z, \bar{z}'/\rho)| \\ &\leq \left( \exp \frac{|\lambda|^2 \rho'^2}{4} \right) \frac{1 + \rho'/\rho}{(1 - \rho'/\rho)^d} \sup_{z \in (\rho/2)N} |f_m(z)|. \end{aligned}$$

As  $(\rho/2)N$  is the compact set of  $\tilde{B}(r) \cap M$  we get from (2.28)

$$(2.29) \quad \sup_{L(z) \leq \rho'} |\alpha_\lambda^{-1} f_m(z)| \longrightarrow 0 \quad (m \longrightarrow \infty).$$

(2.29) means that  $\alpha_\lambda^{-1} f_m$  converges to 0 in the topology of  $\mathcal{O}_\lambda(\tilde{B}(r))$  as  $m \rightarrow \infty$ . Therefore  $\alpha_\lambda^{-1}$  is continuous and  $\alpha_\lambda$  is a linear topological isomorphism of  $\mathcal{O}_\lambda(\tilde{B}(r))$  onto  $\mathcal{O}(\tilde{B}(r))|_M$  by (i). Q.E.D.

**COROLLARY 2.6.** *If  $F$  belongs to  $\mathcal{O}_\lambda(\tilde{B}(\rho))$  for  $0 < \rho < r$  and  $F|_M$  belongs to  $\mathcal{O}(\tilde{B}(r))|_M$ , then  $F$  belongs to  $\mathcal{O}_\lambda(\tilde{B}(r))$ .*

### §3. Fourier-Borel transformation.

The Fourier-Borel transformation  $P_\lambda$  for a functional  $T \in \text{Exp}'(C^{d+1}; (0; L))$  is defined by

$$(3.1) \quad P_\lambda T(z) = \langle T_\xi, \exp(i\lambda \xi \cdot z) \rangle \quad \text{for } z \in C^{d+1},$$

where  $\lambda \in C \setminus \{0\}$  is a fixed constant. In this section we will determine the functional space on  $\tilde{S}$  whose image by  $P_\lambda$  coincides with  $\mathcal{O}_\lambda(\tilde{B}(r))$ . Our main theorem in this section is the following

**THEOREM 3.1.** *The transformation  $P_\lambda$  establishes linear topological isomorphisms*

$$(3.2) \quad P_\lambda: \text{Exp}'(\tilde{S}; [|\lambda|r/2; L]) \xrightarrow{\sim} \mathcal{O}_\lambda(\tilde{B}(r)) \quad (0 < r \leq \infty),$$

$$(3.3) \quad P_\lambda: \text{Exp}'(\tilde{S}; (|\lambda|r/2; L)) \xrightarrow{\sim} \mathcal{O}_\lambda(\tilde{B}[r]) \quad (0 \leq r < \infty).$$

*In particular we have the following:*

$$(3.4) \quad P_\lambda: \text{Exp}'(\tilde{S}: (0)) \xrightarrow{\sim} \mathcal{O}_\lambda(\{0\}) .$$

We need the following lemma and theorem in order to prove Theorem 3.1.

**LEMMA 3.2.** *If  $S_n$  is the  $n$ -th spherical harmonic component of  $f'$ , then*

$$(3.5) \quad f' \in \text{Exp}'(\tilde{S}: [r: L]) \iff \limsup_{n \rightarrow \infty} (\|S_n\|_\infty / n!)^{1/n} \leq 1/r ,$$

$$(3.6) \quad f' \in \text{Exp}'(\tilde{S}: (r: L)) \iff \limsup_{n \rightarrow \infty} (\|S_n\|_\infty / n!)^{1/n} < 1/r ,$$

$$(3.7) \quad f' \in \text{Exp}'(\tilde{S}: (0)) \iff \limsup_{n \rightarrow \infty} (\|S_n\|_\infty / n!)^{1/n} < \infty .$$

**THEOREM 3.3** (Martineau [6]). *The transformation  $P_\lambda$  establishes linear topological isomorphisms*

$$(3.8) \quad P_\lambda: \text{Exp}'(C^{d+1}: [r: L]) \xrightarrow{\sim} \mathcal{O}(\tilde{B}^*(r/|\lambda|)) ,$$

$$(3.9) \quad P_\lambda: \text{Exp}'(C^{d+1}: (r: L)) \xrightarrow{\sim} \mathcal{O}(\tilde{B}^*[r/|\lambda|]) ,$$

$$(3.10) \quad P_\lambda: \text{Exp}'(C^{d+1}: (0: L)) \xrightarrow{\sim} \mathcal{O}(\{0\}) ,$$

where  $\tilde{B}^*(A) = \{z \in C^{d+1}; L^*(z) < A\}$  and  $\tilde{B}^*[A] = \{z \in C^{d+1}; L^*(z) \leq A\}$ .

Lemma 3.2 can be proved in the same way as in the proof of [10] Theorem 6.1.

**PROOF OF THEOREM 3.1.** Let  $f'$  be in  $\text{Exp}'(\tilde{S}: [|\lambda|r/2: L])$ . For any  $z \in \tilde{B}^*(r/2)$   $P_\lambda f'(z)$  is well-defined and  $P_\lambda f' \in \mathcal{O}_\lambda(\tilde{B}^*(r/2))$  because  $\text{Re}[i\lambda\xi \cdot z] \leq |\lambda||\xi \cdot z| \leq |\lambda|L(\xi)L^*(z)$ . Furthermore  $P_\lambda f'(z)$  is well-defined for  $z \in \tilde{B}(r) \cap M$  since  $L^*(z) = L(z)/2$  for  $z \in M$ . If we put  $S_n(s) = S_n(f'; s)$ , we have for  $z \in M \cap \tilde{B}(r)$

$$(3.11) \quad P_\lambda f'(z) = \sum_{n=0}^{\infty} \int_S S_n(s) \frac{(i\lambda z \cdot s)^n}{n!} ds$$

because  $(s \cdot z)^n \in H_{n,d}$  if  $z \in M$ . Here we consider the following function:

$$(3.12) \quad G(z) = \sum_{n=0}^{\infty} (i\lambda/2)^n \frac{\Gamma((d+1)/2)}{\Gamma(n+(d+1)/2)} \tilde{S}_n(z) \quad \text{for } z \in C^{d+1} .$$

By (3.5)

$$\limsup_{n \rightarrow \infty} \left\{ \frac{|i\lambda/2|^n \Gamma((d+1)/2)}{\Gamma(n+(d+1)/2)} \|S_n\|_\infty \right\}^{1/n} \leq 1/r,$$

so  $G$  belongs to  $\mathcal{O}_0(\tilde{B}(r))$  by (2.1) and (2.2). For  $z \in M$  and  $\alpha \in S$  it is true that

$$(3.13) \quad \int_S P_{n,d}(\alpha \cdot s)(s \cdot z)^n ds = \frac{(z \cdot \alpha)^n}{N(n, d)} = \frac{1}{N(n, d)C_{n,n}} \tilde{P}_{n,d}(z \cdot \alpha),$$

where  $C_{n,n} = 2^n \Gamma(n+(d+1)/2)/(N(n, d)\Gamma((d+1)/2)n!)$  is the coefficient of the  $n$ -th term of  $P_{n,d}$ . Since  $\{P_{n,d}(\alpha \cdot \cdot \cdot)\}_{\alpha \in S}$  spans  $H_{n,d}$ , (3.11), (3.12) and (3.13) imply

$$(3.14) \quad P_\lambda f' = G \quad \text{on } M \cap \tilde{B}(r).$$

By (3.14) and Corollary 2.6 we can see that  $P_\lambda f' \in \mathcal{O}_\lambda(\tilde{B}(r))$ .

Suppose  $P_\lambda f' = 0$ . Then by (3.12) and (3.14) we have

$$(3.15) \quad \sum_{n=0}^{\infty} (i\lambda/2)^n \frac{\Gamma((d+1)/2)}{\Gamma(n+(d+1)/2)} \tilde{S}_n(z) = 0 \quad \text{on } M \cap \tilde{B}(r).$$

(3.15) gives  $\tilde{S}_n = 0$  on  $N$  since  $P_n(M) \perp P_m(M)$  on  $N$  ( $n \neq m$ ) and  $S_n = 0$  by (1.4). Therefore  $f' = 0$  and  $P_\lambda$  is one-to-one.

For  $F \in \mathcal{O}_\lambda(\tilde{B}(r))$  put  $S_n(s) = \{\Gamma(n+(d+1)/2)/((i\lambda/2)^n \Gamma((d+1)/2))\} \times S_{n,n}(F; s)$ . (2.2) and (3.5) imply that  $f' = \sum_{n=0}^{\infty} S_n$  belongs to  $\text{Exp}'(\tilde{S}: [|\lambda|r/2: L])$ . By (3.12) and (3.14) we see

$$(3.16) \quad P_\lambda f'(z) = F(z) \quad \text{on } M \cap \tilde{B}(r).$$

From Theorem 2.4 we conclude that  $F = P_\lambda f'$  and  $P_\lambda$  is surjective.

Suppose  $\{f'_m\}_{m \in \mathbb{Z}_+} \subset \text{Exp}'(\tilde{S}: [|\lambda|r/2: L])$  and  $f'_m \rightarrow 0$  in the topology of  $\text{Exp}'(\tilde{S}: [|\lambda|r/2: L])$  ( $m \rightarrow \infty$ ).  $\text{Exp}'(\tilde{S}: [|\lambda|r/2: L]) \subset \text{Exp}'(C^{d+1}: [|\lambda|r/2: L])$  and by (3.8),  $P_\lambda f'_m$  converges to 0 on every compact set of  $\tilde{B}^*(r/2)$  uniformly when  $m \rightarrow \infty$ . Since  $\tilde{B}^*(r/2) \cap M = \tilde{B}(r) \cap M$ ,  $\alpha_\lambda(P_\lambda f'_m)$  converges to 0 in the topology of  $\mathcal{O}(\tilde{B}(r))|_M$ . Hence  $P_\lambda f'_m \rightarrow 0$  in the topology of  $\mathcal{O}_\lambda(\tilde{B}(r))$  from Theorem 2.4 (iii). Therefore  $P_\lambda$  is a continuous mapping of  $\text{Exp}'(\tilde{S}: [|\lambda|r/2: L])$  onto  $\mathcal{O}_\lambda(\tilde{B}(r))$ .  $\text{Exp}'(\tilde{S}: [|\lambda|r/2: L])$  and  $\mathcal{O}_\lambda(\tilde{B}(r))$  being FS spaces,  $P_\lambda^{-1}$  is also continuous by the closed graph theorem and we obtain (3.2).

By using (3.6), (3.7), (3.9) and (3.10) we can prove (3.3) and (3.4) similarly. Q.E.D.

### References

- [1] L. DRUŻKOWSKI, Effective formula for the crossnorm in the complexified unitary spaces, *Zeszyty Nauk. Uniwersyteckich Jagiellońskich. Prace Mat.*, **16** (1974), 47–53.

- [2] M. HASHIZUME, A. KOWATA, K. MINEMURA and K. OKAMOTO, An integral representation of an eigenfunction of the Laplacian on the Euclidean space, Hiroshima Math. J., **2** (1972), 535-545.
- [3] L. K. HUA, Harmonic Analysis of Functions of Several Complex Variables in Classical Domains, Moscow, 1959 (in Russian); Translations of Math. Monographs, **6**, Amer. Math. Soc., 1963.
- [4] K. II, On a Bargmann-type transform and a Hilbert space of holomorphic functions, Tôhoku Math. J., **38** (1986), 57-69.
- [5] G. LEBEAU, Fonctions harmoniques et spectre singulier, Ann. Sci. École Norm. Sup. (4), **13** (1980), 269-291.
- [6] A. MARTINEAU, Équations différentielles d'ordre infini, Bull. Soc. Math. France, **95** (1967), 109-154.
- [7] M. MORIMOTO, A generalization of the Fourier-Borel transformation for the analytic functionals with non convex carrier, Tokyo J. Math., **2** (1979), 301-322.
- [8] M. MORIMOTO, Analytic functionals on the Lie sphere, Tokyo J. Math., **3** (1980), 1-35.
- [9] M. MORIMOTO, Hyperfunctions on the Sphere, Sophia Kokyuroku in Mathematics, **12**, Sophia Univ. Dept. Math., Tokyo, 1982 (in Japanese).
- [10] M. MORIMOTO, Analytic functionals on the sphere and their Fourier-Borel transformations, Complex Analysis, Banach Center Publications, **11**, PWN-Polish Scientific Publishers, Warsaw, 1983, 223-250.
- [11] C. MÜLLER, Spherical Harmonics, Lecture Notes in Math., **17**, Springer-Verlag, Berlin-Heidelberg-New York, 1966.
- [12] R. WADA, The Fourier-Borel transformations of analytic functionals on the complex sphere, Proc. Japan Acad. Ser. A, **61** (1985), 298-301.
- [13] R. WADA, On the Fourier-Borel transformations of analytic functionals on the complex sphere, Tôhoku Math. J., **38** (1986), 417-432.
- [14] R. WADA, A uniqueness set for linear partial differential operators of the second order, to appear in Funkcial. Ekvac.

*Present Address:*

DEPARTMENT OF MATHEMATICS  
 SOPHIA UNIVERSITY  
 KIOICHO, CHIYODA-KU, TOKYO 102  
 AND  
 DEPARTMENT OF MATHEMATICS  
 SOPHIA UNIVERSITY  
 KIOICHO, CHIYODA-KU, TOKYO 102