

## On Presheaves Associated to Modules

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### Introduction.

Let  $A$  be a commutative ring with unity. For a subset  $E$  of  $\text{Spec} A$ , we put

$$(1) \quad S_E = \bigcap_{\mathfrak{p} \in E} (A \setminus \mathfrak{p}) \quad (S_\emptyset = A).$$

Then  $S_E$  is a saturated multiplicatively closed set.

To an  $A$ -module  $M$ , we associate a presheaf  $\bar{M}$  in the following way. By putting

$$(2) \quad \bar{M}(U) = S_U^{-1} M$$

for an open subset  $U$  of  $\text{Spec} A$ , we define a presheaf  $\bar{M}$  of modules on  $\text{Spec} A$ . Then

$$(3) \quad \bar{M}(D(f)) = M_f \quad \text{for } f \in A,$$

$$(4) \quad \bar{M}_{\mathfrak{p}} = M_{\mathfrak{p}} \quad \text{for } \mathfrak{p} \in \text{Spec} A,$$

where  $D(f) = \{\mathfrak{p} \in \text{Spec} A \mid f \notin \mathfrak{p}\}$ . Here  $\bar{M}$  is not a sheaf in general. But the sheafification of  $\bar{M}$  turns out to be the quasi-coherent  $\tilde{A}$ -module  $\tilde{M}$ . Then we ask the question: When is the presheaf  $\bar{M}$  actually a sheaf?

Noting that  $\bar{M}$  is a sheaf if and only if  $\bar{M} = \tilde{M}$ , we introduce the following three conditions for a ring  $A$ :

$$(S.1) \quad \bar{M} = \tilde{M} \text{ for any } A\text{-module } M.$$

$$(S.2) \quad \bar{\mathfrak{a}} = \tilde{\mathfrak{a}} \text{ for any ideal } \mathfrak{a} \text{ of } A.$$

$$(S.3) \quad \bar{A} = \tilde{A}.$$

Then it is obvious that (S.1)  $\Rightarrow$  (S.2)  $\Rightarrow$  (S.3).

The main results of this paper are as follows.

**THEOREM 1.** *Suppose that  $A$  is a valuation ring. Then*

- (i)  $A$  satisfies the condition (S.3).
- (ii) (S.1)  $\Leftrightarrow$  (S.2)  $\Leftrightarrow$   $\text{Spec} A$  is a noetherian topological space.

COROLLARY.  $A$  valuation ring of finite dimension satisfies the condition (S.1).

THEOREM 2. Let  $A$  be a Dedekind domain. Then

- (S.1)  $\Leftrightarrow$  (S.2)  $\Leftrightarrow$  (S.3)  $\Leftrightarrow$  the ideal class group of  $A$  is torsion.

COROLLARY. (i) The ring of integers of an algebraic number field of finite degree satisfies the condition (S.1).

(ii) Let  $A$  be a coordinate ring of a non-singular affine algebraic curve over  $\mathbf{C}$ . Then  $A$  is a Dedekind domain, and  $A$  satisfies the condition (S.1) if and only if the curve is rational.

THEOREM 3. Suppose that  $A$  is a unique factorization domain (UFD). Then

- (i)  $A$  satisfies the condition (S.3).
- (ii) (S.1)  $\Leftrightarrow$  (S.2)  $\Leftrightarrow$   $A$  is a principal ideal domain (PID).

For integral rings which are not integrally closed, we obtain;

EXAMPLE 1. Let  $A = \mathbf{Z}[\sqrt{m}]$ . If  $m = -3, 5$ , then  $A$  satisfies the condition (S.1). Moreover, if  $m$  is a square free integer such that  $m \equiv 1 \pmod{8}$  and  $\mathbf{Z}[(1 + \sqrt{m})/2]$  is a PID, then  $A$  satisfies the condition (S.1).

EXAMPLE 2. Let  $A = \mathbf{C}[X, Y]/(Y^2 - X^3 - aX - b)$ , where  $a, b \in \mathbf{C}$ ,  $4a^3 + 27b^2 = 0$ . Then  $A$  does not satisfy the condition (S.3).

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1. Here we introduce the topological conditions (T.1), (T.2) and (T.3).

Let  $A$  be a ring and  $M$  an  $A$ -module. For any subset  $E$  of  $\text{Spec} A$ , we obtain

$$(5) \quad S_E^{-1}M \cong \text{ind. lim } \bar{M}(U),$$

where  $U$  runs over all open sets of  $\text{Spec} A$  which contain  $E$ . Therefore we can write

$$(2') \quad \bar{M}(E) = S_E^{-1}M \quad \text{for } E \subset \text{Spec} A.$$

Let  $A$  be an integral ring and  $\mathfrak{a}$  an ideal of  $A$ . Since  $\tilde{\mathfrak{a}}$  satisfies the condition that  $\tilde{\mathfrak{a}}(U) = \bigcap_{\mathfrak{p} \in U} \mathfrak{a}_{\mathfrak{p}}$  for any non-empty open sets  $U$  of  $\text{Spec} A$ , we obtain

$$(6) \quad \bigcap_{\mathfrak{p} \in E} \mathfrak{a}_{\mathfrak{p}} \cong \text{ind. lim } \tilde{\mathfrak{a}}(U)$$

for any non-empty subset  $E$  of  $\text{Spec} A$ . Here  $U$  runs over all open sets of  $\text{Spec} A$  which contain  $E$  (see [6], Lemma 1). Therefore we can write

$$(7) \quad \tilde{\alpha}(E) = \bigcap_{p \in E} \alpha_p \quad \text{for } E \subset \text{Spec } A, E \neq \emptyset.$$

For a ring  $A$ , we put

$$\Sigma = \{D(f) \mid f \in A\}, \quad \Sigma_1 = \{D(\alpha_x) \mid \alpha_x \in QA\} \cup \{\emptyset\}.$$

Here  $QA$  is the total quotient ring of  $A$  and  $\alpha_x = \{b \in A \mid b\alpha \in A\}$ . Then

$$(8) \quad \Sigma \subset \Sigma_1 \quad \text{if } A \text{ is integral.}$$

For any subset  $E$  of  $\text{Spec } A$ , we put

$$(9) \quad \tilde{E} = \bigcap_{\substack{U \in \Sigma \\ U \supset E}} U,$$

$$(10) \quad \tilde{E}^1 = \bigcap_{\substack{V \in \Sigma_1 \\ V \supset E}} V,$$

$$(11) \quad E^* = \{p \in \text{Spec } A \mid \exists p' \in E \text{ such that } p \subset p'\},$$

$$(12) \quad E^0 = \text{m-Spec } \bar{A}(E) \subset \text{Spec } A.$$

Then

$$(9') \quad \tilde{E} = \bigcap_{f \in S_E} D(f) = \{p \in \text{Spec } A \mid p \subset \bigcup_{p' \in E} p'\} = \text{Spec } \bar{A}(E).$$

Moreover if  $A$  is integral and  $E \neq \emptyset$ , then

$$(9'') \quad \tilde{E} = \{p \in \text{Spec } A \mid \bar{A}(E) \subset A_p\},$$

$$(10') \quad \tilde{E}^1 = \{p \in \text{Spec } A \mid \bar{A}(E) \subset A_p\}.$$

LEMMA 1. *Let  $A$  be a ring and  $E$  a subset of  $\text{Spec } A$ . Then*

(i)  $E \subset E^* \subset \tilde{E}^1$ ,  $E^* \subset \tilde{E}$ ,  $E^0 \subset \tilde{E}$ .

(ii) *If  $E$  is open, then  $E = E^*$ .*

(iii) *If  $A$  is integral, then  $\tilde{E}^1 \subset \tilde{E}$ .*

The proof is easy.

Let  $A$  be a ring. We introduce the following conditions for the topology of  $\text{Spec } A$ .

(T.1) For any open set  $U$  of  $\text{Spec } A$ , there exists  $f \in A$  such that  $U = D(f)$ .

(T.2) For any open set  $U$  of  $\text{Spec } A$ ,  $U = \tilde{U}$ .

(T.3) For any open set  $U$  of  $\text{Spec } A$ ,  $\tilde{U}^1 = \tilde{U}$ .

Then the following lemma is easy to prove.

LEMMA 2. *Let  $A$  be a ring.*

(i) (T.1)  $\Leftrightarrow$  *For any ideal  $\mathfrak{a}$  of  $A$ , there exists  $f \in A$  such that  $\sqrt{\mathfrak{a}} = \sqrt{(f)}$ .*

(ii) (T.1)  $\Rightarrow$  *For any  $\mathfrak{p} \in \text{Spec } A$ , there exists  $f \in A$  such that  $\mathfrak{p} = \sqrt{(f)}$   
 $\Rightarrow$  *For any subset  $E$  of  $\text{spec } A$ ,  $E^* = \tilde{E}$   
 $\Rightarrow$  (T.2).**

(iii) *If  $A$  is integral, then (T.2)  $\Rightarrow$  (T.3).*

Moreover, we consider the condition:

(T.1') For any compact open set  $U$  of  $\text{Spec } A$ , there exists  $f \in A$  such that  $U = D(f)$ .

Then we have

(13) (T.1)  $\Leftrightarrow$  (T.1') and  $\text{Spec } A$  is a noetherian topological space .

2. Here we consider the relationship between the conditions (S.1), (S.2), (S.3) and the conditions (T.1), (T.2), (T.3).

Let  $A$  be an integral ring. For any intermediate ring  $B$  of  $QA/A$ , we put

$$B_* = \{a/b \in QA \mid a \in A, b \in A \cap B^\times\}.$$

Then  $A \subset B_* \subset B$ .

Let us fix an integral ring  $A$ , and consider the correspondence between non-empty subsets  $E$  of  $\text{Spec } A$  and intermediate rings  $B$  of  $QA/A$  defined by

$$(14) \quad E \mapsto B = \bar{A}(E), \quad B \mapsto E = \{\mathfrak{p} \in \text{Spec } A \mid \mathfrak{p} \cap B^\times = \emptyset\}.$$

LEMMA 3. *Let  $A$  be an integral ring. Then*

(i)  $\bar{A}(E) \mapsto \tilde{E}$  by (14) for any non-empty subsets  $E$  of  $\text{Spec } A$ .

(ii)  $\{\mathfrak{p} \in \text{Spec } A \mid \mathfrak{p} \cap B^\times = \emptyset\} \mapsto B_*$  by (14) for any intermediate rings  $B$  of  $QA/A$ .

(iii)  $E = \tilde{E} \neq \emptyset \Leftrightarrow$  *there exists  $B$  such that  $E = \{\mathfrak{p} \in \text{Spec } A \mid \mathfrak{p} \cap B^\times = \emptyset\}$ .*

(iv)  $B_* = B \Leftrightarrow$  *there exists  $E$  such that  $B = \bar{A}(E)$ .*

The proof is easy.

COROLLARY. *The mapping (14) is a bijection between the set of subsets  $E$  of  $\text{Spec } A$  such that  $E = \tilde{E} \neq \emptyset$  and the set of intermediate rings  $B$  of  $QA/A$  such that  $B_* = B$ .*

Let  $K$  be a field and  $A$  a subring of  $K$ . We denote by  $\text{Loc}(K|A)$  the set of local subrings of  $K$  which contain  $A$ . We consider the mapping  $\Psi_A: \text{Spec } A \rightarrow \text{Loc}(QA|A)$  defined by  $\mathfrak{p} \mapsto A_{\mathfrak{p}}$ . Then  $\Psi_A$  is an into-homeomorphism.

For any intermediate ring  $B$  of  $QA/A$ , we put

$$B^* = \bigcap_{R \in \text{Im } \Psi_A \cap \text{Loc}(QA|B)} R.$$

Then  $B \subset B^* \subset QA$ .

We consider the correspondence between  $E$  and  $B$  defined by

$$(15) \quad E \mapsto B = \tilde{A}(E), \quad B \mapsto E = \bigcap_{\alpha \in B} D(\alpha).$$

Note that

$$(16) \quad \bigcap_{\alpha \in B} D(\alpha) = \{p \in \text{Spec } A \mid B \subset A_p\},$$

for any intermediate ring  $B$  of  $QA/A$ .

LEMMA 4. *Let  $A$  be an integral ring. Then*

- (i)  $\tilde{A}(E) \mapsto \tilde{E}^1$  by (15) for any non-empty subsets  $E$  of  $\text{Spec } A$ .
- (ii)  $\bigcap_{\alpha \in B} D(\alpha) \mapsto B^*$  by (15) for any intermediate rings  $B$  of  $QA/A$ .
- (iii)  $E = \tilde{E}^1 \neq \emptyset \Leftrightarrow$  there exists  $B$  such that  $E = \bigcap_{\alpha \in B} D(\alpha)$ .
- (iv)  $B = B^* \Leftrightarrow$  there exists  $E$  such that  $B = \tilde{A}(E)$ .

The proof is easy.

COROLLARY. *The mapping (15) is a bijection between the set of subsets  $E$  of  $\text{Spec } A$  such that  $E = \tilde{E}^1 \neq \emptyset$  and the set of intermediate rings  $B$  of  $QA/A$  such that  $B = B^*$ .*

LEMMA 5. *Let  $A$  be an integral ring and  $E$  a subset of  $\text{Spec } A$ . Then*

- (i)  $\bar{A}(E) = \tilde{A}(\tilde{E})$ .
- (ii)  $\bar{A}(E) = \tilde{A}(E) \Leftrightarrow \tilde{E}^1 = \tilde{E}$ .

PROOF. We may assume that  $E \neq \emptyset$ .

- (i)  $\bar{A}(E) = \bigcap_{q \in \text{Spec } \bar{A}(E)} \bar{A}(E)_q = \bigcap_{p \in \tilde{E}} A_p = \tilde{A}(\tilde{E})$  by (9').
- (ii) If part: By Lemma 4 and (i), we have  $\tilde{A}(E) = \tilde{A}(\tilde{E}^1) = \tilde{A}(\tilde{E}) = \bar{A}(E)$ . Only if part: By (9'') and (10'), we have  $\tilde{E}^1 = \{p \in \text{Spec } A \mid \tilde{A}(E) \subset A_p\} = \{p \in \text{Spec } A \mid \bar{A}(E) \subset A_p\} = \tilde{E}$ . Q.E.D.

COROLLARY.  $(S.3) \Leftrightarrow (T.3) \Leftrightarrow \tilde{E}^1 = \tilde{E}$  for any  $E \subset \text{Spec } A$ .

LEMMA 6. *Let  $A$  be an integral ring. For a subset  $E$  of  $\text{Spec } A$ , the following five conditions are equivalent:*

- (a)  $\bar{\alpha}(E) = \tilde{\alpha}(E)$  for any ideal  $\alpha$  of  $A$ .
- (a')  $\bar{p}(E) = \tilde{p}(E)$  for any  $p \in \text{Spec } A$ .
- (b)  $b \subset \bigcup_{p' \in E} p' \Rightarrow$  there exists  $p' \in E$  such that  $b \subset p'$ , for any ideal  $b$  of  $A$ .
- (b')  $E^* = \tilde{E}$ .
- (c)  $E^o \subset E$ .

PROOF. We may assume that  $E \neq \emptyset$ .

- (a)  $\Rightarrow$  (b): Take an ideal  $b \subset \bigcup_{p' \in E} p'$ . Then  $S_E^{-1}b = \bigcap_{p \in E} b_p$  by (a). Since  $b \cap S_E = \emptyset$ , we have  $S_E^{-1}b \subsetneq S_E^{-1}A$ . Therefore there exists  $p' \in E$  such that  $b_{p'} \subsetneq A_{p'}$ . Then  $b \subset p'$ .
- (b)  $\Rightarrow$  (b'): Obvious.
- (b')  $\Rightarrow$  (c): For any  $p \in E^o \subset \tilde{E} = E^*$ , there exists  $p' \in E$  such that  $p \subset p'$ . Then

$S_E^{-1}\mathfrak{p} \subset S_E^{-1}\mathfrak{p}' \neq S_E^{-1}A$  and so  $\mathfrak{p} = \mathfrak{p}' \in E$ .

(c)  $\Rightarrow$  (a): It is sufficient to prove  $\bigcap_{\mathfrak{p} \in E} \mathfrak{a}_{\mathfrak{p}} \subset S_E^{-1}\mathfrak{a}$ . For any  $\alpha \in \bigcap_{\mathfrak{p} \in E} \mathfrak{a}_{\mathfrak{p}}$ , we put  $\mathfrak{b} = \{b \in A \mid b\alpha \in \mathfrak{a}\}$ . If we assume that  $\mathfrak{b} \cap S_E = \emptyset$ , then  $S_E^{-1}\mathfrak{b} \not\subseteq S_E^{-1}A$ . Thus there exists  $\mathfrak{p} \in E^{\circ} \subset E$  such that  $S_E^{-1}\mathfrak{b} \subset S_E^{-1}\mathfrak{p}$ . Then  $\mathfrak{b} \subset \mathfrak{p}$ . Since  $\alpha \in \mathfrak{a}_{\mathfrak{p}}$ , we can write  $\alpha = a/b$ ,  $a \in \mathfrak{a}$ ,  $b \in A \setminus \mathfrak{p}$ . Then  $b\alpha = a \in \mathfrak{a}$  and hence  $b \in \mathfrak{b} \subset \mathfrak{p}$ . This is a contradiction. Therefore  $\mathfrak{b} \cap S_E \neq \emptyset$ . If  $b \in \mathfrak{b} \cap S_E$ , then  $a = b\alpha \in \mathfrak{a}$ . Thus  $\alpha = a/b \in S_E^{-1}\mathfrak{a}$ .

(a)  $\Rightarrow$  (a'): Obvious.

(a')  $\Rightarrow$  (c): For any  $\mathfrak{p} \in E^{\circ}$ , we have  $S_E^{-1}\mathfrak{p} = \bigcap_{\mathfrak{p}' \in E} \mathfrak{p}_{\mathfrak{p}'}$  by (a'). Since  $\mathfrak{p} \in E^{\circ} \subset \tilde{E}$ , we obtain  $S_E^{-1}\mathfrak{p} \not\subseteq S_E^{-1}A$ . There exists  $\mathfrak{p}' \in E$  such that  $\mathfrak{p}_{\mathfrak{p}'} \not\subseteq A_{\mathfrak{p}'}$ . Then  $\mathfrak{p} \subset \mathfrak{p}'$  and hence  $S_E^{-1}\mathfrak{p} \subset S_E^{-1}\mathfrak{p}'$ . Since  $S_E^{-1}\mathfrak{p}$  is maximal, we obtain  $\mathfrak{p} = \mathfrak{p}' \in E$ . Q.E.D.

COROLLARY.

$$(S.2) \Leftrightarrow (T.2)$$

$$\Leftrightarrow \bar{\mathfrak{p}} = \tilde{\mathfrak{p}} \text{ for any } \mathfrak{p} \in \text{Spec } A$$

$$\Leftrightarrow E^* = \tilde{E} \text{ for any } E \subset \text{Spec } A$$

$$\Leftrightarrow E^{\circ} \subset E \text{ for any } E \subset \text{Spec } A.$$

REMARK. The above conditions are not equivalent to the following one:  $\bar{m} = \tilde{m}$  for any  $m \in m\text{-Spec } A$ . See Example 3 in §4.

LEMMA 7. Let  $A$  be an integral ring satisfying the condition (S.3). Then

(i)  $\bar{\mathfrak{p}} = \tilde{\mathfrak{p}} \Leftrightarrow \mathfrak{p} \notin \widetilde{D(\mathfrak{p})}$ , for any  $\mathfrak{p} \in \text{Spec } A$ .

(ii) If  $\mathfrak{a}$  is a principal ideal of  $A$ , then  $\bar{\mathfrak{a}} = \tilde{\mathfrak{a}}$ .

PROOF. (i) Note that  $\tilde{E}^1 = \tilde{E}$  for any subset  $E$  of  $\text{Spec } A$ . Thus

$$\bar{\mathfrak{p}} = \tilde{\mathfrak{p}} \Leftrightarrow \text{if } \mathfrak{p} \in \tilde{E}, \text{ then } \mathfrak{p} \in E^* \text{ for any } E \subset \text{Spec } A$$

$$\Leftrightarrow \text{if } \mathfrak{p} \notin E^*, \text{ then } \mathfrak{p} \notin \tilde{E} \text{ for any } E \subset \text{Spec } A$$

$$\Leftrightarrow \text{if } E \cap V(\mathfrak{p}) = \emptyset, \text{ then } \mathfrak{p} \notin \tilde{E} \text{ for any } E \subset \text{Spec } A$$

$$\Leftrightarrow \mathfrak{p} \notin \widetilde{D(\mathfrak{p})}.$$

(ii) Let  $\mathfrak{a} = aA$ . We may assume  $a \neq 0$ . It is sufficient to prove  $\bigcap_{\mathfrak{p} \in E} \mathfrak{a}_{\mathfrak{p}} \subset S_E^{-1}\mathfrak{a}$  for any non-empty subset  $E$  of  $\text{Spec } A$ . For any  $\alpha \in \bigcap_{\mathfrak{p} \in E} \mathfrak{a}_{\mathfrak{p}}$ , we obtain  $\alpha/a \in \bigcap_{\mathfrak{p} \in E} A_{\mathfrak{p}} = S_E^{-1}A$ . Thus  $\alpha \in S_E^{-1}\mathfrak{a}$ . Q.E.D.

LEMMA 8. For an integral ring  $A$ , we obtain

$$(S.1) \Rightarrow (S.2) \Rightarrow (S.3)$$

$$\uparrow \quad \quad \downarrow \quad \quad \downarrow$$

$$\text{PID} \Rightarrow (T.1) \Rightarrow (T.2) \Rightarrow (T.3)$$

The proof is obvious from (3), Lemma 2 and Corollaries of Lemmas 5 and 6.

Next we consider several integral rings which are not integrally closed.

EXAMPLE 1. Let  $A = \mathbf{Z}[\sqrt{m}]$ . If  $m = -3, 5$ , then  $A$  satisfies the condition (T.1). Moreover if  $m$  is a square free integer such that  $m \equiv 1 \pmod{8}$  and  $\mathbf{Z}[(1 + \sqrt{m})/2]$  is a

PID, then  $A$  satisfies the condition (T.1).

In fact, since any prime ideal of  $A$  is the radical of a principal ideal and any closed subset of  $\text{Spec} A$  is either  $\text{Spec} A$  itself or a finite set,  $A$  satisfies the condition (T.1).

EXAMPLE 2'. Let  $A = \mathbf{C}[X, Y]/(Y^2 - X^3)$ . If we put  $\mathfrak{m}_a = (X - a^2, Y - a^3)$  for  $a \in \mathbf{C}$ , then  $\text{m-Spec} A = \{\mathfrak{m}_a \mid a \in \mathbf{C}\}$  and  $\mathfrak{m}_0$  is the unique singular point of  $\text{Spec} A$ . Moreover,

(i) If a subset  $E$  of  $\text{Spec} A$  does not contain  $\mathfrak{m}_0$ , the  $\bar{A}(E) = \tilde{A}(E)$ .

(ii) If we put  $U = \text{Spec} A \setminus \{\mathfrak{m}_a\}$ , then  $\bar{A}(U) = \tilde{A}(U) \Leftrightarrow a = 0$ .

Thus  $A$  does not satisfy the condition (S.3).

EXAMPLE 2''. Let  $A = \mathbf{C}[X, Y]/(Y^2 - X^3 - X^2)$ . If we put  $\mathfrak{m}_a = (X - a^2 + 1, Y - a^3 + a)$  for  $a \in \mathbf{C}$ , then  $\text{m-Spec} A = \{\mathfrak{m}_a \mid a \in \mathbf{C}\}$  and  $\mathfrak{m}_{-1} = \mathfrak{m}_1$  is the unique singular point of  $\text{Spec} A$ . Moreover,

(i) If a subset  $E$  of  $\text{Spec} A$  does not contain  $\mathfrak{m}_{-1} = \mathfrak{m}_1$ , then  $\bar{A}(E) = \tilde{A}(E)$ .

(ii) If we put  $U = \text{Spec} A \setminus \{\mathfrak{m}_a\}$ , where  $a \neq \pm 1$ , then  $\bar{A}(U) = \tilde{A}(U) \Leftrightarrow (a+1)/(a-1)$  is a root of unity.

Thus  $A$  does not satisfy the condition (S.3)

3. Here we collect some properties of Prüfer rings and Krull rings.

First we recall the definition of Prüfer rings. An integral ring  $A$  is said to be *Prüfer* if  $A_{\mathfrak{p}}$  is a valuation ring for any  $\mathfrak{p} \in \text{Spec} A$ . Let  $A$  be a Prüfer ring. Then

$$(16') \quad \bigcap_{\alpha \in B} D(\alpha) = \{\mathfrak{p} \in \text{Spec} A \mid B \subset A_{\mathfrak{p}}\} = \{\mathfrak{p} \in \text{Spec} A \mid \mathfrak{p}B \not\subseteq B\} \\ = \{\mathfrak{p} \in \text{Spec} A \mid \exists \mathfrak{q} \in \text{Spec} B \text{ such that } \mathfrak{p} = A \cap \mathfrak{q}\} \cong \text{Spec} B,$$

for any intermediate ring  $B$  of  $QA/A$  (see [1], (26.1)).

LEMMA 9. For an integrally closed integral ring  $A$ , the following two conditions are equivalent:

(a)  $A$  is a Prüfer ring.

(b) For any intermediate ring  $B$  of  $QA/A$ , there exists a subset  $E$  of  $\text{Spec} A$  such that  $B = \tilde{A}(E)$ .

For a proof, see [1], (26.2).

THEOREM 4. For an integral ring  $A$ , the following three conditions are equivalent:

(a)  $A$  is a Prüfer ring which satisfies the condition (S.3).

(a')  $A$  is a Prüfer ring which satisfies the condition (T.1').

(b) For any intermediate ring  $B$  of  $QA/A$ , there exists a subset  $E$  of  $\text{Spec} A$  such that  $B = \bar{A}(E)$ .

The proof is easy from Lemma 9 and [1], (27.5).

COROLLARY. Suppose that  $A$  is a Prüfer ring such that  $\text{Spec} A$  is a noetherian topological space. Then the conditions (S.1), (S.2), (S.3), (T.1), (T.2) and (T.3) are all equivalent.

Next we recall the definition of Krull rings. An integral ring  $A$  is said to be *Krull* if there exists a subset  $W$  of  $\text{Zar}(QA|A)$  such that

(17) If  $R \in W$ , then  $R$  is a discrete valuation ring .

(18) For any  $\alpha \in QA$ , the set  $\{R \in W \mid \alpha \notin R\}$  is finite .

(19) 
$$A = \bigcap_{R \in W} R .$$

Here we denote by  $\text{Zar}(QA|A)$  the set of valuation rings of  $QA$  which contain  $A$ . In this case we say that  $W$  defines  $A$ . If  $A$  is a Krull ring, then  $W_0 = \{A_p \mid p \in \text{Spec} A, \dim A_p = 1\}$  is the smallest subset of  $\text{Zar}(QA|A)$  which defines  $A$  (see [4], Theorem 12.3).

LEMMA 10. Let  $A$  be a Krull ring and  $E$  a non-empty subset of  $\text{Spec} A$ . Then  $\bar{A}(E)$  is also a Krull ring. Moreover,

(i) If  $W$  defines  $A$ , then  $W \cap \Phi_A^{-1}(\tilde{E})$  defines  $\bar{A}(E)$ . Here  $\Phi_A$  is the mapping from  $\text{Zar}(QA|A)$  to  $\text{Spec} A$  defined by  $\Phi_A(R) = A \cap m(R)$  for any  $R \in \text{Zar}(QA|A)$ .

(ii)  $\Phi_A^{-1}(\mathfrak{P} \cap \tilde{E})$  is the smallest subset of  $\text{Zar}(QA|A)$  which defines  $\bar{A}(E)$ , where  $\mathfrak{P} = \{p \in \text{Spec} A \mid \dim A_p = 1\}$ .

PROOF. (i) It is clear that  $W \cap \Phi_A^{-1}(\tilde{E})$  satisfies (17) and (18). By [4], Theorem 12.1 and  $\Phi_A^{-1}(\tilde{E}) = \text{Zar}(QA|\bar{A}(E))$ , we obtain  $\bar{A}(E) = \bigcap_{R \in W \cap \Phi_A^{-1}(\tilde{E})} R$ .

(ii) is easy from (i) and  $\mathfrak{P} \cap \tilde{E} = \{p' \in \text{Spec} \bar{A}(E) \mid \text{ht } p' = 1\}$ . Q.E.D.

LEMMA 11. Let  $A$  be a Krull ring and  $E$  a non-empty subset of  $\text{Spec} A$ . Then  $\tilde{A}(E)$  is also a Krull ring. Moreover if  $W$  defines  $A$ , then all the sets  $W \cap \Phi_A^{-1}(E^*)$ ,  $W \cap \Phi_A^{-1}(\tilde{E}^1)$  and  $W \cap \text{Zar}(QA|\tilde{A}(E))$  define  $\tilde{A}(E)$ .

PROOF. Since  $W \cap \Phi_A^{-1}(E^*) \subset W \cap \Phi_A^{-1}(\tilde{E}^1) \subset W \cap \text{Zar}(QA|\tilde{A}(E)) \subset W$ , these sets satisfy (17) and (18). By the similar method to the proof of Lemma 10, we obtain  $\tilde{A}(E) = \bigcap_{R \in W \cap \Phi_A^{-1}(E^*)} R$ . Q.E.D.

THEOREM 5. Suppose that  $A$  is a Krull ring. If we put  $\mathfrak{P} = \{p \in \text{Spec} A \mid \dim A_p = 1\}$ , then

$$\bar{A}(E) = \tilde{A}(E) \Leftrightarrow \mathfrak{P} \cap \tilde{E} \subset E^*$$

for any subset  $E$  of  $\text{Spec} A$ .

The proof is easy from Lemmas 10 and 11.

COROLLARY. For a Krull ring  $A$ , we obtain

$$\begin{aligned} \text{(S.3)} &\Leftrightarrow \mathfrak{P} \cap \tilde{U} \subset U \text{ for any open subsets } U \text{ of } \text{Spec} A \\ &\Leftrightarrow \mathfrak{P} \cap \tilde{E} \subset E^* \text{ for any subsets } E \text{ of } \text{Spec} A. \end{aligned}$$



4. Here we prove Theorems 1, 2, 3 and their corollaries.  
First we shall prove Theorem 1.

LEMMA 12. *If  $A$  is a valuation ring, then  $A$  satisfies the condition (S.3).*

PROOF. Since any finitely generated ideal of  $A$  is principal,  $A$  satisfies (T.1'). From Theorem 4, the proof is complete. Q.E.D.

LEMMA 13. *Let  $A$  be a valuation ring and  $\mathfrak{p} \in \text{Spec } A$ . Then*

$$\begin{aligned} \bar{\mathfrak{p}} = \tilde{\mathfrak{p}} &\Leftrightarrow \bigcup_{\mathfrak{p}' \subsetneq \mathfrak{p}} \mathfrak{p}' \not\subseteq \mathfrak{p} \\ &\Leftrightarrow D(\mathfrak{p}) \text{ has the maximal element, if } D(\mathfrak{p}) \neq \emptyset. \end{aligned}$$

The proof is easy from Lemma 7.

LEMMA 14. *For a valuation ring  $A$ , the conditions (S.1), (T.1), (S.2) and (T.2) are equivalent to each other. Moreover, each condition is also equivalent to the condition that  $\text{Spec } A$  is a noetherian topological space.*

PROOF. From (13) and Lemma 12, we obtain that “ $\text{Spec } A$  is a noetherian topological space  $\Rightarrow$  (T.1)”. By Lemma 8, we have that (T.1)  $\Rightarrow$  (T.2)  $\Leftrightarrow$  (S.2). Therefore, it is sufficient to prove that “(S.2)  $\Rightarrow$   $\text{Spec } A$  is a noetherian topological space.” We assume that  $\text{Spec } A$  is not noetherian. Then there exists a chain of open subsets of  $\text{Spec } A$  such that

$$U_0 \subsetneq U_1 \subsetneq U_2 \subsetneq \cdots$$

Since any non-empty closed subsets of  $\text{Spec } A$  are irreducible, we obtain a sequence of  $\text{Spec } A$  such that

$$\mathfrak{p}_0 \subsetneq \mathfrak{p}_1 \subsetneq \mathfrak{p}_2 \subsetneq \cdots$$

If we put  $\mathfrak{p}_\infty = \bigcup_{i=0}^{\infty} \mathfrak{p}_i$ , then  $\mathfrak{p}_\infty \in \text{Spec } A$  and  $\bar{\mathfrak{p}}_\infty \neq \tilde{\mathfrak{p}}_\infty$ . Q.E.D.

Then the proof of Theorem 1 is complete from Lemmas 12 and 14.

EXAMPLE 3. Let  $k$  be a field and  $K$  the quotient field of a polynomial ring over  $k$  of countable indeterminates. Then there exists  $A \in \text{Zar}(K|k)$  such that

$$\text{Zar}(K|A) = \{R_0, R_1, R_2, \cdots, B, A\},$$

where  $K = R_0 \supsetneq R_1 \supsetneq R_2 \supsetneq \cdots$ ,  $B = \bigcap_{i=0}^{\infty} R_i$ ,  $B \supsetneq A$ . In this case,  $\overline{m(A)} = \widetilde{m(A)}$  but  $A$  does not satisfy the condition (S.2).

Next we shall prove Theorem 2.

LEMMA 15. *Let  $A$  be a Dedekind domain. Then*

(i) *the conditions (S.1), (T.1), (S.2), (T.2), (S.3) and (T.3) are all equivalent.*

(ii) (T.1) is equivalent to the condition that the ideal class group of  $A$  is a torsion group.

PROOF. (i) is obvious from the corollary to Theorem 4.

(ii) is easy from Lemma 2.

Therefore the proof of Theorem 2 is over. The proof of Corollary (i) to Theorem 2 is easy from Theorem 2 and the fact that the ideal class group of the ring of integers of an algebraic number field of finite degree is finite. Moreover, Corollary (ii) to Theorem 2 is induced from Theorem 2, the fact that any coordinate ring of a non-singular affine rational curve over  $\mathbf{C}$  is a PID and the following lemma:

LEMMA 16. *Let  $V$  be an open set of a complete algebraic curve  $X$  over  $\mathbf{C}$  and  $A = \mathcal{O}_X(V)$ . If  $V$  is non-singular and  $\emptyset \neq V \subsetneq X$ , then*

(i)  $A$  is a Dedekind domain and  $V \cong \text{Spec } A$ .

(ii) The ideal class group of  $A$  is isomorphic to  $\mathbf{Z} \oplus (\mathbf{R}/\mathbf{Z})^{2g}/M$ , where  $M$  is a finitely generated submodule of  $\mathbf{Z} \oplus (\mathbf{R}/\mathbf{Z})^{2g}$  and  $g$  is the genus of  $X$ .

Finally we shall prove Theorem 3.

LEMMA 17. *If  $A$  is a UFD, then  $A$  satisfies the condition (S.3).*

PROOF. Since any prime ideal of height one is principal, we obtain  $\mathfrak{P} \cap \tilde{E} \subset E^*$  for any  $E \in \text{Spec } A$ . From the corollary of Theorem 5, the proof is complete. Q.E.D.

LEMMA 18. *Let  $A$  be a UFD and  $\mathfrak{p} \in \text{Spec } A$ . Then  $\bar{\mathfrak{p}} = \tilde{\mathfrak{p}} \Leftrightarrow \dim A_{\mathfrak{p}} \leq 1 \Leftrightarrow \mathfrak{p}$  is a principal ideal.*

PROOF. We shall prove in the following three steps:

(i)  $\dim A_{\mathfrak{p}} \leq 1 \Rightarrow \mathfrak{p}$  is principal: This step is clear.

(ii)  $\mathfrak{p}$  is principal  $\Rightarrow \bar{\mathfrak{p}} = \tilde{\mathfrak{p}}$ : This is verified from Lemmas 7 and 17.

(iii)  $\bar{\mathfrak{p}} = \tilde{\mathfrak{p}} \Rightarrow \dim A_{\mathfrak{p}} \leq 1$ : If we assume that  $\dim A_{\mathfrak{p}} \geq 2$ , then  $\mathfrak{P} \subset \mathfrak{D}(\mathfrak{p})$ . Since  $S_{\mathfrak{P}} = A^{\times}$ , we also have  $S_{\mathfrak{D}(\mathfrak{p})} = A^{\times}$  and hence  $\widetilde{\mathfrak{D}(\mathfrak{p})} = \text{Spec } A$ . Thus  $\mathfrak{p} \in \widetilde{\mathfrak{D}(\mathfrak{p})}$ . By Lemma 7, we obtain  $\bar{\mathfrak{p}} \neq \tilde{\mathfrak{p}}$ . Q.E.D.

LEMMA 19. *For a UFD  $A$ , the conditions (S.1), (T.1), (S.2) and (T.2) are equivalent to each other. Moreover, each condition is also equivalent to the condition that  $A$  is a PID.*

PROOF. From Lemma 8, it is sufficient to prove that (S.2) implies PID. Take any  $\mathfrak{p} \in \text{Spec } A$ . Then  $\bar{\mathfrak{p}} = \tilde{\mathfrak{p}}$  by assumption. From Lemma 18, we have  $\dim A_{\mathfrak{p}} \leq 1$  and that  $\mathfrak{p}$  is principal. Thus  $A$  is noetherian and  $\dim A \leq 1$ . Therefore  $A$  is a PID. Q.E.D.

Then the proof of Theorem 3 is complete from Lemmas 17 and 19.

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