

## 22. Examples of Essentially Non-Banach Representations<sup>\*)</sup>

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Let  $G$  be a locally compact unimodular group,  $K$  a compact subgroup of  $G$ . Let  $\{\mathfrak{S}, T(x)\}$  be a topologically irreducible representation of  $G$  on a locally convex complete Hausdorff topological vector space  $\mathfrak{S}$ . We assume there exists an equivalence class  $\delta$  of irreducible representation of  $K$  which is contained finitely many times in  $\{\mathfrak{S}, T(x)\}$ . Then the subspace  $\mathfrak{S}(\delta)$  of all vectors transformed according to  $\delta$  under  $T(k)$ ,  $k \in K$ , is finite-dimensional, and there exists a usual projection  $E(\delta)$  of  $\mathfrak{S}$  onto  $\mathfrak{S}(\delta)$ . After R. Godement [1] we call the function  $\phi_\delta(x) = \text{trace}[E(\delta)T(x)]$ ,  $x \in G$ , a *spherical function* of type  $\delta$ . A function  $\rho(x)$  on  $G$  is called a *seminorm* if it is positive-valued, lower semicontinuous and satisfies  $\rho(xy) \leq \rho(x)\rho(y)$  for  $x, y \in G$ . If there exists a seminorm  $\rho(x)$  such that  $|\phi_\delta(x)| \leq \rho(x)$  for  $x \in G$ , then  $\phi_\delta$  is called *quasi-bounded*. In the case when  $\mathfrak{S}$  is a Banach space, the corresponding spherical function  $\phi_\delta$  is quasi-bounded.

Even if a spherical function is defined from a non-Banach representation, it can be quasi-bounded, or equivalently equal to the one which is obtained from a Banach representation. For example, in the case when  $G$  is a connected semisimple Lie group or a motion group on the plane, all spherical functions are quasi-bounded (cf. [2]). A topologically irreducible representation which defines non-quasi-bounded spherical functions is called an *essentially non-Banach representation*. Here we give examples of essentially non-Banach representations of a semidirect product group  $G = S \rtimes K$ , where  $S$  is a free group with infinitely many generators and  $K$  is a compact abelian group.

**§ 1.** A semidirect product group  $G = S \rtimes K$ . We denote by  $N$  or  $Z$  the set of natural numbers or integers respectively. Let  $S$  be a free group with discrete topology generated by infinitely many generators  $s_n$ ,  $n \in N$ . The automorphism group  $\text{Aut}\langle s_n \rangle$  of the infinite cyclic group  $\langle s_n \rangle = \{s_n^m \mid m \in Z\}$  consists of two elements,  $1_n$  the identity and  $\psi_n$  the automorphism of  $\langle s_n \rangle$  such that  $\psi_n(s_n) = s_n^{-1}$ . Let  $K = \prod_{n \in N} \text{Aut}\langle s_n \rangle$  be the direct product group which is compact with respect to the product topology. Then  $K$  is naturally embedded into  $\text{Aut} S$  as  $k \cdot s = k_{n_1}(s_{n_1}^{m_1}) \cdots k_{n_p}(s_{n_p}^{m_p})$  for  $k = (k_n) \in K$  and  $s = s_{n_1}^{m_1} \cdots s_{n_p}^{m_p}$  ( $m_j \in Z$ ,  $n_j \in N$ ). The semidirect product group  $G = S \rtimes K$  is locally compact and unimodular. In § 2 we will construct  $K$ -finite topologically irreducible representations of  $G$  which are essentially non-Banach representations.

<sup>\*)</sup> Dedicated to Prof. N. Tatsuuma on his 60th birthday.

Here we introduce some notations concerning the unitary dual  $\hat{K}$  to  $K$ . Let  $(\text{Aut } \langle s_n \rangle)^\wedge = \{1, \kappa_n\}$  be the unitary dual of  $\text{Aut } \langle s_n \rangle$ , where  $1$  is the trivial character and  $\kappa_n$  is the one for which  $\kappa_n(\psi_n) = -1$ . Then  $\hat{K} \cong \prod'_{n \in N} (\text{Aut } \langle s_n \rangle)^\wedge$ , where the right hand side denotes the restricted direct product, namely, the set of all  $\delta = (\delta_n) \in \prod_{n \in N} (\text{Aut } \langle s_n \rangle)^\wedge$  such that  $\delta_n = 1$  except for finitely many  $n \in N$ . Note that  $\delta(k) = \prod_{n \in N} \delta_n(k_n)$  for  $\delta = (\delta_n) \in \prod'_{n \in N} (\text{Aut } \langle s_n \rangle)^\wedge$  and  $k = (k_n) \in K$ . For each generator  $s_n$  of  $S$  we put

$$K(s_n) = \{k \in K \mid k s_n k^{-1} = s_n\} = \{k \in K \mid k_n = 1_n\},$$

then this is a normal subgroup of  $K$  of index 2. Then two unitary characters  $\sigma = (\sigma_n), \tau = (\tau_n) \in \hat{K}$  are identical on  $K(s_n)$  if and only if  $\sigma_m = \tau_m$  for all  $m \neq n$ . Now we use the notation  $\sigma \xrightarrow{n} \tau$  to indicate the situation that  $\sigma_m = \tau_m$  for all  $m \neq n, \sigma_n = 1$  and  $\tau_n = \kappa_n$ . Whenever we choose a number  $n \in N$ , we can divide  $\hat{K}$  into the collection of ordered pairs  $(\sigma, \tau)$  with  $\sigma \xrightarrow{n} \tau$ . Another notation we need is  $l(\sigma) = \#\{n \in N \mid 3 \leq n, \sigma_n \neq 1\}$  for  $\sigma = (\sigma_n) \in \hat{K}$ .

**§ 2. Essentially non-Banach representations of  $G$ .** With every  $\delta \in \hat{K}$  we associate an abstract element  $v(\delta)$ , and denote by  $\mathfrak{S}$  the vector space of all finite linear combinations of  $v(\delta)$ 's with complex coefficients. Let  $C$  be the set of complex numbers. Into the vector space  $\mathfrak{S}_F = \sum_{\delta \in F} C v(\delta)$ , where  $F$  is a finite subset of  $\hat{K}$ , we introduce a norm  $\|v\|_F = \max_{\delta \in F} |c(\delta)|$  for  $v = \sum_{\delta \in F} c(\delta) v(\delta)$ . Then  $\mathfrak{S}$  is a locally convex complete topological vector space as the inductive limit of  $\mathfrak{S}_F$ 's.

Let  $a$  be a non-zero constant. For  $s_1$ , the first member of generators of  $S$ , we define a continuous linear operator  $T^a(s_1)$  on  $\mathfrak{S}$  as follows. First we divide  $\hat{K}$  into the collection of ordered pairs  $(\sigma, \tau)$  with  $\sigma \xrightarrow{1} \tau$ . Using a conventional notation  $a[m] = a^m$  for  $m \in \mathbb{Z}$  to avoid too much complicated indicies, we define the action of  $T^a(s_1)$  on  $Cv(\sigma) + Cv(\tau)$  as

- (1) in case  $\sigma \xrightarrow{1} \tau$  and  $\sigma_2 = \tau_2 = 1$ ,  
 $T^a(s_1)v(\sigma) = a[l(\sigma)]v(\tau), \quad T^a(s_1)v(\tau) = -a[-l(\sigma)]v(\sigma),$
- (2) in case  $\sigma \xrightarrow{1} \tau$  and  $\sigma_2 = \tau_2 = \kappa_2$ ,  
 $T^a(s_1)v(\sigma) = a[-l(\sigma)]v(\tau), \quad T^a(s_1)v(\tau) = -a[l(\sigma)]v(\sigma).$

For other generators  $s_n$  ( $n \geq 2$ ) we define the action of  $T^a(s_n)$  on  $Cv(\sigma) + Cv(\tau)$  for  $\sigma \xrightarrow{n} \tau$ ,

- (3)  $T^a(s_n)v(\sigma) = v(\tau), \quad T^a(s_n)v(\tau) = -v(\sigma).$

All these operators  $T^a(s_n)$  are invertible and  $T^a(s_n)^{-1}$  are given in the following forms:

- (1<sup>-1</sup>) for  $\sigma \xrightarrow{1} \tau, \sigma_2 = \tau_2 = 1$ ,  
 $T^a(s_1)^{-1}v(\sigma) = -a[l(\sigma)]v(\tau), \quad T^a(s_1)^{-1}v(\tau) = a[-l(\sigma)]v(\sigma),$
- (2<sup>-1</sup>) for  $\sigma \xrightarrow{1} \tau, \sigma_2 = \tau_2 = \kappa_2$ ,  
 $T^a(s_1)^{-1}v(\sigma) = -a[-l(\sigma)]v(\tau), \quad T^a(s_1)^{-1}v(\tau) = a[l(\sigma)]v(\sigma),$
- (3<sup>-1</sup>) for  $\sigma \xrightarrow{n} \tau$  ( $n \geq 2$ ),  
 $T^a(s_n)^{-1}v(\sigma) = -v(\tau), \quad T^a(s_n)^{-1}v(\tau) = v(\sigma).$

Therefore, putting  $T^a(s_n^{-1})=T^a(s_n)^{-1}$ , we obtain a representation  $s \rightarrow T^a(s)$  of  $S$  on  $\mathfrak{S}$  in the obvious way.

A continuous linear operator  $T^a(k)$ ,  $k \in K$ , on  $\mathfrak{S}$  is defined as  $T^a(k)v(\delta) = \delta(k)v(\delta)$  for  $\delta \in \hat{K}$ . Then  $k \rightarrow T^a(k)$  is a representation of  $K$  on  $\mathfrak{S}$ , and the vector subspace  $\mathfrak{S}(\delta)$  of all vectors which are transformed according to  $\delta$  under  $T^a(k)$  ( $k \in K$ ) is just  $Cv(\delta)$ .

It is easily checked that we have  $T^a(ks_nk^{-1})=T^a(k)T^a(s_n)T^a(k)^{-1}$  for every  $k \in K$  and  $n \in N$ , and so  $T^a(ksk^{-1})=T^a(k)T^a(s)T^a(k)^{-1}$  for all  $k \in K$  and  $s \in S$ . By defining  $T^a(x)=T^a(s)T^a(k)$  for  $x=sk \in G=S \rtimes K$  with  $s \in S$  and  $k \in K$ , we obtain a representation  $T^a(x)$  of  $G$  on  $\mathfrak{S}$ .

**Theorem.** *The representations  $\{\mathfrak{S}, T^a(x)\}$  of  $G$  are topologically irreducible for all  $a \neq 0$ , and are essentially non-Banach representations if and only if  $|a| \neq 1$ .*

*Proof.* Take any  $\sigma=(\sigma_n) \in \hat{K}$  and denote by  $n_1, \dots, n_p$  ( $1 \leq n_1 < \dots < n_p$ ) the whole elements of the set  $\{n \in N | \sigma_n = \kappa_n\}$ . Then, by the definition of operators  $T^a(s_n)$ , it is clear that  $T^a(s_{n_p} \cdots s_{n_1})v(1) = v(\sigma)$ . This means that there exist no closed invariant non-trivial subspaces of  $\mathfrak{S}$ , namely, topological irreducibility of  $\{\mathfrak{S}, T^a(x)\}$ .

Assume that  $\{\mathfrak{S}, T^a(x)\}$ , for some  $a \neq 0$ , defines quasibounded spherical functions. Then they are also defined from a Banach representation  $\{\mathfrak{B}, P(x)\}$ , and there exists a linear bijection  $\xi$  of  $\mathfrak{S}$  onto an invariant subspace  $\mathfrak{B}_0$  of  $\mathfrak{B}$  such that  $\xi \circ T^a(x) = P(x) \circ \xi$  for all  $x \in G$  [3]. Now for any  $\sigma=(\sigma_n) \in \hat{K}$  such that  $\sigma_1=1$  and  $\sigma_2=1$  we have

$$P(s_2s_1s_2s_1)\xi(v(\sigma)) = \xi(T^a(s_2s_1s_2s_1)v(\sigma)) = a[2l(\sigma)]\xi(v(\sigma)),$$

therefore  $\|P(s_2s_1s_2s_1)\| \geq |a[2l(\sigma)]|$ . Since we can take  $\sigma \in \hat{K}$  for which  $l(\sigma)$  is arbitrarily large, we have  $|a| \leq 1$ . On the other hand, for any  $\sigma \in \hat{K}$  such that  $\sigma_1 = \kappa_1$  and  $\sigma_2 = 1$  we have  $P(s_2s_1s_2s_1)\xi(v(\sigma)) = a[-2l(\sigma)]\xi(v(\sigma))$ , whence  $|a| \geq 1$ . Thus  $|a| = 1$ .

Conversely if  $|a|=1$ , it is easy to see that the corresponding spherical functions are bounded. Now the proof is completed.

**§ 3. Explicit formula of the spherical functions of type 1.** Let us introduce some notations. We denote by  $|s|$  the length of  $s \in S$ . An element  $s \in S$  is called a *power* of  $s_n$  if  $s = s_n^m$  for some non-zero integer  $m \in \mathbf{Z}$ . If  $m$  is an odd (or even) integer, then  $s = s_n^m$  is called an odd (or even, resp.) power of  $s_n$ . Suppose that  $s_n$  appears, taking its multiplicity into account,  $p$  times in the reduced expression of  $s$  and that  $s_n^{-1}$  does  $q$  times, then we say " $s_n$  appears  $p-q$  times altogether in  $s$ ". We use this terminology even when  $p=0, q=0$  or  $p-q \leq 0$ . For any element  $s \in S$  we pick up all generators  $s_{n_1}, \dots, s_{n_p}$  ( $n_1 < n_2 < \dots < n_p$ ) which appear odd number of times altogether in  $s$  and put  $s^* = s_{n_1} \cdots s_{n_p}$ . If there exist no such generators, then we put  $s^* = 1$ .

Now we give an explicit formula of the spherical function  $\phi_1^a(x)$  of type 1 which is defined from the representation  $\{\mathfrak{S}, T^a(x)\}$ ,  $a \neq 0$ , constructed in § 2. Since  $\phi_1^a(sk) = \phi_1^a(s)$ , it is enough to give the values of  $\phi_1^a$  on  $S$ . We

give them according to cases as follows.

Case (1). If there exists a generator which appears odd number of times altogether in  $s$ , then

$$(E1) \quad \phi_1^a(s) = 0.$$

Case (2). Suppose that each  $s_n$  appears  $2p_n$  times ( $p_n \in \mathbb{Z}$ ) altogether in  $s$ , and that odd powers of  $s_1$  do not appear in the reduced expression of  $s$ , then

$$(E2) \quad \phi_1^a(s) = \prod_{n \in N} (-1)^{p_n}.$$

Case (3). Suppose that each  $s_n$  appears  $2p_n$  times ( $p_n \in \mathbb{Z}$ ) altogether in  $s$ , and that odd powers of  $s_1$  appear in the reduced expression of  $s$ . Let the expression of  $s$  be  $s = u_{r+1} \cdot s_1^{m_r} \cdot u_r \cdots u_2 \cdot s_1^{m_1} \cdot u_1$ , where  $m_1, \dots, m_r$  are odd integers and  $u_1, \dots, u_{r+1}$  have no odd powers of  $s_1$  in their expressions. For  $1 \leq i \leq r$ , we assume  $s_2$  appears  $n_i$  times altogether in  $u_i$ . Let  $t_i$  ( $1 \leq i \leq r$ ) be the elements in  $S$  which come out from the expressions of  $u_i$  by getting rid of all powers of  $s_2$ . Then we have

$$(E3) \quad \phi_1^a(s) = \prod_{n \in N} (-1)^{p_n} \prod_{i=1}^r a[(-1)^{i-1} (-1)^{n_1 + \dots + n_i} (t_1 \cdots t_i)^*].$$

### References

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