

9. One Criterion for Multivalent Functions

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Let P be the class of functions $p(z)$ which are analytic in the unit disk $E = \{z : |z| < 1\}$, with $p(0) = 1$ and $\operatorname{Re} p(z) > 0$ in E .

If $p(z) \in P$, we say $p(z)$ is a *Carathéodory function*. It is well-known that if $f(z) = z + \sum_{n=2}^{\infty} a_n z^n$ is analytic in E and $f'(z) \in P$, then $f(z)$ is univalent in E [1, 8].

Ozaki [5, Theorem 2] extended the above result to the following :

If $f(z)$ is analytic in a convex domain D and

$$\operatorname{Re} (e^{i\alpha} f^{(p)}(z)) > 0 \quad \text{in } D$$

where α is a real constant, then $f(z)$ is at most p -valent in D .

This shows that if $f(z) = z^p + \sum_{n=p+1}^{\infty} a_n z^n$ is analytic in E and

$$\operatorname{Re} f^{(p)}(z) > 0 \quad \text{in } E,$$

then $f(z)$ is p -valent in E .

Nunokawa improved the above result to the following :

Theorem A. *Let $p \geq 2$. If $f(z) = z^p + \sum_{n=p+1}^{\infty} a_n z^n$ is analytic in E and*

$$|\arg f^{(p)}(z)| < \frac{3}{4}\pi \quad \text{in } E,$$

then $f(z)$ is p -valent in E (cf. [3]).

Theorem B. *Let $p \geq 2$. If $f(z) = z^p + \sum_{n=p+1}^{\infty} a_n z^n$ is analytic in E and*

$$\operatorname{Re} f^{(p)}(z) > -\frac{\log(4/e)}{2 \log(e/2)} p! \quad \text{in } E,$$

then $f(z)$ is p -valent in E (cf. [4]).

In this paper, we need the following lemmas.

Lemma 1 ([6], Lemma 4). *Let $p(z)$ be analytic in E with $p(0) = 1$ and $\operatorname{Re} p(z) > 1/2$ in E .*

*Then for any function $f(z)$, analytic in E , the function $p(z) * f(z)$ takes its values in the convex hull of $f(z)$, where $p(z) * f(z)$ denotes the convolution or Hadamard product of $p(z)$ with $f(z)$.*

Lemma 2 ([7]). *Let $p(z)$ be analytic in E with $p(0) = 1$. Suppose that $\alpha > 0$, $\beta < 1$ and that for $z \in E$, $\operatorname{Re} (p(z) + \alpha z p'(z)) > \beta$.*

Then for $z \in E$,

$$\operatorname{Re} p(z) > 1 + 2(1 - \beta) \sum_{n=1}^{\infty} \frac{(-1)^n}{1 + \alpha n}.$$

The estimate is best possible for

$$p_0(z) = 2\beta - 1 + 2(1 - \beta) \sum_{n=1}^{\infty} \frac{z^n (-1)^n}{1 + \alpha n}.$$

Proof. For $z \in E$, write $p(z) = 1 + \sum_{n=1}^{\infty} p_n z^n$, so that

$$\operatorname{Re} \left\{ 1 + \sum_{n=1}^{\infty} (1 + \alpha n) p_n z^n \right\} > \beta.$$

Thus

$$\operatorname{Re} \left\{ 1 + \frac{1}{2(1-\beta)} \sum_{n=1}^{\infty} (1 + \alpha n) p_n z^n \right\} > \frac{1}{2}.$$

Now

$$p(z) = \left\{ 1 + \frac{1}{2(1-\beta)} \sum_{n=1}^{\infty} (1 + \alpha n) p_n z^n \right\} * \left\{ 1 + 2(1-\beta) \sum_{n=1}^{\infty} \frac{z^n}{1 + \alpha n} \right\}$$

and so by Lemma 1,

$$\operatorname{Re} p(z) > 1 + 2(1-\beta) \sum_{n=1}^{\infty} \frac{(-1)^n}{1 + \alpha n}$$

as required. Simple substitution for $p_0(z)$ shows that the result is best possible.

Remark. In Lemma 2, if we put

$$A(\alpha) = \sum_{n=1}^{\infty} \frac{(-1)^n}{1 + \alpha n}, \quad \alpha > 0,$$

then we easily have

$$A(1) = \log(2/e) \quad \text{and} \quad A(1/2) = \log(e/4).$$

Lemma 3 ([2], Theorem 8). *Let $f(z) = z^p + \sum_{n=p+1}^{\infty} a_n z^n$ be analytic in E and if there exists a $(p-k+1)$ -valent starlike function $g(z) = z^{p-k+1} + \sum_{n=p-k+2}^{\infty} b_n z^n$ that satisfies*

$$\operatorname{Re} \frac{z f^{(k)}(z)}{g(z)} > 0 \quad \text{in } E,$$

then $f(z)$ is p -valent in E .

Main theorem. *Let $p \geq 3$. If $f(z) = z^p + \sum_{n=p+1}^{\infty} a_n z^n$ is analytic in E and*

$$(1) \quad \operatorname{Re} f^{(p)}(z) > -\frac{1 - 4(\log(4/e))(\log(e/2))}{4(\log(4/e))(\log(e/2))} p! \quad \text{in } E,$$

then $f(z)$ is p -valent in E .

Proof. Let us put

$$p(z) = f^{(p-1)}(z)/(p! z).$$

Then, from the assumption (1) and by an easy calculation, we have

$$(2) \quad \begin{aligned} \operatorname{Re}(p(z) + zp'(z)) &= \operatorname{Re}(f^{(p)}(z)/p!) \\ &> -\frac{1 - 4(\log(4/e))(\log(e/2))}{4(\log(4/e))(\log(e/2))} \quad \text{in } E, \end{aligned}$$

and $p(0) = 1$.

Then, from (2) and Lemma 2, we have

$$(3) \quad \begin{aligned} \operatorname{Re} p(z) &= \frac{1}{p!} \operatorname{Re} \frac{f^{(p-1)}(z)}{z} \\ &> \frac{\log(e^3/16)}{2 \log(e/4)} \doteq -0.2943496 \dots \quad \text{in } E. \end{aligned}$$

Next, let us put

$$q(z) = 2f^{(p-2)}(z)/(p! z^2).$$

Then, from (3) and by an easy calculation, we have

$$(4) \quad \operatorname{Re} \left(q(z) + \frac{1}{2} z q'(z) \right) = \frac{1}{p!} \operatorname{Re} \frac{f^{(p-1)}(z)}{z} \\ > \frac{\log(e^3/16)}{2 \log(e/4)} \quad \text{in } E,$$

and $q(0)=1$.

Then, from (4) and Lemma 2, we have

$$\operatorname{Re} q(z) = \frac{2}{p!} \operatorname{Re} \frac{f^{(p-2)}(z)}{z^2} > 0 \quad \text{in } E.$$

This shows that

$$\operatorname{Re} \frac{z f^{(p-2)}(z)}{z^3} > 0 \quad \text{in } E.$$

It is trivial that $g(z)=z^3$ is 3-valently starlike in E . Therefore, from Lemma 3, we see that $f(z)$ is p -valent in E . This completes our proof.

Remark. We have

$$\frac{\log(4/e)}{2 \log(e/2)} \doteq 0.62944 \dots$$

and

$$\frac{1 - 4(\log(4/e))(\log(e/2))}{4(\log(4/e))(\log(e/2))} \doteq 1.10907 \dots$$

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