

## 107. A Characterization of Chebyshev Spaces

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(Communicated by Kôzaku YOSIDA, M. J. A., Dec. 12, 1986)

**§ 1. Introduction.** Let  $M$  be a finite dimensional linear subspace of  $C[a, b]$ , the space of real valued continuous functions defined on a finite closed interval  $[a, b]$ . Then, for a function  $f \in C[a, b]$ , we are concerned with the approximation problem :

$$\text{find } \tilde{f} \in M \text{ to minimize } \|f - \tilde{f}\|,$$

where  $\|\cdot\|$  denotes the uniform norm. The function  $\tilde{f} \in M$  is said to be a best approximation to  $f$  from  $M$  if  $\tilde{f}$  is a solution to the above problem. For an  $n$ -dimensional subspace  $M$ , we put the following two subsets of  $C[a, b]$ :  $U_M = \{f \mid f \text{ possesses a unique best approximation}\}$  and  $A_M = \{g \mid \text{the error function } e = g - \tilde{g} \text{ has an alternating set of } (n+1) \text{ points in } [a, b] \text{ for any best approximation } \tilde{g} \text{ to } g; \text{ i.e., there exist } (n+1) \text{ distinct points } a \leq x_1 < \dots < x_{n+1} \leq b \text{ such that } |e(x_i)| = \|e\|, i = 1, 2, \dots, n+1 \text{ and } e(x_i) \cdot e(x_{i+1}) \leq 0, i = 1, \dots, n\}$ .

As is well known, if  $M$  is a Chebyshev space (respectively weak Chebyshev space), that is, every nonzero function in  $M$  has no more than  $n-1$  zeros (respectively changes of sign) on  $[a, b]$ , then they are of great use in this problem. Hence various properties and characterizations of these spaces have been obtained. Young [5] showed that if  $M$  is a Chebyshev space then  $U_M$  is equal to  $C[a, b]$ . Further, by the result of Haar [1], a necessary and sufficient condition that  $M$  is a Chebyshev space is that  $U_M$  coincides with  $C[a, b]$ .

As a characterization of a weak Chebyshev space, Jones and Karlovitz [2] proved that  $M$  is a weak Chebyshev space if and only if  $U_M$  is included in  $A_M$ . In this paper, as the above result, we shall give a characterization of a Chebyshev space  $M$  by using an inclusion relation between  $U_M$  and  $A_M$ .

**§ 2. Definitions and lemmas.** In this section, we prepare several lemmas necessary for the proof of the main theorem. First we begin with some definitions.

**Definition 1.** For a function  $f \in C[a, b]$ , two zeros  $x_1, x_2$  of  $f$  are said to be *separated* if there is an  $x_0, x_1 < x_0 < x_2$ , such that  $f(x_0) \neq 0$ .

For an  $n$ -dimensional subspace  $M$  of  $C[a, b]$ , we define the followings.

**Definition 2.** (i) We call a point  $x_0 \in [a, b]$  *vanishing* with respect to  $M$  if  $g(x_0) = 0$  for any  $g \in M$ . In case that no confusion arises, the term "with respect to  $M$ " will be omitted.

(ii)  $M$  is called *vanishing* if there exists at least one vanishing point in  $[a, b]$ . Otherwise, it is called *nonvanishing*.

**Definition 3.**  $M$  is said to have (\*)-property if a function  $g \in M - \{0\}$  vanishes identically on a nondegenerate subinterval of  $[a, b]$ .

Let  $G$  be an  $n$ -dimensional weak Chebyshev space of  $C[a, b]$ . Then we can show the following three lemmas which are of independent interest.

**Lemma A** (Stockenberg [4]). (i) *If there is a  $g \in G$  with  $n$  separated, nonvanishing zeros  $a \leq x_1 < \dots < x_n \leq b$ , then  $g(x) = 0$  for all  $x \in [a, x_1] \cup [x_n, b]$ .*

(ii) *No  $g \in G$  has more than  $n$  separated, nonvanishing zeros.*

**Lemma B.** *Suppose that  $G$  does not have (\*)-property. Suppose also that  $G$  contains a strictly positive function and contains two functions  $r, s \in G$  such that*

$$\det \begin{pmatrix} r(a) & r(b) \\ s(a) & s(b) \end{pmatrix} \neq 0.$$

*Then  $G$  is a Chebyshev space.*

We denote by  $G|_{[c, d]}$  the space obtained by restricting  $G$  to a subinterval  $[c, d]$  of  $[a, b]$ .

**Lemma C** (Sommer [3]). *If  $a \leq c < d \leq b$ , then the space  $G|_{[c, d]}$  is a weak Chebyshev space of  $C[c, d]$  with dimension less or equal to  $n$ .*

**Remark 1.** From Theorem 1 and Theorem 4 in Stockenberg [4], Lemma B follows immediately.

**§ 3. Main theorem.** Let  $M$  be an  $n$ -dimensional linear subspace of  $C[a, b]$ . We give the result due to Jones and Karlovitz [2] again.

**Theorem A.**  *$M$  is a weak Chebyshev space if and only if  $A_M \supset U_M$ .*

Now we can establish the following

**Theorem.**  *$M$  is a Chebyshev space if and only if  $A_M = U_M \cup L$ , where  $L$  denotes the set of all real-valued linear functions on  $[a, b]$ .*

*Proof.* In one direction, this is trivial. Hence it is sufficient to verify that  $M$  is a Chebyshev space under the assumption that  $A_M = U_M \cup L$ .

First we show that  $M$  is a weak Chebyshev space containing a strictly positive function. By Theorem A, it is clear that  $M$  is weak Chebyshev. Provided that  $M$  does not contain a strictly positive function, then one of the best approximations to the constant function  $1 \in L$  from  $M$  is 0. But this contradicts the assumption. Hence, in case that  $n = 1$ ,  $M$  is Chebyshev. In the rest of the proof, we assume  $n \geq 2$ .

Next we show that  $M$  does not have (\*)-property. Suppose that there exists a function  $f \in M - \{0\}$  vanishing identically on a nondegenerate subinterval  $[c, d]$  of  $[a, b]$ , where  $a \leq c < d \leq b$ . Then it follows from the fact that  $M$  contains a strictly positive function and Lemma C that  $M_1 = M|_{[c, d]}$  obtained by restricting  $M$  to a subinterval  $[c, d]$  is a nonvanishing weak Chebyshev space such that  $\dim M|_{[c, d]} < n$ . In case that  $M_1$  has (\*)-property, we can also consider a nonvanishing weak Chebyshev space  $M_1|_{[\alpha, \beta]}$  obtained by the same way with respect to  $M_1$ , where  $c \leq \alpha < \beta \leq d$  and  $\dim M_1|_{[\alpha, \beta]} < \dim M_1$ . Since  $M$  contains a strictly positive function, by continuing the above procedure at most  $n - 1$  times, we consequently obtain a nonvanishing

weak Chebyshev space  $M|_{[c, \delta]}$  without (\*)-property, where  $c \leq \gamma < \delta \leq d$  and  $m = \dim M|_{[\gamma, \delta]} < n$ . Now we consider a function  $f_0$ , which is satisfied with the following conditions :

( i )  $f_0(x) = 0$  for  $x \in [a, \gamma] \cup [\delta, b]$ .

( ii ) There are  $2(n+m+2)$  points  $\gamma < z_1 < \dots < z_{2(n+m+2)} < \delta$  of  $(\gamma, \delta)$  such that  $|f_0(z_i)| = \|f_0\| > 0$ ,  $i = 1, 2, \dots, 2(n+m+2)$  and  $f_0(z_i) \cdot f_0(z_{i+1}) < 0$  for  $i = 1, 2, \dots, 2n+2m+3$ . Since  $M$  is assumed to have (\*)-property, there is such a function  $h^* \in M - \{0\}$  that  $\|h^*\| < \|f_0\|$  and  $h^*(x) = 0$  for  $x \in [c, d]$ . Then each function  $\lambda \cdot h^*$ ,  $0 \leq \lambda \leq 1$ , is a best approximation to  $f_0$  from  $M$  because  $M$  is weak Chebyshev and the error function  $f_0 - \lambda \cdot h^*$  has an alternating set of  $(n+1)$ -points in  $[a, b]$ . On the other hand, since  $M|_{[\gamma, \delta]}$  is a nonvanishing weak Chebyshev space without (\*)-property, by Lemma A, we can see that each function  $f \in M|_{[\gamma, \delta]} - \{0\}$  has at most  $m$  zeros in  $[\gamma, \delta]$ . Providing that there exists a best approximation to  $f_0$  from  $M$  which has an alternating set of at most  $n$ -points in  $[\gamma, \delta]$ , then it has at least  $(m+1)$  zeros in  $[\gamma, \delta]$ . This leads to a contradiction. Eventually, by these facts, we conclude that  $f_0$  is contained in  $A_M$  but not in  $U_M \cup L$ , which is the contrary to the assumption.

Finally we show that  $M$  contains two functions  $r, s \in M$  such that

$$\det \begin{pmatrix} r(a) & r(b) \\ s(a) & s(b) \end{pmatrix} \neq 0.$$

Let  $r$  be a strictly positive function whose existence is guaranteed in the first half of this proof. As a function  $s$ , we choose a best approximation to the linear function  $l(x) = -x + 1/2$ . Noting that  $l - s$  has an alternating set of at least 3-points in  $[a, b]$ , it holds that  $s(a) \cdot s(b) < 0$ , because  $s$  can not be a best approximation to  $l$  in the other cases. Thus we have

$$\det \begin{pmatrix} r(a) & r(b) \\ s(a) & s(b) \end{pmatrix} \neq 0.$$

Consequently, from Lemma B, it follows that  $M$  is a Chebyshev space.

**Corollary.** *Let  $G$  be an  $n$ -dimensional nonvanishing weak Chebyshev space of  $C[a, b]$ . Then  $G$  has (\*)-property if and only if  $A_G \supsetneq U_G$ .*

*Proof.* First suppose that  $G$  has (\*)-property. By using the proof of Theorem, we easily observe that  $A_G \supsetneq U_G$ .

Next suppose that any nonzero function in  $G$  has at most  $n$  zeros, because, by Lemma A, this is equivalent to the fact that  $G$  does not have (\*)-property. For any function  $g \in A_G$ , let  $r, s$  be best approximations to  $g$  from  $G$ . Since the function  $(r+s)/2$  is also a best approximation to  $g$ ,  $g - (r+s)/2$  has an alternating set of  $(n+1)$  points  $\{z_i\}_{i=1}^{n+1}$  in  $[a, b]$ . Hence, for these points  $\{z_i\}_{i=1}^{n+1}$ , we have

$$\begin{aligned} \|g - (r+s)/2\| &= |g(z_i) - (r(z_i) + s(z_i))/2| \\ &\leq (1/2) \cdot \{ |g(z_i) - r(z_i)| + |g(z_i) - s(z_i)| \} \\ &\qquad i = 1, 2, \dots, n+1, \end{aligned}$$

which means that

$$g(z_i) - r(z_i) = g(z_i) - s(z_i) \quad i = 1, 2, \dots, n+1.$$

Thus  $r-s$  has at least  $(n+1)$  zeros, which leads to the fact that  $r$  is identical with  $s$  on  $[a, b]$ . Hence we have  $A_G = U_G$ . It completes the proof.

**Remark 2.** (1) An important example fitting the condition in Corollary is given by a polynomial spline function space with fixed knots, and some examples which are not Chebyshev spaces without (\*)-property are shown in Stockenberg [4].

(2) The assertion in Corollary does not always hold under the assumption having finite vanishing points instead of no vanishing points with respect to the space  $G$ . For instance, on  $C[0, \pi]$ , let us consider the space  $G = \{\lambda \cdot \sin x \mid \lambda \in R\}$ . Clearly  $G$  is a weak Chebyshev space which does not have (\*)-property but 2 vanishing points in  $[0, \pi]$ . Then the best approximation to the linear function  $f(x) = -2x + \pi$  is not unique and any best approximation to it has an alternating set of 2 points, 0 and  $\pi$ . Thus we obtain  $A_G \not\supseteq U_G$ . Moreover, generalizing this example, we can easily show that  $A_G \not\supseteq U_G$  for every  $n$ -dimensional weak Chebyshev space  $G$  with more than  $(n+1)$  vanishing points, which consists of continuously differentiable functions.

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