

## 32. Relative Zariski Decomposition on Higher Dimensional Algebraic Varieties

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**0. Introduction.** The purpose of this note is to state several results in my Master Thesis [7]. The details will be published elsewhere. The main theorem of this note is Theorem 3. By this theorem, if  $K_X$  has a good Zariski decomposition, then the canonical ring of  $X$  is finitely generated. Theorem 1 and Theorem 2 are key theorems to prove Theorem 3. Theorem 5 is a characterization of a nef and good divisor by  $\mu_x$ . All varieties in this note are assumed to be defined over an algebraically closed field of characteristic zero.

**1. Notation.** Let  $X$  be an algebraic scheme. We denote the group of Cartier divisors on  $X$  by  $\text{Div}(X)$ . For a non-zero rational function  $\phi$  on  $X$ , the principal Cartier divisor defined by  $\phi$  is denoted by  $\text{div}(\phi)$ . For  $D_1, D_2 \in \text{Div}(X) \otimes \mathbf{R}$ , we say  $D_1$  is  $\mathbf{R}$ -linear equivalent to  $D_2$ , which is denoted by  $D_1 \sim_{\mathbf{R}} D_2$ , if there exists a positive integer  $m$  and exists a non-zero rational function  $\phi$  on  $X$  such that  $D_1 = D_2 + (1/m)\text{div}(\phi)$ . For a real number  $a$ , the lounding-up, the lounding-down, the nearest integer and the fractional part of  $a$  are denoted by  $\lceil a \rceil$ ,  $\lfloor a \rfloor$ ,  $\langle a \rangle$  and  $\{a\}$  respectively, where in case  $\{a\} = 1/2$ , we define  $\langle a \rangle = \lceil a \rceil$  if  $a > 0$ ,  $\langle a \rangle = \lfloor a \rfloor$  if  $a < 0$ . From now on, we assume  $X$  is non-singular. Let  $D$  be an element of  $\text{Div}(X) \otimes \mathbf{R}$  and  $D = \sum_i a_i D_i$  the irreducible decomposition of  $D$ . Then we set  $\lceil D \rceil = \sum_i \lceil a_i \rceil D_i$ ,  $\lfloor D \rfloor = \sum_i \lfloor a_i \rfloor D_i$ ,  $\langle D \rangle = \sum_i \langle a_i \rangle D_i$  and  $\{D\} = \sum_i \{a_i\} D_i$ . Let  $\mathcal{I}$  be an ideal sheaf of  $\mathcal{O}_X$  and  $x$  a point of  $X$  (not necessarily closed). Then we define

$\text{ord}_x(\mathcal{I}) = \max\{a \in \mathbf{N} \cup \{\infty\} \mid \mathcal{I} \mathcal{O}_{X,x} \subseteq m_x^a\}$  and  $\text{ord}_x(D) = \sum_i a_i \text{ord}_x(\mathcal{O}_X(-D_i))$ , where  $m_x$  is the maximal ideal of  $\mathcal{O}_{X,x}$ . We furthermore assume  $X$  is complete. We set  $\kappa(X, D) = \max_m \{\kappa(X, [mD])\}$ . If  $\kappa(X, D) = \dim X$ ,  $D$  is called *big*.  $D$  is called *good* if there exists a birational morphism  $\pi: Y \rightarrow X$  of non-singular complete varieties and exists a fiber space  $h: Y \rightarrow Z$  of non-singular complete varieties such that  $\pi^*(D) \sim_{\mathbf{R}} h^*(M)$  for some big element  $M$  of  $\text{Div}(Z) \otimes \mathbf{R}$ . Next, we consider the relative case. Let  $X$  be a non-singular algebraic variety,  $S$  an algebraic variety,  $f: X \rightarrow S$  a proper surjective morphism. For  $D \in \text{Div}(X) \otimes \mathbf{R}$ , we set

$$E(X/S, D) = \{n \in \mathbf{N} \setminus \{0\} \mid f_* \mathcal{O}_X([nD]) \neq 0\}.$$

$D$  is called *f-nef* if  $(D \cdot C) \geq 0$  for any complete curve  $C$  on  $X$  such that  $f(C)$  is a point.  $D$  is called *f-big* (resp. *f-good*) if  $D|_{X_\gamma}$  is a big (resp. good) element of  $\text{Div}(X_\gamma) \otimes \mathbf{R}$ , where  $X_\gamma$  is the generic fiber of  $f$ . For a Cartier

divisor  $H$  on  $X$ , the  $f$ -base locus of  $H$   $\text{Bs}(X/S, H)$  is defined by

$$\text{Bs}(X/S, H) = \text{Supp}(\text{Coker}(f^*f_*\mathcal{O}_X(H) \longrightarrow \mathcal{O}_X(H))).$$

If  $\text{Bs}(X/S, H) = \emptyset$ ,  $H$  is called  $f$ -free. An element  $L$  of  $\text{Div}(X) \otimes \mathbf{Q}$  is called  $f$ -semi-ample if there exists a positive integer  $m$  such that  $mL \in \text{Div}(X)$  and  $mL$  is  $f$ -free. For  $D \in \text{Div}(X) \otimes \mathbf{R}$ , a decomposition  $D = P + N$  is called an  $f$ -sectional decomposition if  $P, N \in \text{Div}(X) \otimes \mathbf{R}$ ,  $N$  is effective and there exists a positive integer  $d$  such that the natural homomorphism  $f_*\mathcal{O}_X([ndP]) \rightarrow f_*\mathcal{O}_X([ndD])$  is bijective for every  $n \geq 0$ .  $P$  (resp.  $N$ ) is called the positive part (resp. negative part) of this decomposition. An  $f$ -sectional decomposition  $D = P + N$  is called an  $f$ -Zariski decomposition (resp. good  $f$ -Zariski decomposition) if the positive part  $P$  is  $f$ -net (resp.  $f$ -nef and  $f$ -good). Let  $D$  be an element of  $\text{Div}(X) \otimes \mathbf{R}$  and  $x$  a point of  $X$  (not necessarily closed). We set

$$\mathcal{J}_n(X/S, D) = \text{Im}(f^*f_*\mathcal{O}_X([nD]) \otimes \mathcal{O}_X(-[nD]) \longrightarrow \mathcal{O}_X)$$

and

$$\mu_x(X/S, D) = \inf_n ((\text{ord}_x(\mathcal{J}_n(X/S, D)) + \text{ord}_x(\{nD\}))/n).$$

By the definition of  $\mu_x(X/S, D)$ ,  $\mu_x(X/S, D)$  is upper semi-continuous with respect to  $x \in X$ .

**2. Non-vanishing theorem and vanishing theorem.** We refer the reader to [3] for the notion concerning generalized normal crossing varieties.

**Theorem 1 (Non-vanishing theorem).** *Let  $X$  be a generalized normal crossing variety,  $Z$  a projective variety and let  $f: X \rightarrow Z$  be a morphism. Let  $D_j$  be an element of  $\text{Div}(Z)$  and  $d_j$  a real number for every  $j \in J$ , where  $J$  is a finite subset of  $N$ . We assume the following.*

(i) *For all  $n \geq 0$ , every connected component of  $X_n$  is mapped surjectively to  $Z$ .*

(ii)  *$D = \sum_{j \in J} d_j D_j$  is nef.*

(iii) *There exists an element  $A$  of  $\text{Div}_0(X) \otimes \mathbf{R}$  such that the support of  $A$  is a generalized normal crossing divisor on  $X$  and  $\lceil A \rceil \geq 0$ .*

(iv) *There exists a positive number  $t_0$  and exists an ample element  $L$  of  $\text{Div}(Z) \otimes \mathbf{R}$  such that  $t_0 f^*(D) + A - K_X \sim_{\mathbf{R}} f^*(L)$ .*

*Then there are positive numbers  $t_1$  and  $\epsilon_1$ , such that for any  $t \geq t_1$  satisfying  $|\langle td_j \rangle - td_j| < \epsilon_1$  for all  $j \in J$ , we have*

$$H^0(X, \mathcal{O}_X(f^*(\sum_{j \in J} \langle td_j \rangle D_j) + \lceil A \rceil)) \neq 0.$$

**Theorem 2 (Vanishing theorem).** *Let  $X$  be a non-singular algebraic variety,  $S$  an algebraic variety and let  $f: X \rightarrow S$  be a proper surjective morphism. Let  $L$  be an element of  $\text{Div}(X) \otimes \mathbf{R}$  such that  $L$  is  $f$ -nef and  $f$ -good and  $\{L\}_{\text{red}}$  has only normal crossings. Let  $E, E'$  be elements of  $\text{Div}(X)$  such that  $E$  and  $E'$  are effective and  $E + E' \in |mL|$  for some positive integer  $m$ . Then homomorphisms induced by the natural homomorphism  $\mathcal{O}_X \rightarrow \mathcal{O}_X(E)$*

$$\phi_E^i : R^i f_* \mathcal{O}_X(K_X + \lceil L \rceil) \longrightarrow R^i f_* \mathcal{O}_X(K_X + \lceil L \rceil + E)$$

*are injective for all  $i \geq 0$ .*

Theorem 1 is a generalization of [3, Theorem 5.1] and [4, Theorem 3]. Theorem 2 is a relative version of [5].

### 3. Rationality and semi-ampleness.

**Theorem 3.** *Let  $X$  be a non-singular algebraic variety,  $S$  an algebraic variety and let  $f: X \rightarrow S$  be a proper surjective morphism. Let  $\Delta$  be an element of  $\text{Div}(X) \otimes \mathbf{Q}$  such that  $[\Delta] = 0$  and  $\Delta_{\text{red}}$  has only normal crossings. We assume that  $K_X + \Delta$  has a good  $f$ -Zariski decomposition  $K_X + \Delta = P + N$ , where  $P$  is the positive part of this decomposition. Then  $P \in \text{Div}(X) \otimes \mathbf{Q}$  and  $P$  is  $f$ -semi-ample.*

Theorem 3 is a generalization of [4, Theorem 1]. Using Theorem 3, we have that  $R(X, K_X + \Delta) = \bigoplus_{n=0}^{\infty} H^0(X, \mathcal{O}_X([n(K_X + \Delta)])$  is finitely generated if  $X$  is complete and  $\kappa(X, K_X + \Delta) \leq 2$ . (cf. [6, Theorem (3, 1)].) We remark that Cutkosky [1] gave an example of a big divisor which has no Zariski decomposition with rational coefficients.

**4.  $f$ -sectional decomposition.** Let  $X$  be a non-singular variety,  $S$  an algebraic variety and  $f: X \rightarrow S$  be a proper surjective morphism. Let  $D$  be an element of  $\text{Div}(X) \otimes \mathbf{R}$  such that  $E(X/S, D) \neq \emptyset$ . Then it is easy to see that there are a finite number of prime divisors  $\Gamma$  such that  $\mu_\Gamma(X/S, D) > 0$ . Hence we can set

$$N(X/S, D) = \sum_{\Gamma: \text{prime divisors}} \mu_\Gamma(X/S, D) \Gamma \quad \text{and} \quad P(X/S, D) = D - N(X/S, D).$$

**Proposition 4.** *Notation being the same as above, we have*

- (i)  $D = P(X/S, D) + N(X/S, D)$  is an  $f$ -sectional decomposition,
- (ii) for any  $f$ -sectional decomposition  $D = P + N$ ,
 
$$\mu_x(X/S, D) = \mu_x(X/S, P) + \text{ord}_x(N)$$

for all  $x \in X$ , and

- (iii) for any  $f$ -sectional decomposition  $D = P + N$ ,  $N \leq N(X/S, D)$ .

We call the  $f$ -sectional decomposition  $D = P(X/S, D) + N(X/S, D)$  the canonical  $f$ -sectional decomposition.

**Theorem 5.** *Let  $X$ ,  $S$  and  $f$  be the same as in Proposition 4. For  $L \in \text{Div}(X) \otimes \mathbf{R}$ , the following are equivalent.*

- (i)  $\mu_x(X/S, L) = 0$  for all  $x \in X$ .
- (ii)  $L$  is  $f$ -nef and  $f$ -good.

Theorem 5 means that  $L$  is almost base point free in the sense of Goodman [2] if and only if  $L$  is nef and good.

**Corollary 6** (Uniqueness of the good Zariski decomposition). *Let  $X$ ,  $S$  and  $f$  be the same as in Proposition 4. Let  $D$  be an element of  $\text{Div}(X) \otimes \mathbf{R}$  and  $D = P + N$  a good  $f$ -Zariski decomposition. Then  $P = P(X/S, D)$  and  $N = N(X/S, D)$ .*

## References

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