

## 5. Singular Support of the Scattering Kernel for the Wave Equation Perturbed in a Bounded Domain

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(Communicated by Kôzaku YOSIDA, M. J. A., Jan. 12, 1983)

**Introduction.** Majda [4] obtained a representation of the scattering kernel  $S(s, \theta, \omega)$  for the scattering by an obstacle  $\mathcal{O}$  (in  $\mathbf{R}^3$ ), and showed

$$(0.1) \quad \begin{aligned} (i) \quad & \text{supp } S(\cdot, -\omega, \omega) \subset (-\infty, -2r(\omega)], \\ (ii) \quad & S(s, -\omega, \omega) \text{ is singular (not } C^\infty) \text{ at } s = -2r(\omega), \end{aligned}$$

where  $r(\omega) = \inf_{x \in \mathcal{O}} x\omega$ . In the present note we shall consider the corresponding problems for the acoustic scattering by an inhomogeneous fluid.

Let  $a_{ij}(x) = a_{ji}(x) \in C^\infty(\mathbf{R}^n)$  ( $i, j = 1, \dots, n$  ( $n \geq 2$ )) satisfy

$$\begin{aligned} \sum_{i,j=1}^n a_{ij}(x) \xi_i \xi_j &\geq \delta |\xi|^2, \quad \xi \in \mathbf{R}^n, \\ a_{ii}(x) &= 1, \quad a_{ij}(x) = 0 \quad (i \neq j) \quad \text{for } |x| \geq r_0, \end{aligned}$$

and set

$$Au = \sum_{i,j=1}^n \partial_{x_i} (a_{ij}(x) \partial_{x_j} u).$$

We consider the Cauchy problem

$$\begin{cases} (\partial_t^2 - A)u(t, x) = 0 & \text{in } \mathbf{R}^1 \times \mathbf{R}^n, \\ u(0, x) = f_1(x), \quad \partial_t u(0, x) = f_2(x) & \text{on } \mathbf{R}^n. \end{cases}$$

In the same way as Lax and Phillips [1], [2], we define the scattering operator  $S: L^2(\mathbf{R}^1 \times S^{n-1}) \rightarrow L^2(\mathbf{R}^1 \times S^{n-1})$  by  $S = T_0^+(W^+)^{-1}W^-(T_0^-)^{-1}$ , where  $T_0^+$  ( $T_0^-$ ) is the outgoing (incoming) translation representation associated with the unperturbed equation and  $W^\pm$  are the wave operators (cf. Lax and Phillips [1], [2], the author [6]).  $S$  is represented with the distribution kernel  $S(s, \theta, \omega)$  (called the scattering kernel) (cf. Majda [4], Lax and Phillips [3], the author [6]):

$$Sk(s, \theta) = \iint S(s-t, \theta, \omega) k(t, \omega) dt d\omega.$$

Let  $v(t, x; \omega)$  ( $\omega \in S^{n-1}$ ) be the solution of the equation

$$\begin{cases} (\partial_t^2 - A)v = -2^{-1}(2\pi i)^{1-n}(\partial_t^2 - A)\delta(t-x\omega) & \text{in } \mathbf{R}^1 \times \mathbf{R}^n, \\ v = 0 & \text{for } t < -r_0. \end{cases}$$

$v(t, x; \omega)$  is a  $C^\infty$  function of  $x$  and  $\omega$  with the value  $S'(\mathbf{R}_t^1)$ .

**Theorem 1.** Set

$$S_0(s, \theta, \omega) = \int_{\mathbf{R}^n} (\partial_t^{n-2} \square v)(x\theta - s, x; \omega) dx \quad (\square = \partial_t^2 - A),$$

$$Kk = F^{-1}[(\text{sgn } \sigma)^{n-1}(Fk)(\sigma)],$$

where  $F$  denotes the Fourier transformation in  $s$ . Then we have

$$Sk(s, \theta) = \iint S_0(s-t, \theta, \omega)k(t, \omega)dt d\omega + Kk(s, \theta).$$

Note that  $S(s, \theta, \omega) = S_0(s, \theta, \omega)$  if  $\theta \neq \omega$ . In the scattering by an obstacle, the corresponding representation of the scattering kernel has been obtained (cf. Majda [4], the author [6]).

Using Theorem 1, we shall derive results corresponding to (0.1). Denote by  $(q_\omega(t; y), p_\omega(t; y))$  the solution of the equation

$$(0.2) \quad \begin{cases} \frac{dt}{dq} = -\partial_\xi \lambda_0^-(q, p), & \frac{dt}{dp} = \partial_x \lambda_0^-(q, p), \\ q|_{t=-r_0} = y \quad (y\omega = -r_0), & p|_{t=-r_0} = \omega \quad (\omega \in S^{n-1}), \end{cases}$$

where  $\lambda_0^-(x, \xi) = -\left\{ \sum_{i,j=1}^n a_{ij}(x)\xi_i\xi_j \right\}^{1/2}$ .

**Theorem 2.** For  $\omega, \theta \in S^{n-1}$  set

$$M_\omega(\theta) = \{y : y\omega = -r_0, \lim_{t \rightarrow \infty} p_\omega(t; y) = \theta\},$$

$$s_\omega(\theta) = \sup_{y \in M_\omega(\theta)} \lim_{t \rightarrow \infty} \{ \langle q_\omega(t; y), \theta \rangle - t \}.$$

Then we have

$$\text{sing supp } S_0(\cdot, \theta, \omega) \subset (-\infty, s_\omega(\theta)].$$

**Theorem 3.** Let  $n=2$ . Then  $S_0(s, \theta, \omega)$  is singular at  $s = s_\omega(\theta)$ .

It is thought that  $S_0(s, \theta, \omega)$  is singular at  $s = s_\omega(\theta)$  also in the case of  $n \geq 3$ . Our proof of Theorem 3, however, is not valid in this case. We note that in proof of Theorem 3 it does not suffice only to examine the wave front set of  $v(t, x; \omega)$ . We can prove Theorem 1 by the same procedure as in the author [6], whose idea is due to Majda [4], and so we omit its proof. We only give outlines of the proofs of Theorems 2 and 3.

**§ 1. Proof of Theorem 2.** Set  $w(t, x) = v(t, x; \omega) + 2^{-1}(2\pi i)^{1-n} \cdot \delta(t - x\omega)$ . Then, by Theorem 1 we have

$$(1.1) \quad S_0(s, \theta, \omega) = \int_{\mathbb{R}^n} (\partial_t^2 - \square)w(x\theta - s, x)dx.$$

Noting that  $w(t, x)$  satisfies the equation

$$(1.2) \quad \begin{cases} (\partial_t^2 - A)w = 0 & \text{in } \mathbb{R}^1 \times \mathbb{R}^n, \\ w(-r_0, x) = 2^{-1}(2\pi i)^{1-n}\delta(-r_0 - x\omega) & \text{on } \mathbb{R}^n, \\ \partial_t w(-r_0, x) = 2^{-1}(2\pi i)^{1-n}\delta'(-r_0 - x\omega) & \text{on } \mathbb{R}^n, \end{cases}$$

by the well-known methods of the Fourier integral operators, we can know of the wave front set  $\text{WF}[w(t, x)]$ :

**Proposition 1.1.**

$$\text{WF}[w(t, x)] = \{(t, x; \tau, \xi) : t \in \mathbb{R}^1, x = q_\omega(t; y), \tau \in \mathbb{R}^1 - \{0\}, \xi = -\tau p_\omega(t; y)\}.$$

Since  $\partial_t^2 - A = \square$  for  $|x| \geq r_0$ , from this proposition we obtain

**Lemma 1.2.** For any  $\varepsilon > 0$  there is a conic neighborhood  $\Gamma$  in

$\mathbf{R}_t^1 \times \mathbf{R}_x^n - \{0\}$  containing  $(1, -\theta)$  and  $(-1, \theta)$  such that if  $(t, x; \tau, \xi) \in \text{WF}[w(t, x)] \cap \mathbf{R}_t^1 \times \mathbf{R}_x^n \times \Gamma$ ,  $(t, x)$  satisfies

$$|x| \leq r_1 \quad \text{or} \quad x\theta - t \leq s_w(\theta) + \varepsilon,$$

where  $r_1$  is some constant independent of  $\varepsilon$ .

To prove Theorem 2, we have only to show that for any  $\rho(s) \in C_0^\infty(\mathbf{R}^1)$  with  $\text{supp}[\rho] \subset (s_w(\theta), +\infty)$   $\bar{F}[\rho(s)S_0(s, \theta, \omega)](\sigma)$  decreases rapidly as  $|\sigma| \rightarrow \infty$  (where  $\bar{F}[k](\sigma) = \int e^{i\sigma s} k(s) ds$ ).

**Lemma 1.3.** *Let  $\alpha(x)$  be a  $C^\infty$  function on  $\mathbf{R}^n$  such that  $\alpha(x) = 1$  for  $|x| \geq \tilde{r}$  ( $\tilde{r}$  is any constant). Then, for any  $\rho(s) \in C_0^\infty(\mathbf{R}^1)$  we have*

$$\bar{F}[\rho(s)S_0(s, \theta, \omega)](\sigma) = \mathcal{F}[\rho(x\theta - t)\partial_t^{n-2}\square(\alpha w)](\sigma, -\sigma\theta),$$

where  $\mathcal{F}$  denotes the Fourier transformation in  $(t, x)$ .

Take the function  $\alpha(x)$  in Lemma 1.3 so that  $\alpha(x) = 0$  for  $|x| \leq r_1$  and  $\alpha(x) = 1$  for  $|x| \geq r_1 + 1$  ( $r_1$  is the constant in Lemma 1.2). It follows from Lemma 1.3 that

$\bar{F}[\rho(s)S_0(s, \theta, \omega)](\sigma) = \mathcal{F}[\rho(x\theta - t)\chi(D_t, D_x)\partial_t^{n-2}\square(\alpha w)](\sigma, -\sigma\theta) + 0(|\sigma|^{-\infty})$ , where  $\chi(\tau, \xi)$  is a  $C^\infty$  function homogeneous of order 0 satisfying  $\text{supp}[\chi] \subset \Gamma$  ( $\Gamma$  is the set in Lemma 1.2) and  $\chi(\tau, \xi) = 1$  in a neighborhood of  $(1, -\theta)$ ,  $(-1, \theta)$ . Lemma 1.2 implies that

$$\text{WF}[\rho(x\theta - t)\chi(D_t, D_x)\partial_t^{n-2}\square(\alpha w)] = \phi.$$

Therefore Theorem 2 is obtained.

**§ 2. Proof of Theorem 3.** It suffices to show that for any small  $\varepsilon (> 0)$  there exist some  $C^\infty$  function  $\rho(s)$  and a real number  $m$  such that  $\text{supp}[\rho] \subset [s_w(\theta) - \varepsilon, s_w(\theta) + \varepsilon]$  and  $(1 + |\sigma|)^m \bar{F}[\rho(s)S_0(s, \theta, \omega)](\sigma) \notin L^2(\mathbf{R}^1)$ . We cannot justify this assertion only by examining  $\text{WF}[w]$  ( $w$  is the solution of (1.2)).

Let us consider only the case that  $M_w(\theta)$  is bounded. Denote by  $\tilde{w}(t, x)$  the solution of (1.2) with the different initial data  $\tilde{w}(-r_0, x) = \gamma(x)w(-r_0, x)$ ,  $\partial_t \tilde{w}(-r_0, x) = \gamma(x)\partial_t w(-r_0, x)$  on  $\mathbf{R}^n$ , where  $\gamma(x)$  is a  $C^\infty$  function such that  $\text{supp}[\gamma]$  is contained in a sufficiently small neighborhood of  $M_w(\theta)$  and that  $\gamma(x) = 1$  on a neighborhood of  $M_w(\theta)$ . Let  $\alpha(x)$  be the function in the proof of Theorem 2. Then, if  $\text{supp}[\rho]$  is small enough, by Lemma 1.3 we have

$$\bar{F}[\rho(s)S_0(s, \theta, \omega)](\sigma) = \mathcal{F}[\rho(x\theta - t)\partial_t^{n-2}\square(\alpha \tilde{w})](\sigma, -\sigma\theta) + 0(|\sigma|^{-\infty}).$$

Furthermore it is seen that if  $\tilde{t}$  is large enough we obtain for any integer  $N (\geq 0)$

$$\begin{aligned} & \mathcal{F}[\rho(x\theta - t)\partial_t^{n-2}\square(\alpha \tilde{w})](\sigma, -\sigma\theta) \\ &= \mathcal{F}' \left[ \sum_{j=0}^N \alpha_j(x) \sigma^{n-1-j} \tilde{w}(\tilde{t}, x) \right] (-\sigma\theta) + 0(|\sigma|^{-N+t}). \end{aligned}$$

Here,  $\mathcal{F}'$  denotes the Fourier transformation in  $x$ ,  $l$  is an integer independent of  $N$  and  $\text{supp}[\alpha_j] \subset \{x : r_1 \leq |x| \leq r_1 + 1\}$  ( $r_1$  is the constant in Lemma 1.2). Let  $(q(t; s, x, \xi), p(t; s, x, \xi))$  be the solution of (0.2) with the different initial data  $q|_{t=s} = x$ ,  $p|_{t=s} = \xi$ .

**Lemma 2.1.** *Let  $s$  and  $t$  be arbitrary constants in  $[-\gamma_0, \bar{t}]$  satisfying  $|s-t| \leq \delta$ . Assume that  $\varphi(x)$  is any real-valued  $C^\infty$  function with  $\varphi(q(t; s, y, \eta))=0$ ,  $\partial_x \varphi(q(t; s, y, \eta))=0$  and that  $\beta(x)$  be any  $C^\infty$  function with  $\text{supp}[\beta] \subset \{x : |x-q(t; s, y, \eta)| < \varepsilon\}$ . Then, if  $\delta$  and  $\varepsilon$  are small enough, there is a real-valued  $C^\infty$  function  $\psi(x)$  such that  $\psi(y)=0$ ,  $\partial_x \psi(y)=0$  and that for any integer  $N (\geq 0)$*

$$\begin{aligned} & \mathcal{F}'[e^{i\sigma\varphi(x)}\beta(x)\tilde{w}(t, x)](\sigma p(t; s, y, \eta)) \\ &= \exp\{i\sigma\eta - i\sigma p(t; s, y, \eta)q(t; s, y, \eta)\} \\ & \quad \times \mathcal{F}'\left[e^{i\sigma\psi(x)}\sum_{j=0}^N \chi_j(x)\sigma^{-j}\tilde{w}(s, x)\right](\sigma\eta) + O(|\sigma|^{-N+l}), \end{aligned}$$

where  $l$  is an integer independent of  $N$  and  $\chi_j(x)$  is a  $C^\infty$  function such that  $\lim_{\varepsilon \rightarrow +0} \text{dis}(y, \text{supp}[\chi_j])=0$ .

Take a sufficiently fine partition of unity  $\{\beta_k(x)\}$  on  $\mathbf{R}^n$ , and apply Lemma 2.1 to each  $\mathcal{F}'[\alpha_j(x)\beta_k(x)\tilde{w}(\bar{t}, x)](-\sigma\theta)$  repeatedly (divide  $[-\gamma_0, \bar{t}]$  into many fine intervals and use Lemma 2.1 on each interval). Then it is seen that there are  $C^\infty$  functions  $\{\psi_k(x)\}$  and  $\{\chi_{kj}(x)\}$  such that

$$\begin{aligned} & \mathcal{F}[\rho(x\theta - t)\partial_t^{n-2}\square(\alpha\tilde{w})](\sigma, -\sigma\theta) = \exp\{-i\sigma(r_0 + \bar{t} + s_\sigma(\theta))\}\sigma^{n-1} \\ & \quad \times \sum_{k=1}^{N'} \mathcal{F}'\left[e^{i\sigma\psi_k(x)}\left(\sum_{j=0}^N \chi_{kj}(x)\sigma^{-j}\right)\tilde{w}(-r_0, x)\right](-\sigma\omega) + O(|\sigma|^{-N+l}). \end{aligned}$$

We see that if we choose  $\alpha$  and  $\rho$  appropriately the above  $\psi_k$  and  $\chi_{kj}$  satisfy all the assumptions of the following lemma, and therefore Theorem 3 is obtained.

**Lemma 2.2.** *Assume that  $\{\psi_k(x)\}_{k=1, \dots, N'}$  are real-valued  $C^\infty$  functions on  $\mathbf{R}^2$  such that  $\psi_k(y_k)=0$ ,  $\partial_x \psi_k(y_k)=0$  ( $y_k\omega = -r_0$ ). Let  $\gamma(x)$  and  $\{\chi_{kj}(x)\}_{k=1, \dots, N', j=0, \dots, N}$  belong to  $C_0^\infty(\mathbf{R}^2)$  and satisfy  $\gamma(x) \geq 0$ ,  $\sum_{k=1}^{N'} \chi_{k0}(x) \geq 0$  and  $\sum_{k=1}^{N'} \chi_{k0}(y_k)\gamma(y_k) > 0$ . Then for some  $m (< 1/2)$  we have*

$$(1+|\sigma|)^m \sum_{k=1}^{N'} \mathcal{F}'\left[e^{i\sigma\psi_k(x)}\left(\sum_{j=0}^N \chi_{kj}(x)\sigma^{-j}\right)\gamma(x)\delta(-r_0-x\omega)\right](-\sigma\omega) \notin L^2(\mathbf{R}_\sigma^1).$$

This lemma is not correct in the case of  $n \geq 3$ . Its proof is similar to that of Theorem 1 in the author [5].

### References

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