

## 76. Some Results in the Classification Theory of Compact Complex Manifolds in $\mathcal{C}$

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0. By definition a compact complex manifold  $X$  is in  $\mathcal{C}$  if there exist a compact Kähler manifold  $Z$  and a surjective meromorphic map  $h: Z \rightarrow X$  [1]. The purpose of this note is then to report some results on the structure of manifolds in  $\mathcal{C}$ . Details will appear elsewhere.

In what follows  $X, Y, Z$ , etc. always denote compact connected complex manifolds in  $\mathcal{C}$ . We set  $q(X) = \dim H^1(X, O_X)$  and  $a(X)$  = the algebraic dimension of  $X$  [2]. Let  $f: X \rightarrow Y$  be a holomorphic map. For any open subset  $U \subseteq Y$  we write  $X_U = f^{-1}(U)$  and  $f_U = f|_{X_U}$ , and write  $X_y = f^{-1}(y)$  for  $y \in Y$ . We call  $f$  a *fiber space* if  $f$  is proper and surjective and has connected fibers. Suppose that  $f$  is a fiber space. Then we set  $\dim f = \dim X - \dim Y$ , and  $q(f) = q(X_y)$  for any smooth fiber  $X_y$ . Further any fiber space  $f^*: X^* \rightarrow Y^*$  which is bimeromorphic to  $f$  is called a *bimeromorphic model* of  $f$ .

1. Let  $f: X \rightarrow Y$  be a fiber space and  $U$  a Zariski open subset of  $Y$  over which  $f$  is smooth. For any integer  $k \geq 0$  we set  $A_k = \{u \in U; a(X_u) \geq k\}$ .

**Proposition 1.**  $A_k$  is a union of at most countably many analytic subsets of  $U$  whose closures are analytic in  $Y$ .

Let  $a(f) = \max \{k; A_k = U\}$ , so that  $a(X_u) = a(f)$  for 'general'  $u \in U$ . We call  $a(f)$  the *relative algebraic dimension* of  $f$ . By Proposition 1  $a(f)$  depends only on the bimeromorphic equivalence class of  $f$ . Clearly  $0 \leq a(f) \leq \dim f$ .

**Proposition 2.** Let  $f: X \rightarrow Y$  and  $U$  be as above. Then the following conditions are equivalent. 1)  $a(f) = \dim f$ , 2)  $f_U: X_U \rightarrow U$  is locally Moishezon, and 3) there exists a bimeromorphic model  $f^*: X^* \rightarrow Y^*$  of  $f$  which is locally Moishezon.

Here a morphism  $g: X \rightarrow Y$  is called *locally Moishezon* if for each  $y \in Y$  there exists a neighborhood  $y \in V$  such that  $g_V: X_V \rightarrow V$  is Moishezon, i.e., bimeromorphic over  $V$  to a projective morphism.

**Definition 1.** Let  $f: X \rightarrow Y$  be a fiber space. Then a *relative algebraic reduction* of  $f$  is a commutative diagram

$$\begin{array}{ccc}
 X^* & \xrightarrow{f^*} & Y^* \\
 & \searrow g & \nearrow h \\
 & & Z
 \end{array}$$

where  $f^*$  is a bimeromorphic model of  $f$  and  $a(f) = a(h) = \dim h$ . We also call  $g$  a relative algebraic reduction of  $f$ . When  $Y$  is a point, this reduces to the usual definition of an algebraic reduction of  $X$  [2].

**Theorem 1.** *Let  $f : X \rightarrow Y$  be any fiber space. Then there exists a relative algebraic reduction of  $f$ , and up to bimeromorphic equivalence it is unique.*

Applying Theorem 1 successively, for any fiber space  $f$  we can find a bimeromorphic model  $f^* : X^* \rightarrow Y^*$  which admits a decomposition;

$$(1) \quad \begin{array}{ccccccc} X^* & \xrightarrow{\quad f^* \quad} & & & & & Y^* \\ \parallel & \searrow g & Y_m & \xrightarrow{h_m} & \cdots & \xrightarrow{h_2} & Y_1 \xrightarrow{h_1} Y_0 \\ & & & & & & \parallel \end{array}$$

where 1)  $h_i : Y_i \rightarrow Y_{i-1}$ ,  $1 \leq i \leq m$ , are locally Moishezon, 2)  $a(g) = 0$ , and 3) the following commutative diagram is an algebraic reduction of  $h^i = h_i \cdots h_m g$

$$\begin{array}{ccc} X & \xrightarrow{h^i} & Y_{i-1} \\ & \searrow h^{i+1} & \nearrow h_i \\ & & Y_i \end{array}$$

(The case where  $m = 0$ , or  $g$  is isomorphic is included.) Moreover  $f^*$  and the diagram (1) are up to bimeromorphic equivalence uniquely determined by  $f$ .

We shall call the diagram (1) the *canonical decomposition* of  $f$ .

**2. Definition 2.** Let  $f : X \rightarrow Y$  be a fiber space. Then a *relative Albanese map* for  $f$  is a commutative diagram

$$(2) \quad \begin{array}{ccc} X^* & \xrightarrow{f^*} & Y^* \\ & \searrow \alpha^* & \nearrow \\ & & \text{Alb } X^*/Y^* \end{array}$$

with the following properties; 1)  $f^*$  is a bimeromorphic model of  $f$  and 2) there exists a Zariski open subset  $U \subseteq Y^*$  such that  $f^*$  is smooth over  $U$  and that for each  $u \in U$  the induced map  $\alpha_u : X_u^* \rightarrow \text{Alb}(X^*/Y^*)_u$  is bimeromorphic to the Albanese map  $\alpha(u) : X_u^* \rightarrow \text{Alb } X_u^*$ .

Consider a commutative diagram of fiber spaces

$$\begin{array}{ccc} X & \xrightarrow{g} & Z \\ & \searrow f & \nearrow \\ & & Y \xrightarrow{f'} Y' \end{array}$$

where  $f'$  is a relative algebraic reduction of  $g$ .

**Theorem 2.** *In the above situation there exists a relative Albanese map (2) for  $f$  for which  $\alpha^*$  is a fiber space. Moreover it is unique up to bimeromorphic equivalence.*

**Corollary.** *Let  $f: X \rightarrow Y$  be a fiber space. Then there exists a relative Albanese map (2) for  $f$  with  $\alpha^*$  a fiber space if either  $f$  is an algebraic reduction of  $X$ , or  $a(X)=0$ .*

By Theorem 2  $h_i$  in the canonical decomposition (1) admits a relative Albanese map

$$(3) \quad \begin{array}{ccc} Y_i^* & \xrightarrow{h_i^*} & Y_{i-1}^* \\ \searrow \alpha_i & & \nearrow \mu_i \\ & \text{Alb}(Y_i^*/Y_{i-1}^*) & \end{array} \quad \begin{array}{l} 1 \leq i \leq m+1 \\ (h_{m+1}=g) \end{array}$$

where  $\alpha_i$  is a fiber space. (For  $h_1$  we have to assume that  $f^*$  is an algebraic reduction of  $X$ .) The following two theorems give informations on the structure of  $\mu_1$  and  $\alpha_i$ , while they are of independent interest.

**Theorem 3.** *Let  $f: X \rightarrow Y$  be a fiber space. Suppose that  $f$  is locally Moishezon and  $q(f)=0$ . Then  $f$  is Moishezon, i.e., bimeromorphic over  $Y$  to a projective morphism.*

Let  $f: X \rightarrow Y$  be an algebraic reduction of  $X$ . Then by Corollary above there exists a relative Albanese map (2) for  $f$  with  $\alpha^*$  a fiber space.

**Theorem 4.** *Suppose that  $f$  is locally Moishezon. Then  $q(\alpha^*)=0$ .*

**Corollary.** *In (3) we have 1)  $\dim \mu_i > 0$ , 2)  $q(\alpha_i)=0$ , and 3)  $\alpha_i$  is Moishezon.*

We further note that we can take (3) in such a way that  $\alpha_{iu}$  is isomorphic to  $\alpha_i(u)$  in the notation of Definition 2 for  $i \leq m$  (due to the local Moishezonness of  $h_i$ ).

3. Let  $ca(X)=\dim X - a(X)$ . Clearly  $ca(X)=0$  if and only if  $X$  is Moishezon. When  $ca(X)=1$ , the general fiber of the algebraic reduction of  $X$  is an elliptic curve, as is well-known.

**Theorem 5.** *Suppose that  $ca(X)=2$ . Then there exists an algebraic reduction  $f^*: X^* \rightarrow Y^*$  of  $X$  such that if we denote by  $X_y^*$  any smooth fiber of  $f^*$ , then one of the following holds: 1)  $X_y^*$  is a complex torus, 2)  $X_y^*$  is a K3 surface and  $a(X_y^*)=0$  for general  $y \in Y$ , or 3)  $X_y^*$  is an almost homogeneous ruled surface of genus 1 which is relatively minimal (cf. Remark 12.5 of [2]).*

4. We consider manifolds of algebraic dimension zero. So assume that  $a(X)=0$ . In this case the Albanese map  $\alpha: X \rightarrow \text{Alb } X$  is a fiber space [2]. In particular  $q(X) \leq \dim X$ . We set  $q^*(X) = \max \{q(\tilde{X}); \tilde{X} \text{ a finite unramified covering of } X\}$  and  $q^{**}(X) = \max \{q(\tilde{X}); \tilde{X} \text{ a finite (ramified) covering of } X\}$ . By the above remark we get  $q(X) \leq q^*(X) \leq q^{**}(X) \leq \dim X$ . We call  $X$  *primary (specially primary)* if  $q(X)=q^*(X)$  ( $q(X)=q^{**}(X)$ ). Clearly each  $X$  has a finite unramified covering  $\tilde{X}$  which is primary. Now we consider a relative algebraic reduction (4) of  $\alpha$ :

$$(4) \quad \begin{array}{ccc} X^* & \xrightarrow{\alpha^*} & \text{Alb } X \\ & \searrow g & \nearrow h \\ & Z & \end{array} .$$

**Theorem 6.** *Suppose that  $X$  is primary. Then the following holds true. 1)  $q(\alpha^*) (= q(\alpha)) = 0$ , 2) there exists a Zariski open subset  $U$  of  $Y$  such that  $h_U: Z_U \rightarrow U$  is a holomorphic fiber bundle whose typical fiber is a unirational, almost homogeneous projective manifold, and 3)  $a(g) = 0$ , so that (4) gives the canonical decomposition (1) of  $\alpha$ . Moreover if  $X$  is specially primary, then  $q(g) = 0$ .*

Let  $cq(X) = \dim X - q(X)$ . Suppose that  $X$  is primary. Then  $cq(X) > 0$ . If  $cq(X) = 1$ , then  $\alpha$  is a holomorphic  $P^1$  bundle over some Zariski open subset  $U$  of  $\text{Alb } X$ , as follows from the above theorem. In general let  $\kappa(X)$  be the Kodaira dimension of  $X$  [2]. Since  $a(X) = 0$ ,  $\kappa(X) = 0$  or  $-\infty$ .

**Theorem 7.** *Suppose that  $cq(X) = 2$ . 1) If  $\kappa(X) = 0$ , then there exists a finite unramified covering  $\tilde{X}$  of  $X$  which is bimeromorphic to a product  $\text{Alb } \tilde{X} \times S$  where  $S$  is a K3 surface with  $a(S) = 0$ . 2) If  $\kappa(X) = -\infty$ , then there exists a Zariski open subset  $U \subseteq \text{Alb } X$  such that  $\alpha_U: X_U \rightarrow U$  is a holomorphic fiber bundle whose typical fiber is an almost homogeneous rational surface.*

### References

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- [2] Ueno, K.: Classification theory of algebraic varieties and compact complex spaces. Lect. Notes in Math., vol. 439, Springer (1975).