

14. Structure of Logarithmic K3 Surfaces

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1. By a surface, we shall mean a non-singular algebraic surface defined over C . For complete surfaces, we have birational invariants such as geometric genus p_g , irregularity q and Kodaira dimension κ , by means of which the birational classification of surfaces has been discussed.

For open surfaces, in addition to those invariants, we have logarithmic geometric genus \bar{p}_g , logarithmic irregularity \bar{q} , and logarithmic Kodaira dimension $\bar{\kappa}$, which are proper birational invariants. For definition of them, see [2], [3], [5].

A K3 surface S is defined to be a complete surface S with $p_g(S)=1$ and $q(S)=\kappa(S)=0$. Moreover, if S is (relatively) minimal, the canonical divisor $K(S)\sim 0$ (which means that $K(S)$ is linearly equivalent to 0). Now, a *logarithmic K3 surface* S is defined to be a surface S with $\bar{p}_g(S)=1$ and $\bar{q}(S)=\bar{\kappa}(S)=0$. In this note we study the structure of logarithmic K3 surfaces. Details will appear elsewhere.

2. A pair (\bar{S}, D) of a complete surface \bar{S} and a divisor D with normal crossings is called a ∂ -surface and $S=\bar{S}-D$ is called the *interior* of (\bar{S}, D) . We say that (\bar{S}, D) is *relatively ∂ -minimal* if $\bar{S}-D$ has no exceptional curves of the first kind and if D is minimal.

It is obvious that for a given surface S , there exists a ∂ -surface (\bar{S}, D) whose interior is S . \bar{S} may be called a completion of S with ordinary boundary D .

3. Let S be a logarithmic K3 surface and let (\bar{S}, D) be a ∂ -surface whose interior is S . Then we have the following cases:

I) If $p_g(\bar{S})=1$, then \bar{S} is a K3 surface. We put $D_A=0$ and $D_B=D$.

II_a) If $p_g(\bar{S})=0$ and there is a component C_1 of D which is a non-singular elliptic curve, then \bar{S} is a rational surface and the dual graph associated with D has no loops. We put $D_A=C_1$ and $D_A+D_B=D$.

II_b) If $p_g(\bar{S})=0$ and each component of D is a rational curve, then \bar{S} is a rational surface and the graph of D has one loop. Corresponding to the loop, we have a subboundary D_A which is circular (see § 4). We put $D=D_A+D_B$. In each case, we call S a logarithmic surface of type I) or II_a) or II_b).

4. Let (\bar{S}, D) be a ∂ -surface and $\sum_{j=1}^r C_j$ the irreducible decomposition of D . We say that D is a *circular boundary* if $r=1$ and C_1 is a rational curve with only one double point, or if $r=2$ and $(C_1, C_2)=2$, or if $r \geq 3$ and each C_j is P^1 satisfying that

$$\begin{aligned} (C_i, C_j) &= 1 && \text{for } i-j \equiv \pm 1 \pmod{r}, \\ (C_i, C_j) &= 0 && \text{for } i-j \not\equiv 0, \pm 1 \pmod{r}. \end{aligned}$$

In general, the configuration of components of D defines a graph $\Gamma(D)$. The cyclotomic number of $\Gamma(D)$ is indicated by $h(\Gamma(D))$.

A divisor Y with normal crossings on a surface S is called a *curve of Dynkin type ADE* if each component Q_j of Y is a non-singular rational curve with $Q_j^2 = -2$ and if the graph $\Gamma(Y)$ corresponds to a direct sum of Dynkin diagrams A_n, D_n, E_l . In particular, $h(\Gamma(Y))=0$.

5. Let (\bar{S}, D) be a ∂ -surface whose interior S is a logarithmic K3 surface.

Theorem 1. *If $\bar{S} - D_A$ has no exceptional curves of the first kind, then $K(\bar{S}) + D_A \sim 0$.*

Theorem 2. *If (\bar{S}, D) is relatively ∂ -minimal and if $\bar{S} - D_A$ has no exceptional curves of the first kind, then D_B is 0 or a curve of Dynkin type ADE. Furthermore, if S is of type II, then D_B is 0 or a curve of Dynkin type A.*

6. In general, let (\bar{S}, D) be a ∂ -surface and let $p \in D$. Consider a blowing up $\lambda: \bar{S}^1 = Q_p(\bar{S}) \rightarrow \bar{S}$ with center p . Letting $D^1 = \lambda^{-1}(D)$, we have

$$K(\bar{S}^1) + D^1 = \lambda^*(K(\bar{S}) + D) + (2 - \nu)E$$

where $E = \lambda^{-1}(p)$ and ν is the multiplicity of D at p . Hence, if $\nu=2$, we call $\lambda: (\bar{S}^1, D^1) \rightarrow (\bar{S}, D)$ a *canonical blowing up*. We have

$$K(\bar{S}^1) + D^1 = \lambda^*(K(\bar{S}) + D).$$

If $\nu=1$, then defining D^* by $D^1 = E + D^*$, we obtain

$$K(\bar{S}^1) + D^* = \lambda^*(K(\bar{S}) + D).$$

We say that (\bar{S}^1, D^*) (or $S^* = \bar{S}^1 - D^*$) is a *1/2-point attachment* to (\bar{S}, D) (or $S = \bar{S} - D$).

7. **Theorem 3.** *Let (\bar{S}, D) be a relatively ∂ -minimal ∂ -surface such that D is a circular boundary. If $\kappa(\bar{S} - D) = 0$, then (\bar{S}, D) is obtained from (P^2, H) by canonical blowing ups and downs and by attaching several 1/2-points. Here H is a sum of three lines which have no common points.*

Theorem 4. *Let (\bar{S}, C) be a relatively ∂ -minimal ∂ -surface such that C is an elliptic curve. If $\kappa(\bar{S} - C) = q(\bar{S}) = 0$, then (\bar{S}, C) is obtained from (P^2, E) , E being a non-singular cubic curve, by canonical blowing ups and by several 1/2-point attachments and detachments.*

8. We recall a result concerning quasi-abelian surfaces [6]. Let S be a surface with $\bar{q}(S) = 2$ and $\kappa(S) = 0$. Such a surface is called a

logarithmic abelian surface. Let (\bar{S}, D) be a ∂ -surface whose interior is S . Then,

I) If $p_\theta(\bar{S})=1$, then \bar{S} is birationally equivalent to an abelian surface. Put $D_A=0$ and $D_B=D$.

II) If $p_\theta(\bar{S})=0$ and $q(\bar{S})=1$, then \bar{S} is a ruled surface of genus 1. Let $f: \bar{S} \rightarrow A$ be the Albanese map. Then there is the horizontal component of D with respect to f , which is defined to be D_A . D_B is to satisfy $D=D_A+D_B$.

III) If $p_\theta(\bar{S})=q(\bar{S})=0$, then \bar{S} is a rational surface and D consists of non-singular rational curves with $h(\Gamma(D))=1$. Define D_A to be a circular subboundary in D and D_B to be a divisor satisfying that $D=D_A+D_B$.

Theorem 5. *If $\bar{S}-D_A$ has no exceptional curves of the first kind, then $K(\bar{S})+D_A \sim 0$. Moreover, suppose that $D=D_A+D_B$ is minimal. Then $D=D_A$.*

Theorem 6. *In general, let S be an algebraic surface. Suppose that S is measure-hyperbolic. Then $\bar{\kappa}(S)=2$ or $\bar{\kappa}(S)=-\infty$ and $\bar{q}(S)=0$.*

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