

164. On the Cut Operation in Gentzen Calculi

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By *Gentzen's LK system*, we shall mean a formal system with the following schemata:

Axiom schema

$$\mathfrak{A} \rightarrow \mathfrak{A}$$

Logical rules of inferences

	in succedent	in antecedent
\supset	$\frac{\mathfrak{A}, \Gamma \rightarrow \theta, \mathfrak{B}}{\Gamma \rightarrow \theta, \mathfrak{A} \supset \mathfrak{B}}$	$\frac{\Gamma \rightarrow \theta, \mathfrak{A} \quad \mathfrak{B}, \Gamma \rightarrow \theta}{\mathfrak{A} \supset \mathfrak{B}, \Gamma \rightarrow \theta}$
$\&$	$\frac{\Gamma \rightarrow \theta, \mathfrak{A} \quad \Gamma \rightarrow \theta, \mathfrak{B}}{\Gamma \rightarrow \theta, \mathfrak{A} \& \mathfrak{B}}$	$\frac{\mathfrak{A}, \Gamma \rightarrow \theta \quad \mathfrak{B}, \Gamma \rightarrow \theta}{\mathfrak{A} \& \mathfrak{B}, \Gamma \rightarrow \theta}$
\vee	$\frac{\Gamma \rightarrow \theta, \mathfrak{A} \quad \Gamma \rightarrow \theta, \mathfrak{B}}{\Gamma \rightarrow \theta, \mathfrak{A} \vee \mathfrak{B}}$	$\frac{\mathfrak{A}, \Gamma \rightarrow \theta \quad \mathfrak{B}, \Gamma \rightarrow \theta}{\mathfrak{A} \vee \mathfrak{B}, \Gamma \rightarrow \theta}$
\neg	$\frac{\mathfrak{A}, \Gamma \rightarrow \theta}{\Gamma \rightarrow \theta, \neg \mathfrak{A}}$	$\frac{\Gamma \rightarrow \theta, \mathfrak{A}}{\neg \mathfrak{A}, \Gamma \rightarrow \theta}$
\forall	$\frac{\Gamma \rightarrow \theta, \mathfrak{A}(a)}{\Gamma \rightarrow \theta, \forall x \mathfrak{A}(x)}$	$\frac{\mathfrak{A}(t), \Gamma \rightarrow \theta}{\forall x \mathfrak{A}(x), \Gamma \rightarrow \theta}$
\exists	$\frac{\Gamma \rightarrow \theta, \mathfrak{A}(t)}{\Gamma \rightarrow \theta, \exists x \mathfrak{A}(x)}$	$\frac{\mathfrak{A}(a), \Gamma \rightarrow \theta}{\exists x \mathfrak{A}(x), \Gamma \rightarrow \theta}$

Structural rules of inferences

	in succedent	in antecedent
Thinning	$\frac{\Gamma \rightarrow \theta}{\Gamma \rightarrow \theta, \mathfrak{A}}$	$\frac{\Gamma \rightarrow \theta}{\mathfrak{A}, \Gamma \rightarrow \theta}$
Contraction	$\frac{\Gamma \rightarrow \theta, \mathfrak{A}, \mathfrak{A}}{\Gamma \rightarrow \theta, \mathfrak{A}}$	$\frac{\mathfrak{A}, \mathfrak{A}, \Gamma \rightarrow \theta}{\mathfrak{A}, \Gamma \rightarrow \theta}$
Interchange	$\frac{\Gamma \rightarrow \Delta, \mathfrak{A}, \mathfrak{B}, \theta}{\Gamma \rightarrow \Delta, \mathfrak{B}, \mathfrak{A}, \theta}$	$\frac{\Gamma, \mathfrak{A}, \mathfrak{B}, \theta \rightarrow \Delta}{\Gamma, \mathfrak{B}, \mathfrak{A}, \theta \rightarrow \Delta}$
Cut	$\frac{\Delta \rightarrow \Delta, \mathfrak{A} \quad \mathfrak{A}, \Gamma \rightarrow \theta}{\Delta, \Gamma \rightarrow \Delta, \theta}$	

In the above schemata, $\mathfrak{A}, \mathfrak{B}, \mathfrak{C}, \dots$ are arbitrary formulae, a, b, c, \dots are free variables and s, t, \dots are terms. $\Gamma, \Delta, \theta, \dots$ are arbitrary finite sequences of zero or more formulae. For the detail on the schemata, see G. Gentzen [1], S. C. Kleene [2, 3].

In his papers, G. Gentzen [1] proved a principal theorem: any provable proposition in *LK*-system is provable without cut. And he observed that *the cut is equivalent to the mix rule*: let \mathfrak{A} be a formula,

$\Pi, \Phi, \Sigma, \Omega$ are sequences of zero or more formulae such that Φ and Σ contain \mathfrak{A} .

$\Phi_{\mathfrak{A}}$ and $\Sigma_{\mathfrak{A}}$ are the results of dropping all \mathfrak{A} in Φ and Σ respectively. Then

$$\text{mix} \quad \frac{\Pi \rightarrow \Phi, \Sigma \rightarrow \Omega}{\Pi, \Sigma_{\mathfrak{A}} \rightarrow \Phi_{\mathfrak{A}}, \Omega}$$

In this Note, we shall show the

Theorem 1. The cut in LK-system is replaced by

$$(*) \quad \frac{\Gamma \rightarrow \mathfrak{A} \supset \mathfrak{B}, \Delta \quad \Pi, \Gamma \rightarrow \mathfrak{A}, \mathfrak{E}}{\Gamma, \Pi \rightarrow \mathfrak{B}, \Delta, \mathfrak{E}}$$

Proof. Suppose the cut rule, then

$$\frac{\frac{\mathfrak{A} \rightarrow \mathfrak{A}, \mathfrak{B} \rightarrow \mathfrak{B}}{\Gamma \rightarrow \Delta, \mathfrak{A} \supset \mathfrak{B} \quad \mathfrak{A} \supset \mathfrak{B}, \mathfrak{A} \rightarrow \mathfrak{B}} \text{ cut}}{\Gamma, \mathfrak{A} \rightarrow \Delta, \mathfrak{B}} \text{ cut.}$$

$$\frac{\Pi, \Gamma \rightarrow \mathfrak{A}, \mathfrak{E} \quad \mathfrak{A}, \Gamma \rightarrow \Delta, \mathfrak{B}}{\Pi, \Gamma \rightarrow \mathfrak{B}, \Delta, \mathfrak{E}} \text{ cut.}$$

This shows (*)-rule. Conversely, assume that $\frac{\dots\dots}{\Delta \rightarrow \Lambda, \mathfrak{A} \quad \mathfrak{A}, \Gamma \rightarrow \theta}$, then

$$(*) \quad \frac{\frac{\frac{\mathfrak{A}, \Gamma \rightarrow \theta}{\Delta, \mathfrak{A}, \Gamma \rightarrow \theta}}{\Delta, \Gamma \rightarrow \mathfrak{A} \supset \theta} \quad \Delta \rightarrow \Lambda, \mathfrak{A}}{\Delta, \Gamma \rightarrow \Lambda, \theta}$$

Therefore, Theorem 1 is proved.

Theorem 2. Any provable proposition in LK-system is provable without ().*

Theorem 2 is formulated in Gentzen's intuitionistic LJ system as follows.

Theorem 3. The cut of LJ-system is replaced by

$$(**) \quad \frac{\Gamma \rightarrow \mathfrak{A} \supset \mathfrak{B} \quad \Gamma, \Pi \rightarrow \mathfrak{A}}{\Gamma, \Pi \rightarrow \mathfrak{B}}$$

The inference rules of LJ-system are the following rules:

Axiom schema

$$\mathfrak{A} \rightarrow \mathfrak{A}$$

Logical rules of inferences

	in succedent	in antecedent
\supset	$\frac{\mathfrak{A}, \Gamma \rightarrow \mathfrak{B}}{\Gamma \rightarrow \mathfrak{A} \& \mathfrak{B}}$	$\frac{\Gamma \rightarrow \mathfrak{A}, \mathfrak{B}, \Pi \rightarrow \Delta}{\mathfrak{A} \supset \mathfrak{B}, \Gamma, \Pi \rightarrow \Delta}$
$\&$	$\frac{\Gamma \rightarrow \mathfrak{A} \quad \Gamma \rightarrow \mathfrak{B}}{\Gamma \rightarrow \mathfrak{A} \& \mathfrak{B}}$	$\frac{\mathfrak{A}, \Gamma \rightarrow \theta}{\mathfrak{A} \& \mathfrak{B}, \Gamma \rightarrow \theta}$
		$\frac{\mathfrak{B}, \Gamma \rightarrow \theta}{\mathfrak{A} \& \mathfrak{B}, \Gamma \rightarrow \theta}$

$$\begin{array}{l}
 \vee \quad \frac{\Gamma \rightarrow \mathfrak{A}}{\Gamma \rightarrow \mathfrak{A} \vee \mathfrak{B}} \qquad \frac{\mathfrak{A}, \Gamma \rightarrow \theta \quad \mathfrak{B}, \Gamma \rightarrow \theta}{\mathfrak{A} \& \mathfrak{B}, \Gamma \rightarrow \theta} \\
 \\
 \neg \quad \frac{\Gamma \rightarrow \mathfrak{B}}{\Gamma \rightarrow \mathfrak{A} \vee \mathfrak{B}} \qquad \frac{\mathfrak{A}, \Gamma \rightarrow}{\Gamma \rightarrow \neg \mathfrak{A}} \qquad \frac{\Gamma \rightarrow \mathfrak{A}}{\neg \mathfrak{A}, \Gamma \rightarrow} \\
 \\
 \forall \quad \frac{\Gamma \rightarrow \mathfrak{A}(a)}{\Gamma \rightarrow \forall x \mathfrak{A}(x)} \qquad \frac{\mathfrak{A}(t), \Gamma \rightarrow \theta}{\forall x \mathfrak{A}(x), \Gamma \rightarrow \theta} \\
 \\
 \exists \quad \frac{\Gamma \rightarrow \mathfrak{A}(t)}{\Gamma \rightarrow \exists x \mathfrak{A}(x)} \qquad \frac{\mathfrak{A}(a), \Gamma \rightarrow \theta}{\exists x \mathfrak{A}(x), \Gamma \rightarrow \theta}
 \end{array}$$

Structural rules of inferences
in succedent

$$\frac{\Gamma \rightarrow}{\Gamma \rightarrow \mathfrak{A}}$$

in antecedent

$$\begin{array}{l}
 \frac{\Gamma \rightarrow \theta}{\mathfrak{A}, \Gamma} \\
 \frac{\mathfrak{A}, \mathfrak{A}, \Gamma \rightarrow \theta}{\mathfrak{A}, \Gamma \rightarrow \theta} \\
 \frac{\Gamma, \mathfrak{A}, \mathfrak{B}, \theta \rightarrow \Delta}{\Gamma, \mathfrak{B}, \mathfrak{A}, \theta \rightarrow \Delta}
 \end{array}$$

Cut rule

$$\frac{\Gamma \rightarrow \mathfrak{A} \quad \mathfrak{A}, \Pi \rightarrow \theta}{\Gamma, \Pi \rightarrow \theta}$$

The succedent for $\Gamma \rightarrow \Pi$ is empty or a formula. The restriction on variables in the schemata for \forall, \exists is as usual. For the detail, see G. Gentzen [1].

The proof of Theorem 3 is very similar to Theorem 2. Thus we shall omit it.

References

- [1] G. Gentzen: Untersuchungen über das logische Schliessen I, II, *Math. Z.*, **39**, 176-210, 405-431 (1934-5).
- [2] S. C. Kleene: *Introduction to Metamathematics*, New York (1952).
- [3] S. C. Kleene: Permutability of inferences in Gentzen's calculi *LK* and *LJ*, *Memoirs of Am. Math. Soc.*, No. 10 (1952).