

## 108. On Topological Properties of $W^*$ algebras

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1. In this paper, we shall show some topological properties of  $W^*$ -algebras and their applications. Main assertions are as follows: (1) *Any closed invariant subspace of the adjoint space of  $C^*$ -algebras is algebraically spanned by positive functionals belonging to itself* [Theorem 1]. (2) *The direct product  $M_1 \otimes M_2$  of  $W^*$ -algebras  $M_1$  and  $M_2$  is purely infinite, whenever either  $M_1$  or  $M_2$  is purely infinite* [Theorem 2]. This second assertion is the positive answer for a problem of J. Dixmier [4], and we can assert that all questions concerning the "type" of the direct product of  $W^*$ -algebras are now solved.

2. Let  $A$  be a  $C^*$ -algebra,  $\tilde{A}$  the adjoint space of  $A$ . A subspace  $V$  of  $A$  is said invariant, if  $f \in V$  means  $fa, bf \in V$  for  $a, b \in A$ , where  $\langle x, fa \rangle = \langle xa, f \rangle$  and  $\langle x, bf \rangle = \langle bx, f \rangle$ , where  $\langle x, f \rangle$  is the value of  $f$  at  $x (\in A)$ .

Theorem 1.<sup>1)</sup> *Any closed invariant subspace of  $\tilde{A}$  is algebraically spanned by positive functionals belonging to itself.*

Proof. Let  $\tilde{\tilde{A}}$  be the second adjoint space of  $A$ , then by Shermann's theorem (cf. [10])  $\tilde{\tilde{A}}$  is considered a  $W^*$ -algebra and  $A$  is a  $C^*$ -subalgebra of  $\tilde{\tilde{A}}$ , when it is canonically imbedded into  $\tilde{\tilde{A}}$  as a Banach space.

Let  $V^0$  be the polar of  $V$  in  $\tilde{\tilde{A}}$ , that is,  $V^0 = \{a \mid |\langle a, f \rangle| \leq 1, a \in \tilde{\tilde{A}} \text{ and all } f \in V\}$ , then it is a  $\sigma(\tilde{\tilde{A}}, \tilde{\tilde{A}})$ -closed ideal of  $A$ , for  $|\langle bac, V \rangle| = |\langle a, bVc \rangle| = |\langle a, V \rangle| \leq 1$  for  $a \in V^0$  and  $b, c \in A$ ; hence  $bac \in V^0$ . Since  $A$  is  $\sigma(\tilde{\tilde{A}}, \tilde{\tilde{A}})$ -dense in  $\tilde{\tilde{A}}$  and  $V^0$  is  $\sigma(\tilde{\tilde{A}}, \tilde{\tilde{A}})$ -closed, this means  $bac \in V^0$  for  $b, c \in \tilde{\tilde{A}}$ , so that  $V^0$  is an ideal.

On the other hand, by a classical theorem of Banach spaces, the adjoint space of  $V$  is considered the quotient space  $\tilde{\tilde{A}}/V^0$ . Since  $\tilde{\tilde{A}}/V^0$  is a  $C^*$ -algebra, by the author's theorem [8, 9] it is a  $W^*$ -algebra and  $\sigma(\tilde{\tilde{A}}/V^0, V)$  is the  $\sigma$ -weak topology of  $\tilde{\tilde{A}}/V^0$ , that is, composed of all  $\sigma$ -weakly continuous linear functionals on  $\tilde{\tilde{A}}/V^0$ ; hence by Dixmier's theorem [3]  $V$  is algebraically spanned by positive functionals belonging to itself. Moreover, since the positiveness of elements of  $V$  on  $\tilde{\tilde{A}}/V^0$  means the positiveness on  $A$ , this completes the proof.

Now, let  $\nu$  be a measure on a measure space and  $L^1(\nu)$  and  $L^\infty(\nu)$

be the spaces  $L^1$  and  $L^\infty$  constructed on  $\nu$ , then we know that  $L^1(\nu)$  is  $\sigma(L^1, L^\infty)$ -sequentially complete. Applying Theorem 1, we will extend this to the following

**Proposition 1.**<sup>2)</sup> *Let  $M$  be a  $W^*$ -algebra,  $M_*$  the Banach space of all  $\sigma$ -weakly continuous linear functionals on  $M$ , then  $M_*$  is  $\sigma(M_*, M)$ -sequentially complete.*

**Proof.** Let  $f$  be an element of the adjoint space  $\tilde{M}$  such that  $\lim_n \langle x, f_n \rangle = \langle x, f \rangle$  for a sequence  $(f_n) \subset M_*$  and all  $x \in M$ . Then particularly,  $\lim_n \langle x, f_n \rangle = \langle x, f \rangle$  for  $x \in uC$ , where  $u$  is any unitary element and  $C$  is any maximal abelian self-adjoint subalgebra of  $M$ . Since  $uC$  is  $\sigma$ -weakly closed in  $M$ , it is considered the adjoint space of the restriction  $(M_*)_{uC}$  of  $M_*$  on  $uC$ ; hence by the author's theorem [8]  $(M_*)_{uC}$  is an  $L^1$ -space as a Banach space. Therefore, since  $(M_*)_{uC}$  is  $\sigma((M_*)_{uC}, uC)$ -sequentially complete by a classical theorem, the restriction  $(f)_{uC}$  of  $f$  on  $uC$  belongs to  $(M_*)_{uC}$ ; hence  $f$  is  $\sigma$ -weakly continuous on  $uC$  and analogously it is continuous on  $Cu$ . Now, let  $V$  be a subspace of all bounded linear functionals which are  $\sigma$ -weakly continuous on  $uC$  and  $Cu$  for all  $u$  and  $C$ , then it is closed in  $\tilde{M}$ . Moreover, since every element of  $M$  is expressed as a finite linear combination of unitary elements,  $V$  is invariant; hence by Theorem 1 it is algebraically spanned by positive functionals belonging to itself. Suppose that a positive  $\varphi \in V$ , then it is  $\sigma$ -weakly continuous on every maximal abelian self-adjoint subalgebra, so that it is completely additive; hence by Dixmier's theorem [3] it is  $\sigma$ -weakly continuous on  $M$ , and so every element of  $V$  is also so. This completes the proof of Proposition 1.

The above proposition has been proved by H. Umegaki [11] for a  $W^*$ -algebra of finite type.

**Remark.** Theorem 1 has some other applications; for example, it is of use in case which deals with "not necessarily adjoint preserving" homomorphisms of  $C^*$ -algebras.

Next we shall show an example of topological property which is negative in non-abelian case. Let  $I$  be a discrete locally compact space, and consider on  $I$  the measure which, to each point of  $I$ , attaches the mass  $+1$ . The corresponding  $L^p$ -spaces are denoted by  $l^p$  and the Banach space of complex valued continuous functions which vanish at

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1)2) Added in Proof. Combining Theorem 1 with a recent publication of A. Grothendieck [12], it implies that a Jordan decomposition is possible in any invariant closed subspace. Proposition 1 is more directly obtained from the result of Grothendieck—in fact, his result implies as a corollary that a bounded linear functional on a  $W^*$ -algebra is  $\sigma$ -weakly continuous if it is so on any maximal abelian self-adjoint subalgebra.

infinity by  $c_0$ . Then  $\tilde{\sigma}_0=l^1$  and  $\tilde{l}^1=l^\infty$ . Moreover,  $\sigma(l^1, l^\infty)$ -sequentially convergence in  $l^1$  is equivalent to norm-convergence in  $l^1$  [1, p. 137]. Now this fact has the following analogy [2, 7]: Let  $H$  be a Hilbert space,  $\mathcal{C}$  the Banach space of all completely continuous linear operators on  $H$ ,  $T$  the Banach space with the trace-norm of all trace-class linear operators on  $H$  and  $B$  the Banach space of all bounded linear operators, then  $\tilde{\mathcal{C}}=T$  and  $\tilde{T}=B$ . J. Dixmier [2] raised a question as follows: *Is  $\sigma(T, B)$ -sequential convergence in  $T$  equivalent to norm-convergence in it?*

We will show that the answer<sup>3)</sup> for this is negative. Let  $H$  be a separable Hilbert space,  $(\psi_i)$  a complete orthonormal basis of  $H$  and  $e$  be the projection of  $H$  onto one-dimensional subspace  $(\lambda\psi_1)$ , then a vector space  $Be$  is a closed subspace of  $T$ . We consider matrix representation of  $Be$ . Then,

$$\begin{aligned}
 xe &= \left\| \begin{array}{cccc} a_{11} & 0 & 0 & 0 \dots \\ a_{21} & 0 & 0 & 0 \dots \\ a_{31} & 0 & 0 & 0 \dots \\ \vdots & \dots & \dots & \dots \\ \vdots & \dots & \dots & \dots \end{array} \right\|, \quad \text{Tr}((ex^*xe)^{\frac{1}{2}}) = \text{Tr} \left( \left\| \begin{array}{cccc} \sum_{i=1}^{\infty} |a_{i1}|^2 & 0 & 0 & 0 \dots \\ 0 & 0 & 0 & 0 \dots \\ 0 & 0 & 0 & 0 \dots \\ \dots & \dots & \dots & \dots \\ \dots & \dots & \dots & \dots \end{array} \right\|^{\frac{1}{2}} \right) \\
 &= \text{Tr} \left( \left\| \begin{array}{cccc} (\sum_{i=1}^{\infty} |a_{i1}|^2)^{\frac{1}{2}} & 0 & 0 & 0 \dots \\ 0 & 0 & 0 & 0 \dots \\ 0 & 0 & 0 & 0 \dots \\ \dots & \dots & \dots & \dots \\ \dots & \dots & \dots & \dots \end{array} \right\| \right) = (\sum_{i=1}^{\infty} |a_{i1}|^2)^{\frac{1}{2}}.
 \end{aligned}$$

Hence a closed subspace  $Be$  in  $T$  is isometric to a Hilbert space  $l^2$ . Let  $(x_n e)$  be a complete orthonormal basis in the Hilbert space  $Be$ , then  $\text{Tr}(yx_n e) = \text{Tr}(eyx_n e) \rightarrow 0$  ( $n \rightarrow \infty$ ) for any  $y \in B$ , so that  $(x_n e)$  is  $\sigma(T, B)$ -convergent to 0. On the other hand,  $\|x_n e\|_1 = 1$ , where  $\|\cdot\|_1$  is the norm of  $T$ . Moreover, put  $a_n = x_n e + ex_n^*$  and  $b_n = ix_n e - ix_n^*$ , then  $(a_n)$  and  $(b_n)$  are  $\rho(T, B)$ -convergent to 0. Suppose that  $\|a_n\|_1 \rightarrow 0$  and  $\|b_n\|_1 \rightarrow 0$ , then  $\|a_n - ib_n\|_1 = 2\|x_n e\|_1 \rightarrow 0$ , and this is a contradiction. Therefore, in  $T$  and more strongly in the self-adjoint portion of  $T$ ,  $\sigma(T, B)$ -sequential convergence is not equivalent to norm-convergence.

3. Finally we shall notice a topological property which has a useful application. Let  $M$  be a semi-finite  $W^*$ -algebra in the sense of Dixmier [4], then by Dixmier's result there is a faithful normal semi-trace  $\varphi$  such that the algebraic span  $\mathfrak{M}$  of  $\{a \mid \varphi(a) < \infty, a \in M^+\}$  is a  $\sigma$ -weakly dense ideal in  $M$ , where  $M^+$  is the positive portion of  $M$ .

Proposition 2. *Let  $e$  be a projection belonging to  $\mathfrak{M}$ , then the adjoint operation is strongly continuous on bounded spheres of  $Me$ .*

3) Added in Proof. J. Dixmier communicates to me that this example has been also constructed by A. Grothendieck.

Proof. Put  $a\varphi(x)=\varphi(ax)$  ( $a \in \mathfrak{M}$ ), then  $\{a\varphi \mid a \in \mathfrak{M}\}$  is a total set of  $\sigma$ -weakly continuous linear functionals, that is,  $a\varphi(x)=0$  for all  $a \in \mathfrak{M}$  implies  $x=0$ . Suppose that  $(x_\alpha e)$  ( $\|x_\alpha e\| \leq 1$ ) converges strongly to 0, then  $|\varphi((x_\alpha e)(x_\alpha e)^*)| = |\varphi(ax_\alpha e e x_\alpha^*)| = |\varphi(e x_\alpha^* a x_\alpha e)| = |e\varphi(e x_\alpha^* a x_\alpha e)| \leq |e\varphi(e x_\alpha^* x_\alpha e)|^{\frac{1}{2}} |e\varphi(e x_\alpha^* a^* a x_\alpha e)|^{\frac{1}{2}} \rightarrow 0$ ; hence a bounded set  $\{(x_\alpha e)(x_\alpha e)^*\}$  converges  $\sigma$ -weakly to 0, so that  $\{(x_\alpha e)^*\}$  converges strongly to 0, which completes the proof.

The restriction “ $Me$ ” in the above proposition is essential—in fact the adjoint operation is not strongly continuous on bounded spheres of  $eM$  as follows: let  $(\psi_i)$  be a complete orthonormal basis of an infinite dimensional Hilbert space  $H$ ,  $(a_i)$  be a family of bounded operators such that  $a_i \psi_i = \psi_1$  and  $a_i \psi_j = 0$  ( $i \neq j$ ), then  $\|a_i\|=1$  and  $(a_i)$  is strongly convergent to 0. On the other hand, since  $a_i^* \psi_1 = \psi_i$ ,  $(a_i^*)$  is not strongly convergent to 0.

Proposition 2'. Let  $M$  be a purely infinite  $W^*$ -algebra in the sense of Dixmier and let  $e$  be a non-zero projection of  $M$ , then the adjoint operation is not strongly continuous on bounded spheres of  $eMe$ .

Proof. Since  $eMe$  is also purely infinite, it is enough to suppose that  $e=I$ , where  $I$  is the unit of  $M$ . Then since  $M$  contains a  $\sigma$ -weakly closed subalgebra  $N$  which is a factor of type  $I_\infty$ , by the above consideration the adjoint operation is not strongly continuous on bounded spheres of  $M$ , which completes the proof.

Now we shall show an application of Propositions 2 and 2' to the study of direct products of general  $W^*$ -algebras [4, 5]. J. Dixmier raises a problem concerning the direct product of  $W^*$ -algebras as follows: Let  $M_1$  and  $M_2$  be  $W^*$ -algebras, one of which is purely infinite. Then, can we conclude that the direct product  $M_1 \otimes M_2$  is also purely infinite? We show that the answer for this problem is positive.

Let  $M_1$  and  $M_2$  be  $W^*$ -algebras on Hilbert spaces  $H_1$  and  $H_2$  respectively. Then the direct product  $M_1 \otimes M_2$  is defined as the weak closure of the algebraic direct product on  $H_1 \otimes H_2$ . For our purpose, we shall refer to the results and the notations of Murray-von Neumann [6, pp. 127–138]. Though these are obtained under the assumption of separability, it is easy to extend them to the general case.

Let  $B_1$  and  $B_2$  be the algebras of all bounded operators on Hilbert spaces  $H_1$  and  $H_2$ , then the operator  $a$  in  $H_1 \otimes H_2$  can be represented by an operator matrix  $\langle a_{\alpha, \beta} \rangle$  ( $a_{\alpha, \beta} \in B_2$ ) [6, Lemma 2.4.3]. Let  $M_2$  be a  $W^*$ -algebra on  $H_2$ , then it is easily seen that the element  $b$  in  $B_1 \otimes M_2$  is expressed by  $\langle b_{\alpha, \beta} \rangle$  ( $b_{\alpha, \beta} \in M_2$ ) under the above representation. Now put  $P_\gamma(\langle b_{\alpha, \beta} \rangle) = \langle \delta_{\alpha, \beta} b_{\gamma, \gamma} \rangle$  for all  $\gamma$ , where  $\delta_{\alpha, \beta}$  is the Kronecker's symbol, then  $P_\gamma$  are considered as linear mappings of  $B_1 \otimes M_2$  onto  $I_1 \otimes M_2$ , where  $I_i$  ( $i=1,2$ ) is the unit of  $B_i$ , and we can show the following

properties:

- (1)  $P_\gamma(I)=I$ , where  $I$  is the unit of  $B_1 \otimes B_2$ ,
- (2)  $\|P_\gamma(b)\| \leq \|b\|$
- (3)  $P_\gamma(h) \geq 0$  for  $h \geq 0$ ,
- (4)  $P_\gamma(ubv)=uP(b)v$  for  $u, v \in I_1 \otimes M_2$ ,
- (5)  $P_\gamma(b)^*P_\gamma(b) \leq P_\gamma(b^*b)$ ,
- (6)  $P_\gamma$  are weakly and strongly continuous on bounded spheres, and
- (7)  $P_\gamma(b^*b)=0$  for all  $\gamma$  imply  $b=0$ .

Since these properties are easily seen by a direct calculation according to the rules of computation in Murray-von Neumann's lemmas, we shall restrict the proof to (5)-(7).

$$(5) \quad P_\gamma(\langle b_{\beta, \alpha}^* \rangle \langle b_{\alpha, \beta} \rangle) = P_\gamma(\langle \sum_{\zeta} b_{\alpha, \zeta}^* b_{\beta, \zeta} \rangle) = \langle \delta_{\alpha, \beta} (\sum_{\zeta} b_{\gamma, \zeta}^* b_{\gamma, \zeta}) \rangle \geq \langle \delta_{\alpha, \beta} b_{\gamma, \gamma}^* b_{\gamma, \gamma} \rangle = P_\gamma(b)^* P_\gamma(b).$$

(6) By the definition 2.4.2 of [6],  $b \langle 0, 0, \dots, \psi_\gamma, 0, \dots \rangle = \langle b_{\gamma, 1} \psi_\gamma, b_{\gamma, 2} \psi_\gamma, \dots, b_{\gamma, \gamma} \psi_\gamma, \dots \rangle$  and so the mappings  $b \rightarrow b_{\gamma, \tau} \rightarrow I_1 \otimes b_{\gamma, \tau}$  are weakly continuous on bounded spheres. Then, the weak continuity on bounded spheres and (5) imply the strong continuity on bounded spheres.

(7) Since  $P_\gamma(b^*b) = \langle \delta_{\alpha, \beta} (\sum_{\zeta} b_{\gamma, \zeta}^* b_{\gamma, \zeta}) \rangle$ ,  $P_\gamma(b^*b)=0$  for all  $\gamma$  imply  $b_{\gamma, \zeta}=0$  for all  $\gamma, \zeta$ ; hence  $b=0$ . This completes the proof.

Let  $M_1$  be a  $W^*$ -algebra on  $H_1$ , then the direct product  $M_1 \otimes M_2$  is a subalgebra of  $B_1 \otimes M_2$ , and so the restriction of  $P_\gamma$  to  $M_1 \otimes M_2$  defines a linear mapping of  $M_1 \otimes M_2$  onto  $I_1 \otimes M_2$ . Therefore, we obtain

**Proposition 3.** *There is a family  $(Q_\gamma)$  of linear mappings of  $M_1 \otimes M_2$  onto  $I_1 \otimes M_2$  satisfying the properties (1)-(7).*

**Theorem 2.** *Let  $M_1$  and  $M_2$  be  $W^*$ -algebras, one of which is purely infinite. Then the direct product  $M_1 \otimes M_2$  is also purely infinite.*

**Proof.** Suppose that  $M_2$  is purely infinite and that there is a non-zero central projection  $z$  of  $M_1 \otimes M_2$  such that  $(M_1 \otimes M_2)z$  is semi-finite, and let  $\mathfrak{M}$  be an ideal of  $(M_1 \otimes M_2)z$  in Proposition 2. By Proposition 3 there is a mapping  $Q_{r_0}$  of  $M_1 \otimes M_2$  onto  $I_1 \otimes M_2$  such that  $Q_{r_0}(e) \neq 0$  for some projection  $e \in \mathfrak{M}$ . Since  $Q_{r_0}(e) > 0$ , there are a non-zero projection  $p \in (I_1 \otimes M_2)$  and a positive number  $\lambda (> 0)$  such that  $\lambda p \leq Q_{r_0}(e)$ .

Suppose that  $(x_\alpha)$  ( $\|x_\alpha\| \leq 1, x_\alpha \in p(I_1 \otimes M_2)p$ ) converges strongly to 0, then  $(x_\alpha e)$  converges strongly to 0; hence by Proposition 2  $(ex_\alpha^*)$  converges strongly to 0, and so by the strong continuity on bounded spheres of  $Q_{r_0}$ ,  $Q_{r_0}(ex_\alpha^*) = Q_{r_0}(e)x_\alpha^*$  converges strongly to 0, so that  $(pQ_{r_0}(e)p + I - p)^{-1} pQ_{r_0}(e)x_\alpha^* = (pQ_{r_0}(e)p + I - p)^{-1} pQ_{r_0}(e)px_\alpha^* = x_\alpha^*$  converges strongly to 0. Therefore, the adjoint operation is strongly continuous on bounded spheres of  $p(I_1 \otimes M_2)p$ , and this contradicts Proposition 2', which completes the proof.

As J. Dixmier [4, l'exercice 4, p. 250] points out, Theorem 2 implies very easily the following

**Corollary.** *If  $M_1$  is a continuous  $W^*$ -algebra and  $M_2$  an arbi-*

trary  $W^*$ -algebra, then the direct product  $M_1 \otimes M_2$  is continuous.

Therefore, we can say that all questions concerning the "type" of the direct product of  $W^*$ -algebras are now solved.

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