

## 58. On Locally $Q$ -complete Spaces. II

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1. In this paper we shall consider the problems characterizing a given space  $X$  by a ring of continuous functions defined on  $X$ .<sup>1)</sup> Shirota [1] has proved that if  $X$  is a  $Q$ -space, then  $C(X)$  characterizes  $X$ , that is, the ring isomorphism of  $C(X)$  onto  $C(Y)$  implies that  $X$  is homeomorphic to  $Y$  for any  $Q$ -spaces  $X$  and  $Y$ . In general, it is easy to see that  $C(X)$  or  $B(X)$  does not characterize  $X$ . But under some conditions on a ring isomorphism this problem is solved in the affirmative [2, 6]. On the other hand, Shanks [3] and Ishii [4] have proved that if  $X$  is locally compact, then  $C_k(X)$ <sup>2)</sup> characterizes  $X$ .

In this paper, we shall generalize Shanks' theorem and it will be shown that for any locally  $Q$ -complete space  $X$  which is not compact, there is a subring of  $C(X)$  on which any non-trivial ring homomorphism<sup>3)</sup> is a point ring homomorphism. Moreover we shall prove that such a subring characterizes  $X$ .

### 2. Extension of functions

Let  $f \in C(X)$  and  $\tilde{f}$  be a continuous extension over  $\beta X$  of  $f$  (if it exists, i.e.  $f$  is bounded). If  $f$  can be continuously extended over a point  $p \in \beta X - X$ ,  $f$  has a finite value at the point  $p$ . If  $f$  is not continuously extended over the point  $p$ , then for any  $m > 0$ ,  $f_m = (f \wedge m) \vee (-m)$ <sup>4)</sup> has a continuous extension  $\tilde{f}_m$  because  $f_m$  is bounded. It is easily seen that  $\tilde{f}_m(p) = m$ . Therefore there exists a neighborhood (in  $X$ )<sup>5)</sup> of the point  $p$  on which  $f > n$  for a given integer  $n > 0$ . Let

1) A space  $X$  considered here is always a completely regular  $T_1$ -space, and other terminologies used here, for instance  $C(X)$ , are the same as in [7].

2)  $C_k(X)$  is a ring consisting of all continuous functions which have compact supports.

3) A non-trivial *ring homomorphism* of a subring  $C_1$  of  $C(X)$  means a ring homomorphism of  $C_1$  onto  $R$  where  $R$  is a ring of all real numbers. But a ring homomorphism is not necessarily *linear*, for  $C_1$  does not necessarily contain constant functions. A point ring homomorphism  $\varphi$  is defined by  $\varphi(f) = f(p)$  for all  $f \in C_1$  where  $p$  is a fixed point in  $X$ . In this case  $\varphi$  is completely determined by the point  $p$ , and hence we shall write  $\varphi = \varphi_p$ . A ring homomorphism  $\varphi$  is called to be *trivial* if  $\varphi(f) = 0$  for all  $f \in C_1$ .

4) For any constant  $m$ , where no confusion will arise, we use the same letter  $m$  for a function which takes a constant value  $m$  on  $X$ . The symbols " $\vee$ " and " $\wedge$ " are used in the following sense:

$$(f \vee g)(x) = \max(f(x), g(x)) \quad \text{and} \quad (f \wedge g)(x) = \min(f(x), g(x)).$$

5) A *neighborhood (in  $X$ ) of  $x^*$*  means a set  $U$  such that  $U = X \cap V$  where  $V$  is a neighborhood of  $x^*$  in  $\beta X$ .

$C(f) = X \setminus \{x; x \in \beta X - X, f \text{ can be continuously extended over } \{x\}\}$ .

From these arguments we have

**Lemma 1.** *Let  $f \in C(X)$  and  $x^* \notin C(f)$ ; then there is a neighborhood of  $x^*$  (in  $X$ ) on which  $f > n$  for any given integer  $n > 0$ .*

**Lemma 2.** *Under the same conditions as in Lemma 1, if we put  $g = f/\max(q, f^2)$  for any  $q > 1$ , then we have  $\tilde{g}(x^*) = 0$ .*

*Proof.* Since  $g$  is bounded,  $g$  has a continuous extension  $\tilde{g}$ .  $C(f) \ni x^*$  implies that for any  $m > q$ , there is a neighborhood  $U$  (in  $X$ ) on which  $f > m$  by Lemma 1. Therefore  $g|_U = 1/f < 1/m$ . This means that  $\tilde{g}(x^*) = 0$ .

**Lemma 3.** *Under the same conditions as in Lemma 1, we have  $(\tilde{fg})(x^*) = 1$  for any  $q > 1$ .*

*Proof.* For any  $q > 1$ , the set  $A = \{x; |f(x)| \geq q\}$  is not void because  $f$  is not bounded. By the definition of  $g$ , it is obvious that  $fg = 1$  on  $A$ . Since  $x^* \notin C(f)$ ,  $A$  contains some neighborhood (in  $X$ ) of  $x^*$  on which  $f > q$  by Lemma 1. Therefore it is easily verified that  $(\tilde{fg})(x^*) = 1$ .

### 3. Subring $C_B(X)$

In §§ 3 and 4, we assume that  $X$  is locally  $Q$ -complete but not compact and  $B$  is a compact subset of  $\beta X$  contained in  $\beta X - X$  such that i) in case  $X$  is a  $Q$ -space,  $B$  is any compact subset, ii) in case  $X$  is not a  $Q$ -space,  $B$  is any compact subset containing  $(\nu X - X)^\beta$ .<sup>6)</sup> In case ii)  $B$  is disjoint from  $X$  because  $X$  is open in  $\nu X$  by Theorem 2 in [7]. Let us put  $Y = \beta X - B$ , and  $C_B(X)$  be a set of all functions in  $C(X)$  which have the property such that  $Z(f)^\beta$  contains a neighborhood (in  $\beta X$ ) of  $B$  where  $Z(f) = \{x; f(x) = 0, x \in X\}$ .

**Lemma 4.** *If  $f, g \in C_B(X)$ , then  $f + g \in C_B(X)$ .*

*Proof.* Suppose that  $Z(f)^\beta$  (or  $Z(g)^\beta$ ) contains an open neighborhood  $U$  (in  $\beta X$ ) (or  $V$  (in  $\beta X$ )) of  $B$ .  $U \cap V$  is an open neighborhood (in  $\beta X$ ) of  $B$  and  $W = X \cap (U \cap V)$  is a non-void open subset of  $X$ , because  $X$  is dense in  $\beta X$ . By the definition, both  $f$  and  $g$  vanish on  $W$ . Since  $(U \cap V)$  is open and  $X$  is dense in  $\beta X$ , it is obvious that  $W^\beta \supset U \cap V$ , and hence  $Z(f+g)^\beta \supset W^\beta \supset U \cap V$ . This means that  $f+g \in C_B(X)$ .

If  $C_B(X) \ni f$ , then for any  $g \in C(X)$ , it is easily verified that  $fg \in C_B(X)$ . Thus  $C_B(X)$  is an ideal of  $C(X)$ . On the other hand,  $C_k(Y)$  is considered as a subring contained in  $C_B(X)$ , since  $X$  is dense in  $Y$  and  $Y$  is locally compact.

**Theorem 1.** *Let  $X$  be locally  $Q$ -complete but not compact. If  $B$  is a compact subset of  $\beta X$  contained in  $\beta X - X$  such that i) in case  $X$  is a  $Q$ -space  $B$  is any compact subset, ii) in case  $X$  is not a  $Q$ -space  $B$  is any compact subset containing  $(\nu X - X)$ , then any non-trivial*

6)  $A^\beta$  denotes a closure (in  $\beta X$ ) of  $A$  where  $A$  is any subset.

ring homomorphism of  $C_B(X)$  is a point ring homomorphism.

*Proof.* If  $X$  is pseudo-compact, then we have  $\nu X - X = \beta X - X$ , that is,  $C_B(X) = C_k(X)$ , and hence we can assume that  $X$  is not pseudo-compact. Let  $\varphi$  be a non-trivial ring homomorphism of  $C = C_B(X)$ ; then  $\varphi$  can be regarded as a non-trivial ring homomorphism of  $C_k(Y)$  where  $Y = \beta X - B$ . For if  $\varphi = 0$  on  $C_k(Y)$ , then for any  $f \in C$  there exists a  $g \in C_k(Y)$  such that  $g = 1$  on  $\{x; f(x) \neq 0\}$ . Therefore we have  $\varphi(f) = \varphi(fg) = \varphi(f)\varphi(g) = \varphi(f) \cdot 0 = 0$ . This means that  $\varphi = 0$  on  $C$ . Therefore, by Theorem 5 (Ishii [4])  $\varphi$  becomes a point ring homomorphism of  $C_k(Y)$ , that is, there is a point  $x^*$  in  $Y$  such that  $\varphi_{x^*} = \varphi$ , i.e.  $\varphi(f) = f(x^*)$  for all  $f \in C_k(Y)$ . Let  $f$  be any function in  $C$ . Since  $g = f/\max(1, f^2)$  is bounded and  $g = 0$  on  $Z(f)$ , we can consider  $g$  as a function of  $C_k(Y)$ . Similarly  $fg$  is also regarded as a function of  $C_k(Y)$ . From the remark above and the fact that  $\varphi$  is a ring homomorphism, we have  $\varphi(fg) = (\widetilde{fg})(x^*)$  and  $\varphi(fg) = \varphi(f)\varphi(g) = \varphi(f)\widetilde{g}(x^*)$ . Now suppose that  $x^* \in Y - X$  and  $C(f) \not\ni x^*$ . By Lemmas 2 and 3 we have  $(\widetilde{fg})(x^*) = 1$  and  $\widetilde{g}(x^*) = 0$ . This is a contradiction. Thus either  $X$  contains  $x^*$  or  $C(f) \ni x^*$ . In case  $X$  contains  $x^*$ , then  $\varphi$  is a point ring homomorphism, because for any  $g \in C - C_k(Y)$ , we take a function  $k$  in  $C_k(Y)$  such that  $k(x^*) = 1$  and  $k(x) = 0$  for  $x \in \{y; g(x^*) - 1 < g(y) < g(x^*) + 1\} \cap U \cap X$  where  $U$  is a neighborhood of  $x^*$  which is disjoint from a neighborhood (in  $\beta X$ ) of  $B$ . Then  $kg \in C_B(X)$  and  $\varphi(kg) = (\widetilde{kg})(x^*) = g(x^*)$ . On the other hand,  $\varphi(kg) = \varphi(k)\varphi(g) = k(x^*)\varphi(g)$ . This means that  $g(x^*) = \varphi(g)$ . Therefore we shall consider the remainder case:  $Y - X$  contains  $x^*$  and  $C(f) \ni x^*$  for all  $f \in C$ . But in the following we shall prove that this case does not happen. Since  $Y - X \subset \beta X - B - X \subset \nu X$ , we have  $(Y - X) \cap (\nu X - X) = \emptyset$ , that is,  $\nu X - X \not\ni x^*$ . By (v) in [5],  $x^*$  is contained in  $G_\delta$ -set of  $\beta X$  which is disjoint from  $\nu X$ . Therefore there is a function  $f \in B(X)$  such that  $\widetilde{f}(x^*) = 0$  and  $f > 0$  on  $X$ . On the other hand,  $\beta X$  is normal, there is a function  $h$  on  $\beta X$  such that  $h(B) = -1$  and  $h(x^*) = 1$ . It is easy to see that  $((h|X) \vee 0)/f$  is not bounded on  $X$ . By the method of construction of  $h$ ,  $((h|X) \vee 0)/f$  is a function contained in  $C_B(X)$ , and hence we have proved that there is a non-bounded function in  $C_B(X)$  which can not be continuously extended over the point  $x^*$ . Thus the ring homomorphism  $\varphi$  must be a point ring homomorphism  $\varphi_{x^*}$ ,  $x^* \in X$ .

Theorem 1 shows that there are no maximal ideals, except fixed maximal ideals, by which the residue class rings are isomorphic to the ring of all real numbers.

Next we shall introduce a topology in  $\widehat{X}$  which is a set of all fixed maximal ideals in  $C_B(X)$  as follows:

$$Cl(\widehat{A}) \ni I(a) \leftrightarrow \bigcap_{x \in A} I(x) \quad I(a)$$

where  $\hat{A} = \{I(x); x \in A \subset X\}$ , and  $I(x)$  denotes a maximal ideal whose element vanishes at the point  $x$ . Then it is easily seen, using the same method as in [6], that the mapping  $x \rightarrow I(x)$  gives a homeomorphism of  $X$  onto  $\hat{X}$  [6].

From Theorem 1 and the definition of topology of  $\hat{X}$ , we have

**Theorem 2.** *Let  $X$  be locally  $Q$ -complete but not compact and let  $B$  be any compact subset contained in  $\beta X - X$  such that i) in case  $X$  is a  $Q$ -space,  $B$  is any compact subset, ii) in case  $X$  is not a  $Q$ -space,  $B$  is any compact subset containing  $(\nu X - X)^\beta$ ; then  $C_B(X)$  determines  $X$ .*

Any  $Q$ -space is locally compact, and moreover any locally compact space is always locally  $Q$ -complete [7]. Thus we have obtained a subring of  $C(X)$  which determines  $X$ , for any locally  $Q$ -complete space which is not compact.

#### 4. Subring $C_\nu(X)$

In this section we shall moreover assume that  $X$  is not a  $Q$ -space. We denote by  $C_\nu(X)$  the subring of  $C(X)$  whose extension over  $\nu X$  vanishes on  $\nu X - X$ . Then we have

i)  $Z(f) \neq \theta$  for any  $f \in C_\nu(X)$ . For if  $Z(f) = \theta$ , then  $1/f \in C(X)$  but  $1/f$  has not a continuous extension over  $\nu X$  because  $f(\nu X - X) = 0$ . This is a contradiction.

ii)  $Z(f)^\beta$  contains  $B = (\nu X - X)^\beta$  for any  $f \in C_\nu(X)$ . We assume that, no loss of generality, that  $f \geq 0$ . It is sufficient to prove that  $Z(f)^\beta \supset \nu X - X$ . If there is a point  $b \in \nu X - X - Z(f)^\beta$ , there is a function  $g$  in  $C(\nu X)$  such that  $g(b) = 0$ ,  $g(Z(f)^\beta \smile \nu X) = 1$ , and  $f$  is positive on some neighborhood of  $b$ . Then  $f + g$  is positive on  $X$  and  $f + g$  has an extension over  $\nu X$  and  $(f + g)(b) = 0$ . On the other hand,  $1/(f + g) \in C(X)$  and it has a continuous extension over  $\nu X$ . This is a contradiction.

iii) If  $C_B(X) = C_\nu(X)$ , then  $\nu X - X$  is compact. Suppose that there is a point  $b$  in  $B - (\nu X - X)$ . Since  $\nu X$  is a  $Q$ -space, there is a continuous function on  $\beta X$  such that  $f(b) = 0$  and  $f$  is positive on  $X$  because  $b$  is contained in a  $G_\delta$ -set of  $\beta X$  which is disjoint from  $\nu X$  [5]. On the other hand, since  $\beta X$  is compact, there is a continuous function  $g$  such that  $g(B) = 0$  and  $g$  is not identically zero on  $X$ . Then  $f + g \in C(X)$  but  $Z(f + g)^\beta$  contains no neighborhoods of  $B$  by the method of construction of  $f$ . Therefore  $\nu X - X$  coincides with  $B$  and hence it is compact.

The converse of iii) does not hold. Such an example is given by the following space  $X$ .

**Example.**  $X = [1, \Omega] \times [1, \omega] - (\Omega, \omega)$  where  $\omega$  and  $\Omega$  are the first ordinals of the second and the third classes respectively. Then  $X$  is pseudo-compact and locally compact moreover  $\beta X = X \smile \{(\Omega, \omega)\}$ . Thus

$C_B(X) = C_c(X)$  and  $C_\nu(X)$  contains a continuous function  $g$  defined by  $g(\alpha, n) = 1/n$  and  $g(\alpha, \omega) = 0$  where  $1 \leq \alpha \leq \Omega$ . It is obvious that  $C_B(X)$  does not contain  $g$ , and hence  $C_B(X) \neq C_\nu(X)$  even if  $\nu X - X$  consists of only one point  $(\Omega, \omega)$  (and hence compact).

We notice that the point  $p = (\Omega, \omega)$  is not a  $P$ -point<sup>7)</sup> of  $\beta X$  and in this case,  $\beta X$  is considered as a natural one-point  $Q$ -completion of  $X$ .

Let  $X_\nu$  be the natural one-point  $Q$ -completion of  $X$  and  $p$  be an adjoined point, i.e.  $X_\nu = X \cup \{p\}$  (see [7]).

**Theorem 3.** *Suppose that  $X$  is locally  $Q$ -complete but not a  $Q$ -space. Then  $C_\nu(X) = C_B(X)$  if and only if an adjoined point  $p$  of the natural one-point  $Q$ -completion  $X_\nu$  of  $X$  is a  $P$ -point of  $X_\nu$ , where  $B = (\nu X - X)^\beta$ .*

*Proof.*  $C_\nu(X)$  can be regarded as a subset of  $C(X_\nu)$  consisting of all elements of  $C(X_\nu)$  which vanish at the point  $p$ . Therefore it is easily verified that if  $C_\nu(X) = C_B(X)$ , then  $p$  is a  $P$ -point of  $X_\nu$ . Conversely, if  $p$  is a  $P$ -point of  $X_\nu$ , then for each  $f \in C_\nu(X)$ ,  $\overline{Z(f)}$  (in  $X \cup B$ ) contains a neighborhood of  $B$  in  $X \cup B$ . Since  $Z(f_m) = Z(f)$  for some  $m > 0$ , it is easy to see that  $Z(f)^\beta$  contains a neighborhood (in  $\beta X$ ) of  $B$ , and hence we have that  $C_\nu(X) = C_B(X)$ .

From Theorem 3 and ii) we have

**Corollary.** *If  $p$  is a  $P$ -point of  $X_\nu$ ,  $\nu X - X$  is compact.*

### References

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7) A point  $p$  of  $X$  is said to be a  $P$ -point of  $X$  if every continuous function which vanishes at  $p$  vanishes on a neighborhood of  $p$ .