

68. On Köthe's Problem concerning Algebras for which Every Indecomposable Module Is Cyclic. I¹⁾

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§ 1. **Introduction.** In 1934, in connection with his theory, G. Köthe [2] proposed the problem to determine the general type of ring A (with a unit and satisfying the minimum condition) possessing the property that every finitely generated indecomposable left or right A -module is cyclic. A ring or algebra with this property will be called a Köthe ring or Köthe algebra. Köthe himself solved this problem for the special case of commutative rings. As for non-commutative rings, he proved only that uni-serial rings are Köthe rings.

In 1941, T. Nakayama [5, 6] introduced the notion of generalized uni-serial rings as a generalization of uni-serial rings, and proved that generalized uni-serial rings are Köthe rings. However, as is shown by Nakayama, the rings of this type are not general enough for solving Köthe's problem.

Recently H. Tachikawa [8] has called an algebra A an algebra of cyclic-cocyclic representation type, if any finitely generated indecomposable left (resp. right) A -module is either homomorphic to an indecomposable left (resp. right) ideal of A generated by a primitive idempotent, or isomorphic to a submodule of an indecomposable injective left (resp. right) module, and he has determined the structure of such algebras. However, algebras of cyclic-cocyclic representation type are not always Köthe algebras.

Thus the most general type of Köthe rings known hitherto is generalized uni-serial rings, and any class of rings which contains non-commutative rings and for which the solution of Köthe's problem is given seems to have never been obtained in the literature.²⁾

The purpose of this paper is to announce that Köthe's problem mentioned above is completely solved for the case of self-basic algebras.³⁾ As is well known, every commutative algebra is self-basic.

1) The results of this paper were reported by the author at the meeting of Math. Soc. of Japan, held in October, 1960.

2) In case A is an algebra over an algebraically closed field and the square of its radical is zero, T. Yoshii [10] has given some sufficient conditions for A to be a Köthe algebra.

3) An algebra (resp. ring) A is called a self-basic algebra (resp. ring) if A is the basic ring of A itself.

Accordingly, our solution is, as far as algebras are concerned, the first result in the study of Köthe's problem for the case of non-commutative rings. Our precise results are stated in §§2 and 3 where a more general case is treated and the phrase "as far as algebras are concerned" is shown to be not necessary in the above sentence.

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§ 2. **Statement of the results, I.** Throughout this paper A will be assumed to be a ring which has a unit 1 and satisfies the minimum condition for left ideals, and we shall denote by N the radical of A . By a left (resp. right) A -module and a homomorphism we shall mean respectively a unital left (resp. right) A -module and an A -homomorphism. As is defined in the introduction, a ring A is called a Köthe ring if it satisfies next two conditions:

(a) Every finitely generated indecomposable left A -module is homomorphic to A .

(a*) Every finitely generated indecomposable right A -module is homomorphic to A .

In order to state our results we shall define here some notions related to A -modules and A -homomorphisms.⁴⁾ Let \mathfrak{L}_1 and \mathfrak{L}_2 be left A -modules and $\mathfrak{M}_1, \mathfrak{N}_1, \mathfrak{M}_2$ and \mathfrak{N}_2 A -submodules such that $\mathfrak{L}_1 \supset \mathfrak{M}_1 \supseteq \mathfrak{N}_1$ and $\mathfrak{L}_2 \supset \mathfrak{M}_2 \supset \mathfrak{N}_2$. If for a given homomorphism $\varphi: \mathfrak{N}_1 \rightarrow \mathfrak{N}_2$ ⁵⁾ there exists a homomorphism $\Phi: \mathfrak{M}_1 \rightarrow \mathfrak{M}_2$ which coincides with φ on \mathfrak{N}_1 , then φ is said to be extendable to Φ , and Φ is called an extension of φ . If φ has no extension such that $S(\mathfrak{M}_1) = S(\mathfrak{N}_1)$,⁶⁾ then φ is said to be restrictedly maximal. Furthermore if φ has no extension without any restriction, then φ is merely said to be maximal. Next, a left A -module $\mathfrak{M} (\neq 0)$ will be said to be quasi-primitive if it is homomorphic to a primitive left ideal of A . More strongly, a left A -module $\mathfrak{M} (\neq 0)$ is called a uni-serial module if it has a unique composition series.

For the case of self-basic algebras our characterization of Köthe algebra will be stated as follows:

Let A be a finite dimensional self-basic algebra over a commutative field, N the radical of A and e_1, e_2, e_3, \dots the primitive idempotents of A . Then in order that A be a Köthe algebra it is necessary and sufficient that the following conditions are satisfied:

I. Let $Ae_i g$ be an arbitrary quasi-primitive left A -module.⁷⁾

4) As to denomination of most of these notions we shall follow H. Tachikawa [7].

5) "Homomorphism $\varphi: \mathfrak{N}_1 \rightarrow \mathfrak{N}_2$ " means a homomorphism φ of \mathfrak{N}_1 into \mathfrak{N}_2 .

6) For a left A -module \mathfrak{M} we always denote its socle by $S(\mathfrak{M})$; $S(\mathfrak{M}) = \{u \mid Nu = 0, u \in \mathfrak{M}\}$.

7) By $Ae_i g$ we always mean a quasi-primitive left A -module with a generator g .

Then its socle is isomorphic either to a simple left A -module or to a direct sum of two simple left A -modules which are not isomorphic to each other; that is, we have either $S(Ae_2g) \approx Ae_r/Ne_r$ or $S(Ae_2g) \approx Ae_r/Ne_r \oplus Ae_p/Ne_p$, with $Ae_r \neq Ae_p$.

II. Assume that both Ae_1g_1 and Ae_2g_2 are not simple and $S(Ae_1g_1) \approx S(Ae_2g_2) \approx Ae_r/Ne_r$. Let φ be an isomorphism of $S(Ae_1g_1)$ onto $S(Ae_2g_2)$. Then either φ is extendable to a monomorphism $\Phi_1: Ae_1g_1 \rightarrow Ae_2g_2$, or φ^{-1} is extendable to a monomorphism $\Phi_2: Ae_2g_2 \rightarrow Ae_1g_1$.

III. Assume that $S(Ae_1g_1) \approx S(Ae_2g_2) \approx S(Ae_3g_3) \approx Ae_r/Ne_r$. Then there exists at least one monomorphism which maps one of Ae_1g_1 , Ae_2g_2 and Ae_3g_3 into one of the others.

IV. Assume that $S(Ae_1g_1) \approx S(Ae_2g_2) \approx Ae_r/Ne_r \oplus Ae_s/Ne_s$, i.e. $S(Ae_1g_1) = Ae_s u_1 e_{11} g_1 \oplus Ae_r v_1 e_{11} g_1$ (u_1, v_1 in N) and $S(Ae_2g_2) = Ae_s u_2 e_{22} g_2 \oplus Ae_r v_2 e_{22} g_2$ (u_2, v_2 in N). Let φ be an isomorphism of $Ae_s u_1 e_{11} g_1$ onto $Ae_s u_2 e_{22} g_2$. Then either φ is extendable to a homomorphism $\Phi_1: Ae_1g_1 \rightarrow Ae_2g_2$, or φ^{-1} is extendable to a homomorphism $\Phi_2: Ae_2g_2 \rightarrow Ae_1g_1$.

V. Assume that $S(Ae_1g_1) \approx S(Ae_2g_2) \approx Ae_r/Ne_r$, $e_r Ne_r Ae_1g_1 \neq 0$ and $e_r Ne_r Ae_2g_2 \neq 0$. Let φ be an isomorphism of $S(Ae_1g_1)$ onto $S(Ae_2g_2)$. Then either φ is extendable to a monomorphism $\Phi_1: Ae_1g_1 \rightarrow Ae_2g_2$, or φ^{-1} is extendable to a monomorphism $\Phi_2: Ae_2g_2 \rightarrow Ae_1g_1$.

VI. Assume that $e_1 Ne_2 g \neq 0$. Then $Ae_2 Ne_2 g$ is a uni-serial module.

VII. Assume that $S(Ae_1g_1) \approx S(Ae_2g_2) \approx Ae_r/Ne_r$ and assume that the isomorphism $\varphi: S(Ae_1g_1) \rightarrow S(Ae_2g_2)$ is extendable to a monomorphism $\Phi: \mathfrak{L}_1 \rightarrow \mathfrak{L}_2$ where $\mathfrak{L}_1 \subset Ne_1g_1$ and $\mathfrak{L}_2 \subset Ne_2g_2$. If Φ is maximal, then it is impossible that $Ae_1 Ne_1 g_1$ properly includes \mathfrak{L}_1 .

VIII. Assume that Ae_1g_1 is a module such that $Ae_1g_1/N^l e_{11}g_1$ ($l \geq 1$) is uni-serial, $N^l e_{11}g_1 = Ae_r t e_{11}g_1 \oplus Ae_p w e_{11}g_1$ (t, w in N^l) where $Ae_r t e_{11}g_1$ is uni-serial, and $S(Ae_1g_1) = N^m e_r t e_{11}g_1 \oplus Ae_p w e_{11}g_1$ ($m \geq 1$). Let Ae_2g_2 be another module whose socle is isomorphic to $N^m e_r t e_{11}g_1$. If the isomorphism $\varphi: S(Ae_2g_2) \rightarrow S(Ae_1g_1/Ae_p w e_{11}g_1)$ is extendable, then either φ is extendable to a monomorphism $\Phi_1: Ae_2g_2 \rightarrow Ae_1g_1/Ae_p w e_{11}g_1$, or φ^{-1} is extendable to a monomorphism $\Phi_2: Ae_1g_1/Ae_p w e_{11}g_1 \rightarrow Ae_2g_2$.

IX. Assume that Ae_1g_1 is a module such that $Ae_1g_1/N^l e_{11}g_1$ ($l \geq 2$) is uni-serial, $N^l e_{11}g_1 = Ae_r t e_{11}g_1 \oplus Ae_p w e_{11}g_1$ (t, w in N^l) where $Ae_r t e_{11}g_1$ is uni-serial, and $S(Ae_1g_1) = N^m e_r t e_{11}g_1 \oplus Ae_p w e_{11}g_1$ ($m \geq 0$).⁸⁾ Assume that Ae_2g_2 is another non-simple module whose socle is isomorphic to $N^m e_r t e_{11}g_1$. Let φ be an isomorphism of $S(Ae_2g_2)$ onto $S(Ae_1g_1/Ae_p w e_{11}g_1)$. Then either φ is extendable to a monomorphism $\Phi_1: Ae_2g_2 \rightarrow Ae_1g_1/Ae_p w e_{11}g_1$, or φ^{-1} is extendable to a monomorphism $\Phi_2: Ae_1g_1/Ae_p w e_{11}g_1 \rightarrow Ae_2g_2$, or there exists a maximal extension of φ^{-1} which maps $Ne_1g_1/Ae_p w e_{11}g_1$ into Ne_2g_2 .

8) By N^0 we shall always mean A itself.

X. Assume that $Ae_{i_1}g_1$ is a module such that $Ne_{i_1}g_1 = Ae_{i_1}te_{i_1}g_1 + Ae_{\rho}we_{i_1}g_1$ (t, w in N) where $Ae_{i_1}te_{i_1}g_1$ is uni-serial, $Ae_{i_1}te_{i_1}g_1 \cap Ae_{\rho}we_{i_1}g_1 = N^m e_{i_1}te_{i_1}g_1 = Ae_{\rho}ue_{\rho}we_{i_1}g_1 \neq 0$ ($m \geq 1$ and u in N), $Ne_{\rho}we_{i_1}g_1 = Ae_{\rho}ue_{\rho}we_{i_1}g_1 \oplus Ae_{i_1}ve_{\rho}we_{i_1}g_1$ (v in N) where $Ae_{i_1}vve_{i_1}g_1$ is uni-serial, and $S(Ae_{i_1}g_1) = Ae_{\rho}uwe_{i_1}g_1 \oplus N^k e_{i_1}vve_{i_1}g_1$ ($k \geq 0$). Assume that $Ae_{i_2}g_2$ is a non-simple module whose socle is isomorphic to $N^k e_{i_2}vve_{i_2}g_2$. Let φ be an isomorphism which maps $S(Ae_{i_2}g_2)$ onto $N^k e_{i_2}vve_{i_2}g_2 + Ae_{i_1}te_{i_1}g_1 / Ae_{i_1}te_{i_1}g_1$ considered as a submodule of $Ae_{i_1}g_1 / Ae_{i_1}te_{i_1}g_1$. Then φ is extendable; more precisely, either φ is extendable to a monomorphism $\Phi_1: Ae_{i_2}g_2 \rightarrow Ae_{i_1}g_1 / Ae_{i_1}te_{i_1}g_1$, or φ^{-1} is extendable to a monomorphism $\Phi_2: Ae_{i_1}g_1 / Ae_{i_1}te_{i_1}g_1 \rightarrow Ae_{i_2}g_2$.

XI. Assume that $Ae_i g / N^3 e_i g$ is uni-serial and $N^3 e_i g = Ae_i te_i g \oplus Ae_{\rho} we_i g$ (t, w in N^3) where $e_i te_i g \neq 0$ and $e_{\rho} we_i g \neq 0$. Then we have both $Ne_i te_i g = 0$ and $Ne_{\rho} we_i g = 0$, i.e. $N^4 e_i g = 0$.

XII. Assume that $Ae_i g / N^2 e_i g$ is uni-serial and $N^2 e_i g = Ae_i te_i g \oplus Ae_{\rho} we_i g$ (t, w in N^2) where $e_i te_i g \neq 0$ and $e_{\rho} we_i g \neq 0$. Then we have either $Ne_i te_i g = 0$ or $Ne_{\rho} we_i g = 0$.

XIII. Assume that $Ae_{i_1}g_1$ is a uni-serial module and $S(Ae_{i_1}g_1) = N^4 e_{i_1}g_1$. Then it is impossible that there exists a uni-serial module $Ae_{i_2}g_2$ such that $Ae_{i_2}g_2 \not\cong Ne_{i_1}g_1$ but $Ne_{i_2}g_2 \approx N^2 e_{i_1}g_1$.

XIV. Assume that $Ae_{i_1}g_1$ is a uni-serial module and $S(Ae_{i_1}g_1) = N^3 e_{i_1}g_1$. Then it is impossible that there exists a uni-serial module $Ae_{i_2}g_2$ such that $Ne_{i_2}g_2 \not\cong Ne_{i_1}g_1$ but $N^2 e_{i_2}g_2 \approx N^2 e_{i_1}g_1$.

XV. Assume that $Ae_{i_1}g_i, i=1, 2, \dots, n$ ($n \geq 3$), are modules each of which satisfies the following conditions:

- (i) $Ae_{i_1}g_i \not\cong Ae_{i_2}g_j$ if $i \neq j$.
- (ii) $Ne_{i_1}g_i = Ae_{i_1}t_i e_{i_1}g_i \oplus Ae_{\rho_i} w_i e_{i_1}g_i$ (t_i, w_i in N) where $Ae_{i_1}t_i e_{i_1}g_i$ as well as $Ae_{\rho_i} w_i e_{i_1}g_i$ is uni-serial.
- (iii) $S(Ae_{i_1}g_i) = Ae_{\sigma_i} u_i e_{i_1}t_i e_{i_1}g_i \oplus Ae_{\sigma_{i+1}} v_i e_{\rho_i} w_i e_{i_1}g_i$ (u_i, v_i in A) where $Ae_{\sigma_i}g_i \not\cong Ae_{\sigma_j}g_j$ if $i < j \leq n$ but $Ae_{\sigma_i}g_i \approx Ae_{\sigma_{n+1}}g_{n+1}$.
- (iv) Homomorphisms $\varphi_i: Ae_{\sigma_{i+1}} v_i w_i e_{i_1}g_i \rightarrow Ae_{\sigma_{i+1}} u_{i+1} t_{i+1} e_{i_1}g_{i+1}, i=1, 2, \dots, n-1$, are all restrictedly maximal and $\varphi_i^{-1}, i=1, 2, \dots, n-1$, are also restrictedly maximal.

Then there exists at least one i such that either φ_i is extendable to a homomorphism $\Phi_1: Ae_{i_1}g_i \rightarrow Ae_{i_1}g_{i+1}$, or φ_i^{-1} is extendable to a homomorphism $\Phi_2: Ae_{i_1}g_{i+1} \rightarrow Ae_{i_1}g_i$.

XVI. Assume that $Ae_{i_1}g_i, i=1, 2, \dots, n$ ($n \geq 3$), are modules each of which satisfies the following conditions:

- (i) $Ae_{i_1}g_i \not\cong Ae_{i_2}g_j$ if $i < j \leq n-1$, but $Ae_{i_1}g_i \approx Ae_{i_n}g_n$.
- (ii) $Ne_{i_1}g_i = Ae_{i_1}t_i e_{i_1}g_i \oplus Ae_{\rho_i} w_i e_{i_1}g_i$ (t_i, w_i in N), $2 \leq i \leq n-1$, where $Ae_{i_1}t_i e_{i_1}g_i$ as well as $Ae_{\rho_i} w_i e_{i_1}g_i$ is uni-serial, but both $Ae_{i_1}g_1$ and $Ae_{i_n}g_n$ are non-simple uni-serial modules where $Ne_{i_1}g_1 = Ae_{\rho_1} w_1 e_{i_1}g_1$ (w_1 in N) and $Ne_{i_n}g_n = Ae_{\rho_n} t_n e_{i_n}g_n$ (t_n in N).

(iii) $S(Ae_{\lambda_i}g_i) = Ae_{\sigma_i}u_i e_{\tau_i} t_i e_{\lambda_i} g_i \oplus Ae_{\sigma_{i+1}} v_i e_{\rho_i} w_i e_{\lambda_i} g_i (u_i, v_i \text{ in } A), 2 \leq i \leq n - 1$, where $Ae_{\sigma_i} \neq Ae_{\sigma_j}$ if $i \neq j$, but $S(Ae_{\lambda_i}g_i) = Ae_{\sigma_i} v_i e_{\rho_i} w_i e_{\lambda_i} g_i (v_i \text{ in } A)$ and $S(Ae_{\lambda_n}g_n) = Ae_{\sigma_n} u_n e_{\tau_n} t_n e_{\lambda_n} g_n (u_n \text{ in } A)$.

(iv) Homomorphisms $\varphi_i: Ae_{\sigma_{i+1}} v_i w_i e_{\lambda_i} g_i \rightarrow Ae_{\sigma_{i+1}} u_{i+1} t_{i+1} e_{\lambda_{i+1}} g_{i+1}, i = 1, 2, \dots, n - 1$, are all restrictedly maximal and $\varphi_i^{-1}, i = 1, 2, \dots, n - 1$, are also restrictedly maximal.

Then there exists at least one i such that either φ_i is extendable to a homomorphism $\Phi_i: Ae_{\lambda_i}g_i \rightarrow Ae_{\lambda_{i+1}}g_{i+1}$, or φ_i^{-1} is extendable to a homomorphism $\Phi_i: Ae_{\lambda_{i+1}}g_{i+1} \rightarrow Ae_{\lambda_i}g_i$.

XVII. Assume that $Ae_{\lambda_1}g_1$ is a module such that $Ne_{\lambda_1}g_1 = Ae_{\tau}te_{\lambda_1}g_1 \oplus Ae_{\rho}we_{\lambda_1}g_1 (t, w \text{ in } N)$ where $Ae_{\tau}te_{\lambda_1}g_1$ is uni-serial, $Ae_{\tau}te_{\lambda_1}g_1 \cap Ae_{\rho}we_{\lambda_1}g_1 = Ne_{\tau}te_{\lambda_1}g_1 = Ae_{\tau}ue_{\rho}we_{\lambda_1}g_1 \neq 0 (u \text{ in } N)$, and $S(Ae_{\lambda_1}g_1) = Ne_{\rho}we_{\lambda_1}g_1 = Ae_{\tau}ue_{\rho}we_{\lambda_1}g_1 \oplus Ae_{\tau}ve_{\rho}we_{\lambda_1}g_1 (v \text{ in } N)$ where $e_{\tau}vwe_{\lambda_1}g_1 \neq 0$. Assume that $Ae_{\lambda_2}g_2$ is a uni-serial module whose socle is isomorphic to Ae_{τ}/Ne_{σ} . Let φ be an isomorphism which maps $S(Ae_{\lambda_2}g_2)$ onto $Ae_{\sigma}uwe_{\lambda_1}g_1 + Ae_{\tau}vwe_{\lambda_1}g_1 / Ae_{\tau}vwe_{\lambda_1}g_1$ considered as a submodule of $Ae_{\lambda_1}g_1 / Ae_{\tau}vwe_{\lambda_1}g_1$.

Then there exists no maximal extension of φ^{-1} which maps $Ae_{\tau}te_{\lambda_1}g_1 + Ae_{\tau}vwe_{\lambda_1}g_1 / Ae_{\tau}vwe_{\lambda_1}g_1$ into $Ne_{\lambda_2}g_2$.

XVIII. Assume that $Ae_{\lambda}g$ is a module such that $Ae_{\lambda}g / N^2e_{\lambda}g$ is uni-serial, $N^2e_{\lambda}g = Ae_{\tau}te_{\lambda}g + Ae_{\rho}we_{\lambda}g (t, w \text{ in } N^2), Ae_{\tau}te_{\lambda}g \cap Ae_{\rho}we_{\lambda}g = Ne_{\tau}te_{\lambda}g = Ae_{\tau}ue_{\rho}we_{\lambda}g \neq 0 (u \text{ in } N)$, and $Ne_{\rho}we_{\lambda}g = Ae_{\tau}ue_{\rho}we_{\lambda}g \oplus Ae_{\tau}ve_{\rho}we_{\lambda}g (v \text{ in } N)$ where $Ae_{\tau}vwe_{\lambda}g$ is uni-serial and $Ne_{\sigma}uwe_{\lambda}g = 0$. Then $Ne_{\tau}vwe_{\lambda}g = 0$ holds.

XIX. Assume that $Ae_{\lambda_1}g_1$ is a module such that $Ne_{\lambda_1}g_1 = Ae_{\tau}te_{\lambda_1}g_1 + Ae_{\rho}we_{\lambda_1}g_1 (t, w \text{ in } N), Ae_{\tau}te_{\lambda_1}g_1 \cap Ae_{\rho}we_{\lambda_1}g_1 = Ne_{\tau}te_{\lambda_1}g_1 = Ne_{\rho}we_{\lambda_1}g_1 = Ae_{\tau}ue_{\rho}te_{\lambda_1}g_1 (u \text{ in } N)$, and $Ne_{\tau}ute_{\lambda_1}g_1$ is simple. Assume that $Ae_{\lambda_2}g_2$ is a uni-serial module whose socle is isomorphic to $Ne_{\tau}ute_{\lambda_1}g_1 (= S(Ae_{\lambda_1}g_1))$. Let φ be an isomorphism of $S(Ae_{\lambda_1}g_1)$ onto $S(Ae_{\lambda_2}g_2)$. Then there exists no maximal extension of φ which maps $Ae_{\tau}te_{\lambda_1}g_1$ into $N^2e_{\lambda_2}g_2$.

§ 3. Statement of the results, II. Throughout this paper, for a ring A we shall denote by A° the basic ring of A , by $e_{\lambda}, e_{\rho}, e_{\sigma}, \dots$ the primitive idempotents of A , and by e the unit of A° respectively.

In case there exists a duality between the category of all finitely generated left A -modules and that of all finitely generated right A -modules (this is certainly the case if A is an algebra or a commutative ring (cf. K. Morita [4])), the condition (a*) in §2 is equivalent to the condition (b) below:

(b) The socle of every finitely generated indecomposable left A -module is homomorphic to A .

Now we consider the following conditions (a^o) and (b^o) in place of (a) and (b):

(a^o) Every finitely generated indecomposable left A -module is homomorphic to Ae .

(b°) The socle of every finitely generated indecomposable left A -module is homomorphic to Ae .

In case A is a self-basic ring, (a°) and (b°) coincide with (a) and (b) respectively. More generally, these properties are preserved by the category-isomorphism between categories of all finitely generated left A -modules and of all finitely generated left A° -modules, introduced by K. Morita [4]. Thus we are led to a problem: How we can characterize those rings which satisfy (a°) and (b°)? Our solution to this problem is as follows:

Theorem. *Let A be an associative ring which possesses a unit and satisfies the minimum condition for left ideals. In order that A satisfy (a°) and (b°), it is necessary and sufficient that A satisfies all the conditions I-XIX stated in §2.*

The result of §2 is an immediate consequence of this theorem, and Köthe's solution for commutative case is included in this theorem.

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