

## 24. On $\mathcal{R}$ -convex Sets in a Topological $\mathcal{R}$ -space

By Ayako HIGASHISAKA

(Comm. by Kinjirô KUNUGI, M. J. A., Feb. 12, 1969)

§ 1. Introduction. In this paper we shall consider the Krein-Milman's Theorem and the applications on a topological  $\mathcal{R}$ -space which has not vector space structure. The notion of topological  $\mathcal{R}$ -spaces is introduced by E. Deák [1]-[5].

We shall first give the some definitions.

(1.1) A system  $R$  of the ordered pair  $(G, F)$  consisting of the subsets of a nonempty set  $X$  is called a *Richtung* of  $X$ , if it satisfies the following conditions:

( $R_1$ )  $(\phi, \phi), (X, X) \in R$ .

( $R_2$ ) For any  $(G, F) \in R$ ,  $G \subseteq F$  and for two different pairs  $(G_1, F_1), (G_2, F_2) \in R$ ,  $F_1 \subseteq G_2$  or  $F_2 \subseteq G_1$ .

( $R_3$ ) Let  $\mathcal{G}(R)$  be a family of the first part of all elements of  $R$ .  
 $\cup \{G \mid G \in \mathcal{G}^*\} \in \mathcal{G}(R)$  ( $\mathcal{G}^* \subset \mathcal{G}(R)$ ,  $\mathcal{G}^* \neq \phi$ ).

( $R_4$ ) Let  $\mathcal{F}(R)$  be a family of the second part of all elements of  $R$ .  
 $\cap \{F \mid F \in \mathcal{F}^*\} \in \mathcal{F}(R)$  ( $\mathcal{F}^* \subset \mathcal{F}(R)$ ,  $\mathcal{F}^* \neq \phi$ ).

( $R_5$ )  $\cup \{F - G \mid (G, F) \in R\} = X$ .

(1.2) Let  $\mathcal{R} = \{R_\alpha \mid R_\alpha : \text{Richtung}, \alpha \in A\}$ . A  $\mathcal{R}$ -space is an ordered pair  $(X, \mathcal{R})$  such that the following separation axiom is satisfied.

(S.A) Any set of the type,  $\cap \{F_\alpha - G_\alpha \mid (G_\alpha, F_\alpha) \in R, \alpha \in A\}$  contains at most one element.

(1.3) For a  $\mathcal{R}$ -space  $(X, \mathcal{R})$ , the set  $G$ ,  $X - F$  or  $F$ ,  $X - G$  is called the open or closed  $\mathcal{R}$ -half spaces of  $X$ .

(1.4) A  $\mathcal{R}$ -space  $(X, \mathcal{R})$  is called a *topological  $\mathcal{R}$ -space* if we introduce the topology in  $X$  such that a family of all open  $\mathcal{R}$ -half spaces is a subbasis.

(1.5) For any Richtung  $R$  of  $X$ , it is clear that the relation:

$(G_1, F_1) < (G_2, F_2) \iff F_1 \subseteq G_2$  is a linear order of  $R$ .

For any  $G \in \mathcal{G}(R)$  or  $F \in \mathcal{F}(R)$  is the first or second part of at most two different elements of  $R$ .  $G(R : F)$  or  $\bar{G}(R : F)$  denotes the smaller or larger set  $G \in \mathcal{G}(R)$  such that  $(G, F) \in R$ , and in the same way we can define  $F(R : G)$  and  $\bar{F}(R : G)$  for any  $G \in \mathcal{G}(R)$ .

(1.6) For any nonempty set  $E \subset X$  and  $R \in \mathcal{R}$  of a  $\mathcal{R}$ -space  $(X, \mathcal{R})$ , we give the following notations:

$$G_E(R) = \cup \{G \in \mathcal{G}(R) \mid G \cap E = \phi\},$$

$$F_E(R) = \cap \{F \in \mathcal{F}(R) \mid F \supseteq E\},$$

$$G_x(R) = \cup \{G \in \mathcal{G}(R) \mid G \ni x\},$$

$$\begin{aligned} F_x(R) &= \cap \{F \in \mathcal{F}(R) \mid F \ni x\}, \\ S_E(R) &= F_E(R) - G(R : F_E(R)), \\ T_E(R) &= \bar{F}(R : G_E(R)) - G_E(R). \end{aligned}$$

It follows from (R<sub>6</sub>) that  $(G_x(R), F_x(R)) \in R$  for each  $x \in X$  and each  $R \in \mathcal{R}$ , and that  $S_x(R) = T_x(R)$ .

(1.7) Let  $(X, \mathcal{R})$  be a  $\mathcal{R}$ -space and  $R \in \mathcal{R}$ . If  $(G, F) \in R$  and  $G \subsetneq F$ , the set  $F - G$  is called a  $R$ -hyperplane. The set  $S \subset X$  is a  $\mathcal{R}$ -hyperplane if it is a  $R$ -hyperplane for some  $R \in \mathcal{R}$ . A  $R$ -hyperplane  $S = F - G$  is an upper or lower  $R$ -supporting hyperplane of  $E \subseteq X$ , if  $S \cap E \neq \emptyset$  and  $E \subset F$  or  $E \subset X - G$ . If  $E - S \neq \emptyset$ , a  $R$ -supporting hyperplane  $S$  of  $E$  is called a proper  $R$ -supporting hyperplane of  $E$ .

It is clear that the set of  $E$  has an upper or lower  $R$ -supporting hyperplane if and only if  $S_E(R) \cap E \neq \emptyset$  or  $T_E(R) \cap E \neq \emptyset$ , and then  $S_E(R)$  or  $T_E(R)$  is an upper or lower  $R$ -supporting hyperplane.

We can prove that if  $E$  is a compact set, there exist the upper and lower  $R$ -supporting hyperplanes of  $E$  for any  $R \in \mathcal{R}$ .

(1.8) The strong  $\mathcal{R}$ -convex hull of  $E \subseteq X$  is the intersection of all  $\mathcal{R}$ -halfspace containing  $E$  and we denote it by  $k(\mathcal{R} : E)$ . If  $E = k(\mathcal{R} : E)$ ,  $E$  is a strong  $\mathcal{R}$ -convex set. By  $a \cdot k(\mathcal{R} : E)$  we denote the closed strong  $\mathcal{R}$ -convex hull of  $E \subseteq X$  defined by the intersection of all closed  $\mathcal{R}$ -halfspace containing  $E$ .

(1.9) The quasi  $\mathcal{R}$ -inner of the set  $E \subseteq X$  is the set

$$Q(\mathcal{R} : E) = k(\mathcal{R} : E) - \cup \{\text{all proper } \mathcal{R}\text{-supporting hyperplane}\}$$

**§ 2.  $\mathcal{R}$ -extremal sets and  $\mathcal{R}$ -extremal points.**

Let  $(X, \mathcal{R})$  be a  $\mathcal{R}$ -space and  $E \subseteq X$ . A  $\mathcal{R}$ -extremal set of  $E$  is a subset  $M \subseteq E$  such that  $A \subseteq M$  whenever  $A \subseteq k(\mathcal{R} : E)$ ,  $2 \leq \bar{A} < \aleph_0$  and  $M \cap Q(\mathcal{R} : A) \neq \emptyset$ . If  $M = \{x_0\}$ ,  $x_0$  is called a  $\mathcal{R}$ -extremal point of  $E$ .

The following properties of  $\mathcal{R}$ -extremal subsets of  $E$  can be easily verified.

(2.1) Any union of  $\mathcal{R}$ -extremal subsets of a set  $E$  is a  $\mathcal{R}$ -extremal subset of  $E$ .

(2.2) Any intersection of  $\mathcal{R}$ -extremal subsets of a set  $E$  is a  $\mathcal{R}$ -extremal subset of  $E$ .

(2.3) If  $A$  is a  $\mathcal{R}$ -extremal subset of  $B$  and,  $B$  is a  $\mathcal{R}$ -extremal subset of  $C$ , then  $A$  is a  $\mathcal{R}$ -extremal subset of  $C$ .

(2.4) If  $A \subset B \subset C$  and if  $A$  is a  $\mathcal{R}$ -extremal subset of  $C$ , then  $A$  is a  $\mathcal{R}$ -extremal subset of  $B$ .

(2.5) Let  $\mathcal{C}$  be a family of sets in a  $\mathcal{R}$ -space, and let  $Y = \bigcap_{X \in \mathcal{C}} X$ .

If one of any two members of  $\mathcal{C}$  is a  $\mathcal{R}$ -extremal subset of the other, then  $Y$  is a  $\mathcal{R}$ -extremal subset of each  $X \in \mathcal{C}$ .

**§ 3. The Krein-Milman's Theorem.**

**Theorem 1.** A nonempty compact strong  $\mathcal{R}$ -convex subset  $E$  of

a topological  $\mathcal{R}$ -space has at least one  $\mathcal{R}$ -extremal point.

**Proof.** The set  $E$  is itself a  $\mathcal{R}$ -extremal subset of  $E$ . Let  $\mathcal{M}$  be the totality of compact  $\mathcal{R}$ -extremal subsets of  $E$ . Order  $\mathcal{M}$  by the set inclusion relation. It is easy to see that if  $\mathcal{M}_1$  is a linearly ordered subfamily of  $\mathcal{M}$ , there exists a compact  $\mathcal{R}$ -extremal subset of  $E$  which is a lower bound for the subfamily  $\mathcal{M}_1$ .

Thus, by Zorn's lemma,  $\mathcal{M}$  contains a minimal element  $M_0$ . Suppose that  $\bar{M}_0 \geq 2$ . Then there exist  $R \in \mathcal{R}$  such that  $\bar{F}(R: G_{M_0}(R)) \cap M_0 \subsetneq M_0$ . Since  $M_0$  is the compact set,  $M_1 = \{\bar{F}(R: G_{M_0}(R)) - G_{M_0}(R)\} \cap M_0 \neq \phi$ .

On the other hand, suppose that  $A$  is a subset of  $k(\mathcal{R}: E)$  such that  $Q(\mathcal{R}: A) \cap M_1 \neq \phi$  and  $2 \leq \bar{A} < \aleph_0$ , then  $A \subset M_0$ , so that  $A \subset X - G_{M_0}(R)$ .

If  $A \cap \{\bar{F}(R: G_{M_0}(R)) - G_{M_0}(R)\} = \phi$ , then  $A \subset X - \bar{F}(R: G_{M_0}(R))$ , and therefore  $Q(\mathcal{R}: A) \cap M_1 = \phi$ , which is a contradiction.

If,  $A \cap \{\bar{F}(R: G_{M_0}(R)) - G_{M_0}(R)\} \neq \phi$  and  $A \not\subset \{\bar{F}(R: G_{M_0}(R)) - G_{M_0}(R)\}$ , then  $\bar{F}(R: G_{M_0}(R)) - G_{M_0}(R)$  is a lower proper  $R$ -supporting hyperplane of  $A$ , and therefore,  $Q(\mathcal{R}: A) \cap M_1 = \phi$ , which is a contradiction. Therefore  $A \subset M_1 = \{\bar{F}(R: G_{M_0}(R)) - G_{M_0}(R)\} \cap M_0$  and therefore  $M_1$  is a  $\mathcal{R}$ -extremal subset of  $E$ . Since  $M_1 \subset M_0$  and  $M_0$  is a minimal  $\mathcal{R}$ -extremal subset of  $E$ , it is a contradiction.

Therefore  $M_0$  has only one point which is a  $\mathcal{R}$ -extremal point of  $E$ .

**Corollary.** Suppose that  $E$  is a compact strong  $\mathcal{R}$ -extremal set, then for any  $R \in \mathcal{R}$ ,  $F_E(R) - G(R: F_E(R))$  or  $\bar{F}(R: G_E(R)) - G_E(R)$  has at least one  $\mathcal{R}$ -extremal point of  $E$ .

**Proof.** By the same way in Theorem 1, we can prove that  $M = \{F_E(R) - G(R: F_E(R))\} \cap E$  is a compact  $\mathcal{R}$ -extremal subset of  $E$ ,

**Theorem 2.** Let  $\mathcal{E}(\mathcal{R}: E)$  be all  $\mathcal{R}$ -extremal points of a compact strong  $\mathcal{R}$ -convex set  $E$ , then,  $E = a \cdot k(\mathcal{R}: \mathcal{E})$ .

**Proof.**  $\mathcal{E}(\mathcal{R}: E) \subset E$  implies  $a \cdot k(\mathcal{R}: \mathcal{E}) \subset E$ . Suppose that there exists a point  $x_0$  contained in the set  $E - a \cdot k(\mathcal{R}: \mathcal{E})$ , so that there exists  $R \in \mathcal{R}$  such that  $x_0 \in E$  and  $x_0 \notin F_{\mathcal{E}}(R)$  or  $x_0 \in E$  and  $x_0 \in G_{\mathcal{E}}(R)$ .

If  $x_0 \in E$  and  $x_0 \in F_{\mathcal{E}}(R)$ , then  $\{F_E(R) - G(R: F_E(R))\} \cap \mathcal{E} = \phi$ .

If  $x_0 \in E$  and  $x_0 \in G_{\mathcal{E}}(R)$ , then  $\{\bar{F}(R: G_E(R)) - G_E(R)\} \cap \mathcal{E} = \phi$ .

Therefore we can introduce a contradiction to the corollary of Theorem 1.

We remark that a compact strong  $\mathcal{R}$ -convex set  $E$  is the strong  $\mathcal{R}$ -convex hull of the set of all  $\mathcal{R}$ -extremal points of  $E$ .

The following result is a generalization of a result of Jerison to a topological  $\mathcal{R}$ -space.

**Theorem 3.** Let  $\{K_n\}$  be a sequence of compact strong  $\mathcal{R}$ -convex

sets such that  $K_1 \supset \dots \supset K_n \supset K_{n+1} \supset \dots$  and let  $K = \bigcap K_n$ . Let  $A_n$  be the set of all  $\mathcal{R}$ -extremal points of  $K_n$  for each  $n$  and let  $A$  be the topological superior limit of  $\{A_n\}$ . Then  $K$  is the closed strong  $\mathcal{R}$ -convex hull of  $A$ .

**Proof.** Let  $F_n$  be the closure of  $\bigcup_{m=n}^{\infty} A_m$ , then  $A = \bigcap_{n=1}^{\infty} F_n$ . Since  $F_n \subset K_n$  for each  $n$ ,  $A \subset K$  and therefore,  $\bigcap \{F_A(R) - G_A(R) : R \in \mathcal{R}\} \subset K$ . By the Krein-Milman's Theorem in a topological  $\mathcal{R}$ -space (see [5]),  $k(\mathcal{R} : F_n) = K_n$  for each  $n$ , i.e.  $(G(R : F_{F_n}(R)), F_{F_n}(R)) = (G(R : F_{k_n}(R)), F_{k_n}(R))$  and  $(G_{F_n}(R), \bar{F}(R : G_{F_n}(R))) = (G_{k_n}(R), \bar{F}(R : G_{k_n}(R)))$  for each  $R \in \mathcal{R}$  and  $n$ .

Consider a fixed  $R \in \mathcal{R}$ . Since  $F_n$  is a compact set, there exists a point  $x_n$  in  $\{F_{F_n}(R) - G(R : F_{F_n}(R))\} \cap F_n$ . The sequence  $\{x_n\}$  has a cluster point  $x_0$  which is contained in  $A$ .

Now we consider the topological superior limit of  $\{F_{F_n}(R) - G(R : F_{F_n}(R))\}$  and let  $X = A \cap \lim_n \sup \{F_{F_n}(R) - G(R : F_{F_n}(R))\}$ . It is clear that  $(G(R : F_x(R)), F_x(R)) \leq (G(R : F_{F_n}(R)), F_{F_n}(R))$  for all  $n$  and there is no member  $(G, F) \in \mathcal{R}$  such that  $(G(R : F_x(R)), F_x(R)) \leq (G, F) \leq (G(R : F_{F_n}(R)), F_{F_n}(R))$  for all  $n$ . Since  $x_0 \in X$ ,  $(G_{x_0}(R), F_{x_0}(R)) = (G(R : F_x(R)), F_x(R))$ . On the other hand, since  $(G(R : F_{k_n}(R)), F_{k_n}(R)) = (G(R : F_{F_n}(R)), F_{F_n}(R))$  for all  $n$  and  $K = \bigcap_{n=1}^{\infty} K_n \subset K_n$ ,  $(G(R : F_k(R)), F_k(R)) \leq (G(R : F_{F_n}(R)), F_{F_n}(R))$  and therefore  $(G(R : F_k(R)), F_k(R)) \leq (G_{x_0}(R), F_{x_0}(R)) \leq (G(R : F_A(R)), F_A(R))$ . Analogously we have  $(G_A(R), \bar{F}(R : G_A(R))) \leq (G_{x_0}(R), F_{x_0}(R)) \leq (G_k(R), \bar{F}(R : G_k(R)))$ .

Therefore  $K \subset \bigcap \{F_A(R) - G_A(R) \mid R \in \mathcal{R}\}$ .

Hence we have  $K = a \cdot k(\mathcal{R} : A)$ .

**Theorem 4.** Let  $E$  be a compact strong  $\mathcal{R}$ -convex subject of a topological  $\mathcal{R}$ -space  $(X, \mathcal{R})$  and let  $C$  be the subset of  $E$  which intersects any minimal closed  $\mathcal{R}$ -extremal subset of  $E$ , then  $E$  is the strong  $\mathcal{R}$ -convex hull of  $C$ .

**Proof.** If  $k(\mathcal{R} : C) \not\supset E$ , there exists a point  $x_0$  such that  $x_0 \in E$  and  $x_0 \notin k(\mathcal{R} : C)$ .

Let a  $R_0$ -halfspace  $M_0 \supset C$  and  $M_0 \not\ni x_0$ , then  $M_0 \subset G_{x_0}(R)$  or  $M_0 \subset X - F_{x_0}(R)$ .

If  $M_0 \subset G_{x_0}(R)$ , then  $B = E \cap \{F_E(R) - G(R : F_E(R))\}$ , a closed  $\mathcal{R}$ -extremal subset of  $E$ , does not intersect  $C$ .

If  $M_0 \subset X - F_{x_0}(R)$ , then  $B = E \cap \{\bar{F}(R : G_E(R)) - G_E(R)\}$ , a closed  $\mathcal{R}$ -extremal subset of  $E$ , does not intersect  $C$ . We have arrived at a contradiction.

## References

- [1] E. Deák: Ein neuer topologischer Dimensionsbegriff. *Revue Roum. Math. Pure. Appl.*, **10**, 31-42 (1965).
- [2] —: Über die inwendiger Punkte Konvexer Mengen. *Revue Roum. Math. Pure Appl.*, **11**, 1225-1231 (1966).
- [3] —: Eine Verallgemeinerung des Begriffs des linearen Raumes und der Konvexität. *Ann. Univ. Sci. Budapest. Sectio Math.*, **9**, 45-59 (1966).
- [4] —: Topologische Richtungsräume-eine Verallgemeinerung des Begriffs des lokalkonvexen Raumes mit der schwachen Topologie. *Studia Sci. Math. Hung.*, **1**, 297-308 (1966).
- [5] —: Extrempunktsbegriffe für Richtungsräume und eine Verallgemeinerung des Krein-Milman'schen Satzes für topologische Richtungsräume. *Act. Math. Acad. Sci. Hung.*, **18**, 113-131 (1967).
- [6] M. Jerison: A property of extreme points of compact convex sets. *Proc. Amer. Math. Soc.*, **5**, 782-783 (1954).