

122. On Quasi-Souslin Space and Closed Graph Theorem

By Michiko NAKAMURA

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L. Schwartz defined *Souslin space* as any continuous image of a complete separable metric space and a generalized closed graph theorem is obtained in [1] and [2] for this class of spaces. Here we consider a slightly wider class of topological spaces, namely *quasi-Souslin spaces*, and prove a closed graph theorem extending the method in [2].

A filter Φ is said to be *S-filter* if Φ has a countable basis $\{S_n\}$ such that $\bigcap_n S_n = \phi$.

A Hausdorff topological space E is called a *quasi-Souslin space*, if there exists a sequence of *S-filters* Φ_n ($n=1, 2, \dots$) such that every ultrafilter Ψ with $\Psi \supset \Phi_n$ ($n=1, 2, \dots$) converges in E . In the sequel Φ_n are called *defining filters for E*.

Let A be a subset of a set E and Φ a filter in E . We say that A is *disjoint from Φ* if there is B in Φ such that $A \cap B = \phi$. If A is not disjoint from Φ , we denote the filter $\{A \cap B \mid B \in \Phi\}$ in A by Φ_A . We identify the filter Φ_A in A with the filter Φ in E if $A \in \Phi$. Let φ be a mapping from a set E into a set F and Φ, Ψ filters in E, F respectively. $\varphi(\Phi)$, the *image of Φ by φ* , is defined as the filter generated by $\{\varphi(A) \mid A \in \Phi\}$. When $\varphi(E)$ is not disjoint from Ψ , $\varphi^{-1}(\Psi)$, the *inverse image of Ψ by φ* is defined as the filter generated by $\{\varphi^{-1}(A) \mid A \in \Psi\}$.

A subset A of topological space E is said to be *everywhere second category in E*, if any non-void intersection $U \cap A$ with an open set U in E is second category. As well known, if A is second category, the set $O(A)$ of all the elements x in E for which $A \cap V$ is second category for every neighbourhood V of x is not empty and $O(A) \cap A$ is everywhere second category in E .

First we show that the class of quasi-Souslin spaces, as in the case of Souslin spaces, is closed by the following operations:

(1) The image $E = \varphi(F)$ of a quasi-Souslin space F by a continuous mapping φ is quasi-Souslin.

(2) The closed subspace E of a quasi-Souslin space F is quasi-Souslin.

(3) The product space $E = \prod_n E_n$ of quasi-Souslin spaces E_n ($n=1, 2, \dots$) is quasi-Souslin.

(4) The inductive limit E of quasi-Souslin spaces E_n ($n=1, 2, \dots$) is quasi-Souslin.

Proof of (1). Suppose F is quasi-Souslin with respect to S -filters Φ_n ($n=1, 2, \dots$). Let D be a subset of F for which $\varphi(D)=\varphi(F)$ and φ is one-to-one on D . For each n such that D is not disjoint from Φ_n , $(\Phi_n)_D$ is an S -filter in D and $\varphi\{(\Phi_n)_D\}$ is an S -filter in E . Let Ψ be any ultrafilter in E such that $\Psi \ni \varphi\{(\Phi_n)_D\}$ for every n for which $(\Phi_n)_D$ exists, then $\{\varphi^{-1}(\Psi)\}_D \ni (\Phi_n)_D$ for every n . Therefore, if we choose an ultrafilter Ω in F such that $\{\varphi^{-1}(\Psi)\}_D \subset \Omega$ then $\varphi(\Omega)=\Psi$ and $\Omega \ni \Phi_n$ for every n ($n=1, 2, \dots$). Since F is quasi-Souslin, there exists x in F such that Ω converges to x and hence Ψ converges to $\varphi(x)$ in E by virtue of the continuity of φ . Thus we have proved that E is quasi-Souslin with defining filters $\varphi\{(\Phi_n)_D\}$.

Proof of (2). Let Φ_n ($n=1, 2, \dots$) be defining S -filters for F . For each n such that E is not disjoint from Φ_n , $(\Phi_n)_E$ is an S -filter in E . Then E is a quasi-Souslin space with respect to defining filters $(\Phi_n)_E$.

Proof of (3). For each n , let $\Phi_{n,m}$ ($m=1, 2, \dots$) be defining S -filters for E_n and p_n projections from E to E_n . Then E is a quasi-Souslin space with defining filters $p_n^{-1}(\Phi_{n,m})$ ($n, m=1, 2, \dots$).

Proof of (4). Suppose $E = \bigcup_n E_n$ with the including mappings f_n of E_n into E . For each n , let $\Phi_{n,m}$ ($m=1, 2, \dots$) be defining filters for E_n and Φ the filter generated by $E \sim E_n$ ($n=1, 2, \dots$). Then E is quasi-Souslin with defining filters:

$$\Phi \text{ and } f_n(\Phi_{n,m}) \text{ (} n, m=1, 2, \dots \text{)}.$$

The fact that every Souslin space is quasi-Souslin space is a consequence of (1) and the fact that every complete separable metric space is quasi-Souslin space. Let us prove this. Let E be a complete separable metric space, d the distance function in E , and D a dense countable subset in E . Put $U(x; \epsilon) = \{y \in E \mid d(x, y) < \epsilon\}$. Let Φ_n be the filter generated by the complements of all the finite union of $U\left(x; \frac{1}{n}\right)$, x being in D . Then Φ_n is S -filter in E and we show that E is a quasi-Souslin space with defining filters Φ_n ($n=1, 2, \dots$). Let Ψ be an ultrafilter in E such that $\Psi \ni \Phi_n$ for every n , then there exists a sequence x_n of elements in D such that $\Psi \ni U\left(x_n; \frac{1}{n}\right)$. Then Ψ is a Cauchy filter in E and, since E is complete, Ψ converges.

Remark. Every compact Housdorff topological space and more generally every Housdorff topological space which is covered by countable number of compact subsets is quasi-Souslin. Reflexive non-separable Banach space with weak topology is an example of quasi-Souslin spaces which are not Souslin.

To prove the closed graph theorem for quasi-Souslin space, we make use of the following two Lemmas.

Lemma 1. *Let φ be a mapping from a topological space X into a regular topological space Y . Let A_i ($i=1, 2, \dots$) be a dense subset of X such that $A_i \supset A_{i+1}$ for each i ($i=1, 2, \dots$). Let Φ_x be the filter generated by all A_i ($i=1, 2, \dots$) together with all the neighbourhoods of x in X . Then if $\varphi(\Phi_x)$ converges to $\varphi(x)$ for every $x \in X$, then φ is continuous.*

Proof. Let U be a neighbourhood of $x \in X$. Then for every $y \in U$, Φ_y converges to y and, by assumption, $\varphi(\Phi_y)$ converges to $\varphi(y)$ so $\varphi(y) \in \varphi(U \cap A_i)^-$, and hence $\varphi(U) \subset \varphi(U \cap A_i)^-$. Here $\varphi(U \cap A_i)^-$ denotes the closure of $\varphi(U \cap A_i)$. By virtue of the regularity of Y , for any neighbourhood V of $\varphi(x)$, there exists a closed neighbourhood W of $\varphi(x)$ such that $V \supset W$. Since $\varphi(\Phi_x)$ converges to $\varphi(x)$, there exists a neighbourhood U of x and i such that $\varphi(U \cap A_i) \subset V$. Then we have $\varphi(U) \subset \varphi(U \cap A_i)^- \subset V \subset W$. Therefore φ is continuous at x .

Lemma 2. *Let F be a linear topological space, and let D be a subset of F such that $F \sim D$, the complement of D in F , is first category in F , then every linear mapping φ of F into a linear topological space E which is continuous on D is continuous.*

Proof. For a given neighbourhood V of 0 in E , we show that there exists a neighbourhood U of 0 in F such that $\varphi(U) \subset V$. Let W be a neighbourhood of 0 in E such that $W - W \subset V$. Then, for a fixed element $x \in D$, by the continuity of φ on D , we can find U such that $\varphi(D \cap (x + U)) \subset W + \varphi(x)$. Let y be in U . As $x + U$ is a neighbourhood of x and $U - y$ is a neighbourhood of 0, $(x + U) \cap (x + U - y) \neq \emptyset$. As $F \sim D$ is first category, we have $\{(x + U) \cap D\} \cap \{(x + U) \cap D - y\} \neq \emptyset$. Let z be in $\{(x + U) \cap D\} \cap \{(x + U) \cap D - y\}$, then $z \in (x + U) \cap D$ and $z + y \in (x + U) \cap D$, and hence both $\varphi(z)$ and $\varphi(z + y)$ are in $\varphi(x) + W$. Therefore $\varphi(y) = \varphi(z + y) - \varphi(z) \in W - W$, showing $\varphi(U) \subset V$.

Now we prove

Theorem. *Every mapping φ with graph closed from a topological space F which is everywhere second category into a regular quasi-Souslin space E , is continuous on a subset D such that $F \sim D$ is first category.*

Proof. There exists a sequence of subsets A_i ($i=1, 2, \dots$) of F such that, for each i , A_i is everywhere second category in F , $A_i \supset A_{i+1}$ and for every x in A_i there exists a neighbourhood U of x such that $U \cap A_i$ is disjoint from $\varphi^{-1}(\Phi_i)$. We put $F = A_0$, and for each i when A_i is already determined we put $A_{i+1} = A_i$ in case $\varphi^{-1}(\Phi_{i+1})$ does not exist (i.e. $\varphi(F)$ is disjoint from Φ_{i+1}) and we determine in the following way in case $\varphi^{-1}(\Phi_{i+1})$ exists. Let $\{(V_\lambda, B_\lambda)\}_{\lambda \in \Lambda}$ be a maximal family of ordered pairs (V_λ, B_λ) with the following three conditions:

- (1) B_λ is everywhere second category in non-void open set V_λ .

(2) $A_i \supset B_\lambda$ and B_λ is disjoint from $\varphi^{-1}(\Phi_{i+1})$.

(3) $V_\lambda \cap V_{\lambda'} = \emptyset$ if $\lambda \neq \lambda'$.

Put $A_{i+1} = \bigcup_{\lambda \in A} B_\lambda$. Suppose there exists an open set W such that $W \cap V_\lambda = \emptyset$ for all $\lambda \in A$. As Φ_{i+1} is an S -filter in E , $\varphi^{-1}(\Phi_{i+1})$ is also an S -filter in F , and hence, $\varphi^{-1}(\Phi_{i+1})$ has a countable basis $\{S_n\}$ such that $\bigcap_n S_n = \emptyset$. Since $W \cap A_i$ is second category and $W \cap A_i = \bigcup_n (W \cap A_i \sim S_n)$, $C = W \cap A_i \sim S_{n_0}$ is second category for some n_0 . Then $W \cap A_i \supset C$ and C is disjoint from $\varphi^{-1}(\Phi_{i+1})$. Putting $V = O(C)$, and $B = V \cap C$, we obtained a pair (V, B) and the family $\{(V_\lambda, B_\lambda), (V, B)\}$ which also satisfies (1), (2) and (3), contradicting the maximality of $\{(V_\lambda, B_\lambda)\}_{\lambda \in A}$. So we have proved that $\bigcup_{\lambda \in A} V_\lambda$ is dense in F , and then it is obvious that A_{i+1} is everywhere second category.

Let D_i be the set of those $x \in F$ for which there exists a neighbourhood U of x such that $U \cap A_i$ is disjoint from $\varphi^{-1}(\Phi_i)$, and put $D = \bigcap_i D_i$. Then D_i is open and dense since $D_i \supset A_i$, and hence $F \sim D$ is first category.

Now we prove that φ is continuous on D . We apply Lemma 1 for φ , D and $D \cap A_i$, then it is sufficient to prove that $\varphi(\Phi_x)$ converges to $\varphi(x)$ for every $x \in D$, where Φ_x is defined as in the lemma. Every ultrafilter $\Psi \supset \varphi(\Phi_x)$, being disjoint from every Φ_i , converges to an element z in E . Since Φ_x converges to x and φ is graph closed, we have $z = \varphi(x)$.

Corollary. *Every graph closed linear mapping φ from a linear topological space of second category into a linear topological space E which is quasi-Souslin is continuous.*

Proof. By the theorem, there exists a subset D of F such that $F \sim D$ is first category and φ is continuous on D . Then, by Lemma 2, φ is continuous.

References

- [1] L. Schwartz: Sur le théorème du graphe fermé. C. R. Acad. Sc. Paris Série A, **263**, 602-605 (1966).
- [2] A. Martineau: Sur le théorème du graphe fermé. C. R. Acad. Sc. Paris Série A, **263**, 870-871 (1966).