

## 7. On a Relation between Characters of Discrete and Non-Unitary Principal Series Representations

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**§ 1. Introduction.** For the general linear group  $G=SL(2, R)$ , it was proved by I. M. Gelfand and M. I. Graev, N. Ya Vilenkin in [6] that the quotient representation of certain non-unitary principal series representations by its finite dimensional invariant subrepresentation is infinitesimally equivalent to a representation which belongs to the discrete series.

Our purpose is to prove a similar relation for any group  $G$  satisfying the following conditions:

(C.1)  $G$  is a connected real simple Lie group.

(C.2) There is a simply connected complex simple Lie group  $G_c$  which is the complexification of  $G$ .

(C.3) The symmetric space  $G/K$  is of rank one and  $G$  has a compact Cartan subgroup, where  $K$  denotes the maximal compact subgroup of  $G$ .

In § 3, we prove the relation using the explicit character formulas for the representations in discrete series and in non-unitary principal series obtained by Harish-Chandra ([2], [4], [5]).

In § 4, we state some results for  $G=Spin(2l, 1)$  ( $l \geq 1$ ) using Theorem 1.

**§ 2. Preliminaries.** Let  $G$  be a Lie group satisfying conditions C.1, C.2 and C.3 with Lie algebra  $\mathfrak{g}$ . We shall always denote by  $\mathfrak{g}_c$  the complexification of Lie sub-algebra  $\mathfrak{g}$  of  $\mathfrak{g}$ . By C.2,  $\mathfrak{g}_c$  is the Lie algebra of  $G_c$ .

Let  $\mathfrak{g}=\mathfrak{k}+\mathfrak{p}$  be a Cartan decomposition and  $K$  be the analytic subgroup of  $G$  whose Lie algebra is  $\mathfrak{k}$ . We shall fix a Cartan subalgebra  $\mathfrak{h}(\subset \mathfrak{k})$  of  $\mathfrak{g}$ . Let  $\Omega$  be the non-zero root system of  $\mathfrak{g}_c$  with respect to  $\mathfrak{h}_c$ . For any root  $\alpha$ , we can select a root vector  $X_\alpha$  such that  $B(X_\alpha, X_{-\alpha})=1$  (Where  $B$  is the Killing form of  $\mathfrak{g}_c$ ). As usual we identify  $\mathfrak{h}_c$  with the dual space of  $\mathfrak{h}_c$  by the relation  $\lambda(H)=B(H, H_\lambda)$  and denote  $(\lambda, \mu)=B(H_\lambda, H_\mu)$  for two linear functions  $\lambda, \mu$  on  $\mathfrak{h}_c$ . Then we have  $[X_\alpha, X_{-\alpha}]=H_\alpha$  for any root  $\alpha \in \Omega$ . For a fixed non-compact root  $\gamma$ , we select a compatible ordering in dual space of  $RH_\gamma$  and  $\sqrt{-1}b$  such that  $\gamma > 0$ . Put

$$y = \exp\left\{\frac{\sqrt{-1}\pi}{4} \cdot 2^{1/2}((\gamma, \gamma))^{-1/2}(X_\gamma - X_{-\gamma})\right\} \in G_c.$$

Then  $Ad(y^{-1})\mathfrak{b}_c = \alpha_c$  where  $\alpha$  is a Cartan subalgebra of  $\mathfrak{g}$ . Let  $\alpha_R = R\sqrt{-1}(X_\gamma + X_{-\gamma})$  and  $\alpha_I = \alpha \cap \mathfrak{k}$ . Then  $\alpha = \alpha_R + \alpha_I$  and  $\{\alpha, \mathfrak{b}\}$  is a complete set of representatives of non-conjugate Cartan subalgebras in  $\mathfrak{g}$ . Since  $\mathfrak{b}_c = Ad(y)\alpha_c$ , for any linear function  $\lambda$  on  $\mathfrak{b}_c$ , we can define a linear function  $\lambda^\nu$  on  $\alpha_c$  as follows;

$$\lambda^\nu(H) = \lambda(Ad(y)H) \quad \text{for all } H \in \alpha_c.$$

In this way  $\Omega^\nu = \{\alpha^\nu | \alpha \in \Omega\}$  is the non zero root system of  $\mathfrak{g}_c$  with respect to  $\alpha_c$ . The ordering of  $\Omega$  induces a lexicographic order in  $\Omega^\nu$ .

For any root  $\alpha \in \Omega^\nu$ , put  $\mathfrak{g}_\alpha = \{X \in \mathfrak{g}_c | ad(H)X = \alpha(H)X \text{ for all } H \in \alpha_c\}$ . Then  $\mathfrak{g}_c = \alpha_c + \sum_{\alpha \in \Omega^\nu} \mathfrak{g}_\alpha$ .

Put  $\mathfrak{n} = \mathfrak{g} \cap \sum_{\alpha \in \Omega^\nu, (\alpha, \gamma^\nu) > 0} \mathfrak{g}_\alpha$  and let  $A_R$  and  $N$  be the analytic subgroups of  $G$  corresponding to  $\alpha_R$  and  $\mathfrak{n}$ . Then  $G = KA_RN$ . Define the functionals  $\rho_+, \rho_-$  on  $\alpha_c$  as follows:

$$\rho_+ = \frac{1}{2} \sum_{\alpha \in \Omega^\nu, \alpha > 0, (\alpha, \gamma^\nu) \neq 0} \alpha, \quad \rho_- = \frac{1}{2} \sum_{\alpha \in \Omega^\nu, \alpha > 0, (\alpha, \gamma^\nu) = 0} \alpha.$$

And define the functional  $\rho$  on  $\mathfrak{b}_c$  by  $\rho = \frac{1}{2} \sum_{\alpha \in \Omega^\nu, \alpha > 0} \alpha$ .

**§ 3. Main result.** Let  $dk (k \in K)$  be the Haar measure of  $K$  normalized as  $\int_K dk = 1$ . And let  $L_2(K)$  be the set of all square integrable functions on  $K$  with respect to  $dk$ . For any  $x \in G$  and any  $k \in K$ , define  $H(x, k) (\in \alpha_R), k_x (\in K)$  as follows:

$$xk \in k_x \exp H(x, k)N, \quad k_x \in K, \quad \exp H(x, k) \in A_R.$$

Let  $M$  be the centralizer of  $\alpha_R$  in  $K$ . Then  $M$  is compact. Let  $\sigma$  be an irreducible unitary representation of  $M$  and  $\mu$  be a linear function on  $\alpha_R$ . Put  $L_2^\sigma(K)$  by

$$L_2^\sigma(K) = \{\phi \in L_2(K) | \phi(mk) = \sigma(m)\phi(k)\}.$$

Define the representation  $T^{\sigma, \mu}$  of  $G$  as follows:

$$[T^{\sigma, \mu}(x)\phi](k) = e^{-(\mu + \rho_+)(H(x^{-1}, k))} \phi(kx^{-1}),$$

for all  $x \in G$  and all  $\phi = \phi(k) \in L_2^\sigma(K)$ .

Then the trace of  $T^{\sigma, \mu}$  defines a distribution on  $G$  (see [2]).

We shall denote this distribution by trace  $T^{\sigma, \mu}$ .

Let  $W(W_I)$  be the Weyl group of  $\mathfrak{g}_c$  (resp.  $\mathfrak{k}_c$ ) with respect to  $\mathfrak{b}_c$ . Put  $W_0 = \{s \in W | s\alpha_I = \alpha_I\}$ . Then  $W_0$  is a subgroup of  $W$ . Put  $\Omega_0 = \{\alpha \in \Omega | \alpha = \gamma \text{ or } \alpha \text{ is positive such that } (\alpha, \gamma) = 0\}$ . Define the subset  $W_1$  of  $W$  by  $W_1 = \{s \in W | s\alpha > 0 \text{ for all } \alpha \in \Omega_0\}$ .

For any dominant integral form  $\lambda$  on  $\mathfrak{b}_c$  and any  $s \in W_1$ , define the linear form  $\mu = \mu(s, \lambda)$  on  $\alpha_c$  and the irreducible representation  $\sigma(s, \lambda)$  of  $M$  as follows:

$\mu(s, \lambda)(H) = (s(\lambda + \rho))^{\nu}(H)$  for all  $H \in \alpha_R$ ,  
 $\sigma(s, \lambda)$  = the irreducible representation of  $M$  with the highest weight  
 $(s(\lambda + \rho))^{\nu} - \rho_- |_{\alpha_I}$ , where  $(s(\lambda + \rho))^{\nu} - \rho_- |_{\alpha_I}$  is the restriction of  
 linear form  $(s(\lambda + \rho))^{\nu} - \rho_-$  on  $\alpha$  to  $\alpha_I$ .

Define the representation  $V_{s(\lambda+\rho)}$  of  $G$  by

$$V_{s(\lambda+\rho)}(x) = T^{\sigma(s,\lambda), \mu(s,\lambda)}(x), \quad (x \in G).$$

In the following, we denote by  $\lambda$  a dominant integral form on  $\mathfrak{b}_c$ .  
 Let  $\pi_{\lambda+\rho}$  be the finite dimensional irreducible representation of  $G$  with  
 the highest weight  $\lambda$ . Then trace  $\pi_{\lambda+\rho}$  defines a distribution on  $G$  by

$$[\text{trace } (\pi_{\lambda+\rho})](f) = \int_G \text{trace } \pi_{\lambda+\rho}(x) f(x) dx$$

for any  $f \in C_c^\infty(G)$ , where  $C_c^\infty(G)$  is the set of all  $C^\infty$ -functions on  $G$  with  
 compact supports, and  $dx(x \in G)$  is a Haar measure on  $G$ . Let  
 $\Theta_{s(\lambda+\rho)}(s \in W)$  be the Harish-Chandra's character for discrete series  
 [5]. Then we have the following theorem.

**Theorem 1.** *Let  $\Theta_{\lambda+\rho}^* = \sum_{s \in W_1 \setminus W} \Theta_{s(\lambda+\rho)}$ . Then we have*

$$\Theta_{\lambda+\rho}^* = (-1)^q \left\{ \text{trace } \pi_{\lambda+\rho} - \sum_{s \in W_1} \varepsilon(s) \text{trace } [V_{s(\lambda+\rho)}] \right\},$$

where  $q = \frac{1}{2} \dim G/K$ .

Our proof of this theorem is obtained from the explicit formulas  
 of characters  $\Theta_{s(\lambda+\rho)}$  ([2]–[5]) and trace  $V_{s'(\lambda+\rho)}(s' \in W_1)$  ([2]).

**§ 4. An application.** Let  $\mathcal{E}_K$  be the set of all equivalence classes  
 of irreducible representations of  $K$ . For any representation  $\pi$  of  $K$ ,  
 we denote the multiplicity of  $\delta$  in  $\pi$  by  $[\pi; \delta]$  ( $\delta \in \mathcal{E}_K$ ). And by  $\tau|_K$ , we  
 mean the restriction of a representation  $r$  of  $G$  to  $K$ . For any  $f \in C_c^\infty(G)$ ,  
 we define the function  $f^\delta$  by  $f^\delta(x) = \chi_\delta * f * \bar{\chi}_\delta(x)$  ( $x \in G$ ) where  $*$  is the  
 convolution on  $K$  and  $\chi_\delta = \deg(\delta) \text{trace}(\delta)$ .

In this section, we shall assume that  $G = \text{Spin}(2l, 1)$  ( $l \geq 1$ ). Let  $P_\nu$  be  
 the set of all non-compact positive roots in  $\Omega$ . Then  $P_\nu = \{\lambda_1, \lambda_2, \dots, \lambda_l\}$   
 ( $l = \dim \mathfrak{b}$ ), where  $\lambda_i$ 's are linear forms which are mutually orthogonal  
 with respect to the Killing form  $B$ . And the set  $P_l$  of all compact  
 positive roots is

$$\{\lambda_i \pm \lambda_j | 1 \leq i \leq j \leq l\}.$$

Let  $\lambda$  be a dominant integral form on  $\mathfrak{b}_c$ . Then  $\lambda = m_1 \lambda_1 + m_2 \lambda_2 + \dots +$   
 $m_l \lambda_l$ ,  $m_1 \geq m_2 \geq \dots \geq m_l \geq 0$ , and  $m_i$ 's are either all integers or all strict  
 half integers. Put

$$\mathcal{E}_\lambda = \left\{ \eta = \sum_{i=1}^l \eta_i \lambda_i | \eta_1 \geq m_1 + 1 \geq \eta_2 \geq \dots \geq \eta_l \geq m_l + 1, \right. \\ \left. \eta_i \equiv m_i \pmod{2} \quad i = 1, 2, \dots, l \right\}$$

where  $Z$  is the set of all integers. Then we have the following formulas  
 for any function  $f \in C_c^\infty(G)$ .

**Theorem 2.** 1) For any irreducible representation  $\delta = \delta_\eta$  of  $K$  which has the highest weight  $\eta \in \mathcal{E}_\lambda$ .

$$\Theta_{\lambda+\rho}^*(f^\delta) = (\text{trace } V_{s_0(\lambda+\rho)})(f^\delta)$$

where  $s_0 = s_{\lambda_l - \lambda_{l-1}} s_{\lambda_l - \lambda_{l-2}} \cdots s_{\lambda_l - \lambda_1} (\in W_1)$ .

2) For the representation  $\omega_{\lambda+\rho}$  corresponding to  $\Theta_{\lambda+\rho}^*$

$$[\omega_{\lambda+\rho}|K; \delta] = 1 \quad \text{for all } \delta = \delta_\eta (\eta \in \mathcal{E}_\lambda).$$

**Remark.** This result is known (T. Hirai [7], [8]). But we shall prove it by a different method from his. For the proof of Theorem 2, we shall state two lemmas.

**Lemma 1.** Let  $\pi_{\lambda+\rho}$  be the same as in Theorem 1. Then

$$[\pi_{\lambda+\rho}|K; \delta] = 0 \quad \text{for all } \delta = \delta_\eta (\eta \in \mathcal{E}_\lambda).$$

**Proof.** Let  $\nu$  be a weight which occurs in  $\pi_{\lambda+\rho}|K$  with respect to  $\mathfrak{b}_c$ . Then  $(\nu + \rho_t, \nu + \rho_t) < (\eta + \rho_t, \eta + \rho_t)$  for all  $\eta \in \mathcal{E}_\lambda$  where  $\rho_t = \frac{1}{2} \sum_{\alpha \in P_t} \alpha$ .

So we have Lemma 1.

**Lemma 2.** Let  $\delta = \delta_\kappa$  be the irreducible representation of  $K$  with the highest weight  $\kappa = \kappa_1 \lambda_1 + \cdots + \kappa_l \lambda_l$  on  $\mathfrak{b}$ .

For the restriction  $\delta|M$  of representation  $\delta$  of  $K$  to  $M$ ,

$$\delta|M = \bigoplus_{\kappa_1 \geq \nu_2 \geq \cdots \geq \nu_l \geq |\kappa_l|} \pi'_\nu$$

where  $\pi'_\nu$  is the irreducible representation of  $M$  with highest the weight  $\nu = \nu_2 \lambda_2 + \cdots + \nu_l \lambda_l$ .

For the proof of Lemma 2, see [1].

**Proof of Theorem 2.** By Lemma 1,  $(\text{trace } \pi_{\lambda+\rho})(f^\delta) = 0$  for all  $\delta = \delta_\eta (\eta \in \mathcal{E}_\lambda)$ . By Lemma 2 and Frobenius reciprocity theorem applied to the induced representation  $V_{s(\lambda+\rho)}|K$ , we have

$$[V_{s(\lambda+\rho)}|K; \delta] = 0 \quad \text{if } s_0 \neq s \in W_1,$$

and

$$[V_{s_0(\lambda+\rho)}|K; \delta] = 1 \quad \text{for any } \delta = \delta_\eta (\eta \in \mathcal{E}_\lambda).$$

So we have Theorem 2.

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