

105. The Hurewicz Isomorphism Theorem on Homotopy and Homology Pro-Groups

By Kiiti MORITA

(Comm. by Kenjiro SHODA, M. J. A., Sept. 12, 1974)

§ 1. Introduction. Let (X, A, x_0) be a pair of pointed topological spaces. Let $\{\mathfrak{U}_\lambda \mid \lambda \in \mathcal{A}\}$ be the family of all locally finite normal open covers of X such that each \mathfrak{U}_λ has exactly one member containing x_0 . Then we have an inverse system $\{(X_\lambda, A_\lambda, x_{0\lambda}), [p_{\lambda\lambda'}], \mathcal{A}\}$ in the pro-category of the homotopy category of pairs of pointed CW complexes by taking the nerves of \mathfrak{U}_λ and $\mathfrak{U}_\lambda \cap A$, by ordering \mathcal{A} by means of refinements of covers, and by taking the homotopy classes of canonical projections. We call this inverse system the Čech system of (X, A, x_0) . The Čech system of (X, A) is defined similarly by using all locally finite normal open covers of X .

We define the n -th (Čech) homotopy pro-group $\pi_n(X, A, x_0)$ to be a pro-group $\{\pi_n(X_\lambda, A_\lambda, x_{0\lambda}), \pi_n(p_{\lambda\lambda'}), \mathcal{A}\}$ ($n \geq 2$); $\pi_1(X, A, x_0) = \{\pi_1(X_\lambda, A_\lambda, x_{0\lambda}), \pi_1(p_{\lambda\lambda'}), \mathcal{A}\}$ is considered as a pro-object in the category of pointed sets and base-point preserving maps.

The n -th (Čech) homology pro-group $H_n(X, A)$ with coefficients in the additive group of integers is defined similarly by using the Čech system of (X, A) . Since $\{\mathfrak{U}_\lambda \mid \lambda \in \mathcal{A}\}$ described above is cofinal in the family of all locally finite normal open covers of X , the inverse system $\{H_n(X_\lambda, A_\lambda), H_n(p_{\lambda\lambda'}), \mathcal{A}\}$ is isomorphic to $H_n(X, A)$ in the category of pro-groups. Hence, the set of the Hurewicz homomorphisms $\Phi_n(X_\lambda, A_\lambda, x_{0\lambda}) : \pi_n(X_\lambda, A_\lambda, x_{0\lambda}) \rightarrow H_n(X_\lambda, A_\lambda)$ for $\lambda \in \mathcal{A}$ determines a morphism $\Phi_n(X, A, x_0) : \pi_n(X, A, x_0) \rightarrow H_n(X, A)$ in the category of pro-groups, which we shall call the Hurewicz morphism.

A subspace A of a space X is said to be P -embedded in X if every locally finite normal open cover of A has a refinement which can be extended to a locally finite normal open cover of X . If A is P -embedded in X , $\{(A_\lambda, x_{0\lambda}), [p_{\lambda\lambda'} \mid (A_{\lambda'}, x_{0\lambda'})], \mathcal{A}\}$, which is obtained from the Čech system of (X, A, x_0) , is isomorphic to the Čech system of (A, x_0) . A pro-group $G = \{G_\lambda, \phi_{\lambda\lambda'}, \mathcal{A}\}$ is a zero-object, $G = 0$ in notation, if G is isomorphic to a pro-group consisting of a single trivial group, or equivalently, if for each $\lambda \in \mathcal{A}$ there is $\lambda' \in \mathcal{A}$ with $\lambda < \lambda'$ such that $\phi_{\lambda\lambda'} = 0$.

In this paper we shall establish the following analogue of the Hurewicz isomorphism theorem.

Theorem 1. *Let (X, A, x_0) be a pair of pointed, connected, topological spaces such that $\pi_k(X, A, x_0) = 0$ for k with $1 \leq k \leq n$ ($n \geq 1$). Then*

$H_k(X, A) = 0$ for $1 \leq k \leq n$. If A is P -embedded in X and $\pi_1(A, x_0) = 0$ then the Hurewicz morphism $\Phi_{n+1}(X, A, x_0) : \pi_{n+1}(X, A, x_0) \rightarrow H_{n+1}(X, A)$ is an isomorphism.

For the absolute Herewicz isomorphism theorem, its analogue was proved by K. Kuperberg [2] for compact metric spaces,¹⁾ and for the relative Hurewicz isomorphism theorem its analogue was proved by T. Porter [6] for movable pairs of metric compacta with a certain condition. These results, however, are concerned with the limit groups of homotopy and homology pro-groups, but they are direct consequences of our Theorem 1.

§ 2. Some lemmas. Let \mathfrak{R} be a category. Let $X = \{X_\lambda, p_{\lambda\lambda'}, A\}$ and $Y = \{Y_\mu, q_{\mu\mu'}, M\}$ be inverse systems (over directed sets) in \mathfrak{R} . A map of inverse systems, or simply a system map, from X to Y consists of a map $\phi : M \rightarrow A$ and a collection $\{f_\mu \mid \mu \in M\}$ of morphisms $f_\mu : X_{\phi(\mu)} \rightarrow Y_\mu$ such that for every $\mu, \mu' \in M$ with $\mu < \mu'$ there is $\lambda \in A$ such that $\phi(\mu), \phi(\mu') < \lambda$ and $f_\mu p_{\phi(\mu)\lambda} = q_{\mu\mu'} f_{\mu'} p_{\phi(\mu')\lambda}$. Two system maps $f = \{\phi, f_\mu, M\}$ and $g = \{\psi, g_\mu, M\}$ from X to Y is called equivalent if for each $\mu \in M$ there is $\lambda \in A$ such that $\phi(\mu) < \lambda, \psi(\mu) < \lambda$ and $f_\mu p_{\phi(\mu)\lambda} = g_\mu p_{\psi(\mu)\lambda}$. The equivalence class containing f is denoted by $[f]$. There is a category whose objects are inverse systems in \mathfrak{R} and whose morphisms are equivalence classes of system maps. It is called the pro-category of \mathfrak{R} and is denoted by $\text{pro}(\mathfrak{R})$.

If A' is a cofinal subset of A , then $\{X_\lambda, p_{\lambda\lambda'}, A\}$ is isomorphic to $\{X_\lambda, p_{\lambda\lambda'}, A'\}$ in $\text{pro}(\mathfrak{R})$.

Lemma 1. Let $(A, <)$ be a directed set with order $<$. Let $<'$ be another order in A such that (i) $\lambda <' \lambda' \Rightarrow \lambda < \lambda'$, (ii) $\forall \lambda \in A, \exists \mu \in A : \lambda < \mu$, and (iii), $\lambda < \lambda' < \mu' < \mu \Rightarrow \lambda < \mu$. Then $(A, <')$ is also a directed set and any inverse system $\{X_\lambda, p_{\lambda\lambda'}, (A, <')$ in \mathfrak{R} is isomorphic to $\{X_\lambda, p_{\lambda\lambda'}, (A, <)\}$ in $\text{pro}(\mathfrak{R})$.

Proof. The first part is obvious. For any $\lambda \in A$, we choose an element $\phi(\lambda)$ of A so that $\lambda < \phi(\lambda)$, and let us define $f_\lambda : X_{\phi(\lambda)} \rightarrow X_\lambda$ by $f_\lambda = p_{\lambda\phi(\lambda)}$. On the other hand, let us put $g_\lambda = 1 : X_\lambda \rightarrow X_\lambda$. Then $f = \{\phi, f_\lambda, (A, <)\} : \{X_\lambda, p_{\lambda\lambda'}, (A, <)\} \rightarrow \{X_\lambda, p_{\lambda\lambda'}, (A, <)\}$ and $g = \{1, g_\lambda, (A, <)\} : \{X_\lambda, p_{\lambda\lambda'}, (A, <)\} \rightarrow \{X_\lambda, p_{\lambda\lambda'}, (A, <)\}$ are system maps, and $[f][g] = 1, [g][f] = 1$.

Lemma 2. Let $X = \{X_\lambda, p_{\lambda\lambda'}, A\}$ and $Y = \{Y_\lambda, q_{\lambda\lambda'}, A\}$ be inverse systems in \mathfrak{R} over the same directed set A . Suppose that for each $\lambda \in A$ there exists a morphism $f_\lambda : X_\lambda \rightarrow Y_\lambda$ and for any $\lambda, \mu \in A$ with $\lambda < \mu$ there exists $\psi_{\lambda\mu} : Y_\mu \rightarrow X_\lambda$ such that

$$(1) \quad p_{\lambda\mu} = \psi_{\lambda\mu} f_\mu, \quad f_\lambda \psi_{\lambda\mu} = q_{\lambda\mu}.$$

1) The proof in [4, §6] for topological spaces is incorrect. Our proof of Theorem 1 is its rectification.

Then $f = \{1, f_\lambda, A\}$ defines a system map from X to Y which induces an isomorphism in pro (\mathfrak{R}) .

Proof. For $\kappa, \lambda, \mu, \nu \in A$ such that $\kappa < \lambda < \mu < \nu$, we have

$$(2) \quad \psi_{\kappa\lambda} q_{\lambda\nu} = \psi_{\kappa\mu} q_{\mu\nu} = p_{\kappa\lambda} \psi_{\lambda\mu} q_{\mu\nu},$$

since $\psi_{\kappa\mu} q_{\mu\nu} = p_{\kappa\lambda} p_{\lambda\mu} \psi_{\mu\nu} = p_{\kappa\lambda} \psi_{\lambda\mu} q_{\mu\nu} = \psi_{\kappa\lambda} q_{\lambda\mu} q_{\mu\nu}$. For each $\lambda \in A$ let us choose an element $\alpha(\lambda) \in A$ so that $\lambda < \alpha(\lambda)$, and define $g_\lambda : Y_{\alpha(\lambda)} \rightarrow X_\lambda$ by $g_\lambda = \psi_{\lambda, \alpha(\lambda)}$. If $\kappa < \kappa' < \mu < \nu$ and $\kappa < \lambda < \lambda' < \mu < \nu$, then by (1) and (2) we have $\psi_{\kappa\kappa'} q_{\kappa'\nu} = \psi_{\kappa\mu} q_{\mu\nu} = \psi_{\kappa\lambda'} q_{\lambda'\nu} = p_{\kappa\lambda} \psi_{\lambda\lambda'} q_{\lambda'\nu}$. Hence $g = \{\alpha, g_\lambda, A\}$ defines a system map from Y to X . Since $[f][g] = 1$ and $[g][f] = 1$, this completes the proof of Lemma 2.

Lemma 3. Let $p_{i+1,i} : (X_i, A_i, x_i) \rightarrow (X_{i+1}, A_{i+1}, x_{i+1})$, $0 \leq i < n$, be continuous maps of pairs of pointed connected simplicial complexes such that

$$\pi_{k+1}(p_{k+1,k}) = 0 : \pi_{k+1}(X_k, A_k, x_k) \rightarrow \pi_{k+1}(X_{k+1}, A_{k+1}, x_{k+1})$$

for $0 \leq k < n$. Then there is a continuous map $\psi : (X_0, X_0^n \cup A_0, x_0) \rightarrow (X_n, A_n, x_n)$ such that

$$\psi^j \simeq p_{n,n-1} \cdots p_{10} : (X_0, A_0, x_0) \rightarrow (X_n, A_n, x_n),$$

where X_0^k is the k -skeleton of X_0 and $j : (X_0, A_0, x) \rightarrow (X_0, X_0^n \cup A_0, x_0)$ is the inclusion map. Moreover, if $\pi_1(p_{10}|(A_0, x_0)) = 0$ and $\pi_1(p_{10}|(X_0, x_0)) = 0$, then ψ can be chosen so that $\psi(X_0^1) = x_n$.

Proof. In what follows, maps are continuous. Assume that $\pi_1(p_{10}|(A_0, x_0)) = 0$ and $\pi_1(p_{10}|(X_0, x_0)) = 0$. Putting $L_0 = X_0^0 \times I \cup X_0 \times 0$ and $L_k = (X_0^k \cup A_0) \times I \cup X_0 \times 0$ for $1 \leq k \leq n$, where $I = [0, 1]$, let us construct maps $\chi_k : L_k \rightarrow X_k, k = 0, 1, \dots, n$ with the following properties.

- (3) $\chi_0(x, 0) = x$ for $x \in X_0, \chi_0(A_0^0 \times I) \subset A_0$;
- (4) $\chi_1(x, 1) = x_1$ for $x \in X_0^1, \chi_1(A_0 \times I) \subset A_1$;
- (5) $\chi_k|L_{k-1} = p_{k,k-1} \chi_{k-1}$ for $k \geq 1$;
- (6) $\chi_k(x, 1) \in A_k$ for $x \in X_0^k, k \geq 0$.

First, let χ_0 be defined over $X_0 \times 0$ by (3). For $x \in X_0^0$ let $\chi_0(x, t)$ be a path from x to x_0 so that it lies in A_0 if $x \in A_0^0$. Next, let E^1 be a 1-simplex in X_0 (resp. A_0). Then χ_0 defines a map α from $(E^1 \times 0 \cup \dot{E}^1 \times I, \dot{E}^1 \times 1)$ to (X_0, x_0) (resp. (A_0, x_0)). Since $\pi_1(p_{10}|(X_0, x_0)) = 0$ and $\pi_1(p_{10}|(A_0, x_0)) = 0$, $p_{10}\alpha$ is homotopic in X_1 (resp. A_1) relative to $\dot{E}^1 \times 1$ to a map from $E^1 \times 0 \cup \dot{E}^1 \times I$ to x_1 . This homotopy yields an extension β of $p_{10}\alpha$ over $E^1 \times I$ such that $\beta(E^1 \times 1) = x_1$ and $\beta(E^1 \times I) \subset A_1$ if $E^1 \subset A_0$. Hence $p_{10}\chi_0$ is extended to a map $\chi_1 : L_0 \cup X_0^1 \times I \rightarrow X_1$ such that $\chi_1(X_0^1 \times 1) = x_1$ and $\chi_1(A_0^1 \times I) \subset A_1$. Then by the homotopy extension theorem χ_1 is extended over $L_0 \cup (X_0^1 \times I) \cup (A_0 \times I)$ such that $\chi_1(A_0 \times I) \subset A_1$. Since $L_1 = L_0 \cup (X_0^1 \times I) \cup (A_0 \times I)$, the extended map χ_1 satisfies (4), (5) and (6) with $k = 1$.

For $k \geq 2$, suppose that χ_{k-1} has been constructed. Let E^k be a k -simplex in $X_0 - A_0$. Then χ_{k-1} induces a map α from $(E^k \times 0 \cup \dot{E}^k \times I,$

$\dot{E}^k \times 1, x \times 1$) to $(X_{k-1}, A_{k-1}, x_{k-1})$ where $x \in \dot{E}^k \cap X_0^0$. Since $\pi_k(p_{k,k-1}) = 0$, $p_{k,k-1}\alpha$ is homotopic relative to $\dot{E}^k \times 1$ to a map from $E^k \times 0 \cup \dot{E}^k \times I$ to A_k . This homotopy yields an extension β of $p_{k,k-1}\alpha$ over $E^k \times I$ such that $\beta(E^k \times 1) \subset A_k$. Hence we can find χ_k satisfying (5) and (6). Therefore by induction on k we can find χ_k satisfying (5) and (6) for all k with $2 \leq k \leq n$. Here we note that $\chi_n(x, 1) = x_n$ for $x \in X_1^0$.

Finally, by the homotopy extension theorem there is a map $\theta: X_0 \times I \rightarrow X_n$ such that $\theta|_{L_n} = \chi_n$. Let us put $\psi(x) = \theta(x, 1)$ for $x \in X_0$. Then ψ has the desired properties. This proves the second part of Lemma 3.

The first part is proved similarly; it is essentially due to Mardešić [3].

§ 3. Proof of Theorem 1. Assume $\pi_1(A, x_0) = 0$ and $\pi_1(X, A, x_0) = 0$. Then by the exactness of the sequence of homotopy pro-groups (cf. [3], [5]) we have $\pi_1(X, x_0) = 0$. Hence for each $\lambda \in A$ there is $\mu \in A$ which admits a sequence $\{\lambda_0, \lambda_1, \dots, \lambda_n\}$ in A such that $\lambda < \lambda_n < \dots < \lambda_0 < \mu$ and $p_{\lambda_i + \lambda_i}: (X_{\lambda_i}, A_{\lambda_i}, x_{0\lambda_i}) \rightarrow (X_{\lambda_{i+1}}, A_{\lambda_{i+1}}, x_{0\lambda_{i+1}})$, $i = 0, 1, \dots, n-1$ satisfy the conditions in Lemma 3 (with the subscripts i there replaced by λ_i). In such a case we write $\lambda < \mu$. Then by Lemmas 1 and 3 the inverse system $\{(X_\lambda, A_\lambda, x_{0\lambda}), [p_{\lambda\lambda'}], (A, <)\}$ is isomorphic to the Čech system of (X, A, x_0) and for $\lambda, \mu \in A$ with $\lambda < \mu$ there exists a map $\phi_{\lambda\mu}: (X_\mu, X_\mu^n \cup A_\mu, x_{0\mu}) \rightarrow (X_\lambda, A_\lambda, x_{0\lambda})$ such that $p_{\lambda\mu} \simeq \phi_{\lambda\mu} j_\mu: (X_\mu, A_\mu, x_{0\mu}) \rightarrow (X_\lambda, A_\lambda, x_{0\lambda})$ and $\phi_{\lambda\mu}(X_\mu^n \cup A_\mu) \subset A_\lambda$, $\phi_{\lambda\mu}(X_\mu^1) = x_{0\lambda}$, where $j_\mu: (X_\mu, A_\mu, x_{0\mu}) \rightarrow (X_\mu, X_\mu^n \cup A_\mu, x_{0\mu})$ is the inclusion map. Let us now construct the quotient space $Y_\mu = X_\mu / X_\mu^1$ and put $B_\mu = (X_\mu^n \cup A_\mu) / X_\mu^1$; let $g_\mu: (X_\mu, X_\mu^n \cup A_\mu, x_{0\mu}) \rightarrow (Y_\mu, B_\mu, y_{0\mu})$ be the quotient map. Then there is a map $\psi_{\lambda\mu}: (Y_\mu, B_\mu, y_{0\mu}) \rightarrow (X_\lambda, A_\lambda, x_{0\lambda})$ such that $\phi_{\lambda\mu} = \psi_{\lambda\mu} g_\mu$. It is to be noted that $\pi_k(Y_\mu, B_\mu, y_{0\mu}) = 0$ for $1 \leq k \leq n$, $\pi_1(B_\mu, y_{0\mu}) = 0$, and (Y_μ, B_μ) is a pair of connected CW complexes. Thus, the usual Hurewicz homomorphism

$$\Phi_{n+1}(Y_\mu, B_\mu, y_{0\mu}): \pi_{n+1}(Y_\mu, B_\mu, y_{0\mu}) \rightarrow H_{n+1}(Y_\mu, B_\mu)$$

is an isomorphism. If we put

$$\begin{aligned} \theta_{\lambda\mu} &= \pi_{n+1}(\psi_{\lambda\mu}) \circ \Phi_{n+1}(Y_\mu, B_\mu, y_{0\mu})^{-1} \circ H_{n+1}(g_\mu j_\mu): \\ &H_{n+1}(X_\mu, A_\mu) \rightarrow \pi_{n+1}(X_\lambda, A_\lambda, x_{0\lambda}), \end{aligned}$$

then we have

$$\begin{aligned} \theta_{\lambda\mu} \circ \Phi_{n+1}(X_\mu, A_\mu, x_{0\mu}) &= \pi_{n+1}(p_{\lambda\mu}), \\ \Phi_{n+1}(X_\lambda, A_\lambda, x_{0\lambda}) \circ \theta_{\lambda\mu} &= H_{n+1}(p_{\lambda\lambda'}). \end{aligned}$$

Therefore, by Lemma 2, $\{1, \Phi_{n+1}(X_\lambda, A_\lambda, x_{0\lambda}), (A, <)\}$ defines an isomorphism from $\{\pi_{n+1}(X_\lambda, A_\lambda, x_{0\lambda}), \pi_{n+1}(p_{\lambda\lambda'}), (A, <)\}$ to $\{H_{n+1}(X_\lambda, A_\lambda), H_{n+1}(p_{\lambda\lambda'}), (A, <)\}$. Thus the second part of Theorem 1 is proved.

The first part is proved similarly (but more easily since $H_k(X_\mu, X_\mu^n \cup A_\mu) = 0$ for $1 \leq k \leq n$).

References

- [1] M. Artin and B. Mazur: Etale Homotopy. Lecture Notes in Mathematics, Vol. 100. Springer, Berlin (1969).
- [2] K. Kuperberg: An isomorphism theorem on the Hurewicz type in Borsuk's theory of shape. Fund. Math., **77**, 21–32 (1972).
- [3] S. Mardešić: On the Whitehead theorem in shape theory (to appear).
- [4] K. Morita: On shapes of topological spaces (to appear in Fund. Math.).
- [5] M. Moszyńska: The Whitehead theorem in shape theory. Fund. Math., **80**, 221–263 (1973).
- [6] T. Porter: A Čech-Hurewicz isomorphism theorem for movable metric compacta. Math. Scand., **33**, 90–96 (1973).