

163. Kummer Surfaces in Characteristic 2

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§0. Introduction. Let A be an abelian surface (i.e. abelian variety of dim 2) defined over a field of characteristic p ($p=0$ or a prime number). Denoting by ι the inversion of A ($\iota(u)=-u, u \in A$), we consider the quotient surface A/ι , which has only isolated singularities corresponding to the points of order 2 of A . When $p \neq 2$, A/ι has 16 ordinary double points and by blowing up these points, we get a $K3$ surface (i.e. regular surface with a trivial canonical divisor), called the Kummer surface of A .

When $p=2$, the situation is a little different. The number of singular points of A/ι is smaller (4, 2 or 1), but they are more complicated singularities. In this note, we consider the case where $A=E \times E'$ is a product of elliptic curves, and instead of directly looking at the singularities of A/ι and their resolution, we study the non-singular elliptic surface (Kodaira-Néron model) of the fibration $A/\iota \rightarrow E/\iota = \mathbf{P}^1$, induced by the projection $A \rightarrow E$. We define the *Kummer surface* of A , $Km(A)$, to be this non-singular elliptic surface, birationally equivalent to A/ι . Rather unexpectedly, we have

Proposition 1. Assume $p=2$ and let $A=E \times E'$. Then

- (i) $Km(A)$ (and hence A/ι) is a rational surface, if E and E' are supersingular elliptic curves.
- (ii) $Km(A)$ is a $K3$ surface in all other cases.

Proposition 2. The Picard number ρ of $Km(A)$ in the case (ii) is given as follows:

$$\rho = \begin{cases} 18 & \text{if } E \not\sim E', \\ 19 & \text{if } E \sim E', \text{ End}(E) = \mathbf{Z}, \\ 20 & \text{if } E \sim E', \text{ End}(E) \neq \mathbf{Z}. \end{cases}$$

Here " \sim " indicates isogeny. Note in particular that the $K3$ surfaces $Km(A)$ in (ii) cannot be supersingular in the sense of M. Artin [1], nor unirational (cf. [9]). It will be interesting to study the singularities of A/ι and to obtain its non-singular model for any abelian surface (or variety) in characteristic 2. For example, we can ask: (i) Is A/ι rational if A has no point of exact order 2? (In this case, A/ι is unirational.) (ii) Is A/ι birationally equivalent to a $K3$ surface if A has at least one point of exact order 2? We shall consider these questions in some occasion.

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§ 1. Elliptic curves in characteristic 2. We fix an algebraically closed field k of characteristic $p=2$. For each $j \in k$, we denote by E_j an elliptic curve with the absolute invariant j . Explicitly E_j can be defined by the equation (cf. [3]):

$$(1) \quad y^2 + axy + cy = x^3 + bx,$$

where

$$(2) \quad \begin{cases} a=b=0, & c=1, & \text{if } j=0 \\ a=j^{-1/6}, & b=aj^{-1}, & c=0 & \text{if } j \neq 0. \end{cases}$$

We choose the unique point at infinity as the origin of the group law on E_j . The inversion ι of E_j is then expressed by

$$(3) \quad (x, y) \rightarrow (x, y + ax + c).$$

It follows that E_j is supersingular (i.e. no point of exact order $p=2$) if and only if $j=0$. Note also that $(x, y) \rightarrow x$ induces the isomorphism $E_j/\iota \simeq P^1$.

§ 2. Kummer surfaces. Let us consider the abelian surface

$$(4) \quad A = E_j \times E_{j'},$$

in which $E_{j'}$ is defined by the equation (1) with a, b, c replaced by a', b', c' . Denoting the coordinates of the first and second factor of A by (x, y) and (x', y') , we identify the function field $k(A)$ of A with $k(x, y, x', y')$. The function field of A/ι is isomorphic to the subfield of $k(A)$ of those elements invariant under

$$(5) \quad (x, y, x', y') \rightarrow (x, y + ax + c, x', y' + a'x' + c').$$

Putting

$$(6) \quad z = (ax + c)y' - (a'x' + c')y,$$

we have

$$(7) \quad k(A/\iota) = k(x, x', z)$$

with the relation

$$(8) \quad z^2 - (ax + c)(a'x' + c')z = (ax + c)^2(x'^3 + b'x') - (a'x' + c')^2(x^3 + bx).$$

Let $f_1: A/\iota \rightarrow E_j/\iota = P^1$ be the morphism induced by the projection $A \rightarrow E_j$. We put

$$(9) \quad \Sigma = \begin{cases} \{\infty\} & (j=0) \\ \{\infty, 0\} & (j \neq 0). \end{cases}$$

For each $x \in P^1 - \Sigma$, the fibre $f_1^{-1}(x)$ is an elliptic curve, defined by the equation (8) over the field $k(x)$. By the method of Kodaira-Néron ([4], [5]), we can replace the fibre $f_1^{-1}(v)$ for $v \in \Sigma$ by a suitable configuration of curves C_v so that we obtain a non-singular elliptic surface $f: X \rightarrow P^1$ with $f^{-1}(v) = C_v$. The type of singular fibres C_v , which depends on $\{j, j'\}$, will be explicitly given in § 4. This surface X will be called the *Kummer surface*, $Km(A)$, of $A = E_j \times E_{j'}$ (cf. § 6). Note that $f: X \rightarrow P^1$ admits a section; in fact, the map $x \rightarrow (x, o')$ of E_j into A induces such a section (o' = the origin of $E_{j'}$).

§ 3. Generalities on elliptic surfaces. We recall here some facts about elliptic surfaces (in any char. p). Let $f : X \rightarrow P^1$ be a non-singular elliptic surface over P^1 such that

- (i) no fibre contains an exceptional curve of the first kind,
- (ii) f admits a section, and
- (iii) the set $\Sigma = \{v \in P^1 \mid f^{-1}(v) \neq \text{elliptic curve}\}$ is non-empty.

For $v \in \Sigma$, let m_v denote the number of irreducible components in the fibre $f^{-1}(v)$, and let ord_v denote the order of the discriminant of the minimal Weierstrass equation at v (cf. [6]). Moreover let c_2 (or ρ) be the Euler number (or the Picard number) of X . Then we have

$$(10) \quad c_2 = \sum_v \text{ord}_v \quad (\text{cf. [4], [6]})$$

$$(11) \quad \rho = r + 2 + \sum_v (m_v - 1) \quad (\text{cf. [6], [7]}),$$

where r is the rank of group of rational points of the generic fibre. Furthermore we have the following criteria :

$$(12) \quad X : \text{rational} \iff c_2 = 12,$$

$$(13) \quad X : K3 \iff c_2 = 24.$$

The proof of (12), (13) depends on the fact that the canonical divisor of X is induced by a certain divisor of P^1 of degree $-2 + c_2/12$ (cf. [4], [2]). In addition, we use the Castelnuovo's criterion of rationality for (12).

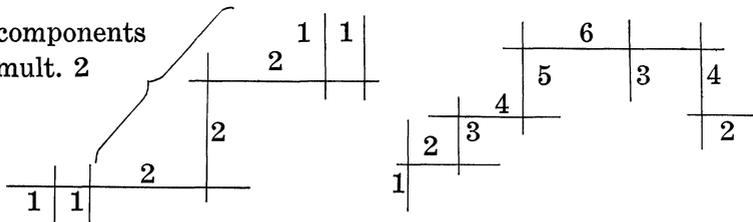
§ 4. Singular fibres of Kummer surfaces. We go back to the situation in § 2 ($p=2$). Let $X = Km(A)$ be the Kummer surface of $A = E_j \times E_{j'}$, together with the morphism $f : X \rightarrow P^1$ coming from the projection $A \rightarrow E_j$. The number and type of singular fibres C_v ($v \in \Sigma$) are summarized in the following table. (The last line of the table for $p \neq 2$ is added for the sake of comparison.)

	$ \Sigma $	Type in [4] and [5]	m_v	ord_v
$j=0, j'=0$	1	I_0^*	$c4$	5
$j=0, j' \neq 0$	1	I_{12}^*	$c5_{12}$	17
$j \neq 0, j'=0$	2	II^*	$c8$	9
$j \neq 0, j' \neq 0$	2	I_4^*	$c5_4$	9
any product in char. $p \neq 2$	4	I_0^*	$c4$	5

$I_b^* (b \geq 0)$

II^*

$b+1$ components
with mult. 2



In order to verify this table, we rewrite in each case the equation (8) over $k(x)$ into a suitable Weierstrass form, and compare with the classification of singular fibres in [5]. We omit the computation.

§ 5. Proof of Propositions 1, 2. From the above table, we have

$$(14) \quad \sum_v \text{ord}_v = \begin{cases} 12 & \text{if } j=j'=0 \\ 24 & \text{otherwise.} \end{cases}$$

This proves Proposition 1 in view of the criteria (12), (13). For the part (i), i.e. for the rationality of A/ι with $A=E_0 \times E_0$, we can also give a simple direct proof, avoiding such a deep criterion as (12). In fact, the equation (8) for the case $j=j'=0$ reads

$$(15) \quad z^2 - z = x^3 - x'^3.$$

Putting $x' = x + s$, we have

$$(16) \quad z^2 - z + s(x^2 + sx + s^2) = 0.$$

Regarded as a quadratic equation in z and x with coefficients in the field $k(s)$, (16) has a rational point $(z, x) = (0, \omega s)$ where ω is a primitive cubic root of 1. If we put $t = z/(x - \omega s)$, (16) is rewritten as

$$(t^2 + s)x = t + \omega t^2 s + \omega^2 s^2.$$

Hence we have by (7)

$$(17) \quad k(A/\iota) = k(x, x', z) = k(s, t),$$

which shows the rationality of A/ι when $j=j'=0$.

For the Picard number $\rho(X)$ of $X = Km(A)$, $A = E_j \times E_{j'}$, we have (cf. [8])

$$(18) \quad \begin{aligned} \rho(X) &= \rho(A/\iota) + \sum_v (m_v - 1) \\ &= \text{rank Hom}(E_j, E_{j'}) + 2 + \sum_v (m_v - 1). \end{aligned}$$

Therefore Proposition 2 follows immediately from the table of § 4. By the way, comparing (18) with (11), we see that the rank r in (11) is also given by

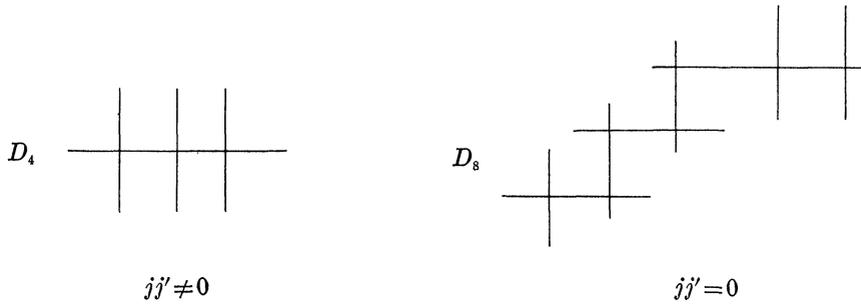
$$(19) \quad r = \text{rank Hom}(E_j, E_{j'}).$$

§ 6. Remarks. (a) Let $A = E_j \times E_{j'}$ with $(j, j') \neq (0, 0)$. Suppose we have another decomposition of A :

$$(20) \quad A \simeq E_{j_1} \times E_{j'_1} \quad (\text{e.g. } j_1 = j', j'_1 = j).$$

Let X (resp. X_1) denote the non-singular elliptic surface over P^1 associated with $A/\iota \rightarrow E_j/\iota$ (resp. $A/\iota \rightarrow E_{j_1}/\iota$). Then both X and X_1 are K3 surfaces by Proposition 1 (ii), birationally equivalent to A/ι . By the minimality of a K3 surface, X and X_1 are naturally isomorphic. Thus the definition (§ 2) of the Kummer surface of A does not depend on the way how A decomposes as a product of elliptic curves.

(b) The results in § 4 on singular fibres suggest that each singular point of A/ι for $A = E_j \times E_{j'}$, $(j, j'$ not both zero) has the minimal resolution consisting of the following configuration of non-singular rational curves:



This latter fact has recently been shown by M. Artin [10].

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