

26. On Odd Type Galois Extension with Involution of Semi-local Rings^{*)}

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1. Introduction. In [3], the notion of odd type G -Galois extension with involution was defined as follows: If $A \supset B$ is a G -Galois extension and A has an involution $A \rightarrow A; a \rightsquigarrow \bar{a}$, which is compatible with every element σ of G , i.e. $\sigma(\bar{a}) = \overline{\sigma(a)}$ for all $a \in A$, then $A \supset B$ is called a G -Galois extension with involution. A G -Galois extension with involution $A \supset B$ is called odd type, if A has an element u satisfying the following conditions;

- 1) u is an invertible element in the fixed subring of the center of A by the involution,
- 2) a hermitian left B -module (A, b_i^u) defined by $b_i^u: A \times A \rightarrow B; (x, y) \rightsquigarrow t_\sigma(ux\bar{y}) = \sum_{\sigma \in G} \sigma(ux\bar{y})$, is isometric to an orthogonal sum of $\langle 1 \rangle$ and a metabolic B -module.

If A, B are fields and $A \supset B$ is a G -Galois extension with involution, it was known that $A \supset B$ is odd type if and only if the order of G is odd. In this note, we want to extend this to semi-local rings. When $A \supset B$ is a G -Galois extension with involution of commutative rings, it is easily seen that an odd type G -Galois extension implies $|G| = \text{odd}$. For semi-local rings A and B , we shall show that the converse holds in the following cases:

I. The involution is trivial and $|B/\mathfrak{m}| \geq |G|$ for every maximal ideal \mathfrak{m} of B , where $|B/\mathfrak{m}|$ and $|G|$ denote numbers of elements of B/\mathfrak{m} and G , respectively.

II. The involution is non-trivial and for each maximal ideal \mathfrak{m} of B the following conditions are satisfied;

- 1) $|B/\mathfrak{m}| \geq 2|G|$, 2) if $\bar{\mathfrak{m}} = \mathfrak{m}$, the involution induces a non-trivial one on $A/\mathfrak{m}A$.

III. B is a local ring with maximal ideal \mathfrak{m} , and the involution is non-trivial on A but induces a trivial one on $A/\mathfrak{m}A$. Furthermore, $|B/\mathfrak{m}| \geq |G|$ and B/\mathfrak{m} is either a field with the characteristic not 2 or a finite field. Throughout this paper, every ring is a commutative semi-local ring with identity and $A \supset B$ denotes a G -Galois extension with involution.

2. Galois extension with trivial involution. Lemma 1. *Let*

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$A \supset B$ be a G -Galois extension with trivial involution and B a field. If $|B| \geq |G|$, then we have the following;

- 1) there is a in A such that $A = B[a] = B \oplus Ba \oplus \cdots \oplus Ba^{n-1}$, $n = |G|$,
- 2) the minimal polynomial $F(X) = X^n + d_1 X^{n-1} + \cdots + d_n$ of a has a nonzero constant term; $d_n \neq 0$,
- 3) for a B -linear map $h: A \rightarrow B$ defined by $h(1) = 1$, $h(a^i) = 0$, $i = 1, 2, \dots, n-1$, there exists a unit u in A such that $h(x) = t_G(ux) = b_i^1(u, x)$ for all $x \in A$.

Proof. Let e_1, e_2, \dots, e_m be the all primitive idempotents in A . Then $A = Ae_1 \oplus Ae_2 \oplus \cdots \oplus Ae_m$ is a direct sum of fields Ae_i , $i = 1, 2, \dots, m$. Put $G_1 = \{\sigma \in G; \sigma(e_i) = e_i\}$ and take σ_i in G such that $\sigma_i(e_1) = e_i$, $i = 1, 2, \dots, m$. Then we have that $G = \sigma_1 G_1 \cup \cdots \cup \sigma_m G_1$, $Ae_1 \supset Be_1$ is a G_1 -Galois extension and $\sigma_i: Ae_1 \rightarrow Ae_i$ is a B -algebra isomorphism, $i = 1, 2, \dots, m$. Therefore, there is a separable and irreducible polynomial $f(X)$ in $B[X]$ such that $Ae_i \cong B[X]/(f(X))$, $i = 1, 2, \dots, m$. We can choose $a_1 = 0, a_2, \dots, a_m$ in A such that $f(X + a_1), f(X + a_2), \dots, f(X + a_m)$ are mutually distinct. This is shown by induction as follows: Let K be an algebraic closure of B , and $\alpha_1, \alpha_2, \dots, \alpha_l$ roots of $f(X) = 0$ in K , where $l = \deg f(X) = |G_1|$. Suppose $a_1 = 0, a_2, \dots, a_r$ has been taken for $1 \leq r < m$ so that $f(X + a_1), f(X + a_2), \dots, f(X + a_r)$ are distinct polynomials. Since $|B| \geq |G| = |G_1| m = lm > lr$, we can choose a_{r+1} in B such that $\alpha_i - a_{r+1} \neq \alpha_i - a_j$ for $i = 1, 2, \dots, l$ and $j = 1, 2, \dots, r$. Then we have $f(X + a_{r+1}) \neq f(X + a_j)$ for $j = 1, 2, \dots, r$. Accordingly, we have distinct irreducible polynomials $f(X + a_1), f(X + a_2), \dots, f(X + a_m)$. Setting $F(X) = f(X + a_1)f(X + a_2) \cdots f(X + a_m)$, we obtain

$$\begin{aligned} B[X]/(F(X)) &\cong B[X]/(f(X + a_1)) \oplus \cdots \oplus B[X]/(f(X + a_m)) \\ &\cong Ae_1 \oplus \cdots \oplus Ae_m = A \end{aligned}$$

as B -algebras. Therefore, there is a in A such that $A = B[a]$ and $F(X) = X^n + d_1 X^{n-1} + \cdots + d_n$ is the minimal polynomial of a . Since $f(X + a_i)$ is irreducible in $B[X]$, the constant term d_n of $F(X)$ is nonzero. Let $h: A \rightarrow B$ be a B -linear map defined by $h(1) = 1$ and $h(a^i) = 0$, $i = 1, 2, \dots, n-1$. Since (A, b_i^1) is non degenerate, there is u in A such that $h(x) = b_i^1(x, u) = t_G(xu)$ for all $x \in A$. We now show that u is invertible in A . Let α be the annihilator ideal of u in A . Since $h(a) = b_i^1(a, u) = t_G(au) = 0$, α is contained in $\text{Ker } h = Ba \oplus Ba^2 \oplus \cdots \oplus Ba^{n-1}$. For any element $\alpha = b_1 a + b_2 a^2 + \cdots + b_{n-1} a^{n-1} \in \alpha$, we have $(a^{n-1} + d_1 a^{n-2} + \cdots + d_{n-1})\alpha = (a^n + d_1 a^{n-1} + \cdots + d_{n-1} a)(b_1 + b_2 a + \cdots + b_{n-1} a^{n-2}) = -d_n b_1 - d_n b_2 a - \cdots - d_n b_{n-1} a^{n-2} \in \alpha$, and so $-d_n b_1 = h(-d_n b_1 - d_n b_2 a - \cdots - d_n b_{n-1} a^{n-2}) = 0$. But $d_n \neq 0$, therefore $b_1 = 0$ and $b_2 a + \cdots + b_{n-1} a^{n-2} \in \alpha$. Repeating this, we conclude $b_1 = b_2 = \cdots = b_{n-1} = 0$, i.e. $\alpha = 0$. Accordingly, we have $\alpha = 0$, namely, u is invertible in A .

Proposition 1. Let A, B be semi-local rings and $A \supset B$ a G -Galois extension with trivial involution. We assume that $|B/\mathfrak{m}| \geq |G|$ for every

maximal ideal m in B . Then we have the following;

1) there is a a in A such that $1, a, \dots, a^{n-1}$ are B -free bases of A ; $A = B \oplus Ba \oplus \dots \oplus Ba^{n-1}$, and the monic minimal polynomial $F(X)$ of a has an invertible constant term,

2) for a B -linear map $h: A \rightarrow B$ defined by $h(1) = 0, h(a^i) = 0, i = 1, 2, \dots, n-1$, there exists a unit u in A such that $h(x) = t_\sigma(ux)$ for all $x \in A$, and so (A, b_i^u) is non degenerate.

Proof. Let J be the radical of B , and e_1, e_2, \dots, e_t the all primitive idempotents in B/J . Then we have that $A/JA \supset B/J \supset \sum_{i=1}^t B/J e_i, i = 1, 2, \dots, t$, and $A/JA = \sum_{i=1}^t A/JA e_i \supset B/J = \sum_{i=1}^t B/J e_i$ are G -Galois extensions. Since $B/J e_i$ is a field, by Lemma 1, there is α_i in $A/JA e_i$ such that $A/JA e_i = B/J e_i \oplus B/J e_i \alpha_i \oplus \dots \oplus B/J e_i \alpha_i^{n-1}$ for $i = 1, 2, \dots, t$, where $n = |G|$. Put $\alpha = \alpha_1 + \alpha_2 + \dots + \alpha_t$ in A/J , then we have $A/JA = B/J \oplus B/J \alpha \oplus \dots \oplus B/J \alpha^{n-1}$. Let a be an element in A which is a representative of α . Then, by Nakayama's lemma, we have $A = B \oplus Ba \oplus \dots \oplus Ba^{n-1}$ and $1, a, \dots, a^{n-1}$ are B -free bases of A . Furthermore, by Lemma 1, the minimal polynomial $F(X) = X^n + d_1 X^{n-1} + \dots + d_n$ of a in $B[X]$ has an invertible constant term. Let $h: A \rightarrow B$ be a B -linear map defined by $h(1) = 1, h(a^i) = 0, i = 1, 2, \dots, n-1$. Then there exists u in A such that $h(x) = b_i^u(u, x) = t_\sigma(ux)$ for all $x \in A$. Now, considering at mod J , the element $[u]$ in A/JA is a unit, because by Lemma 1 $[u]e_i$ is a unit in A/JA for every $i = 1, 2, \dots, t$. Therefore, u is a unit in A . Accordingly, (A, b_i^u) is non degenerate.

Theorem 1. Let A, B be semi-local rings and $A \supset B$ a G -Galois extension with trivial involution. We assume that for every maximal ideal m of $B, |B/m| \geq |G|$ and $|G|$ is odd. Then $A \supset B$ is an odd type G -Galois extension.

Proof. By Proposition 1, A has an element a in A such that $1, a, a^2, \dots, a^{n-1}$ are B -free bases of A i.e. $A = B \oplus Ba \oplus \dots \oplus Ba^{n-1}$, and we can take a B -linear map $h: A \rightarrow B$ defined by $h(1) = 1, h(a^i) = 0, i = 1, 2, \dots, n-1$, and a unit u in A such that $h(x) = b_i^u(u, x) = t_\sigma(ux)$ for all $x \in A$. Then we see that $(A, b_i^u) = B \perp (Ba \oplus \dots \oplus Ba^{n-1})$ is non degenerate and so is $Ba \oplus \dots \oplus Ba^{n-1}$. We now show that $Ba \oplus \dots \oplus Ba^{n-1}$ is metabolic. Put $r = (n-1)/2$. It is sufficient to show that $N = Ba \oplus \dots \oplus Ba^r$ satisfies $N^\perp = N$ ([4], Lemma 1.2). Obviously $N^\perp \supset N$. To show $N^\perp \subset N$, it suffices to show $N^\perp \cap (Ba^{r+1} \oplus \dots \oplus Ba^{n-1}) = 0$. Suppose that $c = b_1 a^{r+1} + \dots + b_r a^{n-1} \neq 0$ is in N^\perp and $b_1 = \dots = b_{k-1} = 0$ but $b_k \neq 0$. Let $F(X) = X^{n-1} + d_1 X^{n-2} + \dots + d_n$ be a minimal polynomial of a in $B[X]$. By Proposition 1, d_n is a unit in B . Put $-G(X) = X^{n-r-k} + d_1 X^{n-r-k-1} + \dots + d_{r-k} X$ and $H(X) = d_{r-k+1} X^{r+k} + \dots + d_n$. Then we have $F(X) = -G(X)X^{r+k} + H(X)$ and $0 = F(a) = -G(a)a^{r+k} + H(a)$, where $-G(a) = d_{r-k} a + \dots + d_1 a^{n-r-k-1} + a^{n-r-k}$ is in N . By $c \in N^\perp$, we have

$$\begin{aligned}
0 &= b_i^u(c, G(a)) = t_G(ucG(a)) = h(cG(a)) \\
&= h((b_k a^{r+k} + \cdots + b_r a^{n-1})G(a)) \\
&= h((b_k + b_{k+1}a + \cdots + b_r a^{n-1-r-k})a^{r+k}G(a)) \\
&= h((b_k + b_{k+1}a + \cdots + b_r a^{n-1-r-k})H(a)) \\
&= h((b_k + b_{k+1}a + \cdots + b_r a^{n-1-r-k})(d_{r-k+1}a^{r+k} + \cdots + d_n)) \\
&= b_k d_n.
\end{aligned}$$

Since d_n is invertible, $b_k=0$ is concluded. But it is a contradiction to $b_k \neq 0$. Therefore $c=0$, we obtain $N^\perp=N$, and $Ba \oplus \cdots \oplus Ba^{n-1}$ is a metabolic B -module. It is concluded that $A \supset B$ is odd type.

3. Galois extension with non-trivial involution. Lemma 2. *Let A, B be semi-local rings and $A \supset B$ a G -Galois extension with non-trivial involution. If $|G|$ =odd then the involution induces a non-trivial involution on B .*

Proof. Put $A_0 = \{a \in A; \bar{a}=a\}$. Suppose that the involution of A induces trivial on B . Denote by H the group consisting of the involution and the identity map. We shall show that $A \supset A_0 = A^H$ is an H -Galois extension. Let e_1, e_2, \dots, e_m be the all primitive idempotents in A . We suppose $\bar{e}_{2i-1} = e_{2i}$, $i=1, 2, \dots, r$ and $\bar{e}_j = e_j$, $j=2r+1, \dots, m$. Put $e'_i = e_{2i-1} + e_{2i}$. Then e'_i and e_j , $1 \leq i \leq r$, $2r+1 \leq j \leq m$, are orthogonal idempotents in A_0 and $1 = \sum_{i=1}^r e'_i + \sum_{j=2r+1}^m e_j$. For a j , $2r+1 \leq j \leq m$, Ae_j has no idempotents other than 0 and 1, and $Ae_j \supset A_0 e_j$ is a separable extension and so $Ae_j \supset A_0 e_j = (Ae_j)^H$ is an H -Galois extension. For an i , $1 \leq i \leq r$, we have $Ae'_i = A_0 e_{2i-1} \oplus A_0 e_{2i}$. Because, if a is in Ae'_i then $a_0 = ae_{2i-1} + \bar{a}e_{2i}$ and $a'_0 = \bar{a}e_{2i-1} + ae_{2i}$ are contained in A_0 , and so $a = a_0 e_{2i-1} + a'_0 e_{2i}$ is contained in $A_0 e_{2i-1} \oplus A_0 e_{2i}$. Therefore, we have that $Ae'_i = A_0 e_{2i-1} \oplus A_0 e_{2i} \supset A_0 e'_i$ is a trivial H -Galois extension. We conclude that $A = \sum_{i=1}^r Ae'_i \oplus \sum_{j=2r+1}^m Ae_j \supset A_0 = \sum_{i=1}^r A_0 e'_i \oplus \sum_{j=2r+1}^m A_0 e_j$ is an H -Galois extension. Accordingly, $[A : B] = [A : A_0] \cdot [A_0 : B] = |H| \cdot [A_0 : B]$ is even. This is a contradiction to $[A : B] = |G|$ =odd.

Theorem 2. *Let A, B be semi-local rings and $A \supset B$ a G -Galois extension with non-trivial involution. We assume $|G|$ =odd and $|B/\mathfrak{m}| \geq 2|G|$ for every maximal ideal \mathfrak{m} of B . If the involution of A induces a non-trivial involution on $A/\mathfrak{m}A$ for every maximal ideal \mathfrak{m} of B provided $\bar{\mathfrak{m}} = \mathfrak{m}$. Then $A \supset B$ is an odd type G -Galois extension.*

Proof. For any maximal ideal \mathfrak{m} of B , if $\mathfrak{m} \neq \bar{\mathfrak{m}}$ then there is b in B such that $b \in \mathfrak{m}$ and $\bar{b} \notin \mathfrak{m}$, i.e. $b - \bar{b} \notin \mathfrak{m}$, and if $\mathfrak{m} = \bar{\mathfrak{m}}$ then $A/\mathfrak{m}A \supset B/\mathfrak{m}$ is a G -Galois extension with non-trivial involution, and so, by Lemma 2, there is b in B such that $b - \bar{b} \notin \mathfrak{m}$. Accordingly, by [2] Theorem 1.3 (f), we obtain that $B \supset B_0$ is an H -Galois extension. If $A \cong A_0 \otimes_{B_0} B$ is established, then $A \cong A_0 \otimes_{B_0} B \supset A_0 \otimes_{B_0} B_0 = A_0$ is an H -Galois extension. Now we show $A \cong A_0 \otimes_{B_0} B$. Let $x_1, x_2, \dots, x_n, y_1, y_2, \dots, y_n$ be an H -Galois system of B , then any a in A is expressed by $a = \sum_{i=1}^n t_H(ax_i)y_i$ in $A_0 \cdot B$. And for $\alpha = \sum_i a_i \otimes b_i$ in the $\text{Ker}(A_0 \otimes_{B_0} B$

$\rightarrow A_0 \cdot B$), we have $\alpha = \sum_i a_i \otimes b_i = \sum_{i,j} a_i \otimes t_H(b_i x_j) y_j = \sum_{i,j} a_i t_H(b_i x_j) \otimes y_j = \sum_{i,j} t_H(a_i b_i x_j) \otimes y_j = 0$. Therefore we get $A = A_0 \cdot B \cong A_0 \otimes_{B_0} B$. Since B is a semi-local ring, B_0 is also semi-local. Then B has a B_0 -free basis $\{1, v\}$; $B = B_0 \oplus B_0 v$, and so $A = A_0 \otimes_{B_0} B = A_0 \oplus A_0 v$ is A_0 -free module. For any maximal ideal \mathfrak{p}_0 of A_0 , there is a maximal ideal \mathfrak{p} of A such that $\mathfrak{p} \supset \mathfrak{p}_0 A$. Since $A \supset B$ is a G -Galois extension, for each σ in G , there is a in A such that $a - \sigma(a) \notin \mathfrak{p}$. a is expressed by $a = a_0 + a'_0 v$ in $A = A_0 \oplus A_0 v$, $a_0, a'_0 \in A_0$. Since $(a_0 - \sigma(a_0)) + (a'_0 - \sigma(a'_0))v = a - \sigma(a) \notin \mathfrak{p}$, we have either $a_0 - \sigma(a_0) \notin \mathfrak{p}_0$ or $a'_0 - \sigma(a'_0) \notin \mathfrak{p}_0$. Therefore, $A_0 \supset A_0^G = B_0$ is a G -Galois extension. Accordingly, $A_0 \supset B_0$ is a G -Galois extension with trivial involution. For any maximal ideal \mathfrak{m}_0 of B_0 , there is a maximal ideal \mathfrak{m} of B , such that $\mathfrak{m} \cap B_0 = \mathfrak{m}_0$. Since $[B : B_0] = 2$, we have $[B/\mathfrak{m} : B_0/\mathfrak{m}_0] \leq 2$ and so $|B_0/\mathfrak{m}_0| \geq (1/2)|B/\mathfrak{m}| \geq |G|$. Therefore, by Theorem 1, there is a unit u in A_0 such that $(A_0, b_i^u) \cong \langle 1 \rangle_{B_0} \perp h_m$, where h_m is a metabolic B_0 -module. Since $A \cong B \otimes_{B_0} A_0$, we conclude $(A, b_i^u) = (B \otimes_{B_0} A_0, i b_i^u) \cong \langle 1 \rangle_B \perp i^* h_m$, where i is the inclusion map $B \rightarrow A$ and $i^* h_m$ becomes a metabolic B -module (cf. [1] or [4]). Accordingly, $A \supset B$ is an odd type G -Galois extension.

4. Galois extension with non-trivial involution over a local ring.

In this section we consider a local ring B with maximal ideal \mathfrak{m} and a G -Galois extension with non-trivial involution $A \supset B$ such that the involution induces a trivial one on $A/\mathfrak{m} A$.

Theorem 3. *Let $A \supset B$ be as above. We assume that the residue field B/\mathfrak{m} is either a field with the characteristic not 2 or a finite field. If $|G| = \text{odd}$ and $|B/\mathfrak{m}| \geq |G|$, then $A \supset B$ is an odd type G -Galois extension with involution.*

Proof. In the proof of Theorem 1, without considering the involution, we had a in A such that $A = B \oplus Ba \oplus \dots \oplus Ba^{n-1}$ is B -free and the constant term of the minimal polynomial $F(X)$ of a in $B[X]$ is invertible. If the characteristic of B/\mathfrak{m} is not 2, then we can take $a' = (1/2)(a + \bar{a})$ in place of a . Then we have $\bar{a}' = a'$ and $A = B \oplus Ba' \oplus \dots \oplus Ba'^{n-1}$ is B -free. If characteristic of B/\mathfrak{m} is 2 and B/\mathfrak{m} is a finite field, then the map $B/\mathfrak{m} \rightarrow B/\mathfrak{m}; [x] \mapsto [x]^2$ is an automorphism of B/\mathfrak{m} , therefore there exists c in A such that $[c]^2 = [a]$. Then we take $a' = c\bar{c}$ in place of a . We have also $\bar{a}' = a'$ and $A = B \oplus Ba' \oplus \dots \oplus Ba'^{n-1}$ is B -free. Let $h : A \rightarrow B$ be a B -linear map defined by $h(1) = 1, h(a^i) = 0, i = 1, 2, \dots, n-1$. Then $\bar{h}(x) = h(\bar{x})$ is satisfied for all x in A . The u which is determined by $h(x) = b_i^1(x, u) = t_\sigma(\bar{u}x)$ for all x in A , is fixed by the involution. Because, we have $b_i^1(\bar{x}, u) = h(\bar{x}) = \bar{h}(x) = \bar{t}_\sigma(\bar{u}x) = t_\sigma(u\bar{x}) = b_i^1(\bar{x}, \bar{u})$ for all x in A , and so we have $\bar{u} = u$ and (A, b_i^u) is a non degenerate hermitian B -module. Similarly to the proof of Theorem 1, we conclude this theorem.

References

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