50. On a Problem of E. L. Stout

By Masayuki OSADA

Department of Mathematics, Hokkaido University

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1. Introduction. The following very interesting theorem of T. Radó [3] was proved by many mathematicians (H. Behnke-K. Stein, H. Cartan, I. Glicksberg, M. Goldstein and T. R. Chow, E. Heinz, R. Kaufman and T. Radó, etc.).

Theorem of Radó. Let f(z) be a complex-valued continuous function defined in $\{|z| < 1\}$. If f(z) is analytic in each component of $\{|z| < 1\} - f^{-1}(0)$, then f(z) is analytic in $\{|z| < 1\}$.

On the other hand, E. L. Stout [5] proved the possibility of replacing the set $\{|z| < 1\} - f^{-1}(0)$ by $\{|z| < 1\} - f^{-1}(E)$ where E is a set of capacity zero. Moreover, he proposed another possibility of $\{|z| < 1\}$ $-f^{-1}(0)$ by $\{|z| < 1\} - f^{-1}(E)$ where E is a set of positive capacity. In this paper, the present author will give an answer to this problem under some condition.

2. Notation and terminology. Let G be a n+1-ply connected region on an open Riemann surface R whose boundary consists of n+1rectifiable closed analytic Jordan curves C_0, C_1, \dots, C_n , where C_0 contains C_1, \dots, C_n in its interior. Let ω be the harmonic measure in G with boundary values 0 on C_0 and 1 on C_1, \dots, C_n . We call $\mu = 2\pi/D_G(\omega)$ the harmonic modulus of G where $D_G(\omega)$ is the Dirichlet integral of ω over G.

Proof of the Theorem. Lemma (Sario) (cf. [4]). Let R be an open Riemann surface. If there exists a normal exhaustion $\{R_n\}$ satisfying $\sum_{n=1}^{\infty} \mu_n^* = \infty$, where μ_n^* is the minimum harmonic modulus of connected components of $R_n - R_{n-1}$, then R belongs to O_{AD} .

We shall prove

Theorem. Let U be an open unit disk $\{|z| < 1\}$ and F be a compact set in the complex plane C. Let f(z) be a complex-valued continuous function on \overline{U} . Set $E = f^{-1}(F)$. Suppose f is analytic in each component of $\overline{U} - E$ and the valence function $n_f(w)$ is finite. If $\hat{C} - F$ belongs to O_{AD} in the sense of Sario (\hat{C} is the one point compactification of C), then the set E is of class N_D .¹⁾ Moreover if $D_{U-E}(f) < \infty$, then f is analytic in \overline{U} and $D_U(f) < \infty$.

Proof. First, suppose $n_f(w)$ is bounded and $n_f(w) \leq N_f$. Let $\{R_n\}$

¹⁾ See [1].

be a normal exhaustion of $\hat{C}-F$ and let $R_n - \bar{R}_{n-1} = \bigcup_{i=1}^m R_n^{(j)} (m=m(n))$ where $\{R_n^{(i)}\}$ are connected components of $R_n - \bar{R}_{n-1}$. Let ω_n be the harmonic measure in $R_n - \bar{R}_{n-1}$ with boundary values 0 on ∂R_{n-1} and 1 on ∂R_n . Let $f^{-1}(R_n^{(i)}) = \bigcup_{j=1}^l R_n^{(ij)} (l=l(n,i))$ where $\{R_n^{(ij)}\}$ are connected components of $f^{-1}(R_n^{(ij)})$. Then $\omega_n \circ f$ is harmonic in each $R_n^{(ij)}$ and is equal to 0 on $\partial (f^{-1}(R_{n-1}))$ and 1 on $\partial (f^{-1}(R_n))$. Let \hat{U} be the double of U about ∂U . We construct a function $\hat{\omega}_n$ on $\hat{R}_n^{(ij)} = \{\overline{R_n^{(ij)} \cup R_n^{(ij)*}}\}^\circ$ in the following where $R_n^{(ij)*}$ is the symmetric set of $R_n^{(ij)}$ with respect to the origin.

$$\hat{\omega}_n(z) = \begin{cases} (\omega_n \circ f)(z) & z \in \overline{R_n^{(ij)}} \\ (\omega_n \circ f)(z) & z^* \in \overline{R_n^{(ij)^*}}. \end{cases}$$

Then $\hat{\omega}_n$ is a Dirichlet function²⁾ on $\hat{R}_n^{(ij)}$. Let ω_n^* be the harmonic measure in $\hat{R}_n^{(ij)}$ with boundary value $\hat{\omega}_n$ on $\partial \hat{R}_n^{(ij)}$. By the Dirichlet principle, we have

$$D_{\hat{\kappa}_{n}^{(\ell,j)}}(\omega_{n}^{*}) \leq D_{\hat{\kappa}_{n}^{(\ell,j)}}(\hat{\omega}_{n})$$
$$= 2D_{R_{n}^{(\ell,j)}}(\omega_{n} \circ f)$$
$$\leq 2N_{f} D_{R_{n}^{(\ell,j)}}(\omega_{n}).$$

Then

$$\frac{1}{2N_f} \cdot \frac{2\pi}{D_{R_n^{(i)}}(\omega_n)} \leq \frac{2\pi}{D_{R_n^{(i)}}(\omega_n^*)}.$$

Hence we get

$$\frac{1}{2N_f} \cdot \mu_n^{(i)} \leq \nu_n^{(ij)}$$

where $\mu_n^{(i)}$ is the harmonic modulus of $R_n^{(i)}$ and $\nu_n^{(ij)}$ is the harmonic modulus of of $\hat{R}_n^{(ij)}$. Then it holds

$$\frac{1}{2N_f}\mu_n^* \leq \nu_n^*,$$

where $\mu_n^* = \min \mu_n^{(i)}$ and $\nu_n^* = \min \nu_n^{(ij)}$, and

$$\infty = \frac{1}{2N_f} \sum_{n=1}^{\infty} \mu_n^* \leq \sum_{n=1}^{\infty} \nu_n^*.$$

By the Lemma, the set $U-(E \cup E^*)$ belongs to O_{AD} in the sense of Sario where E^* is the symmetric set of E. Hence the set $E \cup E^*$ is of class N_D , which completes the proof.

Secondly, suppose $n_f(w)$ is unbounded. Set $F_n = \{w : n_f(w) \leq n\} \cap F$ $(n=0, 1, 2, \dots)$ and $E_n = f^{-1}(F_n)$. Then E_n belongs to N_D and $E = \bigcup_{n=1}^{\infty} E_n$ belongs to N_D . This completes the proof.

Remark. In the above proof we used the following theorem: If $\{E_n\}$ is a countable family, with compact union E, of AD-removable sets in a closed Riemann surface R then E is again an AD-removable set (cf. [4]).

No. 4]

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