

49. Automorphic Forms and Algebraic Extensions of Number Fields^{*)}

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§ 0. The purpose of this paper is to present a result on an arithmetical relation between Hilbert cusp forms over a totally real algebraic number field, which is a cyclic extension of the rational number field \mathbf{Q} with a prime degree l , and cusp forms of one variable. The details of this result will appear in [7].

Let F be a totally real algebraic number field, and \mathfrak{o} be its maximal order. For an even positive integer κ , let $S_\kappa(\Gamma)$ denote the space of Hilbert cusp forms of weight κ with respect to the subgroup $\Gamma = GL_2(\mathfrak{o})^+$ consisting of all elements with totally positive determinants in $GL_2(\mathfrak{o})$. For a place (archimedean or non-archimedean) v of F , let F_v be the completion of F at v . For a non-archimedean place $v (= \mathfrak{p})$, let $\mathfrak{o}_\mathfrak{p}$ be the ring of \mathfrak{p} -adic integers of F_v . Let F_A be the adèle ring of F , and consider the adèle group $GL_2(F_A)$. Let \mathfrak{U}_F be the open subgroup $\prod_{\mathfrak{p}: \text{non-archimedean}} GL_2(\mathfrak{o}_\mathfrak{p}) \times \prod_{v: \text{archimedean}} GL_2(F_v)$ of $GL_2(F_A)$. Then we can consider the Hecke ring $R(\mathfrak{U}_F, GL_2(F_A))$ and its action \mathfrak{X} on $S_\kappa(\Gamma)$ as in G. Shimura [8].

For the ordinary modular group $SL_2(\mathbf{Z}) (= GL_2(\mathbf{Z})^+)$, we also consider its adelization $\mathfrak{U}_\mathbf{Q} = \prod_{\mathfrak{p}} GL_2(\mathbf{Z}_\mathfrak{p}) \times GL_2(\mathbf{R})$ and the Hecke ring $R(\mathfrak{U}_\mathbf{Q}, GL_2(\mathbf{Q}_A))$. The latter is acting on the space $S_\kappa(SL_2(\mathbf{Z}))$ of cusp forms of weight κ with respect to $SL_2(\mathbf{Z})$.

§ 1. The space $S_\kappa(\Gamma)$. Suppose F is a cyclic extension of \mathbf{Q} of degree l . We fix an embedding of F into the real number field \mathbf{R} and a generator σ of the Galois group $\text{Gal}(F/\mathbf{Q})$ of the extension F/\mathbf{Q} , then all the distinct embeddings of F into \mathbf{R} are given by σ^i , $0 \leq i \leq l-1$. We consider the group $GL_2(F)$ as a subgroup of $GL_2(\mathbf{R})^l$ by $g \rightarrow (g, {}^\sigma g, \dots, {}^{\sigma^{l-1}} g)$ for $g \in GL_2(F)$. For this fixed generator σ , we define an operator T_σ on $S_\kappa(\Gamma)$ by the permutation of variables, namely $T_\sigma f(z_1, \dots, z_l) = f(z_2, \dots, z_l, z_1)$ for $f \in S_\kappa(\Gamma)$. Using this T_σ , we define a new subspace $\mathcal{S}_\kappa(\Gamma)$ of $S_\kappa(\Gamma)$, to be called "the space of symmetric Hilbert cusp forms", as follows;

$$\mathcal{S}_\kappa(\Gamma) = \{f \in S_\kappa(\Gamma) \mid \mathfrak{X}(e)T_\sigma f = T_\sigma \mathfrak{X}(e)f \text{ for any } e \in R(\mathfrak{U}_F, GL_2(F_A))\}.$$

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Obviously $S_\kappa(\Gamma)$ is stable under the action of $R(\mathfrak{U}_F, GL_2(F_A))$, and we get a new representation \mathfrak{X}_S of the Hecke ring $R(\mathfrak{U}_F, GL_2(F_A))$ on the space $S_\kappa(\Gamma)$.

Now we assume

- 0) The weight $\kappa \geq 4$.
- 1) The degree $l = [F : \mathbf{Q}]$ is a prime.
- 2) The class number of F is one.
- 3) The maximal order \mathfrak{o} has a unit of any signature distribution.
- 4) F is tamely ramified over \mathbf{Q} .

As a consequence of 2) and 4), the conductor of F/\mathbf{Q} is a prime q .

Our result claims that the representation \mathfrak{X}_S of $R(\mathfrak{U}_F, GL_2(F_A))$ on $S_\kappa(\Gamma)$ can be obtained from those on the spaces of cusp forms $S_\kappa(SL_2(\mathbf{Z}))$ and $S_\kappa(\Gamma_0(q), \chi)$ for various characters χ of $(\mathbf{Z}/q\mathbf{Z})^\times$ of order l , where

$$\Gamma_0(q) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbf{Z}) \mid c \equiv 0 \pmod{q} \right\},$$

and $S_\kappa(\Gamma_0(q), \chi)$ is the space of cusp forms g which satisfy $g(\gamma z) = \chi(d)(cz + d)^\kappa g(z)$ for $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_0(q)$.

To give a meaningful description for the above, we shall define a "natural" homomorphism $\lambda: R(\mathfrak{U}_F, GL_2(F_A)) \rightarrow R(\mathfrak{U}_q, GL_2(\mathbf{Q}_A))$ in the next section § 2. On the other hand, $R(\mathfrak{U}_q, GL_2(F_A))$ is acting not only on $S_\kappa(SL_2(\mathbf{Z}))$ but also on $S_\kappa(\Gamma_0(q), \chi)$. For a prime p , let $T(p)$ and $T(p, p)$ be the elements of $R(\mathfrak{U}_q, GL_2(F_A))$ given in § 2. Then for $p \neq q$, $T(p)$ and $T(p, p)$ act on $S_\kappa(\Gamma_0(q), \chi)$ in the usual manner ([9]). For q , let $\Gamma_0(q) \begin{pmatrix} q & 0 \\ 0 & 1 \end{pmatrix} \Gamma_0(q) = \bigcup_{\nu=1}^q \alpha_\nu \Gamma_0(q)$ be a disjoint union, and put for $g \in S_\kappa(\Gamma_0(q), \chi)$

$$g \left| \left[\Gamma_0(q) \begin{pmatrix} q & 0 \\ 0 & 1 \end{pmatrix} \Gamma_0(q) \right] = \sum_\nu \chi(d_\nu) \frac{(\det \alpha_\nu)^{\kappa/2}}{(-c_\nu z + a_\nu)^\kappa} g(\alpha_\nu^{-1} z)$$

where $\alpha_\nu = \begin{pmatrix} a_\nu & b_\nu \\ c_\nu & d_\nu \end{pmatrix}$. And we define the action of $T(q)$ and $T(q, q)$ on $S_\kappa(\Gamma_0(q), \chi)$ by

$$T(q)g = g \left| \left[\Gamma_0(q) \begin{pmatrix} q & 0 \\ 0 & 1 \end{pmatrix} \Gamma_0(q) \right] + g \left| \left[\Gamma_0(q) \begin{pmatrix} q & 0 \\ 0 & 1 \end{pmatrix} \Gamma_0(q) \right]^*$$

$$T(q, q)g = g.$$

Here $\left[\Gamma_0(q) \begin{pmatrix} q & 0 \\ 0 & 1 \end{pmatrix} \Gamma_0(q) \right]^*$ denotes the adjoint operator of $\left[\Gamma_0(q) \begin{pmatrix} q & 0 \\ 0 & 1 \end{pmatrix} \Gamma_0(q) \right]$ with respect to the Petersson inner product. These actions of $T(p)$ and $T(p, p)$ can be extended to that of $R(\mathfrak{U}_q, GL_2(\mathbf{Q}_A))$, and we obtain the representations \mathfrak{X}_1 and \mathfrak{X}_χ of $R(\mathfrak{U}_q, GL_2(\mathbf{Q}_A))$ on $S_\kappa(SL_2(\mathbf{Z}))$ and $S_\kappa(\Gamma_0(q), \chi)$, respectively. Thus $S_\kappa(SL_2(\mathbf{Z}))$ (resp. $S_\kappa(\Gamma_0(q), \chi)$) can be viewed as a $R(\mathfrak{U}_F, GL_2(F_A))$ -module by the action $\mathfrak{X}_1 \circ \lambda$ (resp. $\mathfrak{X}_\chi \circ \lambda$). In these notations, we can prove

Theorem. *There exists a subspace S of $\bigoplus S_x(\Gamma_0(q), \chi)$ such that*

$$S_x(\Gamma) \simeq S_x(SL_2(\mathbf{Z})) \oplus S^x$$

$$(\text{and } \bigoplus_x S_x(\Gamma_0(q), \chi) \simeq S \oplus S)$$

as $R(\mathfrak{U}_F, GL_2(F_A))$ -modules, where in \bigoplus_x , χ runs through all characters of order l of $(\mathbf{Z}/q\mathbf{Z})^\times$.

This theorem can be derived by standard arguments from the following equality between the traces of the operators.

Theorem. $\text{tr } \mathfrak{X}_S(e) = \text{tr } \mathfrak{X}_1(\lambda(e)) + \frac{1}{2} \sum_x \text{tr } \mathfrak{X}_x(\lambda(e))$

for any $e \in R(\mathfrak{U}_F, GL_2(F_A))$.

§ 2. The homomorphism $\lambda: R(\mathfrak{U}_F, GL_2(F_A)) \rightarrow R(\mathfrak{U}_Q, GL_2(Q_A))$. Let α (resp. n) be an integral ideal of F (resp. a positive integer), and $T(\alpha)$ (resp. $T(n)$) be the sum of all integral elements in $R(\mathfrak{U}_F, GL_2(F_A))$ (resp. $R(\mathfrak{U}_Q, GL_2(Q_A))$) of norm α (resp. n). For a prime ideal \mathfrak{p} of F (resp. a prime p), let $T(\mathfrak{p}, \mathfrak{p})$ (resp. $T(p, p)$) denote the double coset $\mathfrak{U}_F \alpha \mathfrak{U}_F$ (resp. $\mathfrak{U}_Q \alpha \mathfrak{U}_Q$), where the \mathfrak{p} -component (resp. p -component) of α is $\begin{pmatrix} \pi & 0 \\ 0 & \pi \end{pmatrix}$ (resp. $\begin{pmatrix} p & 0 \\ 0 & p \end{pmatrix}$) with a prime element π of $\mathfrak{o}_\mathfrak{p}$, and the other component is the identity. We define elements $U(\mathfrak{p}^m)$ (resp. $U(p^m)$) of $R(\mathfrak{U}_F, GL_2(F_A))$ (resp. $R(\mathfrak{U}_Q, GL_2(Q_A))$) for a prime ideal \mathfrak{p} of F (resp. a prime p) and a non-negative integer m by

$$U(\mathfrak{o}) = 2T(\mathfrak{o})$$

$$(\text{resp. } U(1) = 2T(1))$$

$$U(\mathfrak{p}^m) = \begin{cases} T(\mathfrak{p}), & m=1 \\ T(\mathfrak{p}^m) - N\mathfrak{p}T(\mathfrak{p}, \mathfrak{p})T(\mathfrak{p}^{m-2}), & m \geq 2 \end{cases}$$

$$(\text{resp. } U(p^m) = \begin{cases} T(p), & m=1 \\ T(p^m) - pT(p, p)T(p^{m-2}), & m \geq 2, \end{cases})$$

where $N\mathfrak{p}$ is the cardinality of $\mathfrak{o}/\mathfrak{p}$. Then the correspondence $U(\mathfrak{p}^m) \rightarrow U(N\mathfrak{p}^m)$ can be extended to a homomorphism λ from $R(\mathfrak{U}_F, GL_2(F_A))$ to $R(\mathfrak{U}_Q, GL_2(Q_A))$.

§ 3. Applications. Our result is related to the recent works of the following authors.

(I) In their joint work [2], K. Doi and H. Naganuma studied a relation between cusp forms with respect to $SL_2(\mathbf{Z})$ and Hilbert cusp forms over real quadratic fields. More precisely, let $\varphi(s) = \sum_{n=1}^\infty a_n n^{-s}$, $a_1=1$, be the Dirichlet series associated with a cusp form of weight κ with respect to $SL_2(\mathbf{Z})$ which is a common eigen-function for all Hecke operators, and let χ be the real character corresponding to a real quadratic field $F = \mathbf{Q}(\sqrt{D})$ in the sense of class field theory. If we put $\varphi(s, \chi) = \sum_{n=1}^\infty \chi(n) a_n n^{-s}$, then $\varphi(s)\varphi(s, \chi)$ can be expressed in the following form with suitable coefficients C_n which are defined for every integral

ideal α in F ;

$$\varphi(s)\varphi(s, \chi) = \sum_{\alpha} C_{\alpha} N\alpha^{-s}.$$

For a Grössen-character ξ of F , we set

$$D(s, \varphi, \chi, \xi) = \sum_{\alpha} \xi(\alpha) C_{\alpha} N\alpha^{-s}.$$

In [2], K. Doi and H. Naganuma tried to prove a functional equation of $D(s, \varphi, \chi, \xi)$ and proved it for the case where the conductor of ξ is one, and showed that if the maximal order of F is an Euclidean domain, the Dirichlet series $\varphi(s)\varphi(s, \chi)$ is actually associated with a Hilbert cusp form over F and the function

$$h(z_1, z_2) = \sum_{\substack{\alpha = (\mu) \\ \mu/\sqrt{q} \gg 0}} C_{\alpha} \sum_{s \in \mathbb{E}_+} \exp\left(2\pi\sqrt{-1}\left(\frac{\varepsilon\mu}{\sqrt{q}}z_1 + \left(\frac{\varepsilon\mu}{\sqrt{q}}\right)z_2\right)\right)$$

on the product $\mathfrak{H} \times \mathfrak{H}$ of the complex upper half planes is a Hilbert cusp form over F . Moreover in [6], H. Naganuma showed that a similar result holds also for cusp forms of "Neben" type (in Hecke's sense) with a prime level. Now from our present result for $l=2$, it can be proved that $\varphi(s)\varphi(s, \chi)$ is the Dirichlet series associated with a Hilbert cusp form over a real quadratic field F , and that Doi-Naganuma's construction is "injective" under the condition of this paper.

(II) In [5], H. Jacquet studied the similar theme as Doi-Naganuma's, in a more general (adelic and representation-theoretic) point of view, hence this result should have a close connection to ours.

(III) F. Hirzebruch [3] [4] and R. Busam [1] gave a dimension formula for the subspace $S_r(\hat{\Gamma})$ of $S_r(\Gamma)$ consisting of elements f such that $T_r f = (-1)^{r/2} f$. Since there is an obvious relation

$$\dim S_r(\hat{\Gamma}) = \frac{1}{2} (\dim S_r(\Gamma) + (-1)^{r/2} \dim S_r(\Gamma)),$$

our result can be viewed as a generalization of their formula.

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