

## On the Singularity of General Linear Groups

By

Takayuki NÔNO

(Received Sept. 25, 1956)

### §1. Introduction

Let  $G$  be a Lie group,  $\mathfrak{G}$  its Lie algebra, then there exists the exponential mapping from  $\mathfrak{G}$  into  $G: X \rightarrow \exp X$ , and this mapping is locally homeomorphic at the zero element  $O$  of  $\mathfrak{G}$ . When the exponential mapping:  $X \rightarrow \exp X$  is not locally homeomorphic at  $X_0 \in \mathfrak{G}$ ,  $X_0$  is called a singular point of  $\mathfrak{G}$ . And a set  $\{\exp tX; t \text{ real}\}$  is called a path through the unit element  $E$  of  $G$ .

In this paper we shall investigate the path-structure and its singularity of  $\exp \mathfrak{G}$ , where  $\exp \mathfrak{G}$  means the image of the exponential mapping:  $\exp \mathfrak{G} = \{\exp X; X \in \mathfrak{G}\}$ . Let  $R$  and  $C$  be the fields of real numbers and complex numbers respectively. In §2, we have a general consideration concerning the singularity of Lie groups, and in §§3 and 4, from our standpoint we shall consider the path-structure and its singularity of the complex general linear group  $GL(n, C)$  and the real general linear group  $GL(n, R)$  respectively.

### §2. The singularity of Lie groups

Let  $G$  be a Lie group,  $\mathfrak{G}$  its Lie algebra, and  $X_i (i=1, 2, \dots, r)$  be a base of  $\mathfrak{G}$ . Then any element  $x_0$  of  $\exp \mathfrak{G}$  is expressed by  $x_0 = \exp \sum x_0^i X_i$ , and any element  $x$  of  $\mathfrak{G}$  in a sufficiently small neighborhood of  $x_0$  is expressed by  $x = \exp \sum v^i X_i \exp \sum x_0^i X_i$ , where  $|v^i| (i=1, 2, \dots, r)$  are sufficiently small. The exponential mapping:  $\sum x^i X_i \rightarrow \exp \sum x^i X_i$  is locally homeomorphic at  $X_0 = \sum x_0^i X_i$ , if and only if there exist two neighborhoods  $\mathcal{U}$  and  $\mathcal{V}$  of  $O$  in  $\mathfrak{G}$  which are homeomorphic by the correspondence  $\sum u^i X_i \in \mathcal{U} \leftrightarrow \sum v^i X_i \in \mathcal{V}$  such that

$$(2.1) \quad \exp \sum (x_0^i + u^i) X_i = \exp \sum v^i X_i \exp \sum x_0^i X_i.$$

(2.1) is written as

$$(2.2) \quad \exp \sum (x_0^i + u^i) x_i \exp (-\sum x_0^i X_i) = \exp \sum v^i X_i.$$

From (2.2) we have ([1], p. 156)<sup>1)</sup>

$$(2.3) \quad v^i = \sum J(x_0)^i_j u^j \equiv \sum ((\exp C(x_0) - E)/C(x_0))^i_j u^j,$$

1) Numbers in brackets refer to the references at the end of the paper.

where  $C(x)=\|\sum x^i c_{ij}^k\|$ , the  $c_{ij}^k$  are the constants of structure of  $\mathfrak{G}$  such that  $[X_i, X_j]=\sum c_{ij}^k X_k$ , and by  $(\exp A-E)/A$  we denote  $\sum_1^\infty \frac{1}{m!} A^{m-1}$  for any matrix  $A$ . Thus, from (2.3) we have

**THEOREM 1.** *The exponential mapping:  $\sum x^i X_i \rightarrow \exp \sum x^i X_i$  is locally homeomorphic at  $X_0=\sum x_0^i X_i \in \mathfrak{G}$ , if and only if the matrix  $C(x_0)$  has no characteristic root such as  $\lambda=2l\pi\nu-1$  ( $l$  is a non-zero integer).*

$X_0=\sum x_0^i X_i$  is said to be regular, if the matrix  $C(x_0)$  has no characteristic root such as  $\lambda=2l\pi\nu-1$  ( $l$  is non-zero integer); and  $X_0$  is said to be singular, if  $X_0$  is not regular.

We assume that  $G$  is a linear Lie group, and  $\mathfrak{G}$  is its linear Lie algebra. Let  $\mathfrak{C}(M)$  be the commutator set of  $M$ ,  $\mathfrak{C}_G(M)=\mathfrak{C}(M) \cap \mathfrak{G}$ , and  $\rho(M)$  the rank of  $M$ . Then we have

**THEOREM 2.**  *$X_0$  is singular, if and only if  $\mathfrak{C}_G(\exp X_0) \supsetneq \mathfrak{C}_G(X_0)$ .*

**PROOF.** It is clear that  $\mathfrak{C}_G(\exp X_0) \supset \mathfrak{C}_G(X_0)$ . Assume that  $Y \in \mathfrak{C}_G(\exp X_0)$ , i.e.,  $(\exp X_0)Y(\exp X_0)^{-1}=Y$ , where  $Y=\sum y^i X_i$ ; since  $(\exp X_0)Y(\exp X_0)^{-1}=\sum_i (\sum_j (\exp C(x_0))_j^i y^j) X_i$ , then we have  $\sum_i (\exp C(x_0)-E)_j^i y^j=0$ , where  $E$  is the unit matrix of degree  $r$ . Hence,  $Y \in \mathfrak{C}_G(\exp X_0)$ , if and only if  $\sum_i (\exp C(x_0)-E)_j^i y^j=0$ . And also it is clear that  $Z \in \mathfrak{C}_G(X_0)$ , if and only if  $\sum_i C(x_0)_j^i z^j=0$ , where  $Z=\sum z^i X_i$ . If and only if  $X_0$  is singular,  $C(x_0)$  has a characteristic root  $\lambda=2l\pi\nu-1$  ( $l$  is a non-zero integer). By considering the canonical form of  $C(x_0)$ , we have

$$\rho(\exp C(x_0)-E)=\rho(C(x_0))-p,$$

where  $p$  is the number of blocks belonging to the characteristic roots such as  $2l\pi\nu-1$  in the canonical form of  $C(x_0)$ . Therefore,  $X_0$  is singular, if and only if  $\mathfrak{C}_G(\exp X_0) \supsetneq \mathfrak{C}_G(X_0)$ .

**REMARK 1.**  $\dim \mathfrak{C}_G(\exp X_0)=\dim \mathfrak{C}_G(X_0)+p$ , where  $p$  is the number of blocks belonging to the characteristic roots such as  $\lambda=2\pi l\nu-1$  ( $l$  is a non-zero integer) in the canonical form of  $C(x_0)$ .

### §3. The complex general linear group

Let  $GL(n, C)$  be the complex general linear group,  $\mathfrak{gl}(n, C)$  its Lie algebra, then it is well known that  $GL(n, C)=\exp \mathfrak{gl}(n, C)$ . In this section we shall consider the singular points of  $\mathfrak{gl}(n, C)$ . From the consideration in §2, we see that

$$(3.1) \quad \exp V \exp A = \exp(A+U),$$

where  $U$  and  $V$  are infinitesimal matrices, if and only if

$$(3.2) \quad V=((\exp A-I)/A)U \equiv \sum_1^\infty \frac{1}{m!} A^{m-1} U,$$

where  $A^0 U = U$ ,  $AU = [A, U] = AU - UA$ ,  $A^k U = A(A^{k-1} U)$ .

Here if, in place of  $U=||u_{ij}||$  we take  $\mathbf{u}=(u_{11}, u_{21}, \dots, u_{n_1}, u_{12}, \dots, u_{n_2}, \dots, u_{nn})$ , then  $U'=\mathbf{A}U$  is placed by  $\mathbf{u}'=\tilde{\mathbf{A}}\mathbf{u}\equiv(E \times A - {}^t A \times E)\mathbf{u}$ , where  $\tilde{\mathbf{A}}=E \times A - {}^t A \times E$ ,  $\times$  means the Kronecker's product and  ${}^t A$  means the transposed matrix of  $A$ . And then (3.2) is written as

$$(3.3) \quad \mathbf{v}=J(A)\mathbf{u}\equiv((\exp \tilde{\mathbf{A}} - E)/\tilde{\mathbf{A}})\mathbf{u},$$

where  $E$  is the unit matrix of degree  $n^2$ . Since

$$(3.4) \quad \det J(A)=\prod_{i,j=1}^n (\exp(\lambda_i - \lambda_j) - 1)/(\lambda_i - \lambda_j),$$

where  $\lambda_i$  are the characteristic roots of  $A$ , if we denote by  $\mathfrak{Gl}_0(n, C)$  the set of all the matrices whose characteristic roots do not satisfy the condition:  $\lambda_i - \lambda_j = 2l\pi\sqrt{-1}$  ( $l$  is a non-zero integer). Then we have

**THEOREM 3.** *The mapping:  $A \rightarrow \exp A$  from  $\mathfrak{Gl}(n, C)$  into  $GL(n, C)$  is locally homeomorphic at  $A_0$ , if and only if  $A_0 \in \mathfrak{Gl}_0(n, C)$ .*

**REMARK 2.** Let  $\mathring{A}$  be the canonical form of  $A$ :

$$A \sim \mathring{A} = \sum + \begin{pmatrix} & & & n_i \\ & \lambda_i & 1 & 0 \\ & \lambda_i & 1 & \\ & \vdots & \ddots & \\ & & & 1 \\ 0 & & & \lambda_i \end{pmatrix},$$

where  $A \sim B$  means that  $A$  is similar to  $B$ , then we can calculate the rank of  $J(A)$ :

$$\rho(J(A))=n^2 - \sum_{(i,j)}^* \min(n_i, n_j),$$

where  $\sum_{(i,j)}^*$  means the summation over the pairs  $(i, j)$  such that  $\lambda_i - \lambda_j = 2m_{ij}\pi\sqrt{-1} \neq 0$  ( $m_{ij}$ : integers). If  $\mathfrak{U}_A$  is a neighborhood of  $A$  in  $\mathfrak{Gl}(n, C)$ , then we have

$$\dim(\exp \mathfrak{U}_A) = \rho(J(A)) = n^2 - \nu,$$

$$\nu = \sum_{(i,j)}^* \min(n_i, n_j).$$

This  $\nu$  coincides with the  $\nu$  in Theorem VI in the previous paper ([2]). Moreover, if  $A$  is singular, i.e.,  $\rho(J(A)) < n^2$ , then  $\rho(J(A)) \leq n^2 - 2$ .

By definition of the singular point in §2,  $A$  is singular, if and only if  $A \in \mathfrak{Gl}_*(n, C) \equiv \mathfrak{Gl}(n, C) - \mathfrak{Gl}_0(n, C)$ . A path:  $M(t) = \exp tA$  ( $M = \exp A$ ,  $0 \leq t \leq 1$ ) is called to be singular, if  $A \in \mathfrak{Gl}_*(n, C)$ , and the path is called to be regular, if  $A \in \mathfrak{Gl}_0(n, C)$ . In the previous paper ([2]) we have obtained that  $GL(n, C) = \exp \mathfrak{Gl}_0(n, C) = \exp \mathfrak{Gl}_{(-\pi, \pi]}(n, C)$ , where  $\mathfrak{Gl}_{(-\pi, \pi]}(n, C)$  is the set of all the matrices whose characteristic roots have their imaginary parts in a half closed interval  $(-\pi, \pi]$ . Hence, for any point  $M \in GL(n, C)$ , there exists always a countable number of regular paths from  $E$  to  $M$ .

**THEOREM 4.** *There exists a singular path from  $E$  to  $M$ , if and only if  $\dim \mathfrak{C}(M) > n$ .*

**PROOF.** From the results concerning the logarithmic function of matrix in the previous paper ([2]), it follows that  $M = \exp A$  and  $A \in \mathfrak{Gl}_*(n, C)$ , if

and only if the canonical form of  $M$  contains at least two blocks belonging to the same characteristic root, that is

$$M \sim \overset{\circ}{M} = \begin{pmatrix} \lambda & 1 & & & \\ & \lambda & 1 & & \\ & & \ddots & & \\ & & & \ddots & 1 \\ 0 & & & & \lambda \end{pmatrix} + \begin{pmatrix} & & n_1 & & \\ & & 0 & & \\ & & & \lambda & 1 \\ & & & & 0 \\ & & & & & \ddots & \\ & & & & & & 1 \\ 0 & & & & & & \lambda \end{pmatrix} + \dots$$

This condition is satisfied, if and only if  $\dim \mathfrak{C}(M) = \dim \mathfrak{C}(\overset{\circ}{M}) > n$ . Thus, this theorem is proved.

Moreover the condition in Theorem 4 is equivalent to the condition that the minimal polynomial of  $M$  is of degree less than  $n$ .

**THEOREM 5.** *A path:  $M(t) = \exp tA$  ( $M = \exp A$ ,  $0 \leq t \leq 1$ ) from  $E$  to  $M$  is singular, if and only if  $\mathfrak{C}(A) \neq \mathfrak{C}(M)$ .*

**PROOF.** It is obvious from Theorem 2.

**REMARK 3.** By Theorem 3, we set that  $\mathfrak{Gl}_0(n, C)$  is the maximal set for which the mapping:  $A \rightarrow \exp A$  from  $\mathfrak{Gl}(n, C)$  into  $GL(n, C)$  is locally homeomorphic. And  $\mathfrak{Gl}_0(n, C)$  is open and dense in  $\mathfrak{Gl}(n, C)$ , and is arc-wise connected, but is not simply connected.

In fact, clearly,  $\mathfrak{Gl}_0(n, C)$  is open and dense in  $\mathfrak{Gl}(n, C)$ . For any  $A \in \mathfrak{Gl}_0(n, C)$ , we can take a sufficiently small positive number  $\theta_0$  such that  $e^{i\theta} A \in \mathfrak{Gl}_0(n, C)$  for all  $\theta: 0 \leq \theta \leq \theta_0$ , ( $i = \sqrt{-1}$ ), and  $t(e^{i\theta_0} A) \in \mathfrak{Gl}_0(n, C)$  for all  $t: 0 \leq t \leq 1$ . Then we can connect  $A$  and  $e^{i\theta_0} A$  in  $\mathfrak{Gl}_0(n, C)$  by an arc  $A(\theta) = e^{i\theta} A$  ( $0 \leq \theta \leq \theta_0$ ), and  $e^{i\theta_0} A$  and  $O$  in  $\mathfrak{Gl}_0(n, C)$  by an arc  $B(t) = t(e^{i\theta_0} A)$ , ( $0 \leq t \leq 1$ ). Thus,  $\mathfrak{Gl}_0(n, C)$  is connected. But  $\mathfrak{Gl}_0(n, C)$  is not simply connected. To show this, it is sufficient to consider the case  $n=2$ . In  $\mathfrak{Gl}_0(2, C)$ , we consider a curve:

$A(\theta) = \begin{pmatrix} 0 & 0 \\ 0 & r_0 e^{i\theta} \end{pmatrix}$ , ( $0 \leq \theta \leq 2\pi$ ), where  $r_0$  is a fixed real number such that  $2l\pi < r_0 < (2l+1)\pi$ . If the curve is deformable in  $\mathfrak{Gl}_0(2, C)$  to a point, then, corresponding to the deformation, the curve:  $z(\theta) = r_0 e^{i\theta}$  ( $0 \leq \theta \leq 2\pi$ ) in the complex plane must be deformable in the complex plane to a point. Since the curve:  $z(\theta) = r_0 e^{i\theta}$  contains a point  $2l\pi\sqrt{-1}$  as an inner point. In the process of deformation of the curve  $A(\theta)$ , the corresponding curve  $z(\theta)$  passes through the point  $2l\pi\sqrt{-1}$ . Then, the corresponding point is not contained in  $\mathfrak{Gl}_0(2, C)$ . Therefore,  $\mathfrak{Gl}_0(2, C)$  is not simply connected.

**REMARK 4.** A path  $M(t) = \exp tA$  ( $0 \leq t \leq 1$ ) is regular and closed, if and only if  $A = 2m\pi\sqrt{-1} E$ , where  $m$  is an integer.

In fact, if the path is closed, then  $A$  is given by

$$A = 2\pi\sqrt{-1} \cdot S(m_1 E_1 + m_2 E_2 + \dots + m_p E_p) S^{-1},$$

where  $m_1, \dots, m_p$  are integers and  $S$  is an arbitrary regular matrix. Furthermore, if and only if  $m_i = m_j$  ( $= m$ ) for all  $i, j$ , then  $A \in \mathfrak{Gl}_0(n, C)$ . Thus, the assertion is proved.

#### §4. The real general linear group

Let  $GL(n, R)$  be a real general linear group,  $GL^+(n, R)$  the connected component of the unit element of  $GL(n, R)$ , and  $\mathfrak{Gl}(n, R)$  its Lie algebra. In this section we shall investigate the relation between  $GL^+(n, R)$  and  $\exp \mathfrak{Gl}(n, R)$ , and the path-structure and its singularity of  $\exp \mathfrak{Gl}(n, R)$ . To do this, by using the real canonical form of a real matrix, we shall first determine the real matrices such that  $\exp A = M$  for a given real matrix  $M$ . Any real matrix  $A$  is transformed to the real canonical form  $\overset{\circ}{A}$  by a real regular matrix  $P$ , that is,

$$(4.1) \quad A = P \overset{\circ}{A} P^{-1}, \quad \overset{\circ}{A} = \sum + \begin{pmatrix} \lambda & 1 & & 0 \\ & \lambda & 1 & \\ & & \ddots & \\ & & & 1 \\ 0 & & \ddots & \ddots & \lambda \end{pmatrix} + \sum + \begin{pmatrix} L & E_2 & & 0 \\ & L & \ddots & \\ & & \ddots & E_2 \\ 0 & & \ddots & L \end{pmatrix},$$

where the first summation does not contain the same blocks,  $\lambda$  is real,  $L = \begin{pmatrix} \mu & \nu \\ -\nu & \mu \end{pmatrix}$ ,  $E_2 = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ , and  $\mu$  and  $\nu$  are real.

From (4.1) we have

$$(4.2) \quad \exp A = P (\exp \overset{\circ}{A}) P^{-1},$$

$$\exp \overset{\circ}{A} \sim \sum + \begin{pmatrix} e^\lambda & 1 & & 0 \\ & e^\lambda & \ddots & \\ & & \ddots & 1 \\ 0 & & \ddots & e^\lambda \end{pmatrix} + \sum + \begin{pmatrix} \exp L & E_2 & & 0 \\ \exp L & \ddots & \ddots & \\ & \ddots & E_2 & \\ 0 & & \ddots & \exp L \end{pmatrix},$$

where  $\exp L = \begin{pmatrix} e^\mu \cos \nu & e^\mu \sin \nu \\ -e^\mu \sin \nu & e^\mu \cos \nu \end{pmatrix}$ ,  $M \sim N$  means that  $M = PNP^{-1}$  and  $P$  is real. Hence the real matrix  $M$  such that  $M = \exp A$  for a real matrix  $A$  has the real canonical form as follows:

$$(4.3) \quad M \sim \overset{\circ}{M} = \sum + \begin{pmatrix} \kappa & 1 & & 0 \\ & \kappa & 1 & \\ & & \ddots & \\ & & & 1 \\ 0 & & \ddots & \ddots & \kappa \end{pmatrix} + \sum + \begin{pmatrix} K & E_2 & & 0 \\ & K & \ddots & \\ & & \ddots & E_2 \\ 0 & & \ddots & K \end{pmatrix},$$

where  $\kappa > 0$ ,  $K = \begin{pmatrix} \alpha & \beta \\ -\beta & \alpha \end{pmatrix}$ , and  $\alpha$  and  $\beta$  are real. That is, if the real matrix  $M = \exp A$  has real negative characteristic roots, then the real canonical form of  $M$  must contain in the form of pairs the blocks belonging to the real negative characteristic roots. For, then  $\overset{\circ}{M}$  must contain the blocks of type:

$$\begin{pmatrix} K & E_2 & & 0 \\ & K & \ddots & \\ & & \ddots & E_2 \\ 0 & & \ddots & K \end{pmatrix}, \quad K = \begin{pmatrix} \alpha & 0 \\ 0 & \alpha \end{pmatrix}, \quad \alpha < 0,$$

and this block is similar to (by a real transformation)

$$\begin{pmatrix} \alpha & 1 & & 0 \\ & \alpha & \ddots & \\ & & \ddots & 1 \\ 0 & & & \alpha \end{pmatrix} + \begin{pmatrix} \alpha & 1 & & 0 \\ & \alpha & \ddots & \\ & & \ddots & 1 \\ 0 & & & \alpha \end{pmatrix}$$

Conversely, let  $M$  be a real matrix satisfying this condition, and write it in the canonical form (4.3), then a real matrix  $A$  such that  $\exp A = M$  must be similar to  $A_0$ :

$$(4.4) \quad A_0 = \sum + \begin{pmatrix} \lambda & \kappa^{-1} & -\frac{1}{2}\kappa^{-2} & \cdots & \\ & \lambda & \kappa^{-1} & \ddots & \cdots \\ & & \ddots & \ddots & \ddots \\ & & & -\frac{1}{2}\kappa^{-2} & \\ & & & & \ddots & \kappa^{-1} \\ 0 & & & & & \lambda \end{pmatrix} + \sum + \begin{pmatrix} L & K^{-1} & -\frac{1}{2}K^{-2} & \cdots & \\ & L & K^{-1} & \ddots & \cdots \\ & & \ddots & \ddots & -\frac{1}{2}K^{-2} \\ & & & \ddots & \\ & & & & K^{-1} \\ 0 & & & & & L \end{pmatrix},$$

where  $\kappa = e^\lambda$  and  $K = \exp L$ . Therefore  $\lambda$ ,  $\mu$  and  $\nu$  are determined as follows:

$$(4.5) \quad \lambda = \log \kappa, \quad \mu = \log \sqrt{\alpha^2 + \beta^2} \quad \text{and} \quad \nu = \theta + 2m\pi,$$

where  $m$  is an integer and  $\theta = \arg(\alpha + \sqrt{-1}\beta)$ ,  $(-\pi < \theta \leq \pi)$ . From (4.4) it follows that  $\exp A_0 = M_0$ . And moreover we have

$$(4.6) \quad \exp A = M, \quad A \sim A_0 \quad \text{and} \quad M \sim M_0.$$

Hence we can write  $M_0 = P_0^{-1}MP_0$  and  $A_0 = Q^{-1}AQ$ , where  $P_0$  is a fixed matrix. From these conditions we have

$$P_0^{-1}MP_0 = \exp(Q^{-1}AQ) = Q^{-1}(\exp A)Q = Q^{-1}MQ,$$

from which  $QP_0^{-1} = S \in \mathfrak{C}(M)$ , i.e.,  $Q \in \mathfrak{C}(M)P_0$ . For another matrix  $P_1$  such that  $P_1^{-1}MP_1 = M_0$ , clearly we have  $\mathfrak{C}(M)P_0 = \mathfrak{C}(M)P_1$ . Thus we obtain

**THEOREM 6.** *M is an element of  $\exp \mathfrak{Gl}(n, R)$ , if and only if the real canonical form of M contains in the form of pairs the blocks belonging to the real negative characteristic roots, whenever there exist the real negative characteristic roots of M. For such a matrix M, any matrix A such that  $\exp A = M$  is given by  $A = QA_0Q^{-1}$ ,  $Q \in \mathfrak{C}(M)P_0$ , where  $P_0$  is an arbitrarily chosen and fixed matrix such that  $P_0^{-1}MP_0 = M_0$ ,  $M_0$  is given by (4.3), and  $A_0$  is given by (4.4) corresponding to  $M_0$  in (4.3).*

If  $M \in GL^+(n, R)$ , then  $M$  is expressed as ([6])

$$(4.7) \quad M = \exp A_1 \exp A_2 \cdots \exp A_k,$$

where  $A_1, A_2, \dots, A_k \in \mathfrak{Gl}(n, R)$ . Since  $\det \exp A = \exp \operatorname{tr} A > 0$  for any  $A \in \mathfrak{Gl}(n, R)$ , we have  $\det M > 0$ . Conversely, if  $M \in GL(n, R)$  and  $\det M > 0$ ,

$$(4.8) \quad M = \exp B, \quad B \in \mathfrak{Gl}_{(-\pi, \pi]}(n, C)$$

Since  $M$  is real,  $\exp B = \exp \bar{B}$ ; hence we have  $\exp B \exp(-\bar{B}) = \exp(-\bar{B}) \exp B = E$ , and also  $B, -\bar{B} \in \mathfrak{Gl}_{(-\pi, \pi]}(n, C)$ . By the results of the previous paper ([2]), from these fact it follows that  $B$  and  $\bar{B}$  are commutative. So we have

$$(4.9) \quad M = \exp((B + \bar{B})/2) \exp((B - \bar{B})/2),$$

where  $B_1 = (B + \bar{B})/2$  is real. If we put  $N = \exp((B - \bar{B})/2)$ , then  $N$  is real and  $N^2 = E$ . By considering the real canonical form of  $N$ , we have  $N = P(-E_{r_1} + E_{r_2})P^{-1}$ , where  $E_r$  is the unit matrix of degree  $r$ . Since  $\det M > 0$  and  $\det \exp((B + \bar{B})/2) > 0$ , we have  $\det N > 0$ ; hence  $r_1$  must be even, so we have

$$N = \exp B_2, \quad B_2 = \pi P \left\{ \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} + \cdots + \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} + O \right\} P^{-1}.$$

Therefore, we obtain

$$(4.10) \quad M = \exp B_1 \exp B_2, \quad B_1, B_2 \in \mathfrak{Gl}(n, R),$$

and hence  $M$  and  $E$  are connected in  $GL(n, R)$  by a curve:  $M(t) = \exp tB_1 \exp tB_2$ , ( $0 \leq t \leq 1$ ); so that  $M \in GL^+(n, R)$ . Thus,  $GL^+(n, R)$  is the set of all the real matrices whose determinants are positive, and moreover

$$(4.11) \quad GL^+(n, R) = \exp \mathfrak{Gl}(n, R) \exp \mathfrak{Gl}(n, R).$$

On the other hand, by Theorem 6 we see that

$$(4.12) \quad \overline{\exp \mathfrak{Gl}(n, R)} \subseteq GL^+(n, R),$$

where  $\bar{\mathfrak{A}}$  means the closure of  $\mathfrak{A}$  with respect to the usual topology of  $GL(n, R)$ . In fact, we shall consider  $M \in GL^+(n, R)$  such that  $M \sim M_0 = \text{diag}\{\alpha_1, \alpha_2, \dots, \alpha_n\}$ , where  $0 > \alpha_1 > \dots > \alpha_n$ , if  $n$  is even,  $\alpha_1 > 0 > \alpha_2 > \dots > \alpha_n$  if  $n$  is odd. By Theorem 6,  $M \notin \exp \mathfrak{Gl}(n, R)$ . And the set of all the matrices satisfying this condition is open in  $GL^+(n, R)$ , so that  $\overline{\exp \mathfrak{Gl}(n, R)} \subseteq GL^+(n, R)$ . By Theorem 6, it is also clear that  $\overline{\exp \mathfrak{Gl}(n, R)} = \exp \mathfrak{Gl}(n, R)$ .

Let  $GL_0(n, R)$  be the set of all the real regular matrices without real negative characteristic roots, then from the results of the previous paper ([3]), it follows that  $GL_0(n, R) = \exp \mathfrak{Gl}_{(-\pi, \pi)}(n, R)$  and in this correspondence, the exponential mapping is homeomorphic, where  $\mathfrak{Gl}_{(-\pi, \pi)}(n, R)$  is the set of all the real matrices whose characteristic roots have their imaginary parts in an open interval  $(-\pi, \pi)$ . From this fact it follows that  $GL_0(n, R)$  is a simply connected domain. Moreover  $GL_0(n, R)$  is a maximal simply connected domain of  $\exp \mathfrak{Gl}(n, R)$ . In fact, if  $M \in \exp \mathfrak{Gl}(n, R) - GL_0(n, R)$ , then we have

$$(4.13) \quad M = PM_0P^{-1}, \quad M_0 = \sum_{\lambda < 0} + \left( \begin{pmatrix} \lambda & 0 \\ 0 & \lambda \end{pmatrix} \cdot E_2 \cdot \begin{matrix} \ddots & & \\ & \ddots & \\ & & \begin{pmatrix} \lambda & 0 \\ 0 & \lambda \end{pmatrix} \end{matrix} + H. \right)$$

Here we consider the curve:  $M(\theta)$ , ( $0 \leq \theta \leq 2\pi$ ) in  $\exp \mathfrak{Gl}(n, R)$ , where  $M(\theta)$  is given by

$$(4.14) \quad M(\theta) = PM_0(\theta)P^{-1}, \quad M_0(\theta) = \sum_{(\lambda < 0)} + \left( \begin{pmatrix} \lambda \cos \theta & \lambda \sin \theta \\ -\lambda \sin \theta & \lambda \cos \theta \end{pmatrix} E_2 \quad \begin{matrix} \cdot & \cdot & \cdot \\ \cdot & \ddots & \cdot \\ \cdot & \cdot & E_2 \end{matrix} \right) + H.$$

$$\left( \begin{matrix} & & & 0 \\ 0 & \begin{pmatrix} \lambda \cos \theta & \lambda \sin \theta \\ -\lambda \sin \theta & \lambda \cos \theta \end{pmatrix} \end{matrix} \right)$$

The locus of the characteristic root  $\lambda e^{i\theta}$  ( $0 \leq \theta \leq 2\pi$ ) is a circle with center 0 in the complex plane. This circle can not be deformable to a point without passing through 0. Hence, the curve:  $M(\theta)$ , ( $0 \leq \theta \leq 2\pi$ ) is not deformable in  $\exp \mathfrak{Gl}(n, R)$  to a point. Therefore,  $GL_0(n, R)$  is a maximal simply connected domain of  $\exp \mathfrak{Gl}(n, R)$ .

Furthermore,  $GL_0(n, R) = \exp \mathfrak{Gl}(n, R)$ . In fact, if  $M \in \exp \mathfrak{Gl}(n, R) - GL_0(n, R)$ , then  $M$  is written as (4.13). And if  $M(\theta)$  is given by (4.14), then  $M(\theta) \rightarrow M$  for  $\theta \rightarrow 0$ ; and moreover  $M(\theta) \in GL_0(n, R)$  for  $\theta \neq 0$ , so that  $GL_0(n, R) = \exp \mathfrak{Gl}(n, R)$ . (By theorem 6, it is clear that  $GL_0(n, R) \subsetneq \exp \mathfrak{Gl}(n, R)$ ).

Next, for  $M \in \exp \mathfrak{Gl}(n, R) - GL_0(n, R)$ , ( $M$  is written as (4.13)), if we take

$$(4.15) \quad M_1(\varepsilon) = PM_*(\varepsilon)P^{-1}, \quad M_*(\varepsilon) = \sum_{(\lambda < 0)} + \left( \begin{pmatrix} \lambda + \varepsilon & 0 \\ 0 & \lambda \end{pmatrix} E_2 \quad \begin{matrix} \cdot & \cdot & \cdot \\ \cdot & \ddots & \cdot \\ \cdot & \cdot & E_2 \end{matrix} \right) + H,$$

$$\left( \begin{matrix} & & & 0 \\ 0 & \begin{pmatrix} \lambda + \varepsilon & 0 \\ 0 & \lambda \end{pmatrix} \end{matrix} \right)$$

then  $M_1(\varepsilon) \in GL^+(n, R) - \exp \mathfrak{Gl}(n, R)$  for sufficiently small  $\varepsilon > 0$ , and  $M_1(\varepsilon) \rightarrow M$  for  $\varepsilon \rightarrow 0$ . And also  $GL_0(n, R)$  is open in  $\exp \mathfrak{Gl}(n, R)$  and  $GL_0(n, R) = \exp \mathfrak{Gl}(n, R)$ . Hence  $Bdry(\exp \mathfrak{Gl}(n, R)) = \exp \mathfrak{Gl}(n, R) - GL_0(n, R)$ .

Summarizing these results we have

**THEOREM 7.**  $GL_0(n, R) \subsetneq GL_0(n, R) = \exp \mathfrak{Gl}(n, R) = \exp \mathfrak{Gl}(n, R) \subsetneq GL^+(n, R) = \exp \mathfrak{Gl}(n, R) \exp \mathfrak{Gl}(n, R)$ . And  $GL_0(n, R)$  is a maximal simply connected domain of  $\exp \mathfrak{Gl}(n, R)$ , and  $Bdry(\exp \mathfrak{Gl}(n, R)) = \exp \mathfrak{Gl}(n, R) - GL_0(n, R)$ .

**REMARK 5.** K. Schröder ([7]), by showing that  $G^0 = \exp \mathfrak{G}$  for the connected component  $G^0$  of the unit element of a linear Lie group  $G$  and its Lie algebra  $\mathfrak{G}$ , has proved that  $G^0 = \exp \mathfrak{G} \exp \mathfrak{G}$ . But it does not seem to be true that  $G^0 = \exp \mathfrak{G}$ . For example, by Theorem 7, for  $G = GL(n, R)$  we have  $\exp \mathfrak{Gl}(n, R) \subsetneq GL^+(n, R) = G^0$ . Moreover, a proof of that  $G^0 = \exp \mathfrak{G} \exp \mathfrak{G}$  for a semi-simple Lie group is obtained from the results of G. D. Mostow ([5]).

Finally we shall consider the path-structure of  $GL^+(n, R)$  (precisely, of  $\exp \mathfrak{Gl}(n, R)$ ). By using Theorem 6 we obtain the following theorems. Here we denote by  $\mathfrak{S}$  the set of  $M \in \exp \mathfrak{Gl}(n, R)$  such that

$$M \sim \overset{\circ}{M} = \sum_{(\kappa > 0)} + \begin{pmatrix} \kappa & 1 & & 0 \\ & \kappa & 1 & \\ & & \ddots & \\ & & & 0 \\ & & & & \kappa \end{pmatrix},$$

where the blocks are all distinct.

**THEOREM 8.** *There exists one and only one path through  $E$  and  $M$  ( $M \in \exp \mathfrak{gl}(n, R)$ ), if and only if  $M \in \mathbb{S}$ . If  $M \notin \mathbb{S}$ , there exist at least a countable number of paths through  $E$  and  $M$ . And  $\mathbb{S}$  is open in  $\exp \mathfrak{gl}(n, R)$ .*

**THEOREM 9.** *If and only if  $M \in \text{Bdry}(\exp \mathfrak{gl}(n, R))$ , the paths through  $E$  and  $M$  are always singular.*

In the other word, if and only if  $M \in GL_0(n, R)$ , there exists a regular path from  $E$  to  $M$ .

**REMARK 6.** By the similar argument as in the previous paper ([4]), we can prove the following propositions:

For an element  $M$  of  $GL_0(n, R)$ , there exists one and only one path through  $E$  and  $M$  which is entirely contained in  $GL_0(n, R)$ . And for an element  $M$  of  $\text{Bdry}(\exp \mathfrak{gl}(n, R))$ , there exist, at least, two paths from  $E$  to  $M$  which are contained in  $GL_0(n, R)$  except for  $M$ .

Any path from  $E$  to  $M$  intersects  $\text{Bdry}(\exp \mathfrak{gl}(n, R))$ , at most, in a finite number of points.

I wish to express my hearty thanks to Prof. K. Morinaga for suggesting this investigation as well as for constant guidance in the course of the work.

### References

- [1] C. Chevalley, Theory of Lie groups I, Princeton Univ. Press, 1946.
- [2] K. Morinaga and T. Nôno, *On the logarithmic functions of matrices I*, J. Sci. Hiroshima Univ., (A), Vol. 14, No. 2 (1950), pp. 107-114.
- [3] K. Morinaga and T. Nôno, *On the logarithmic functions of matrices II*, J. Sci. Hiroshima Univ. (A), Vol. 14, No. 3 (1950), pp. 171-179.
- [4] K. Morinaga and T. Nôno, *On the matrix space*, J. Sci. Hiroshima Univ., (A), Vol. 19, No. 1 (1955), pp. 51-69.
- [5] G. D. Mostow, *A new proof of E. Cartan's theorem on the topology of semi-simple groups*, Bull. Amer. Math. Soc., Vol. 55 (1949), pp. 969-980.
- [6] J.v. Neumann, *Über die analytischen Eigenschaften von Gruppen linearer Transformation und ihre Darstellungen*, Math. Zeit., Vol. 30 (1929), pp. 3-42.
- [7] K. Schröder, *Einige Sätze aus der Theorie der kontinuirlicher Gruppen lineare Transformationen*, Schriften des Math. Sem. d. Univ. Berlin, Vol. 2, No. 4 (1934), pp. 111-149.