

## The link surgery of $S^2 \times S^2$ and Scharlemann's manifolds

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**ABSTRACT.** Fintushel-Stern's knot surgery has given many exotic 4-manifolds. We show that if an elliptic fibration has two, parallel, oppositely-oriented vanishing cycles (for example  $S^2 \times S^2$  or Matsumoto's  $S^4$ ), then the knot surgery does not change its differential structure. We also give a classification of link surgery of  $S^2 \times S^2$  and a generalization of Akbulut's celebrated result that Scharlemann's manifold is standard.

### 1. Introduction

**1.1. Knot surgery.** We call a pair of manifolds an exotic pair, if they are homeomorphic but non-diffeomorphic. It has been an intriguing question to construct exotic pairs. In particular, 4-dimensional manifolds have given interesting examples. *Fintushel-Stern's knot surgery* in [7] is a powerful method to construct such 4-dimensional exotic pairs. Given a simply-connected 4-manifold  $X$  which contains a torus  $T \subset X$  with the trivial normal bundle and a knot  $K$  in  $S^3$ , the knot surgery operation  $X \rightsquigarrow X_K$  is defined by removing the neighborhood of  $T$  and regluing  $(S^3 - \nu(K)) \times S^1$ . The symbol  $\nu$  represents the open neighborhood throughout the present article. Under favorable conditions (for example, the case that  $X$  contains the regular neighborhood  $C$  of the cusp singular fiber and  $T$  is a general fiber), the resulting 4-manifold  $X_K$  is simply-connected and has the same intersection form as  $X$ , hence it is homeomorphic to  $X$  by virtue of Freedman's celebrated theorem.

In [7], the following formula for the Seiberg-Witten invariant ( $SW$ -invariant) was established.

$$SW_{X_K} = SW_X \cdot \Delta_K \tag{1}$$

Here  $\Delta_K$  is the Alexander polynomial of  $K$ . This formula implies the knot surgery gives rise to many exotic pairs. If  $SW_X$  is non-trivial and  $\Delta_K \neq 1$ , then  $(X, X_K)$  is an exotic pair.

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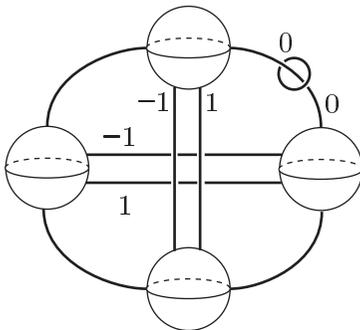


Fig. 1. Two parallel, oppositely-oriented cusp fibers in  $S^2 \times S^2$ .

One of the main purposes of this article is to show that there are a lot of examples of Fintushel-Stern's knot surgery which do “not” produce exotic pairs. By the above argument, we need to focus on the case where  $\Delta_K(t) = 1$  or  $SW_X = 0$ .

It is known that  $X = S^2 \times S^2$  has trivial  $SW$ -invariant. The cusp neighborhood  $C$  can naturally be embedded inside  $X$ . In fact,  $X$  is diffeomorphic to the double  $\bar{C} \cup C$  where  $\bar{C}$  is  $C$  with the opposite orientation. Figure 1 describes the achiral elliptic fibration of  $X$ .

DEFINITION 1. We denote the knot surgery  $\bar{C} \cup C_K$  by  $A_K$ .

In [3] S. Akbulut showed that  $A_{3_1}$  is diffeomorphic to  $S^2 \times S^2$ . The proof essentially uses his other result [2]. Our first main theorem is:

THEOREM 1.  $A_K$  is diffeomorphic to  $S^2 \times S^2$  for any knot  $K$ .

We will prove this theorem in Section 3. The theorem shows the existence of infinitely many exotic embeddings of  $C$  into  $S^2 \times S^2$ .

**1.2. Link surgery.** Fintushel and Stern [7] defined *link surgery*, which is a link version of knot surgery. For an  $n$ -tuple  $(X_1, X_2, \dots, X_n)$  of 4-manifolds, each of which contains a (specified)  $C$ , and an  $n$ -component (labeled) link  $L$  in  $S^3$ , we can define the *link surgery*  $X(X_1, \dots, X_n; L)$ . This is a variation of the fiber-sum operation connecting some manifolds rather than a surgery.

In the case of  $X_i = S^2 \times S^2$  for any  $i$ , we denote the link surgery by  $A_L$ . Theorem 1 can be generalized to the link case as follows.

THEOREM 2. Let  $L$  be an  $n$ -component link.  $A_L$  is diffeomorphic to

$$\begin{cases} \#^{2n-1} S^2 \times S^2, & \text{if } L \text{ is a proper link;} \\ \#^{2n-1} \mathbf{C}P^2 \#^{2n-1} \overline{\mathbf{C}P^2}, & \text{otherwise.} \end{cases}$$

In the proof, we give handle pictures of the link surgery  $X(C, \dots, C; L)$  for a split link  $L = K_1 \cup K_2$  or the Hopf link  $L = H$ .

**1.3. Scharlemann's manifolds.** Let  $S_p^3(K)$  be the  $p$ -surgery along  $K$  in  $S^3$ , and  $\gamma(\varepsilon)$  an embedded framed curve in  $S_p^3(K)$ . Here  $\gamma$  is a simple closed curve in  $S^3 - v(K) \subset S_p^3(K)$  and  $\varepsilon$  is a framing of  $\gamma$ . The embedded curve induces a framed knot  $\tilde{\gamma}$  in  $S_p^3(K) \times S^1$  through  $S^1 \xrightarrow{\gamma} S_p^3(K) \hookrightarrow S_p^3(K) \times S^1$ . Here we obtain a manifold  $B_{K,p}(\gamma(\varepsilon))$  (*Scharlemann's manifold*) by surgering out the neighborhood of  $\tilde{\gamma}$  in  $S_p^3(K) \times S^1$  and regluing  $S^2 \times D^2$ . Since the diffeomorphism type of  $B_{K,p}(\gamma(\varepsilon))$  depends only on  $(K, p)$  and the free isotopy type of  $\tilde{\gamma}$ , we are concerned with the free homotopy class of  $\gamma(\varepsilon)$ . Thus the framings have two types in general.

If  $\gamma$  gives a normal generator in  $\pi_1(S_p^3(K))$ , then  $B_{K,p}(\gamma(\varepsilon))$  is homeomorphic to  $S^3 \times S^1 \# S^2 \times S^2$  or  $S^3 \times S^1 \# \mathbb{C}P^2 \# \mathbb{C}P^2$  as can be seen from results presented in [8]. In the case of  $p = -1$  we drop the suffix  $p$  of  $B_{K,p}(\gamma(\varepsilon))$  as  $B_K(\gamma(\varepsilon))$ .

Scharlemann [15] studied the case where  $(K, p) = (3_1, -1)$  and  $\gamma = \gamma_0$  (the meridian of  $3_1$ ) and showed that  $B_{3_1}(\gamma_0(1))$  has a fake self-homotopy structure on  $S^3 \times S^1 \# S^2 \times S^2$ . At that time the diffeomorphism type of  $B_K(\gamma(\varepsilon))$  was not determined. After that, Akbulut [2] proved the following theorem using an amazingly difficult handle calculus.

**THEOREM 3 ([2]).**  $B_{3_1}(\gamma_0(1))$  is diffeomorphic to  $S^3 \times S^1 \# S^2 \times S^2$ .

It has been unknown whether Theorem 3 can be generalized to an arbitrary knot. We will prove the following as the third main theorem.

**THEOREM 4.** Let  $K$  be any knot in  $S^3$  and  $\gamma_0 \subset S_{-1}^3(K)$  the meridian of  $K$  in the diagram.  $B_K(\gamma_0(1))$  is diffeomorphic to  $S^3 \times S^1 \# S^2 \times S^2$ .

In the second half of Section 5.2, we will consider the diffeomorphism type of  $B_{3_1}(\gamma(\varepsilon))$  for homotopy classes except  $\gamma_0(\varepsilon)$ .

Theorem 1 and 4 are proven by S. Akbulut in [5] independently. Our proofs are based on Lemma 5 regarding knot surgery in some achiral elliptic fibration.

### Acknowledgement

The problem of whether  $A_K$  is an exotic  $S^2 \times S^2$  or not, was asked by Professor Manabu Akaho ([1]). This paper gives a negative but complete answer to his question. I thank him for motivating me to study the attractive 4-dimensional world.

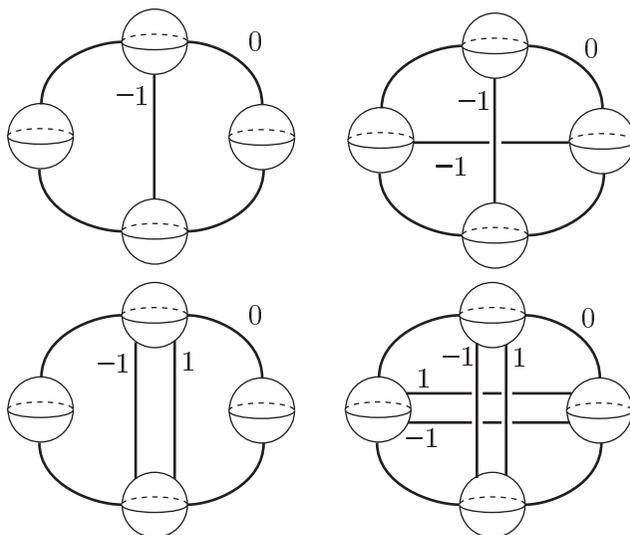
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## 2. Preliminaries

**2.1. The neighborhoods of singular fibers and the knot surgery.** First we recall the fishtail neighborhood  $F$  and cusp neighborhood  $C$ . The definition of such singular fibers can also be seen in [9]. We define two more neighborhoods of some singular fibers.

**DEFINITION 2** (Fishtail (or cusp) neighborhood). A *fishtail* (or *cusp*) neighborhood  $F$  (or  $C$ ) is an elliptic fibration over  $D^2$  with one fishtail (or cusp) singular fiber. The handle picture is the top-left (or top-right) in Figure 2. The neighborhood  $C$  (or  $F$ ) includes self-intersection 0 torus as the general fiber.

**DEFINITION 3** (Symmetric fishtail (or cusp) neighborhood). We denote a fiber-sum of two parallel oppositely-oriented fishtail (or cusp) fibers over  $D^2$  by  $SyF$  (or  $SyC$ ). The handle picture is the bottom-left (or bottom-right) in Figure 2. The neighborhood  $SyF$  (or  $SyC$ ) includes self-intersection 0



**Fig. 2.**  $F$ ,  $C$ ,  $SyF$ , and  $SyC$ .

torus as the general fiber. We call  $SyC$  (or  $SyF$ ) *symmetric cusp* (or *fishtail neighborhood*).

The diagrams in Figure 2 give the obvious embeddings  $F \hookrightarrow SyF$  and  $C \hookrightarrow SyC$ .

Let  $X$  be a 4-manifold that contains  $C$  or  $F$ , and  $K$  a knot in  $S^3$ . The symbol  $\bar{v}$  represents the closed neighborhood.

**DEFINITION 4.** We define *Fintushel-Stern's knot surgery*  $X_{K,n}$  as

$$X_{K,n} := [X - v(T)] \cup_{\varphi_n} [(S^3 - v(K)) \times S^1].$$

Here the gluing map is the following:

$$\varphi_n : \partial\bar{v}(K) \times S^1 \rightarrow \partial\bar{v}(T) = T^2 \times \partial D^2$$

such that the map  $\varphi_n$  induces the following on the 1st homology:

$$\begin{aligned} & [\{\text{the meridian of } K\} \times \{\text{pt}\}], \quad [\{\text{pt}\} \times S^1] \mapsto \alpha, \beta \\ & [\{\text{the longitude of } K\} \times \{\text{pt}\}] + n[\{\text{the meridian of } K\} \times \{\text{pt}\}] \\ & \mapsto [\{\text{pt}\} \times \partial D^2] \end{aligned} \quad (2)$$

where  $\alpha, \beta$  are generators of  $H_1(T^2)$ . When  $X$  contains  $F$ , we assume that  $\alpha$  is the class of the vanishing cycle. In the case of  $n = 0$ , we denote the result of the knot surgery simply by  $X_K$ .

**2.2. The logarithmic transformation.** The purpose of the present section is to define the logarithmic transformation. Let  $X$  be an oriented 4-manifold and  $T \subset X$  an embedded torus with self-intersection 0.

**DEFINITION 5.** Let  $\gamma$  be an essential simple closed curve in  $T$  and  $\varphi$  a homeomorphism  $\partial D^2 \times T^2 \rightarrow \partial v(T)$  satisfying  $\varphi(\partial D^2 \times \{\text{pt}\}) = q(\{\text{pt}\} \times \gamma) + p(\partial D^2 \times \{\text{pt}\})$ . Removing  $v(T)$  from  $X$  and regluing  $D^2 \times T^2$  via  $\varphi$ , we obtain the following manifold:

$$X(T, p, q, \gamma) := [X - v(T)] \cup_{\varphi} D^2 \times T^2.$$

We call this manifold the *logarithmic transformation* with the data  $(T, p, q, \gamma)$ .

It is well-known that the diffeomorphism type of the logarithmic transformation depends only on the data  $(T, p, q, \gamma)$ . The integer  $p$  is the *multiplicity* of the logarithmic transformation,  $\gamma$  the *direction* and  $q$  the *auxiliary multiplicity*.

If  $p = 1$ , then we call  $X(T, 1, q, \gamma)$  a *q-fold Dehn twist* of  $\partial v(T)$  along  $T$  parallel to  $\gamma$ .

LEMMA 1 (Lemma 2.2 in [10]). *Suppose  $N = D^2 \times S^1 \times S^1$  is embedded in a 4-manifold  $X$ . Suppose there is a disk  $D \subset X$  intersecting  $N$  precisely in  $\partial D = \{q\} \times S^1$  for some  $q \in \partial D^2 \times S^1$ , and that the normal framing of  $D$  in  $X$  differs from the product framing on  $\partial D \subset \partial N$  by  $\pm 1$  twist. Then the diffeomorphism type of  $X$  does not change if we remove  $N$  and reglue it by a  $k$ -fold Dehn twist of  $\partial N$  along  $S^1 \times S^1$  parallel to  $\gamma = \{q\} \times S^1$ .*

The submanifold  $N \cup \nu(D)$  in Lemma 1 is diffeomorphic to the fishtail neighborhood  $F$ . Lemma 1 implies the following.

LEMMA 2. *Let  $X$  be a 4-manifold containing  $F$ . Then a  $k$ -fold Dehn twist of a neighborhood of the general fiber parallel to the vanishing cycle of the fishtail fiber does not change the differential structure.*

### 3. Knot surgery case

**3.1. 1-strand twist.** Let  $X$  be a 4-manifold containing  $C$ ,  $K_1$  any knot in  $S^3$ , and  $K_2$  the meridian of  $K_1$ . The torus  $T_2 := K_2 \times S^1 \subset [S^3 - \nu(K_1)] \times S^1 \subset X_{K_1}$  has self-intersection 0. We denote the trivial normal bundle by  $N_2 := \nu(K_2) \times S^1$ .

DEFINITION 6 (1-strand twist). We call the  $n$ -fold Dehn twist  $X_{K_1}(T_2, 1, n, K_2)$  ( $n$ -fold) 1-strand twist of  $X_{K_1}$  along  $K_2$ .

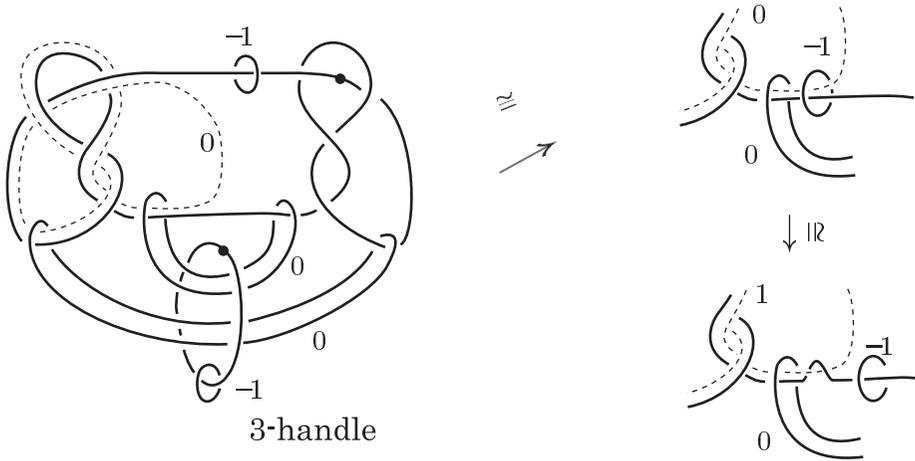
LEMMA 3. *The  $n$ -fold 1-strand twist of  $X_{K_1}$  along  $K_2$  does not change the differential structure.*

PROOF. Any parallel copy  $K'_2 \subset \partial N_2$  of  $K_2$  moved through the use of obvious trivialization of  $N_2$  is isotopic to one of vanishing cycles of  $C_{K_1}$ . Thus there exists a disk  $D \subset C_{K_1}$  with  $\partial D = K'_2$  whose framing of  $\partial D$  coming from the trivialization of  $\nu(D)$  differs from the normal framing of the trivialization of  $N_2$  by  $-1$ . Hence  $N_2 \cup \nu(D)$  is diffeomorphic to the fishtail neighborhood.

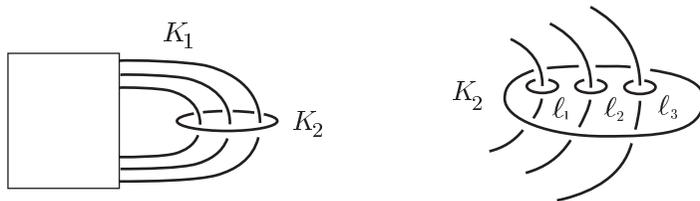
Therefore Lemma 2 gives the following:

$$X_{K_1, n} \cong X_{K_1, 0} = X_{K_1}. \quad \square$$

This diffeomorphism can also be seen using handle calculus as in Figure 3, which was pointed out by S. Akbulut in [2]. The left in Figure 3 is the  $4_1$  surgery of the cusp neighborhood. The dashed circle in Figure 3 is the inverse image of  $\{\text{pt}\} \times \partial D^2$  via  $\varphi_0$  (see (2)). Sliding the top  $-1$ -framed 2-handle over one of two 0-framed 2-handles below, we get the right-top one in Figure 3. Sliding the upper 0-framed 2-handle over the  $-1$ -framed 2-handle, we have the right-bottom picture. This diffeomorphism changes the gluing map  $\varphi_0$  to  $\varphi_1$ . Iterating the process or the inverse one, we obtain Lemma 3.



**Fig. 3.** A diagram  $C_4$ , as an example with the attaching circle (the dashed circle) and the framing change.



**Fig. 4.**  $L = K_1 \cup K_2$  and  $\ell_1, \ell_2, \ell_3$ .

**3.2. 3-strand twist.** Finding a hidden fishtail neighborhood in  $SyF_K$  or  $SyC_K$ , we give a diffeomorphism using 3-strand twist.

Let  $L$  be a 2-component link as in Figure 4. The left box is some tangle which presents  $K_1$ . Let  $X$  be a 4-manifold containing  $SyC$  or  $SyF$ . Along the general torus fiber in the fibration, we perform the knot surgery  $X_{K_1}$ . The torus  $T_2 = K_2 \times S^1 \subset [S^3 - \nu(K_1)] \times S^1$  has the trivial neighborhood in  $X_{K_1}$ . We denote the neighborhood of the torus by  $N_2$ .

**DEFINITION 7 (3-strand twist).** Let  $X$  be a 4-manifold containing  $C$  or  $F$ . We call the  $n$ -fold Dehn twist  $X_{K_1}(T_2, 1, n, K_2)$  ( $n$ -fold) 3-strand twist along  $K_2$ .

**LEMMA 4.** For a manifold  $X$  containing  $SyC$  or  $SyF$ , the 3-strand twist of  $X_{K_1}$  along  $K_2$  does not change the differential structure.

**PROOF.** Our main strategy here is to construct a fishtail neighborhood in which  $K_2 \times S^1$  is a general fiber. Here we can find an obvious three-punctured

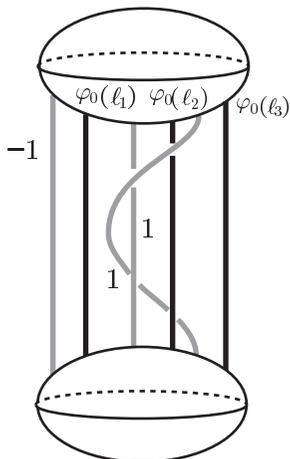


Fig. 5. An isotopy of  $\varphi_0(\ell_i)$ .

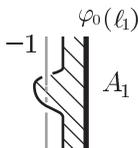


Fig. 6.  $A_1$ .

disk  $P$  whose boundaries are  $K_2$ ,  $\ell_1$ ,  $\ell_2$ , and  $\ell_3$  as indicated in Figure 4. Here each meridian  $\ell_i$  lies in the boundary of  $N_1$  which is the neighborhood of  $K_1$ . Figure 5 describes the submanifold of  $SyF$  and  $SyC$  in Figure 2 which is modified as follows. We take the middle 1-handle and two 2-handles running the 1-handle in Figure 2, and add a 1-framed 2-handle, which is cancelled with a 3-handle by one slide to another 1-framed 2-handle. Each image  $\varphi_0(\ell_i)$  is parallel to two vanishing cycles of  $SyC$  or  $SyF$  in  $X_{K_1}$  as in Figure 5.

We construct mutually disjoint three annuli  $A_1$ ,  $A_2$  and  $A_3$  such that one component of each  $\partial A_i$  is  $\varphi_0(\ell_i)$ . In addition, these annuli and  $P$  are also disjoint because  $P$  is embedded in the  $[S^3 - \nu(K_1)] \times S^1$  part.  $A_1$  is indicated in Figure 6 and the right side of  $\partial A_1$  is  $\varphi_0(\ell_1)$ .  $A_2$  and  $A_3$  are indicated in the left and right in Figure 7 respectively.  $A_3$  runs through the carved 2-handle (the dotted 1-handle) once. The right sides of  $\partial A_2$  and  $\partial A_3$  are  $\varphi_0(\ell_2)$  and  $\varphi_0(\ell_3)$ . From the pictures obviously  $A_1$ ,  $A_2$  and  $A_3$  are disjoint annuli in  $X_{K_1}$ .

The other sides of  $\partial A_i$  coincide with the boundaries of 2-disks parallel to the cores of the 2-handles in Figure 5. The three 2-disks are disjoint from  $P \cup A_1 \cup A_2 \cup A_3$  since these 2-handles are disjoint from  $P$  and  $A_i$ . Capping

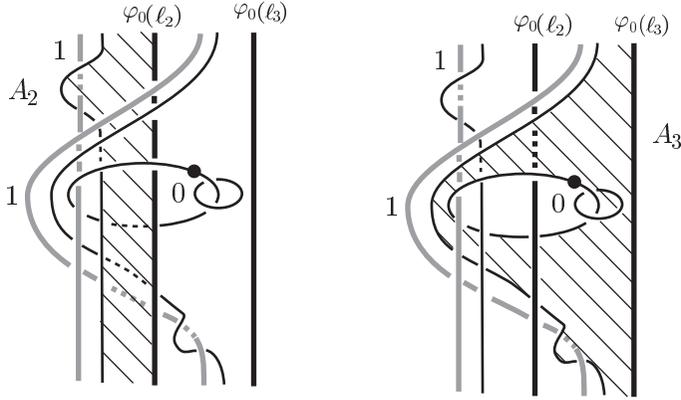


Fig. 7. Two embedded annuli  $A_2, A_3$ .

the 2-disks  $C_1, C_2$  and  $C_3$  to three components of  $\partial(P \cup A_1 \cup A_2 \cup A_3) - K_2$ , we obtain an embedded disk

$$D := P \cup A_1 \cup A_2 \cup A_3 \cup C_1 \cup C_2 \cup C_3$$

in  $X_{K_1}$  whose boundary is  $K_2$ .

The restriction on  $\partial\nu(D)$  of the normal framing of  $\nu(D)$  differs from the framing of  $K_2$  induced by the normal bundle of  $N_2$  by  $-1 + 1 + 1 = 1$ . Therefore  $N_2 \cup \nu(D)$  is diffeomorphic to  $\bar{F}$ .

Alternatively, sliding the canceling 0-framed 2-handle to the  $-1$ -framed 2-handle, we can construct an embedding  $F \hookrightarrow X_{K_1}$ , in which the general fiber of  $F$  is  $T_2$ .

Applying Lemma 2 to this situation, we obtain the assertion of Lemma 4. □

For a 4-manifold  $X$  satisfying the assumption of Lemma 4, we can also prove that any odd-strand twist does not change the differential structure.

### 3.3. Proof of Theorem 1.

PROOF. Since  $\bar{C} \cup C$  includes  $SyC$  as in Figure 1, the 3-strand twist of  $A_{K_1}$  along  $K_2$  does not change the differential structure, namely we have  $A_{K_1} \cong \bar{C} \cup C_{K_3, n}$ . The integer  $n$  is one of  $\mp 1, \mp 9$ .  $K_3$  is the knot obtained by the  $\pm 1$ -Dehn surgery along  $K_2$  as in Figure 8. By using 1-strand twist in Section 3.1 we have  $A_{K_3} \cong \bar{C} \cup C_{K_3, n} \cong A_{K_1}$ .

Y. Ohyaama in [14] proved that for any knot  $K$  there exists a finite sequence of local 3-strand twists:  $K = k_0 \rightarrow k_1 \rightarrow \dots \rightarrow k_n = \text{unknot}$ . The

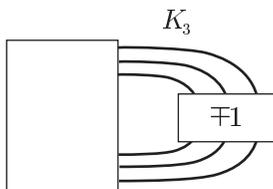


Fig. 8.  $K_3$ : The knot obtained by  $\pm 1$ -Dehn surgery along  $K_2$ . The right box is the  $\mp 1$  full twist.

sequence implies a sequence of 4-dimensional diffeomorphisms:

$$A_K = A_{k_0} \cong A_{k_1} \cong \cdots \cong A_{k_n} = S^2 \times S^2. \quad \square$$

The argument in the proof of Theorem 1.1 can be summarized as follows:

LEMMA 5. *Any knot surgery of any achiral elliptic fibration containing  $SyF$  (or  $SyC$ ) does not change the differential structure.*

Y. Matsumoto's achiral elliptic fibration on  $S^4$  in [12] includes  $SyF$ . The handle picture can be seen in Figure 8.38 in [9].

COROLLARY 1. *Any knot surgery along a general fiber in Matsumoto's elliptic fibration on  $S^4$  (such that the meridian of the knot is isotopic to the vanishing cycle) is diffeomorphic to the standard  $S^4$ .*

**3.4. Infinitely many exotic embeddings.** Using the diffeomorphism, we obtain infinitely many embeddings:

$$C \hookrightarrow C \cup \overline{C_K} = S^2 \times S^2. \quad (3)$$

We can obtain the following:

COROLLARY 2. *There exist infinitely many (mutually non-diffeomorphic) exotic embeddings  $C \hookrightarrow S^2 \times S^2$ . Namely the embeddings give infinitely many exotic complements.*

PROOF. We show that the complements  $\overline{C_K}$  of the embeddings (3) give infinitely many mutually homeomorphic but non-diffeomorphic 4-manifolds. The cusp neighborhood  $C$  is embedded in K3 surface  $E(2)$  as a neighborhood of a singular fiber of the elliptic surface. The group of self-diffeomorphisms up to isotopy on  $\partial C \cong \Sigma(2, 3, 6)$  is  $\mathbf{Z}/2\mathbf{Z}$  in the same way as the proofs of Lemma 8.3.10 in [9] and Lemma 3.7 in [11]. The nontrivial self-diffeomorphism is a  $180^\circ$  rotation of  $\partial C$  about the horizontal line in the top-right picture in Figure 2. Since the diffeomorphism is caused by a symmetry on 0-framed trefoil, this diffeomorphism extends to  $E(2)$  (see also the proof of Theorem 0.1 in [3]).

Thus, if  $E(2)_{K_1}$  and  $E(2)_{K_2}$  are non-diffeomorphic for some knots  $K_1, K_2$ , then  $C_{K_1}$  and  $C_{K_2}$  are non-diffeomorphic. The formula (1) and  $SW_{E(2)} = 1$  give infinitely many differential structures in  $\{C_K | K : \text{knot}\}$ . The homeomorphism  $C \approx C_K$  for any knot  $K$  is due to the fact  $C \cup \overline{C_K} \cong S^2 \times S^2$  (spin) and the result (0.8) Proposition-(iii) in [6]. Therefore  $\{C_K | K : \text{knot}\}$  includes infinitely many differential structures.  $\square$

#### 4. Link surgery case

In this section we draw a handle picture of the link surgery operation  $X(C, \dots, C; L)$  in the cases where  $L$  is a split link and is the Hopf link. Finally we will prove  $A_L$  is the standard manifold (Theorem 2).

Let  $L = K_1 \cup \dots \cup K_n$  be an  $n$ -component link and  $X_i$  ( $i = 1, \dots, n$ ) oriented 4-manifolds each of which contains the cusp neighborhood  $C_i$ . Let  $T_i$  be a general fiber of  $C_i$ . Let  $\varphi_i$  be the maps

$$\varphi_i : \partial\bar{v}(K_i) \times S^1 \rightarrow \partial\bar{v}(T_i) = T_i \times \partial D^2$$

satisfying

$$\begin{aligned} \varphi_i(l_i \times \{\text{pt}\}) &= \{\text{pt}\} \times \partial D^2 \\ \varphi_i(m_i \times \{\text{pt}\}) &= \alpha_i, \quad \varphi_i(\{\text{pt}\} \times S^1) = \beta_i, \end{aligned}$$

where  $l_i$  and  $m_i$  are the longitude and meridian of  $K_i$  and  $\alpha_i, \beta_i$  are two circles in  $\partial\bar{v}(T_i)$  corresponding to a basis in  $H_1(T_i)$ .

DEFINITION 8. We define the *link surgery (operation)* as

$$\prod_{i=1}^n X_i \mapsto [X_i - v(T_i)] \cup_{\varphi_i} [S^3 - v(L)] \times S^1.$$

Here the gluing maps are  $\varphi_i$ . We denote the link surgery operation of  $(X_1, \dots, X_n)$  along a link  $L$  by  $X(X_1, \dots, X_n; L)$ .

Due to Fintushel and Stern's result [7], the  $SW$ -invariant of  $X(X_1, \dots, X_n; L)$  is computed as follows:

$$SW_{X(X_1, \dots, X_n; L)} = \Delta_L(t_1, \dots, t_n) \cdot \prod_i^n SW_{E(1) \#_{T=T_i} X_i},$$

where  $\Delta_L(t_1, \dots, t_n)$  is the multivariable Alexander polynomial of  $L$  and  $E(1) \#_{T=T_i} X_i$  is the fiber-sum of the elliptic fibration  $E(1)$  and  $X_i$  along general fibers  $T$  and  $T_i$  respectively. The definition of the fiber-sum can be seen in [7].

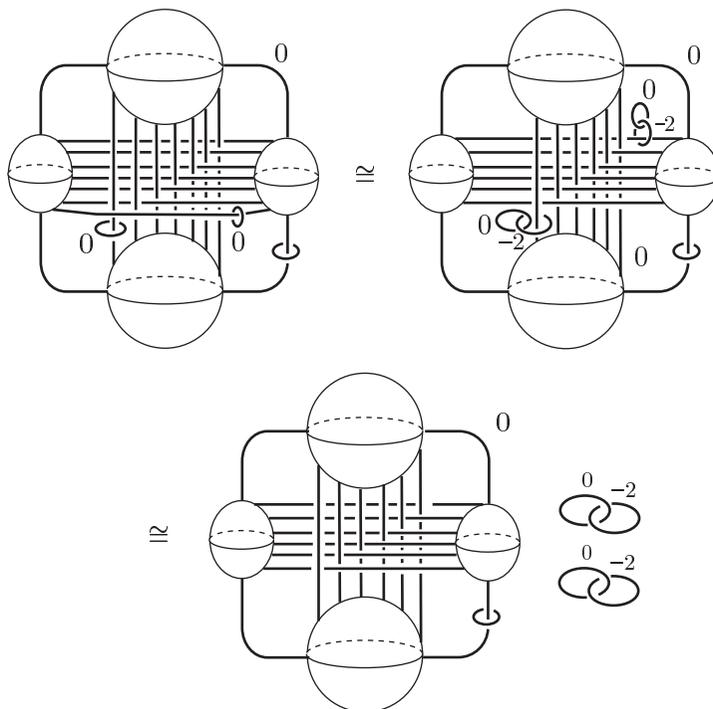


Fig. 9.  $E(1)\#_{T=T_i} S^2 \times S^2 = E(1)\#^2 S^2 \times S^2$

Here we consider the link surgery operation of  $\coprod_{i=1}^n S^2 \times S^2$  along any  $n$ -component link  $L$ . We denote the operation by  $A_L$ . The following diffeomorphism

$$E(1)\#_{T=T_i} S^2 \times S^2 \cong E(1)\#^2 S^2 \times S^2 = \#^3 \mathbf{CP}^2 \#^{11} \overline{\mathbf{CP}^2} \quad (4)$$

holds. The diagram of the fiber-sum  $E(1)\#_{T=T_i} S^2 \times S^2$  is the leftmost figure in Figure 9 (where the diagram of  $E(1)$  is Figure 8.10 in [9]). Several handle slides get two connected-sum components of  $S^2 \times S^2$  (see Figure 9). The second equality in (4) is well-known. Thus the vanishing theorem of  $SW$ -invariant implies  $SW_{A_L} = 0$ .

We prepare several lemmas to prove Theorem 2.

LEMMA 6. *Let  $L = U_1 \cup U_2$  be a 2-component unlink. Then the handle picture of  $X(C, C; L)$  is Figure 11.*

*Suppose that  $L = L_1 \cup L_2$  is any split link. Then the handle picture of  $X(C, C; L)$  is obtained by replacing the two dotted 1-handles in Figure 11 with the slice 1-handles corresponding to  $L_1$  and  $L_2$ .*

In particular, in the case where  $L = L' \cup U$  is an  $n$ -component link and  $U$  is a split unknot,

$$A_{L' \cup U} \cong A_{L'} \#^2 S^2 \times S^2.$$

PROOF. Let  $L = K_1 \cup K_2$  be a split link. First we consider the case where  $K_1, K_2$  are both unknots  $U_1, U_2$ . Let  $D_1$  and  $D_2$  be the splitting 3-disks of  $U_1$  and  $U_2$  satisfying  $D_1 \cup D_2 = S^3$ ,  $D_1 \cap D_2 = S^2$ , and  $U_i \subset \text{int}(D_i)$ . Then we get a decomposition  $[S^3 - \nu(L)] \times S^1 = [(D_1 - \nu(U_1)) \cup (D_2 - \nu(U_2))] \times S^1$ . Each component  $[D_i - \nu(U_i)] \times S^1$  is diffeomorphic to  $D^2 \times S^1 \times S^1 - \nu(\beta_i)$  (see Figure 10), where  $\beta_i$  is  $\{p_i\} \times S^1$  and  $p_i$  is a point in  $D^2 \times S^1$ .

The handle picture of  $D^2 \times T^2 - \nu(\beta_1)$  is the left in Figure 13. The  $S^2 \times S^1$  component  $\partial \nu(\beta_1)$  of the boundary corresponds to the cylinder in the picture. The gluing of  $D^2 \times T^2 - \nu(\beta_1)$  and  $D^2 \times T^2 - \nu(\beta_2)$  along the  $S^2 \times S^1$  component using the identity map has the handle picture of the right in Figure 13. With the dotted 1-handles description, the handle picture of  $X(C, C; L)$  is Figure 11. Two boundary components of  $X(C, C; L)$  are described as two spaces segmented by the attaching sphere of the 3-handle in Figure 11.

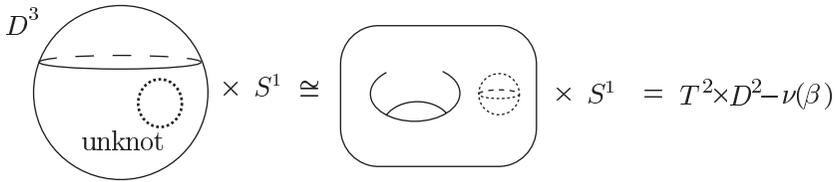


Fig. 10.  $[D^3 - \nu(\text{unknot})] \times S^1 \cong D^2 \times T^2 - \nu(\beta)$

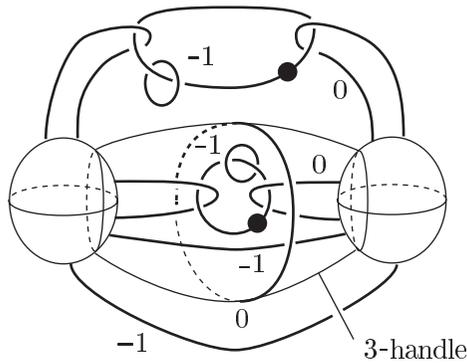


Fig. 11. The handle picture of  $X(C, C; U_0 \cup U_1)$ .

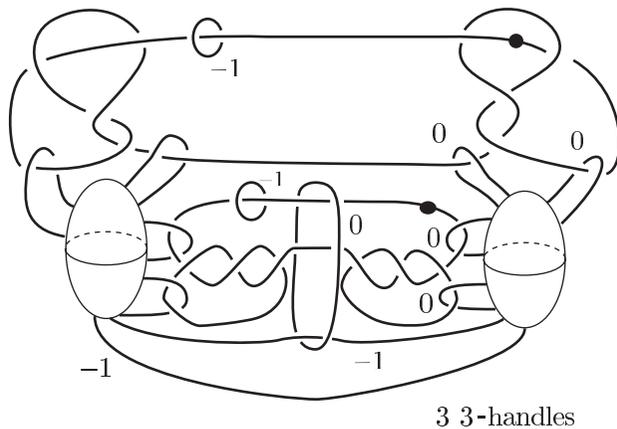


Fig. 12.  $X(C, C; 3_1 \amalg 4_1)$

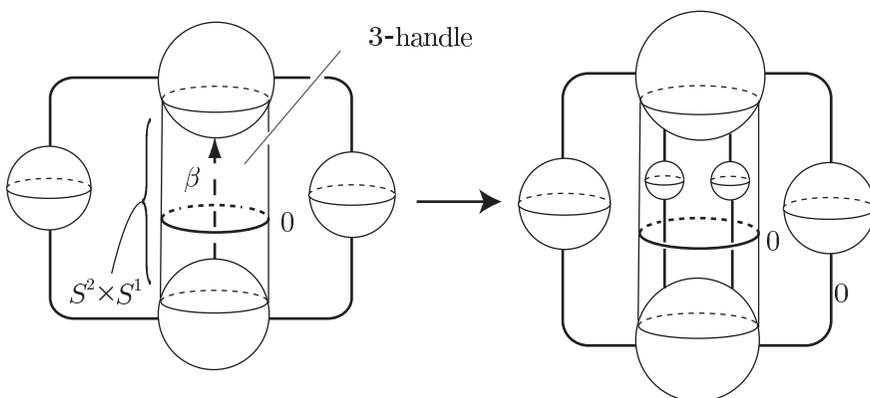


Fig. 13.  $T^2 \times D^2 - \nu(\beta) \rightarrow (T^2 \times D^2 - \nu(\beta_1)) \cup (T^2 \times D^2 - \nu(\beta_2))$ .

In the case where  $L = K_1 \cup K_2$  is any split 2-component link, the handle picture of  $X(C, C; L)$  can be drawn replacing the solid torus in Figure 10 with the knot complement  $D^3 - \nu(K_i)$ . The replacement of handle pictures can be viewed as in [3]. For example in the case of  $K_1 = 3_1$  and  $K_2 = 4_1$ , the handle picture is Figure 12.

In particular if  $K_2$  is the unknot, then  $A_L$  gives rise to two connected-sum components of  $S^2 \times S^2$ , as can be seen in Figures 14 and 15, therefore  $A_{L \cup U} \cong A_L \#^2 S^2 \times S^2$  holds. The unlabeled links in the figures stand for 0-framed 2-handles.  $\square$

Next we draw a handle picture of  $X(C, C; H)$  for the Hopf link and we compute  $A_H$ .

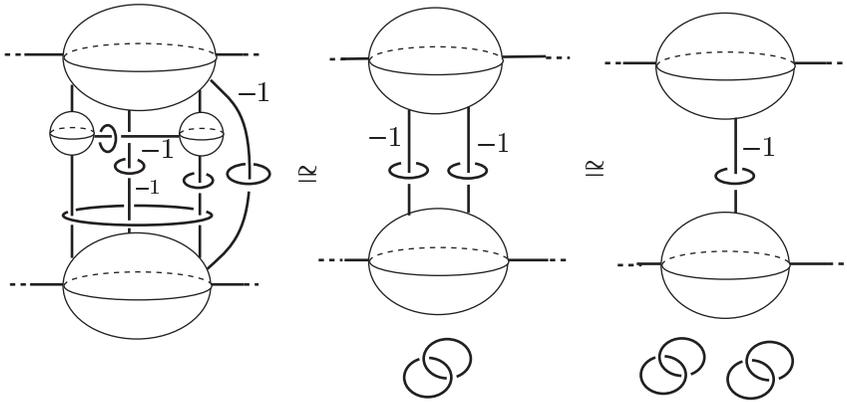


Fig. 14. The handle picture of  $A_{L \cup U} = A_{L'} \#^2 S^2 \times S^2$ .

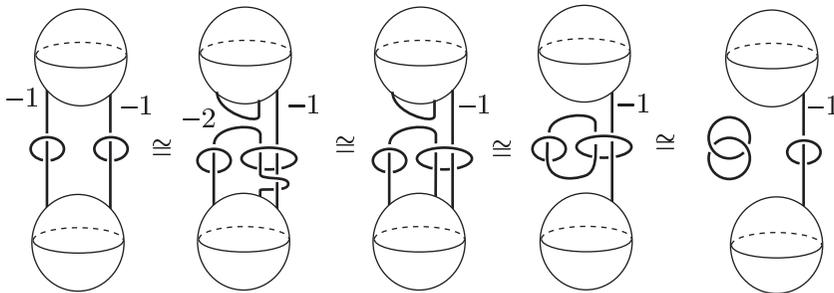


Fig. 15. To make an  $S^2 \times S^2$ -component from two parallel  $-1$ -framed 2-handles.

LEMMA 7. Let  $H$  be the Hopf link. Then  $A_H$  is diffeomorphic to  $\#^3(\mathbb{C}P^2 \# \mathbb{C}P^2)$ .

PROOF. The complement  $[S^3 - \nu(H)] \times S^1$  is diffeomorphic to  $T^3 \times I$  (the left in Figure 16), where  $I$  is the interval  $[0, 1]$  and the unlabeled links are 0-framed 2-handles.

Since the meridians and longitudes of the Hopf link exchange the roles each other, the locations of vanishing cycles are  $\alpha = (S^1, \text{pt}, \text{pt}, 0)$ ,  $\beta = (\text{pt}, S^1, \text{pt}, 0)$ ,  $\beta' = (\text{pt}, S^1, \text{pt}, 1)$ , and  $\eta = (\text{pt}, \text{pt}, S^1, 1)$ . Attaching four  $-1$ -framed 2-handles to  $T^3 \times I$ , we get the picture of  $X(C, C; H)$  (the right in Figure 16). Next, attaching four vanishing cycles with opposite orientation (four meridional 0-framed 2-handles), and two sections (two 0-framed 2-handles) to two boundaries of  $X(C, C; H)$ , we get  $A_H$  (the top-left handle decomposition in Figure 17). The decomposition can be modified into the top-right picture in Figure 17 by two handle slides as indicated in the top-left picture. The

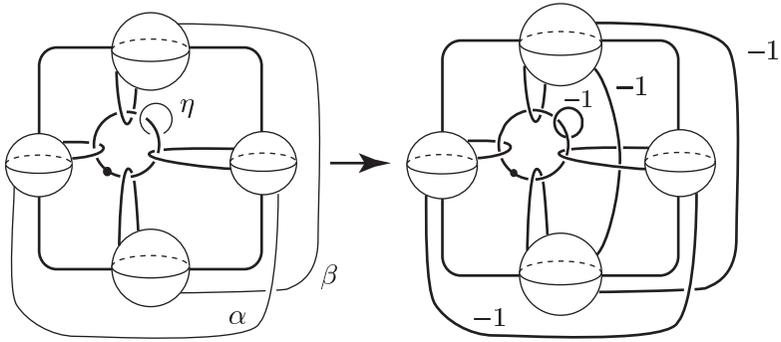


Fig. 16.  $T^2 \times S^1 \times I \rightarrow X(C, C; H)$ .

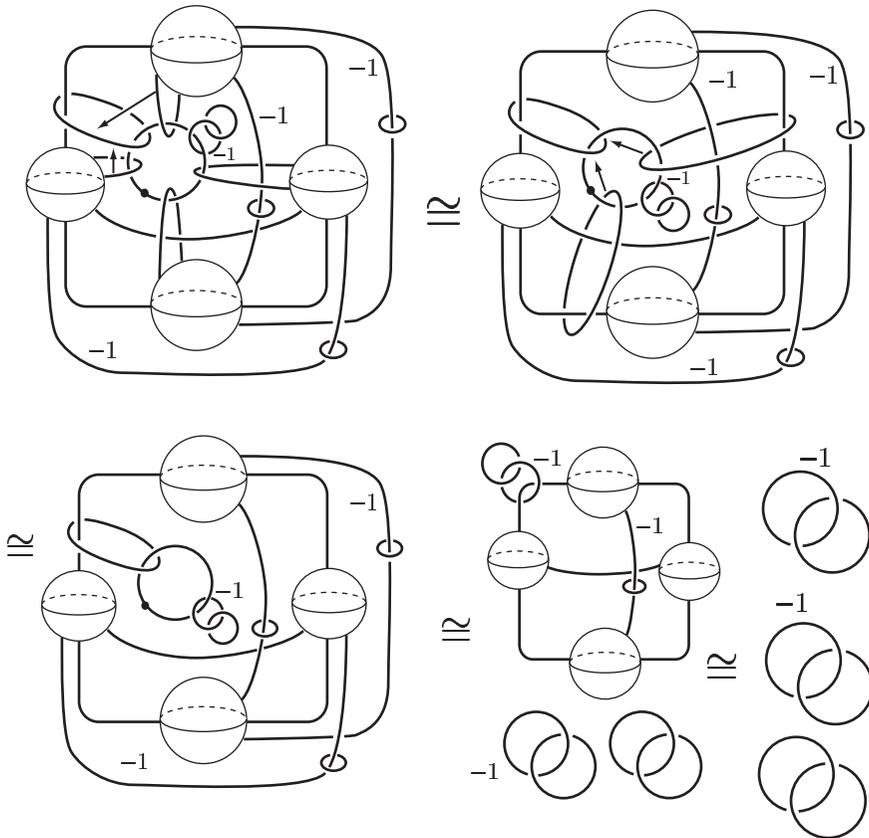


Fig. 17. The handle picture of  $A_H = \#^3(\mathbb{C}P^2 \# \overline{\mathbb{C}P^2})$ .

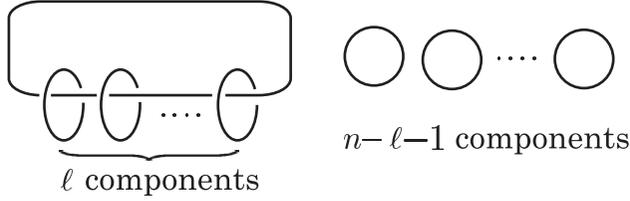


Fig. 18. The representatives  $L_{n,\ell}$  ( $\ell = 0, \dots, n-1$ ) of  $\mathcal{L}_n$

resulting picture can be modified into the bottom-left picture by two handle slides indicated by the two arrows in the top-right picture. Two (unlinked) 0-framed 2-handles obtained by this modification are canceled with two 3-handles. By applying Figure 15 and easy handle calculus, the bottom-left picture can be modified into the bottom-middle picture in Figure 17. This picture is the diagram of  $\#^3(\mathbf{CP}^2 \# \overline{\mathbf{CP}^2})$  using handle calculus.  $\square$

At this point we can prove Theorem 2.

PROOF. Let  $L = K_1 \cup K_2 \cup \dots \cup K_n$  be any  $n$ -component link. The set  $\tilde{\mathcal{L}}_n$  of all  $n$ -component links up to local 3-strand twist consists of  $2^{n-1}$  classes due to Nakanishi and Ohyama's results [14, 13]. Forgetting the ordering of the components of any link in  $\tilde{\mathcal{L}}_n$ , we get a set  $\mathcal{L}_n$ . The set  $\mathcal{L}_n$  has  $n$  classes. A standard representative in each class is a link  $L_{n,\ell}$  ( $\ell = 0, 1, \dots, n-1$ ) as presented in Figure 18. Applying 3-strand twist to link surgery operation  $A_L$ , we have only to consider the diffeomorphism type of  $A_{L_{n,\ell}}$  for some  $\ell$ .

Notice that  $L_{n,0}$  is the representative of all proper links ( $\stackrel{\text{def}}{\Leftrightarrow} \sum_{i \neq j} lk(K_i, K_j) \equiv 0 \pmod{2} \forall i$ ) and  $L_{n,\ell}$  ( $\ell > 0$ ) are the representatives of improper link ( $\stackrel{\text{def}}{\Leftrightarrow}$  not proper link).

Now suppose that  $1 \leq \ell \leq n-2$ . Applying Lemma 6 to the  $(n-\ell-1)$ -component unlink, we have

$$A_{L_{n,\ell}} = A_{L_{\ell+1,\ell}} \#^{2(n-\ell-1)} S^2 \times S^2.$$

Since  $\ell$  parallel meridians in the remaining components construct a fiber-sum of  $\ell$  copies of SyC, by using Figure 15 we have

$$A_{L_{\ell+1,\ell}} = A_H \#^{2(\ell-1)} S^2 \times S^2.$$

Using Lemma 7, we have

$$\begin{aligned} A_{L_{n,\ell}} &= \#^3(\mathbf{CP}^2 \# \overline{\mathbf{CP}^2}) \#^{2(\ell-1)} S^2 \times S^2 \#^{2(n-\ell-1)} S^2 \times S^2 \\ &= \#^{2n-1}(\mathbf{CP}^2 \# \overline{\mathbf{CP}^2}). \end{aligned}$$

Suppose that  $\ell = 0$ . The link  $L_{n,0}$  is the  $n$ -component unlink. Thus, using Lemma 6 we have

$$A_{L_{n,0}} = S^2 \times S^2 \#^{2(n-1)} S^2 \times S^2 \cong \#^{2n-1} S^2 \times S^2.$$

Suppose that  $\ell = n - 1$ . Since the link  $L_{n,n-1}$  does not have unlink component,

$$A_{L_{n,n-1}} = \#^3(\mathbf{CP}^2 \# \overline{\mathbf{CP}^2}) \#^{2(n-2)} S^2 \times S^2 \cong \#^{2n-1}(\mathbf{CP}^2 \# \overline{\mathbf{CP}^2}).$$

Therefore

$$A_L \cong \begin{cases} A_{L_{n,0}} \cong \#^{2n-1} S^2 \times S^2 & L \text{ is proper} \\ A_{L_{n,\ell}} \cong \#^{2n-1}(\mathbf{CP}^2 \# \overline{\mathbf{CP}^2}) & \text{otherwise.} \end{cases} \quad \square$$

## 5. Scharlemann's manifolds

Let  $K$  be a knot in  $S^3$  and  $\gamma(\varepsilon)$  an embedded framed curve in  $S_p^3(K)$ , i.e.,  $\gamma$  is a simple curve in  $S_p^3(K)$  and  $\varepsilon$  is a framing of  $\gamma$ . The framed curve  $\gamma(\varepsilon)$  gives a framed curve  $\tilde{\gamma}$  in  $S_p^3(K) \times S^1$ , as mentioned in Section 1.3. To consider the isotopy type of  $\tilde{\gamma}$ , it is enough to consider  $\varepsilon$  as the (mod 2)-framing. Figure 19 is an example of framed curve presentations. We identify  $\varepsilon$  with an element of  $\mathbf{Z}/2\mathbf{Z}$ .

**DEFINITION 9.** The 0-framing is defined as the Seifert framing of a curve embedded in the surgery presentation ( $p$ -surgery along  $K$ ).

**DEFINITION 10.** We fix a diagram of  $\gamma$  in the surgery presentation of  $S_p^3(K)$ . Let  $\gamma(\varepsilon)$  be an embedded framed curve in  $S_p^3(K)$ . Namely the induced framing on  $\tilde{\gamma}$  gives a trivialization  $t_\varepsilon : \bar{\nu}(\tilde{\gamma}) \cong D^3 \times S^1$ .

We define the ( $\varepsilon$ )-surgery along  $\gamma$  as

$$B_{K,p}(\gamma(\varepsilon)) := [S_p^3(K) \times S^1 - \nu(\tilde{\gamma})] \cup_{\psi_\varepsilon} S^2 \times D^2.$$



**Fig. 19.** A curve  $\gamma_0$  with (mod 2)-framing.

The gluing map  $\psi_\varepsilon$  is the composition of the identity map  $S^2 \times \partial D^2 \rightarrow \partial D^3 \times S^1$  and the restriction of  $t_\varepsilon^{-1}$  to the boundary. We call  $B_{K,p}(\gamma(\varepsilon))$  Scharlemann's manifold. In the case of  $p = -1$ , we drop the suffix  $p$ .

The diffeomorphism type of  $B_{K,p}(\gamma(\varepsilon))$  depends only on the homotopy type of  $\gamma(\varepsilon)$  in  $S_p^3(K)$ . This operation coincides with taking the boundary after attaching a 5-dimensional 2-handle along  $\tilde{\gamma}$  with the framing  $\varepsilon$ .

**5.1. Scharlemann's manifolds along the meridian curves.** In this section, we consider Scharlemann's manifolds with respect to the meridian  $\gamma_0$  of  $K$  as in Figure 19. We remark the following.

REMARK 1. Let  $\gamma_0$  be the meridian circle in  $S_{-1}^3(K)$ . All Scharlemann's manifolds  $B_K(\gamma_0(0))$  are diffeomorphic to  $S^3 \times S^1 \# \overline{CP^2} \# \overline{CP^2}$ .

In the case of  $\varepsilon = 1$ , we note the relationship between  $B_K(\gamma_0(1))$  and the knot surgery of the fishtail neighborhood.

LEMMA 8.  $B_K(\gamma_0(1))$  is diffeomorphic to  $\overline{F} \cup F_K$ .

PROOF. Performing the knot surgery for  $\overline{F} \cup F$ , we have

$$\overline{F} \cup F_K = \overline{F} \cup [F - \nu(T)] \cup_{\phi_0} [(S^3 - \nu(K)) \times S^1].$$

The handle picture is Figure 20 (the case of  $K = 4_1$ ).

The surgery along  $\tilde{\gamma}_0$  in  $S_{-1}^3(K) \times S^1$  is the right in Figure 21. Hence we get the following diffeomorphisms.

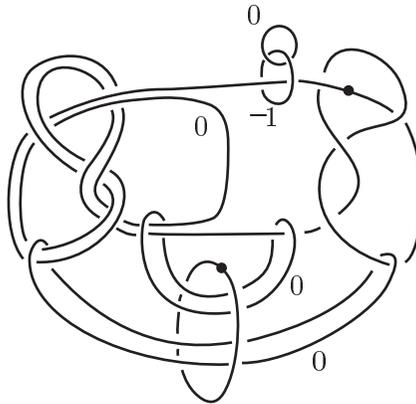


Fig. 20.  $\overline{F} \cup [F - \nu(T)] \cup_{\phi_0} [(S^3 - \nu(K)) \times S^1]$ .

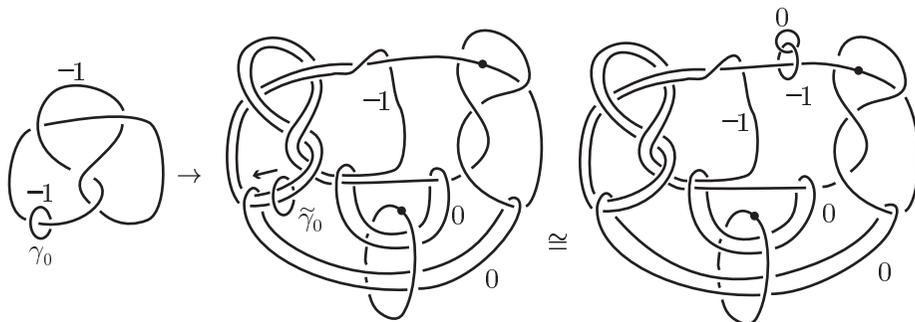


Fig. 21. The surgery along  $\tilde{\gamma}_0$  with the framing 1.

$$\begin{aligned}
 B_K(\gamma_0(1)) &= [S^3_{-1}(K) \times S^1 - \nu(\tilde{\gamma}_0)] \cup_{\psi_1} S^2 \times D^2 \\
 &\cong \bar{F} \cup (F - \nu(T)) \cup_{\varphi_{-1}} [S^3 - \nu(K)] \times S^1 \quad (\text{See Figure 3 and 21.}) \\
 &\cong \bar{F} \cup (F - \nu(T)) \cup_{\varphi_0} [S^3 - \nu(K)] \times S^1 \quad (\text{Lemma 3}) \\
 &= \bar{F} \cup F_K \quad \square
 \end{aligned}$$

Here we prove Theorem 4.

PROOF. Since  $\bar{F} \cup F$  contains  $SyF$ , the application of Lemma 5 to this situation gives the following:

$$\bar{F} \cup F_K \cong \bar{F} \cup F \cong S^3 \times S^1 \# S^2 \times S^2.$$

Here the last diffeomorphism is due to Figure 22. □

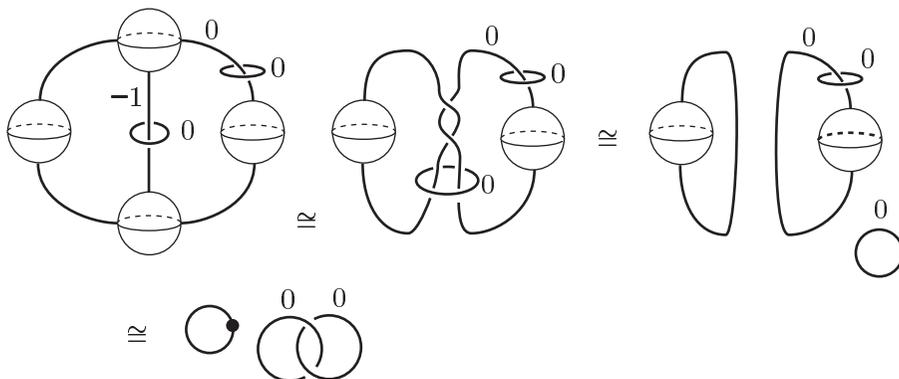


Fig. 22.  $F \cup \bar{F} = S^3 \times S^1 \# S^2 \times S^2$ .

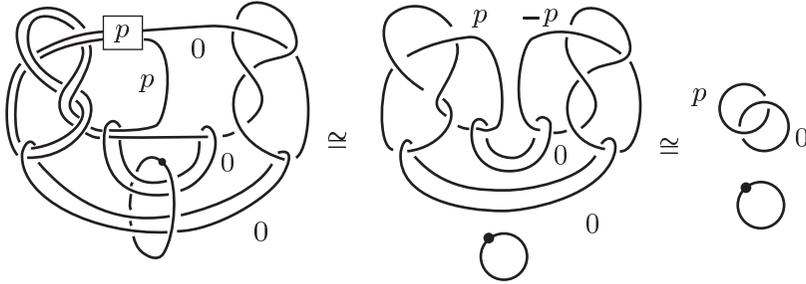


Fig. 23.  $B_{K,p}(\gamma_0(0))$ .

COROLLARY 3. Let  $\gamma_0$  be a meridian of  $K$  in the surgery presentation of  $S^3_p(K)$ .  $B_{K,p}(\gamma_0(\varepsilon))$  is classified as follows:

$$B_{K,p}(\gamma_0(\varepsilon)) = \begin{cases} S^3 \times S^1 \# S^2 \times S^2 & (\varepsilon - 1)p \equiv 0 \pmod{2} \\ S^3 \times S^1 \# \overline{CP^2} \# \overline{CP^2} & (\varepsilon - 1)p \equiv 1 \pmod{2}. \end{cases}$$

PROOF. In the case of  $\varepsilon = 1$ , using the 1-strand twist, we have

$$B_{K,p}(\gamma_0(1)) \cong B_K(\gamma_0(1)) \cong S^3 \times S^1 \# S^2 \times S^2.$$

In the case of  $\varepsilon = 0$ , in the same way as Remark 1, we obtain

$$B_{K,p}(\gamma_0(0)) \cong \begin{cases} S^3 \times S^1 \# S^2 \times S^2 & p \equiv 0 \pmod{2} \\ S^3 \times S^1 \# \overline{CP^2} \# \overline{CP^2} & p \equiv 1 \pmod{2} \end{cases}$$

(see Figure 23). □

REMARK 2.  $B_K(\gamma_0(1))$  is obtained from  $A_K$  as a surgery along an embedded  $S^2$ . The neighborhood of the sphere  $\Sigma$  is the union of the bottom 0-framed 2-handle and the 4-handle (the left of Figure 24). Attaching the 3-handle and 4-handle to the complement gets  $B_K(\gamma_0(1))$  (the right of Figure 24). The circle  $\delta$  in Figure 24 is the core circle of  $S^1 \times D^3$  attached.

REMARK 3. In [4] Akbulut got a plug twisting  $(W_{1,2}, f)$  satisfying  $E(1) = N \cup_{id} W_{1,2}$  and  $E(1)_{2,3} = N \cup_f W_{1,2}$ . The definitions of plug,  $N$  and  $W_{1,2}$  are written down in [4]. In the same way as [4] we can also show that there exist infinitely many plug twistings  $(W_{1,2}, f_K)$  of  $E(1)$  with the same plug  $W_{1,2}$ . As a result each of such plug twistings satisfies  $E(1) = M \cup_{id} W_{1,2}$  and  $E(1)_K = M \cup_{f_K} W_{1,2}$ . Infinite variations of Alexander polynomial imply the existence of infinite embeddings  $W_{1,2} \hookrightarrow M \cup_{id} W_{1,2} = E(1)$ .

**5.2. Scharlemann's manifold along non-meridian curves.** In this section we consider  $B_3(\gamma(\varepsilon))$  in the case where  $\gamma$  is not homotopic to the meridian curve.

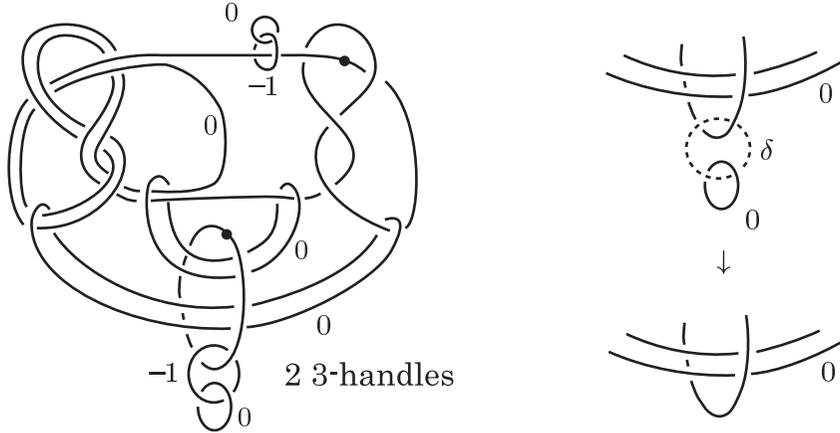


Fig. 24. The left:  $A_K$ . The right: surgery  $B_K(\gamma_0(-1)) \cong [A_K - \nu(\Sigma)] \cup S^1 \times D^3$ .

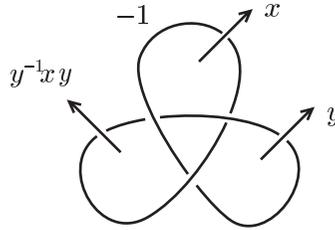


Fig. 25. The generators  $x, y$  of  $\pi_1(S_{-1}^3(3_1))$ .

The fundamental group of  $S_{-1}^3(3_1)$  is isomorphic to

$$\pi = \pi_1(S_{-1}^3(3_1)) = \langle x, y \mid x^5 = (xy)^3 = (xyx)^2 \rangle \cong \tilde{A}_5.$$

These elements  $x, y$  are two generators as in Figure 25.

The set

$$[S^1, S_{-1}^3(3_1)] = \pi / \text{conj}. \tag{5}$$

of free homotopy classes of maps  $S^1 \rightarrow S_{-1}^3(3_1)$  possesses 9 classes as follows:

Classes	$[e]$	$[x^5]$	$[xyx]$	$[x]$	$[x^2]$	$[x^3]$	$[x^4]$	$[xy]$	$[(xy)^2]$
Orders	1	2	4	10	5	10	5	6	3

Each of the classes is a normal generator of the fundamental group except for  $[e], [x^5]$ . Since  $[x]$  corresponds to the meridian curve, this case is already classified. We take a concrete presentation of  $\gamma(\varepsilon)$  in  $S_{-1}^3(3_1)$ , and regard the

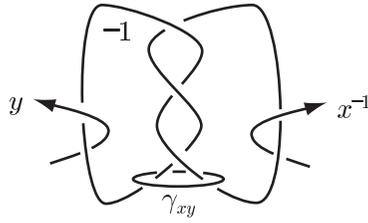


Fig. 26.  $\gamma_{xy}$

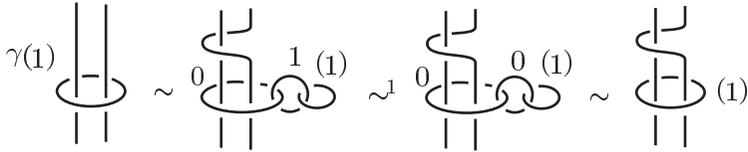


Fig. 27. A full-twist along  $\gamma(1)$ .

presentation as the diffeomorphism type of  $B_{3_1}(\gamma(\varepsilon))$ . We prove the case of  $[xy]$ .

**PROPOSITION 1.** *Let  $\gamma_{xy}$  be a presentation in Figure 26, where  $[\gamma_{xy}] = [xy]$ .  $B_{3_1}(\gamma_{xy}(1))$  is diffeomorphic to  $S^3 \times S^1 \# \mathbf{CP}^2 \# \mathbf{CP}^2$ .*

Here we define some notations in the diagrams for the proofs. The curves with (1) or (0) mean the (1) or (0)-surgery along the curves. The notations  $\sim$  and  $\sim^1$  throughout this section stand for some 4-dimensional diffeomorphism induced from a 3-manifold homeomorphism and a 1-strand twist, respectively.

By using 3-dimensional diffeomorphisms and 1-strand twists we get the diffeomorphism as in Figure 27. We can extend Figure 27 to any twist along  $\gamma(1)$  as follows:

**LEMMA 9 (A full-twist along  $\gamma(1)$ ).** *A full-twist of any number of strands along  $\gamma(1)$  does not change the diffeomorphism type of the 4-manifold: If a framed link  $(K'; p')$  is obtained from  $(K; p)$  by a full-twist along  $\gamma(1)$ , then  $B_{K', p'}(\gamma(1))$  is diffeomorphic to  $B_{K, p}(\gamma(1))$ . We call such a deformation a full-twist along  $\gamma(1)$ .*

**PROOF.** A Dehn twist (that is, 1-strand twist as in Lemma 3) along a curve parallel to  $\gamma$  does not change the differential structure because  $\gamma(1)$  plays a role in the vanishing cycles in a fishtail neighborhood.  $\square$

**REMARK 4.** To avoid reader's confusion, we must note on the difference between two kinds of twists (see Figure 28):

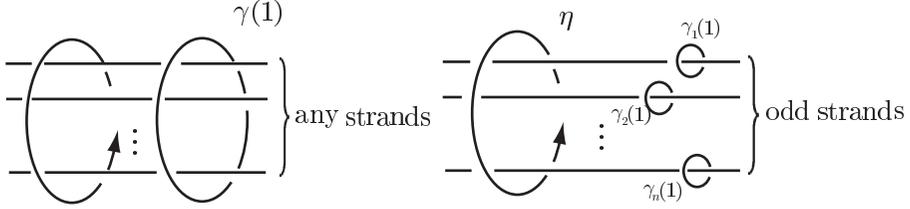


Fig. 28. A full-twist along  $\gamma(1)$  and odd-strand twist ( $n \equiv 1(2)$ ).

a full-twist along  $\gamma(1)$  (Lemma 9);

an odd-strand twist (Definition 7).

The former (the left picture in Figure 28) is a full-twist along a curve isotopic to  $\gamma$  in Lemma 9. Even if any number of strands pierce a disk bounded by  $\gamma$ , we can get the diffeomorphism by the twist along  $\gamma$ . The latter (the right picture in Figure 28) is a full-twist along a curve  $\eta$  that satisfies the following: The odd strands of the former's type and the curve  $\eta$  are boundaries of an embedded punctured disk. Such a twist is explained in the last paragraph of Section 3.2. Even if there exists no 1-framed curve isotopic to the curve  $\eta$ , we can get the diffeomorphism by the twist along  $\eta$ . Hence a single 1-strand twist is in the intersection of two kinds of twists, and in other words, two kinds of twists above are interpreted as two types of generalizations of 1-strand twist.

Thus, Lemma 4 *cannot* be generalized to any even-strand twist case, because it is the latter's type twist. Any odd-strand twist is interpreted as 'a kind of 1-strand twist' given by a summation of odd 1-strand twists as in Figure 28 ( $(\text{odd number}) \times 1 \equiv 1(2)$ ). This summation is due to the proof of Theorem 1. At any rate for a twist to give a 4-dimensional diffeomorphism we require an odd situation.

We use the same notation  $\sim^1$  for any full-twist along  $\gamma(1)$  in Lemma 9. Here we prove Proposition 1.

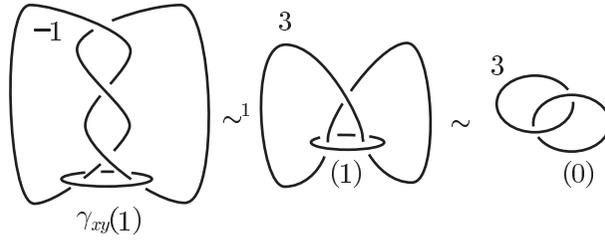
PROOF. By using Figure 29 and Corollary 3 we have

$$B_{3_1}(\gamma_{xy}(1)) \cong B_{\text{unknot},3}(\gamma_0(0)) \cong S^3 \times S^1 \# \mathbf{CP}^2 \# \overline{\mathbf{CP}^2}. \quad \square$$

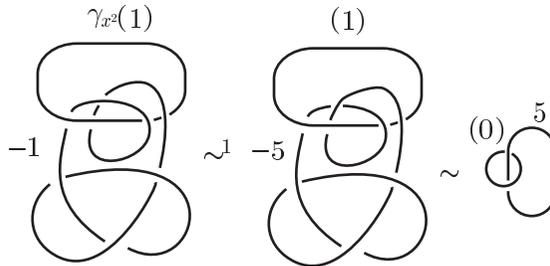
Here we will argue several other cases.

PROPOSITION 2. *We fix presentations of  $\gamma_{x^2}$ ,  $\gamma_{x^3}$  and  $\gamma_{x^4}$  as in the leftmost pictures in Figure 30, 31, and 32 respectively.  $B_{3_1}(\gamma_{x^2}(1))$ ,  $B_{3_1}(\gamma_{x^3}(0))$  and  $B_{3_1}(\gamma_{x^4}(1))$  are diffeomorphic to  $S^3 \times S^1 \# \mathbf{CP}^2 \# \overline{\mathbf{CP}^2}$ .*

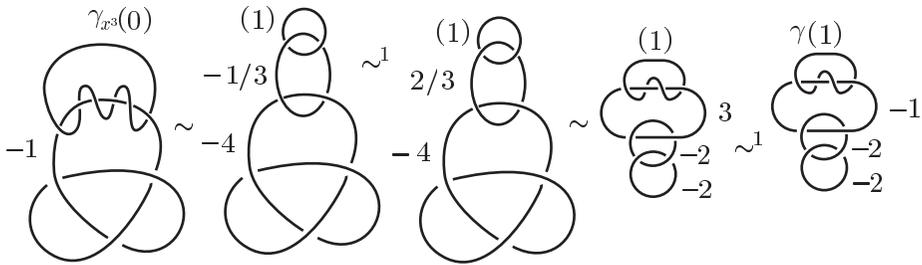
PROOF. In the case of  $B_{3_1}(\gamma_{x^2}(1))$ , by using Figure 30 and Corollary 3 we have  $B_{3_1}(\gamma_{x^2}(1)) \cong S^3 \times S^1 \# \mathbf{CP}^2 \# \overline{\mathbf{CP}^2}$ .



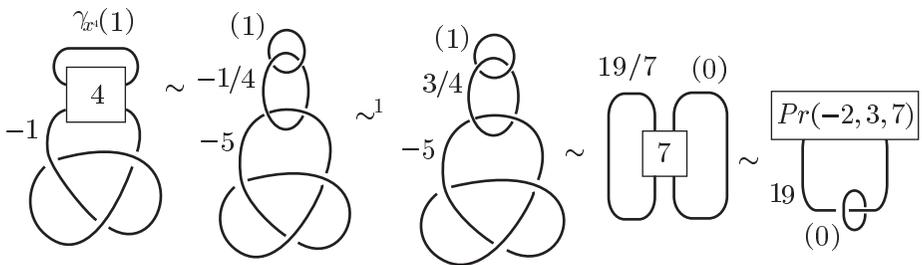
**Fig. 29.**  $B_{3_1}(\gamma_{xy}(1)) \cong B_{\text{unknot}, 3}(\gamma_0(0))$ .



**Fig. 30.**  $B_{3_1}(\gamma_{x^2}(1)) \cong B_{\text{unknot}, 5}(\gamma_0(0))$ .



**Fig. 31.** The diffeomorphism for  $B_{3_1}(\gamma_{x^3}(0))$ .



**Fig. 32.** The diffeomorphism for  $B_{3_1}(\gamma_{x^4}(1))$ .

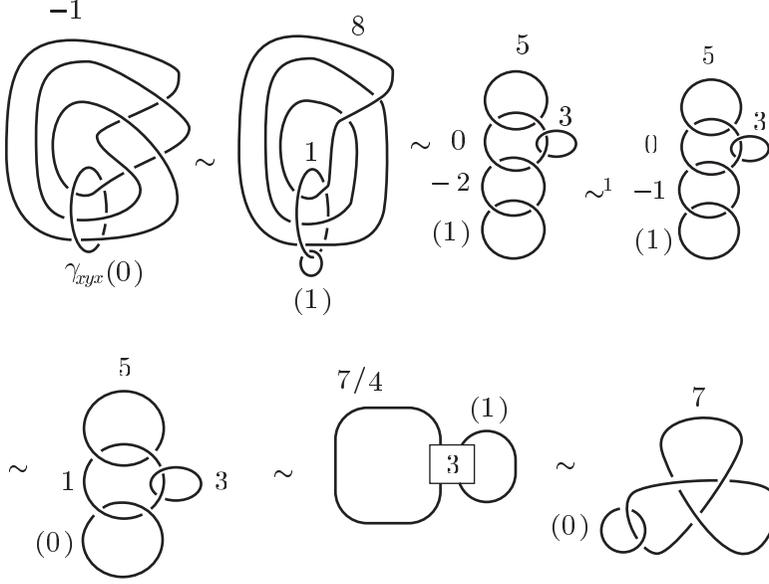


Fig. 33. The diffeomorphism for  $B_{3_1}(\gamma_{xyx}(0))$ .

In the case of  $B_{3_1}(\gamma_{x^3}(0))$ ,  $\gamma$  in the last picture in Figure 31 presents the positive  $(2,7)$ -torus knot with the odd framing. It is obviously homotopic to the unknot. Namely the manifold  $B_{3_1}(\gamma_{x^3}(1))$  is diffeomorphic to  $S^3 \times S^1 \# \mathbb{C}P^2 \# \overline{\mathbb{C}P^2}$ .

In the case of  $B_{3_1}(\gamma_{x^4}(1))$ , the last picture in Figure 32 gives  $S^3 \times S^1 \# \mathbb{C}P^2 \# \overline{\mathbb{C}P^2}$  in the similar way. Here  $Pr(-2, 3, 7)$  is the  $(-2, 3, 7)$ -pretzel knot.  $\square$

**PROPOSITION 3.** *We fix presentations  $\gamma_{xyx}$  and  $\gamma_{(xy)^2}$  as in the leftmost pictures in Figure 33 and 34 respectively.  $B_{3_1}(\gamma_{xyx}(0))$  and  $B_{3_1}(\gamma_{(xy)^2}(1))$  are diffeomorphic to  $S^3 \times S^1 \# \mathbb{C}P^2 \# \overline{\mathbb{C}P^2}$ .*

**PROOF.** In the case of  $B_{3_1}(\gamma_{xyx}(0))$ , the framed curve  $\gamma_{xyx}(0)$  is homotopic to the curve in the first picture in Figure 33 due to  $xyy^{-1}xy \sim x^2y \sim xyx$ . Figure 33 implies  $B_{3_1}(\gamma_{xyx}(0)) \cong S^3 \times S^1 \# \mathbb{C}P^2 \# \overline{\mathbb{C}P^2}$ .

In the case of  $B_{3_1}(\gamma_{(xy)^2}(1))$  the deformation as in Figure 34 gets  $S^3 \times S^1 \# \mathbb{C}P^2 \# \overline{\mathbb{C}P^2}$ . Here  $T_{2,-7}$  is the negative  $(2, 7)$ -torus knot.  $\square$

In the end of the paper we raise a question.

**QUESTION 1.** *In the following manifolds*

$B_{3_1}(\gamma_{xy}(0))$ ,  $B_{3_1}(\gamma_{x^2}(0))$ ,  $B_{3_1}(\gamma_{x^3}(1))$ ,  $B_{3_1}(\gamma_{x^4}(0))$ ,  $B_{3_1}(\gamma_{xyx}(1))$ ,  $B_{3_1}(\gamma_{(xy)^2}(0))$ ,  
*does there exist any non-standard manifold?*

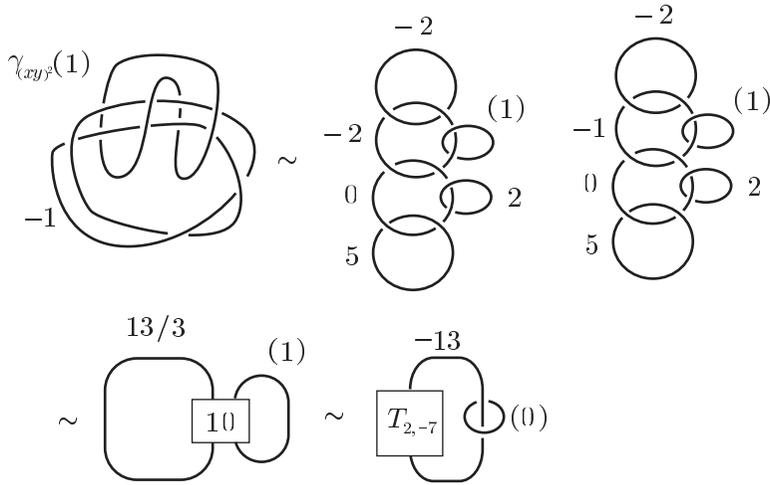


Fig. 34. The diffeomorphism for  $B_{31}(\gamma_{(xy)^2}(1))$ .

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