

Note on F -operators in Locally Convex Spaces

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The theory of F -operators in Banach spaces has been developed by several authors (cf. the references in [5], [7]). According to [5], a closed, normally solvable, linear mapping with finite d -characteristic is called an F -operator. It is the purpose of this paper to generalize this notion of F -operator to locally convex spaces so that we may maintain a number of the basic results known in the case of Banach spaces. For the continuous F -operators, such an attempt has been made by H. Schaefer [9] and then by A. Deprit [4]. Our main concern here is the discussion of a general theory of F -operators: characterization of F -operators, the index theorem for a product, and so on.

§ 1. Let E and F be locally convex Hausdorff spaces (denoted by LCS). Let u be a linear mapping with domain \mathfrak{D}_u in E and rang \mathfrak{R}_u in F . We denote by \mathfrak{N}_u the null space of u . If u is closed, \mathfrak{N}_u is a closed subspace of E . \bar{u} is called *open* if $u(A)$ is an open subset of \mathfrak{R}_u for each open subset A of \mathfrak{D}_u .

A linear mapping k of E into F is called *compact* if there is a neighbourhood U of 0 in E such that the set $k(U)$ is relatively compact.

We shall say that u is an F -operator when (i) \mathfrak{N}_u and F/\mathfrak{R}_u are finite dimensional; (ii) \mathfrak{R}_u is closed; (iii) u is open. Moreover if u is continuous and $\mathfrak{D}_u = E$, we shall say that u is a continuous F -operator of E into F (According to [9], u is called a σ -homomorphism). The *index* of u is defined as $\text{ind } u = \dim \mathfrak{N}_u - \text{codim } \mathfrak{R}_u$.

We understand by $\tilde{\mathfrak{D}}_u$ the space \mathfrak{D}_u with the weakest locally convex topology which makes the identical mapping $\mathfrak{D}_u \rightarrow \mathfrak{D}_u$ and the mapping u continuous. Then u becomes a continuous mapping of $\tilde{\mathfrak{D}}_u$ into F which we shall denote by \tilde{u} . As shown by F. E. Browder ([3], p. 66), \tilde{u} is open if and only if u is open. Therefore u is an F -operator if and only if \tilde{u} is an F -operator. With this in mind, we can show

PROPOSITION 1 ([6], Prop. 2.1.). *Let u be a closed mapping with dense domain such that the injections $\tilde{\mathfrak{D}}_u \rightarrow E$ and $\tilde{\mathfrak{D}}_u \rightarrow F'$ are compact. Then u is an F -operator.*

PROOF. We have only to show that \tilde{u} is an F -operator. Let v, k be the mappings of $\tilde{\mathfrak{D}}_u$ into $E \times F$ defined by $v(e) = \{e, u(e)\}$ and $k(e) = \{e, 0\}$. Then v is a monomorphism with closed range and, by assumption, k is compact.

Owing to a theorem of L. Schwartz ([10], A-16, p. 197), the mapping $v-k: e \rightarrow \{0, u(e)\}$ is an open mapping with closed range and with finite dimensional null space. Similarly, \mathfrak{R}_u is finite dimensional. Consequently, \tilde{u} is an F -operator. The proof is complete.

REMARK 1. Any open linear mapping u with closed range and finite dimensional null space is closed. Consequently an F -operator is closed. Indeed, let u_1 be the restriction of u to a topological supplement E_1 of \mathfrak{R}_u in \mathfrak{D}_u . Then u_1 is one-to-one and open. Hence the inverse mapping u_1^{-1} of \mathfrak{R}_u onto E_1 is continuous. Now the graph \mathfrak{G}_u of u can be written as

$$\begin{aligned} \mathfrak{G}_u &= \{(e, u(e)); e \in E_1\} + \{(e, 0); e \in \mathfrak{R}_u\} \\ &= \{(u_1^{-1}(f), f); f \in \mathfrak{R}_u\} + \{(e, 0); e \in \mathfrak{R}_u\}. \end{aligned}$$

Since u_1^{-1} is continuous and \mathfrak{R}_u is closed, the subset $\{(u_1^{-1}(f), f); f \in \mathfrak{R}_u\}$ is closed in $E \times F$. It is known that if M is a closed linear subspace of an LCS G and N is a finite dimensional subspace of G , then $M+N$ is closed in G ([2], p. 28). Therefore it follows that \mathfrak{G}_u is closed.

We note that if E and F are Banach spaces, owing to the closed graph theorem the notion of F -operator coincides with the one defined by I. C. Gohberg and M. G. Krein in [5] (p. 195).

For our later purpose we need the following lemma (cf. [4] and [8]). The proof goes along the same line with modifications as in the corresponding proof given in A. P. Robertson and W. Robertson ([8], p. 144).

For two mappings u_1, u_2 , we shall use the notation $u_1 \leq u_2$ if u_2 is an extension of u_1 .

LEMMA 1. *Let E and F be LCS's. Suppose that u is a closed linear mapping with domain in E and range in F , that v is a continuous linear mapping of F into E and that k is a compact linear mapping of E into itself such that*

$$v \circ u \leq I_E - k,$$

where I_E denotes the identity mapping of E .

Then (i) \mathfrak{R}_u is finite dimensional; (ii) u is open; (iii) \mathfrak{R}_u is closed in F .

PROOF. Since k is compact, there exist a disked neighbourhood U of 0 in E and a compact set K of E such that $k(U) \subset K$.

(i) If $e \in U \cap \mathfrak{R}_u$, $v(u(e))=0$ and so $e=k(e) \in k(U) \subset K$. Hence $U \cap \mathfrak{R}_u \subset K$. Thus \mathfrak{R}_u has a precompact neighbourhood and so is finite dimensional ([2], p. 30).

(ii) We shall consider the continuous linear mapping \tilde{u} of \mathfrak{D}_u into F . Now it is sufficient to show that \tilde{u} is open. If \tilde{u} is not open, there exists some disked

neighbourhood W of 0 in $\tilde{\mathfrak{D}}_u$, which we may clearly suppose contained in U , such that $\tilde{u}(W)$ is not a neighbourhood of 0 in \mathfrak{R}_u . Let \mathfrak{O} be a base of disked neighbourhoods of 0 for \mathfrak{R}_u . Then each $V \in \mathfrak{O}$ meets $\mathfrak{R}_u \setminus \tilde{u}(W) = \tilde{u}(\mathfrak{D}_u \setminus (W + \mathfrak{N}_u))$; if e is a common element, there is a λ with $0 < \lambda \leq 1$ and $\lambda e \in \tilde{u}(2W) \setminus \tilde{u}(W)$. Putting $A = 2W \setminus (W + \mathfrak{N}_u)$, V also meets $\tilde{u}(A)$. Let \mathcal{F} be an ultrafilter on \mathfrak{D}_u containing the sets $A \cap \tilde{u}^{-1}(V)$, $V \in \mathfrak{O}$. The set A belongs to \mathcal{F} and $\tilde{u}(\mathcal{F}) =$

$u(\mathcal{F}) \xrightarrow{F} 0$. Now $k(\mathcal{F})$ contains $k(A) \subset k(2W) \subset 2K$, hence converges in E to an element $e_0 \in 2K$. Since $I_E = k + v \circ \tilde{u}$ on $\tilde{\mathfrak{D}}_u$, $\mathcal{F} \xrightarrow{E} e_0 + v(0) = e_0$. Since u is closed, we see that $e_0 \in \mathfrak{D}_u$, $u(e_0) = 0$ and $\mathcal{F} \xrightarrow{\tilde{\mathfrak{D}}_u} e_0$. But $A \in \mathcal{F}$ and so $e_0 \in \bar{A}$, the closure of A under the topology of $\tilde{\mathfrak{D}}_u$. Thus $e_0 \in \mathfrak{N}_u$ and so $e_0 \in \mathfrak{N}_u \cap \bar{A}$. But $(\mathfrak{N}_u + W) \cap A = \emptyset$, and this contradiction proves that \tilde{u} is open.

(iii) If $f_0 \in \bar{\mathfrak{N}}_u$, the sets $\mathfrak{R}_u \cap (f_0 + W)$ with $W \in \mathfrak{O}$ form the base of a Cauchy filter on \mathfrak{R}_u , where \mathfrak{O} is a base of disked neighbourhoods of 0 for F . Since $\tilde{u}(U)$ is a neighbourhood of 0 in \mathfrak{R}_u , there exists an element e_0 such that $(e_0 + U) \cap \tilde{u}^{-1}(f_0 + W) \neq \emptyset$ for each $W \in \mathfrak{O}$. Let \mathcal{Q} be an ultrafilter on \mathfrak{D}_u

containing the sets $(e_0 + U) \cap \tilde{u}^{-1}(f_0 + W)$ with $W \in \mathfrak{O}$. Then $\tilde{u}(\mathcal{Q}) = u(\mathcal{Q}) \xrightarrow{F} f_0$. Now $k(\mathcal{Q})$ contains $k(e_0 + U) \subset k(e_0) + K$, a compact set in E , and so $k(\mathcal{Q})$ converges in E to an element $e_1 \in k(e_0) + K$. Since $I_E = k + v \circ \tilde{u}$ on $\tilde{\mathfrak{D}}_u$, $\mathcal{Q} \xrightarrow{E} e_1 + v(f_0)$. It follows since u is closed that $e_1 + v(f_0) \in \mathfrak{D}_u$ and $u(e_1 + v(f_0)) = f_0 \in \mathfrak{R}_u$. Therefore \mathfrak{R}_u is closed. This proves the lemma.

Now we shall show a theorem concerning the characterizations of F -operator, which is a generalization of the corresponding result of F. V. Atkinson ([1], p. 4).

THEOREM 1. *Let E and F be LCS's and u be a linear mapping with domain in E and range in F . Then the following statements on u are equivalent:*

- (i) u is an F -operator;
- (ii) u is closed and there exist a continuous linear mapping v of F into E and compact linear mappings k_1 and k_2 of E and of F into themselves respectively satisfying the relations:

$$v \circ u \leq I_E - k_1, \quad u \circ v = I_F - k_2;$$

- (iii) *there exist a continuous linear mapping v of F into $\tilde{\mathfrak{D}}_u$ and compact linear mappings k_1 and k_2 of $\tilde{\mathfrak{D}}_u$ and of F into themselves respectively satisfying the relations:*

$$v \circ \tilde{u} = I_{\tilde{\mathfrak{D}}_u} - k_1, \quad \tilde{u} \circ v = I_F - k_2.$$

PROOF. (i) \Rightarrow (ii). Let E_1 be a topological supplement of \mathfrak{R}_u in E . We put $u_1 = u|(E_1 \cap \mathfrak{D}_u)$, the restriction of u to $E_1 \cap \mathfrak{D}_u$, which is open and one-to-one and so that $v_1 = u_1^{-1}$ is a continuous linear mapping of \mathfrak{R}_u onto $E_1 \cap \mathfrak{D}_u$. By i and p we denote the injection of $E_1 \cap \mathfrak{D}_u$ into E and a continuous projection of F onto \mathfrak{R}_u respectively. We put $v = i \circ v_1 \circ p$, a continuous linear mapping of F into E . Since \mathfrak{R}_u is finite dimensional, the projection k_1 with $k_1(E_1) = 0$ of E onto \mathfrak{R}_u is of finite rank and so compact. An arbitrary element e in \mathfrak{D}_u can be written uniquely in the form: $e = e_1 + e_2$, $e_1 \in E_1 \cap \mathfrak{D}_u$, $e_2 \in \mathfrak{R}_u$. Thus we have for any $e \in \mathfrak{D}_u$

$$v \circ u(e) = v \circ u(e_1 + e_2) = v_1 \circ u_1(e_1) = e_1 = I_E(e) - k_1(e).$$

Consequently, $v \circ u \leq I_E - k_1$.

Let F_1 be a topological supplement of \mathfrak{R}_u in F . F_1 is finite dimensional. Let k_2 be a continuous projection of F onto F_1 such that $k_2(\mathfrak{R}_u) = 0$. k_2 is of finite rank and so compact. An arbitrary element f in F can be written uniquely as the sum of $f_1 \in \mathfrak{R}_u$ and $f_2 \in F_1$. Thus we have for any $f \in F$

$$u \circ v(f) = u \circ v(f_1 + f_2) = u_1 \circ v_1(f_1) = f_1 = I_F(f) - k_2(f),$$

which means that $u \circ v = I_F - k_2$.

Since an F -operator is closed, (i) implies (ii).

(i) \Rightarrow (iii). Now, if u is an F -operator, as remarked already, \tilde{u} is also an F -operator of \mathfrak{D}_u into F . Therefore we can infer in a similar way as in the above proof that (i) implies (iii).

(ii) \Rightarrow (i). By virtue of Lemma 1, it follows from $v \circ u \leq I_E - k_1$ that \mathfrak{R}_u is finite dimensional, \mathfrak{R}_u is closed and u is open. Therefore we have only to show that F/\mathfrak{R}_u is finite dimensional. Since $u \circ v = I_F - k_2$, it follows that $\mathfrak{R}_u \supset \mathfrak{R}_{I_F - k_2}$. But it is known that $F/\mathfrak{R}_{I_F - k_2}$ is finite dimensional ([8], p. 144). Therefore F/\mathfrak{R}_u is finite dimensional. Consequently, u is an F -operator. Hence (ii) implies (i).

The implication (iii) \Rightarrow (i) may be proved in a similar manner as in the case (ii) \Rightarrow (i). The proof is omitted.

Thus the proof of the theorem is complete.

REMARK 2 ([1], Theorem 1). Theorem 1 remains true if we assume that k_1 and k_2 are of finite rank. In fact, a continuous mapping of finite rank is compact and k_1, k_2 constructed in the proof of (i) \Rightarrow (ii) are of finite rank. v being continuous, so it is known that v is also continuous when we impose on E and F another topology such as weak topology, or Mackey topology. Therefore if u is an F -operator, then u is also an F -operator in weak topology or in Mackey topology.

REMARK 3 ([1], Theorem 1). Let u be a closed linear mapping with

domain in E and range in F . Then u is an F -operator if and only if there exist continuous linear mappings v_1 and v_2 of F into G and of H into E respectively such that $v_1 \circ u$ and $u \circ v_2$ are F -operators. In fact, the proof of “only if” part is a direct consequence of Theorem 1. Conversely, suppose $v_1 \circ u$ and $u \circ v_2$ are F -operators. By Theorem 1, there exist continuous linear mappings w_1 and w_2 of G into E and of F into H respectively such that

$$w_1 \circ v_1 \circ u \leq I_E - k_1, \quad u \circ v_2 \circ w_2 = I_F - k_2,$$

where k_1, k_2 are compact. Similar arguments used in the proof of (ii) \Rightarrow (i) show that u is an F -operator.

As an application of Theorem 1, we show

PROPOSITION 2. *Let E and F be LCS's. Let u be a closed linear mapping with dense domain in E and range in F . Then u' is an F -operator if u is an F -operator. If E, F have γ -topology and if u' as a mapping with domain in F'_c and range in E'_c is an F -operator, then u is also an F -operator. In any case, $\text{ind } u = -\text{ind } u'$.*

PROOF. From Remark 2 after Theorem 1, there exists a continuous linear mapping v of F into E such that

$$v \circ u \leq I_E - k_1, \quad u \circ v = I_F - k_2,$$

where k_1 and k_2 are of finite rank. Then we have for any $f' \in \mathfrak{D}_{u'}$ and any $f \in F$

$$\langle v' \circ u'(f'), f \rangle = \langle f', u \circ v(f) \rangle = \langle f', (I_F - k_2)(f) \rangle = \langle (I_{F'} - k'_2)f', f' \rangle,$$

which means that $v' \circ u' \leq I_{F'} - k'_2$. Putting $f' = v'(e')$ for any $e' \in E'$, we have for any $e \in \mathfrak{D}_u$

$$\begin{aligned} \langle u(e), f' \rangle &= \langle u(e), v'(e') \rangle = \langle v \circ u(e), e' \rangle \\ &= \langle (I_E - k_1)(e), e' \rangle = \langle e, (I_{E'} - k'_1)(e') \rangle. \end{aligned}$$

Hence we see that $u'(f') = (I_{E'} - k'_1)(e')$ and so $u' \circ v' = I_{E'} - k'_1$. Now, k'_1 and k'_2 are also of finite rank and u' is closed. Therefore by Theorem 1 it follows that u' is an F -operator. Moreover, $\text{ind } u = \dim \mathfrak{R}_u - \text{codim } \mathfrak{R}_u = \dim (\mathfrak{R}_u)^\perp - \text{codim } (\mathfrak{R}_u)^\perp = \text{codim } \mathfrak{R}_u - \dim \mathfrak{R}_u = -\text{ind } u'$. As made in Remark 2, u' is also an F -operator if we impose on E', F' the topology of uniform convergence on compact disks.

To prove the second part of the proposition, let u' be an F -operator in the indicated sense, then $u'' = u$ becomes an F -operator from the preceding discus-

sion. The proof is complete.

COROLLARY. *If E and F are Banach spaces, a closed linear mapping with dense domain in E and range in F is an F -operator if and only if u' is an F -operator.*

PROOF. We have only to show that if u' is an F -operator, then u is also an F -operator. Then $\mathfrak{R}_{u'}$ is closed, so u is an open mapping with closed range ([3], p. 57), and $\dim \mathfrak{R}_{u'} < +\infty$ and $\text{codim } \mathfrak{R}_{u'} < +\infty$ imply that $\dim \mathfrak{R}_u < +\infty$ and $\text{codim } \mathfrak{R}_u < +\infty$. Consequently, u is an F -operator.

§ 2. Now we are in a position to prove the following theorem concerning the product of F -operators ([5], Theorem 2.1). For bounded operators in a Banach space the theorem was first proved by F. V. Atkinson ([1], p. 8), and for unbounded operators by I. C. Gohberg and M. G. Krein (cf. [5], Theorem 2.1).

THEOREM 2. *Let E, F and G be LCS's. If u_1 and u_2 are F -operators with domain in E and range in F and with domain in F and range in G respectively, then $u_2 \circ u_1$ is also an F -operator and*

$$\text{ind } u_2 \circ u_1 \geq \text{ind } u_1 + \text{ind } u_2,$$

where the equality holds if and only if $F = \mathfrak{R}_{u_1} + \mathfrak{D}_{u_2}$. The condition is satisfied if \mathfrak{D}_{u_2} is dense in F .

PROOF. By Theorem 1 there exist continuous linear mappings v_1, v_2, k_1, k_2 such that

$$v_1 \circ \tilde{u}_1 = I_{\mathfrak{D}_{u_1}} - k_1, \quad v_2 \circ u_2 \leq I_F - k_2,$$

where $\mathfrak{D}_{v_1} \subset F, \mathfrak{R}_{v_1} \subset \mathfrak{D}_{u_1}, \mathfrak{D}_{v_2} \subset G, \mathfrak{R}_{v_2} \subset F$ and k_1, k_2 are compact mappings of \mathfrak{D}_{u_1} and of F into themselves respectively. Then we have

$$\begin{aligned} v_1 \circ v_2 \circ u_2 \circ \tilde{u}_1 &\leq v_1 \circ (I_F - k_2) \circ \tilde{u}_1 = v_1 \circ \tilde{u}_1 - v_1 \circ k_2 \circ \tilde{u}_1 \\ &= I_{\mathfrak{D}_{u_1}} - k_1 - v_1 \circ k_2 \circ \tilde{u}_1. \end{aligned}$$

$u_2 \circ \tilde{u}_1$ is closed since \tilde{u}_1 is continuous and u_2 is closed. Therefore by Lemma 1 we see that $\mathfrak{R}_{u_2 \circ \tilde{u}_1}$ is finite dimensional, $\mathfrak{R}_{u_2 \circ \tilde{u}_1}$ is closed and $u_2 \circ \tilde{u}_1$ is open. On account of the definition of \tilde{u}_1 , these properties are also enjoyed by $u_2 \circ u_1$.

On the other hand, we have

$$\mathfrak{R}_{u_2 \circ u_1} = (u_2 \circ u_1)^{-1}(0) = u_1^{-1}(u_2^{-1}(0) \cap \mathfrak{R}_{u_1}) = u_1^{-1}(\mathfrak{R}_{u_2} \cap \mathfrak{R}_{u_1}),$$

which implies that

$$(1) \quad \dim \mathfrak{R}_{u_2 \circ u_1} = \dim \mathfrak{R}_{u_1} + \dim (\mathfrak{R}_{u_2} \cap \mathfrak{R}_{u_1})$$

and

$$(2) \quad \text{codim } \mathfrak{R}_{u_2 \circ u_1} = \text{codim } \mathfrak{R}_{u_2} + \dim \mathfrak{R}_{u_2} / \mathfrak{R}_{u_2 \circ u_1},$$

where

$$\begin{aligned} (3) \quad \dim \mathfrak{R}_{u_2} / \mathfrak{R}_{u_2 \circ u_1} &= \dim \mathfrak{D}_{u_2} / \{\mathfrak{D}_{u_2} \cap (\mathfrak{R}_{u_1} + \mathfrak{R}_{u_2})\} \\ &= \dim (\mathfrak{D}_{u_2} + \mathfrak{R}_{u_1}) / (\mathfrak{R}_{u_1} + \mathfrak{R}_{u_2}) \\ &\leq \dim F / (\mathfrak{R}_{u_1} + \mathfrak{R}_{u_2}) \\ &= \dim F / \mathfrak{R}_{u_1} - \dim (\mathfrak{R}_{u_1} + \mathfrak{R}_{u_2}) / \mathfrak{R}_{u_1}. \\ &= \text{codim } \mathfrak{R}_{u_1} - \dim \mathfrak{R}_{u_2} + \dim (\mathfrak{R}_{u_1} \cap \mathfrak{R}_{u_2}). \end{aligned}$$

Consequently, from the equations (1), (2) and (3) we see that $\text{codim } \mathfrak{R}_{u_2 \circ u_1}$ is finite and

$$\text{ind } u_2 \circ u_1 \geq \text{ind } u_1 + \text{ind } u_2.$$

In view of the relations (3), $\text{ind } u_2 \circ u_1 = \text{ind } u_1 + \text{ind } u_2$ holds if and only if $F = \mathfrak{D}_{u_2} + \mathfrak{R}_{u_1}$. The last statement of the theorem is almost clear. Thus the proof is complete.

REMARK 4. It is easy to verify that if, in the theorem 2, u_1 and u_2 have dense domains, then $u_2 \circ u_1$ has also dense domain and $(u_2 \circ u_1)' = u_1' \circ u_2'$.

A linear mapping k with domain \mathfrak{D}_k in E and range in F will be called *u-compact* if $\mathfrak{D}_k \supset \mathfrak{D}_u$ and there exist two neighbourhoods U and V of 0 in \mathfrak{D}_u and in \mathfrak{R}_u respectively such that k maps $U \cap u^{-1}(V)$ into a compact subset of F , that is, the corresponding mapping \bar{k} of $\tilde{\mathfrak{D}}_u$ into F is compact.

We next prove the following

THEOREM 3. *Let E and F be LCS's and u be an F -operator with domain in E and range in F . Let k be a u -compact linear mapping. Then $u + k$ is an F -operator and*

$$\text{ind } (u + k) = \text{ind } u.$$

PROOF. By Theorem 1, there exist a continuous linear mapping v of F into $\tilde{\mathfrak{D}}_u$ and compact linear mappings k_1 and k_2 of $\tilde{\mathfrak{D}}_u$ and of F into themselves

respectively such that

$$v \circ \tilde{u} = I_{\mathfrak{D}_u} - k_1, \quad \tilde{u} \circ v = I_F - k_2.$$

We now denote by \bar{k} the restriction of k to \mathfrak{D}_u . By definition, \bar{k} is compact. We have

$$v \circ (\tilde{u} + \bar{k}) = v \circ \tilde{u} + v \circ \bar{k} = I_{\mathfrak{D}_u} - k_3,$$

$$(\tilde{u} + \bar{k}) \circ v = \tilde{u} \circ v + \bar{k} \circ v = I_F - k_4,$$

where $k_3 = k_1 - v \circ \bar{k}$ and $k_4 = k_2 - \bar{k} \circ v$. Taking into account that the mappings k_3 and k_4 are compact, it follows from Theorem 1 that $\tilde{u} + \bar{k}$ is an F -operator. Thus we can easily conclude that $u + k$ is also an F -operator.

Now we note that the mapping v is an F -operator. Applying Theorem 2 to the products $\tilde{u} \circ v$ and $(\tilde{u} + \bar{k}) \circ v$ we have

$$(4) \quad \text{ind } \tilde{u} + \text{ind } v = \text{ind}(I_F - k_2),$$

$$(5) \quad \text{ind}(\tilde{u} + \bar{k}) + \text{ind } v = \text{ind}(I_F - k_4).$$

According to Proposition 4 in [8] (p. 151), $\text{ind}(I_F - k_2) = \text{ind}(I_F - k_4) = 0$. Therefore, from the equations (4) and (5) we obtain

$$\text{ind}(\tilde{u} + \bar{k}) = \text{ind } \tilde{u}.$$

Consequently,

$$\text{ind}(u + k) = \text{ind } u.$$

Thus the proof is complete.

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