

## *On $KD$ -null Sets in $N$ -dimensional Euclidean Space*

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### Introduction

Ahlfors and Beurling [1] introduced the notion of a null set of class  $N_D$  in the complex plane: A compact set  $E$  is a null set of class  $N_D$  if and only if every analytic function in  $D(\Omega - E)$  can be extended to a function in  $D(\Omega)$  for a domain  $\Omega$  containing  $E$ , where  $D(\Omega)$  is the class of single-valued analytic functions in  $\Omega$  with finite Dirichlet integrals. They characterized a null set of class  $N_D$  by means of the span, the extremal length and the others. On the other hand, the class  $KD$ , which consists of all harmonic functions  $u$  with finite Dirichlet integrals such that  $*du$  is semiexact, was considered on Riemann surfaces and various characterizations of the class  $O_{KD}$  were given by many authors; see, for example, Rodin [5], Royden [7], Sario [8]. We can consider the class  $KD$  also on an  $N$ -dimensional euclidean space  $R^N$  ( $N \geq 3$ ) and define  $KD$ -null sets as a compact set  $E$  such that any function in  $KD(\Omega - E)$  can be extended to a function in  $KD(\Omega)$  for a bounded domain  $\Omega$  containing  $E$ .

In the present paper, we shall prove some theorems on  $KD$ -null sets analogous to those on null sets of class  $N_D$ . In §3, we observe some relations between  $KD$ -null set and the span, which was introduced by Rodin and Sario [6] in Riemannian manifolds. Moreover we show that the  $N$ -dimensional Lebesgue measure of a  $KD$ -null set is equal to zero. In §4, we shall give a necessary condition for a set to be  $KD$ -null in terms of the extremal length.

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### §1. Preliminaries

We shall denote by  $x = (x_1, x_2, \dots, x_N)$  a point in  $R^N$ , and set  $|x| = \sqrt{x_1^2 + x_2^2 + \dots + x_N^2}$ . By an unbounded domain in  $R^N$  we shall mean a domain which is equal to the complement of a compact set. A harmonic function  $u$  defined in an unbounded domain is called regular at infinity if  $\lim_{|x| \rightarrow \infty} u(x) = 0$ .

Consider a  $C^1$ -surface  $\tau$  which divides  $R^N$  into a bounded domain and an unbounded domain. When we consider the normal derivative  $\frac{\partial}{\partial n}$  at a point of  $\tau$ , the normal is drawn in the direction of the unbounded domain.

Let  $G$  be an open set. Denote by  $\{\tau\}_G$  be the class of surfaces  $\tau$  in  $G$  each of which is a compact  $C^1$ -surface and divides  $R^N$  into a bounded domain and an unbounded domain. Let  $KD(G)$  be the class of harmonic functions  $u$  defined in  $G$  satisfying the following conditions:

(1) the Dirichlet integral  $D_G(u) = \int_G |\text{grad } u|^2 dV$  is finite, where  $dV$  is the volume element,

(2)  $\int_\tau \frac{\partial u}{\partial n} dS = 0$  for all  $\tau$  in  $\{\tau\}_G$ , where  $dS$  is the surface element on  $\tau$ ,

(3) in the case that  $G$  contains an unbounded domain,  $u$  is regular at infinity.

Let  $E$  be a compact set in  $R^N$  and  $\Omega$  be a bounded domain which contains  $E$ . If every harmonic function  $u$  in  $KD(\Omega - E)$  is continued to a harmonic function belonging to  $KD(\Omega)$ , then  $E$  is called a  $KD$ -null set with respect to  $\Omega$ . The class of  $KD$ -null sets with respect to  $\Omega$  is denoted by  $N_{KD}^\Omega$ .

## §2. Properties of $KD$ -null sets

Let  $\Omega$  be a bounded domain which contains a compact set  $E$ . Generally  $R^N - E (= E^c)$  is an open set which consists of an unbounded domain and a bounded open set. First we shall show

**THEOREM 1.** *If  $E^c$  contains a bounded component, then  $E$  does not belong to  $N_{KD}^\Omega$ .*

**PROOF.** Suppose  $E^c$  contains a bounded component  $D$ . Take two mutually disjoint closed balls  $e_0, e_1$  in  $D$  with the same radius. Since the Newtonian capacity of  $e_i$  ( $i=0, 1$ ) is positive, there exists an equilibrium mass-distribution of unit mass on each of  $e_0$  and  $e_1$ . Let  $\mu$  be the measure which consists of the equilibrium mass-distributions on  $e_0$  and  $e_1$ , and set

$$U^\mu(x) = \int_{e_0} \frac{d\mu(y)}{|x-y|^{N-2}} - \int_{e_1} \frac{d\mu(y)}{|x-y|^{N-2}}.$$

Then  $U^\mu(x)$  is a harmonic function with finite Dirichlet integral in  $\Omega - e_0 \cup e_1$ . Using Green's formula, we have

$$\int_\tau \frac{\partial U^\mu}{\partial n} dS = 0 \quad \text{for all } \tau \text{ in } \{\tau\}_{\Omega - \bar{D}}.$$

Therefore  $U^\mu$  belongs to  $KD(\Omega - \bar{D})$ . Let  $\tilde{U}^\mu$  equal  $U^\mu$  in  $\Omega - \bar{D}$  and 0 in  $D$ . Obviously  $\tilde{U}^\mu$  belongs to  $KD(\Omega - E)$  but cannot be continued to a function in  $KD(\Omega)$ . Accordingly we conclude  $E \notin N_{KD}^\Omega$ .

By virtue of Theorem 1 we shall be concerned with the compact set  $E$  such that  $E^c$  is an unbounded domain throughout the rest of this paper.

**THEOREM 2.** *A compact set  $E$  is a  $KD$ -null set with respect to  $\Omega$  if and only if  $KD(E^c)$  contains only the constant function 0.*

**PROOF.** First we assume  $E \in N_{KD}^g$  and let  $u$  be a harmonic function in  $KD(E^c)$ . Let  $h$  be the restriction of  $u$  to  $\Omega - E$ . Obviously  $h \in KD(\Omega - E)$ . By assumption there exists a harmonic function  $\hat{h}$  in  $KD(\Omega)$  such that  $h = \hat{h}$  in  $\Omega - E$ . Hence  $u$  is continued to a harmonic function in  $R^N$  which is regular at infinity. Therefore  $u$  is equal to the constant 0.

Conversely assume that  $KD(E^c) = \{0\}$ . Now we take three domains  $\Omega_0$ ,  $\Omega^*$  and  $\Omega_1$  such that  $E \subset \Omega_0 \subset \bar{\Omega}_0 \subset \Omega^* \subset \bar{\Omega}^* \subset \Omega_1 \subset \bar{\Omega}_1 \subset \Omega$  hold and each of  $\partial\Omega_0$ ,  $\partial\Omega^*$  and  $\partial\Omega_1$  consists of one compact  $C^1$ -surface. For any  $u$  in  $KD(\Omega - E)$ , we set

$$h_i(x) = \frac{1}{\sigma_N} \int_{\partial\Omega_i} \left( \frac{1}{r^{N-2}} \frac{\partial u}{\partial n} - u \frac{\partial}{\partial n} \left( \frac{1}{r^{N-2}} \right) \right) dS, \quad (i=0, 1),$$

where  $r$  denotes the distance from a point  $x$  to the variable on  $\partial\Omega_i$  and  $\sigma_N$  is the surface area of the unit sphere in  $R^N$ . Then  $h_0(x)$  is harmonic in  $R^N - \bar{\Omega}_0$  and regular at infinity and  $h_1(x)$  is harmonic in  $\Omega_1$ . When  $x$  lies in the domain  $\Omega_1 - \bar{\Omega}_0$ , the equality

$$u(x) = h_1(x) - h_0(x)$$

holds. Let  $\tilde{h}$  equal  $h_0(x)$  in  $R^N - \bar{\Omega}_0$  and  $h_1(x) - u(x)$  in  $\bar{\Omega}_0 - E$ . It is easy to see that  $\tilde{h}$  is harmonic in  $R^N - E$  and regular at infinity. In  $\Omega^* - E$  both  $h_1$  and  $u$  have finite Dirichlet integrals so that  $\tilde{h} = h_1 - u$  has finite Dirichlet integral there. On the other hand, Green's formula gives

$$D_{R^N - \bar{\Omega}^*}(h_0) \leq \int_{\partial\bar{\Omega}^*} |h_0| \left| \frac{\partial h_0}{\partial n} \right| dS < \infty.$$

It follows that

$$D_{R^N - E}(\tilde{h}) = D_{\Omega^* - E}(h_1 - u) + D_{R^N - \bar{\Omega}^*}(h_0) < \infty.$$

Take any  $\tau$  in  $\{\tau\}_{E^c}$  such that the interior of  $\tau$  contains non-empty compact subset of  $E$ . Since  $\tau$  is homologous in  $E^c$  to some  $\tau^*$  consisting of a finite number of elements in  $\{\tau\}_{\Omega_0 - E}$ , we have

$$\int_{\tau} \frac{\partial \tilde{h}}{\partial n} dS = \int_{\tau^*} \frac{\partial \tilde{h}}{\partial n} dS.$$

In view of Green's formula and the fact  $u \in KD(\Omega - E)$ , we have

$$\int_{\tau^*} \frac{\partial \tilde{h}}{\partial n} dS = \int_{\tau^*} \frac{\partial h_1}{\partial n} dS - \int_{\tau^*} \frac{\partial u}{\partial n} dS = 0.$$

From these facts we conclude  $\tilde{h} \in KD(E^c)$ . Therefore we have  $\tilde{h}=0$  by assumption. It follows that  $h_1=u$  in  $\mathcal{Q}_0-E$ . On account of harmonicity of  $h_1$  in  $\mathcal{Q}_1$ ,  $u$  can be continued to a harmonic function in  $\mathcal{Q}$ . Since  $u$  is arbitrary, we have  $E \in N_{KD}^{\mathcal{Q}}$ .

Theorem 2 implies the following corollary.

**COROLLARY 1.** *The property  $E \in N_{KD}^{\mathcal{Q}}$  does not depend on the choice of  $\mathcal{Q}$ .*

We shall omit the suffix  $\mathcal{Q}$  in the notation  $N_{KD}^{\mathcal{Q}}$  throughout the rest of this paper.

### §3. Principal functions

Let  $E$  be a compact set such that  $E^c$  is a domain. Let  $\{\mathcal{Q}_n\}_{n=1}^{\infty}$  be an exhaustion of  $E^c$  with the following properties:

- (1)  $\mathcal{Q}_n$  is a bounded subdomain of  $E^c$ ,
- (2)  $\partial\mathcal{Q}_n$  consists of a finite number of  $C^1$ -surfaces, denoted by  $\partial\mathcal{Q}_n^j$ ,  $j=1, \dots, j(n)$ ,

$$(3) \quad \bar{\mathcal{Q}}_n \subset \mathcal{Q}_{n+1} (n=1, 2, \dots) \text{ and } \bigcup_{n=1}^{\infty} \mathcal{Q}_n = E^c.$$

Take any distinct two points  $a, b$  in  $E^c$  and the balls  $U_a, U_b$  centered at  $a, b$  with disjoint closures in  $E^c$ . We may assume that  $\mathcal{Q}_n$  contains  $\overline{U_a \cup U_b}$  for all  $n$ . For a function  $g$  and a set  $U$ , we denote by  $g|_U$  the restriction of  $g$  to  $U$ . There exist the principal functions  $P_{i,n}$  ( $i=0, 1$ ) with respect to  $\mathcal{Q}_n$  with the following properties ([6]):

- (1)  $P_{i,n}$  is harmonic in  $\mathcal{Q}_n - (\{a\} \cup \{b\})$ ,

$$(2) \quad P_{i,n}|_{U_a} = \frac{1}{\sigma_N |x-a|^{N-2}} + h_{i,n},$$

$$P_{i,n}|_{U_b} = \frac{-1}{\sigma_N |x-b|^{N-2}} + f_{i,n},$$

where  $h_{i,n}$  and  $f_{i,n}$  are harmonic in  $U_a$  and  $U_b$  respectively and  $f_{i,n}(b)=0$ ,

$$(3) \quad \frac{\partial P_{0,n}}{\partial n} = 0 \quad \text{on } \partial\mathcal{Q}_n^j,$$

$$P_{1,n}|_{\partial\mathcal{Q}_n^j} = C_n^j \text{ (constant) and } \int_{\partial\mathcal{Q}_n^j} \frac{\partial P_{1,n}}{\partial n} dS = 0, \quad \text{for } j=1, \dots, j(n).$$

On letting  $n \rightarrow \infty$ , we can see that the following limits exist:

$$P_i = \lim_{n \rightarrow \infty} P_{i,n}, \quad h_i = \lim_{n \rightarrow \infty} h_{i,n}, \quad f_i = \lim_{n \rightarrow \infty} f_{i,n} \quad (i=0, 1).$$

Here the convergence is uniform on every compact subset of  $E^c$  and these limit functions do not depend on the choice of exhaustion; see [6].

Let  $\{\tilde{Q}_n\}_{n=1}^\infty$  be an approximation of  $E^c$  towards  $E$  such that

- (1)  $\tilde{Q}_n$  is an unbounded subdomain of  $E^c$ ,
- (2)  $\partial\tilde{Q}_n$  consists of a finite number of compact  $C^1$ -surfaces such that the interior of each surface of  $\partial\tilde{Q}_n$  contains at least one point of  $E$ ,
- (3)  $\tilde{Q}_n \subset \tilde{Q}_{n+1}$  ( $n=1, 2, \dots$ ) and  $\bigcup_{n=1}^\infty \tilde{Q}_n = E^c$ .

Let  $g$  and  $u$  be harmonic functions which are defined in  $U_E - E$  and have finite Dirichlet integrals on  $U_E - E$ , where  $U_E$  is an open neighborhood of  $E$ . We may assume that  $U_E$  contains  $\partial\tilde{Q}_n$  for all  $n$ . Then the limit of  $\int_{\partial\tilde{Q}_n} g \frac{\partial u}{\partial n} dS$  exists and does not depend on the choice of an approximation  $\{\tilde{Q}_n\}$ . Therefore we use the symbolic expression

$$\int_{\partial E} g \frac{\partial u}{\partial n} dS = \lim_{n \rightarrow \infty} \int_{\partial\tilde{Q}_n} g \frac{\partial u}{\partial n} dS.$$

For the purpose of observing a relation between  $KD$ -null sets and the principal functions we shall give the following lemma and introduce the notion of span.

LEMMA 1. *The following properties hold regarding  $g$  and  $P_i$  ( $i=0, 1$ ):*

$$(1) \int_{\partial E} g \frac{\partial P_0}{\partial n} dS = 0,$$

$$(2) \text{ if } \int_{\partial\tilde{Q}_n^j} \frac{\partial g}{\partial n} dS = 0 \text{ is satisfied for every component } \partial\tilde{Q}_n^j \text{ of any } \partial\tilde{Q}_n,$$

$$\text{then } \int_{\partial E} P_1 \frac{\partial g}{\partial n} dS = 0.$$

For the proof, see [6]. From this lemma we can derive

$$\int_{\partial E} P_0 \frac{\partial P_0}{\partial n} dS = \int_{\partial E} P_1 \frac{\partial P_1}{\partial n} dS = 0.$$

Let  $u$  be a harmonic function defined in  $E^c$  such that

- (1)  $D_{E^c}(u) < \infty$ ,                      (2)  $u(b) = 0$ ,
- (3) there exists a constant  $C_u$  such that  $u + C_u$  is regular at infinity,
- (4)  $\int_{\tau} \frac{\partial u}{\partial n} dS = 0$  for all  $\tau$  in  $\{\tau\}_{E^c}$ .

Using Green's formula and Lemma 1, we have the equality

$$(3.1) \quad D_{E^c}(u - P_0 + P_1) = D_{E^c}(u) - 2u(a) + h_0(a) - h_1(a).$$

We set  $S(a, b) = h_0(a) - h_1(a)$  and call it the span of  $E^c$  with respect to  $(a, b)$  (cf. [6]). If we set  $u = 0$  in (3.1), then we obtain  $S(a, b) = D_{E^c}(P_0 - P_1)$ . From this we have  $0 \leq S(a, b) < \infty$ . Accordingly the property  $S(a, b) = 0$  means that  $P_0 - P_1$  is a constant.

**THEOREM 3.** *A compact set  $E$  belongs to the class  $N_{KD}$  if and only if the span  $S(a, b)$  of  $E^c$  is equal to zero for all couples  $(a, b)$  of different points in  $E^c$ .*

**PROOF.** Assume that there exist two different points  $a, b$  such that  $S(a, b) \neq 0$ . Then  $P_0 - P_1$  is a non-constant harmonic function in  $E^c$  with finite Dirichlet integral. By using the properties of  $P_0, P_1$  and the maximum principle, we can conclude that  $P_0 - P_1$  is a bounded harmonic function outside a sufficiently large sphere. Since any bounded harmonic function defined outside a compact set is expressed as the sum of a constant and a harmonic function which is regular at infinity, there exists a constant  $C$  such that  $P_0 - P_1 - C$  is regular at infinity.

Using Green's formula and the boundary properties of  $P_i (i = 0, 1)$ , we have that for all  $\tau$  in  $\{\tau\}_{E^c}$

$$\int_{\tau} \frac{\partial(P_0 - P_1)}{\partial n} dS = 0.$$

Accordingly  $P_0 - P_1$  belongs to the class  $KD(E^c)$ . This shows that  $E \in N_{KD}$ .

Conversely assume that  $S(a, b) = 0$  for all points  $a, b$  in  $E^c$ . Let  $u$  be a harmonic function in  $KD(E^c)$ . By making use of Green's formula and Lemma 1, we have

$$D_{E^c}(u, P_0 - P_1) = - \int_{\partial E} u \frac{\partial(P_0 - P_1)}{\partial n} dS = u(a) - u(b).$$

Since  $S(a, b) = 0$  implies  $P_0 - P_1 = \text{const.}$ , it follows that  $u(a) = u(b)$ . Letting  $a$  vary in  $E^c - \{b\}$ , we have  $u = \text{const.}$  in  $E^c$ . Since  $u$  is regular at infinity, we have  $u = 0$ . By Theorem 2 we conclude that  $E \in N_{KD}$ .

**REMARK.** From the latter half of the above proof we can derive  $E \in N_{KD}$  under the condition that  $S(a, b) = 0$  for any point  $a$  in an open set  $G$  in  $E^c$  and some  $b$  in  $E^c$ .

Let us observe a relation between  $V(E)$ , the  $N$ -dimensional Lebesgue measure of  $E$ , and  $E \in N_{KD}$ .

**LEMMA 2.** *If  $S(a, b) = 0$  for two distinct points  $a, b$ , then  $V(E) = 0$ .*

**PROOF.** Set

$$P(x) = \frac{1}{\sigma_N} \left( \frac{1}{|x-a|^{N-2}} - \frac{1}{|x-b|^{N-2}} \right)$$

Using Lemma 1 we have

$$D_{E^c}\left(P - \frac{P_0 + P_1}{2}\right) = - \int_{\partial E} P \frac{\partial P}{\partial n} dS + \frac{1}{4} S(a, b).$$

Since  $P$  is harmonic on  $E$ , from the definition of Dirichlet integral that  $D_E(P) = \inf_G D_G(P)$ , where  $G$  runs over all open sets containing  $E$ , it follows that

$\int_{\partial E} P \frac{\partial P}{\partial n} dS = D_E(P)$ . By the assumption that  $S(a, b) = 0$  we have that

$$0 \leq D_{E^c}\left(P - \frac{P_0 + P_1}{2}\right) = -D_E(P) \leq 0,$$

so that  $D_E(P) = 0$ . On the other hand, since the  $N$ -dimensional Lebesgue measure of the set  $\left\{x \mid \frac{\partial P}{\partial x_i} = 0, i = 1, \dots, N\right\}$  equals zero, we conclude  $V(E) = 0$ .

By Lemma 2 and Theorem 3, we have the following corollary.

**COROLLARY 2.** *If  $E \in N_{KD}$ , then  $V(E) = 0$ .*

The converse of Corollary 2 is not always true. In fact, let  $E$  be a compact part of a hyperplane and  $\Omega$  be a bounded domain containing  $E$ . We set

$$U^\mu(x) = \int_{e_0} \frac{d\mu(y)}{|x - y|^{N-2}} - \int_{e_1} \frac{d\mu(y)}{|x - y|^{N-2}},$$

where  $e_0$  and  $e_1$  are disjoint compact  $(N-1)$ -dimensional balls with the same radius on  $E$  and  $\mu$  is the measure which consists of the equilibrium mass-distributions on  $e_i$  ( $i = 0, 1$ ). In the same way as the proof of Theorem 1, we see that  $U^\mu$  belongs to  $KD(\Omega - E)$  but does not belong to  $KD(\Omega)$ . Therefore  $E \notin N_{KD}$ . In this example  $V(E) = 0$ .

Now we shall consider another class of harmonic functions and compare this class with the  $KD$ -class.

Let  $HD(\Omega)$  be the class of harmonic functions defined in a bounded domain  $\Omega$  with finite Dirichlet integral. The expression  $E \in N_{HD}$  is defined in the same way as  $N_{KD}$ . It is well known that  $E \in N_{HD}$  if and only if the Newtonian capacity  $C(E)$  of  $E$  is equal to zero; see [2]. We have obviously the inclusion  $HD(\Omega - E) \supset KD(\Omega - E)$ , which implies  $N_{HD} \subset N_{KD}$ .

We take a compact set  $E$  in  $\Omega$  such that  $V(E) = 0$  and  $C(E) > 0$ . Let  $\mu$  be the equilibrium mass-distribution of unit mass on  $E$  and consider the potential

$$\int_E \frac{d\mu(y)}{|x - y|^{N-2}}$$

It is easy to show that this function belongs to  $HD(\Omega - E)$  but does not belong to  $KD(\Omega - E)$ . Accordingly the inclusion  $HD(\Omega - E) \supset KD(\Omega - E)$  is proper. Sario [9] showed a relation between  $N_{HD}$  and the span for the identity partition of  $E$ . Thus our Theorem 3 gives a result corresponding to Sario's.

#### §4. Extremal length

Let  $\gamma$  denote a locally rectifiable curve in  $R^N$  and  $\Gamma$  be a family of such curves. A non-negative Borel measurable function  $\rho$  is called admissible in association with  $\Gamma$  if  $\int_{\gamma} \rho ds \geq 1$  for each  $\gamma \in \Gamma$ . The module  $M(\Gamma)$  is defined by  $\inf_{\rho} \int \rho^2 dV$ , where  $\rho$  is admissible in association with  $\Gamma$ , and the extremal length  $\lambda(\Gamma)$  is defined by  $\frac{1}{M(\Gamma)}$ . The following properties are known:

$$(4.1) \quad \text{if } \Gamma' \subset \Gamma, \text{ then } M(\Gamma') \leq M(\Gamma),$$

$$(4.2) \quad \text{if } \Gamma = \Gamma_1 \cup \Gamma_2 \text{ and } M(\Gamma_2) = 0, \text{ then } M(\Gamma) = M(\Gamma_1).$$

A property will be said to hold almost everywhere (=a.e.) on  $\Gamma$  if the extremal length of the subfamily of exceptional curves is infinite.

Let  $\Omega$  be a bounded domain in  $R^N$  which contains a compact set  $E$  and  $\tilde{\Gamma}$  be the family of locally rectifiable curves  $\gamma$  in  $\Omega$  each of which starts from a point  $x_{\gamma}$  of  $\Omega$  and tends to  $\partial\Omega$ . We shall denote by  $BLD(\Omega)$  the class of Borel measurable functions  $f$  defined in  $\Omega$  which are absolutely continuous along a.e.  $\gamma \in \tilde{\Gamma}$  and which have finite Dirichlet integrals. We shall write  $f(\gamma)$  for the limit, in case it exists, as the variable starts from  $x_{\gamma}$  and proceeds towards  $\partial\Omega$  along  $\gamma$ . We know that for  $f \in BLD(\Omega)$ ,  $f(\gamma)$  exists and is finite for a.e.  $\gamma \in \tilde{\Gamma}$ ; see [4].

Let  $\alpha_0, \alpha_1$  be non-empty compact subsets of  $\partial\Omega$  such that  $\alpha_0 \cap \alpha_1 = \emptyset$  and  $\tilde{\Gamma}_i$  be the subfamily of  $\tilde{\Gamma}$  such that each curve of  $\tilde{\Gamma}_i$  tends to  $\alpha_i$  ( $i=0, 1$ ). We shall denote by  $\mathcal{D}(\Omega)$  the class of functions belonging to  $BLD(\Omega)$  such that  $f(\gamma)=0$  for a.e.  $\gamma \in \tilde{\Gamma}_0$  and  $f(\gamma)=1$  for a.e.  $\gamma \in \tilde{\Gamma}_1$ . Let  $\Gamma$  (resp.  $\Gamma'$ ) be the family of locally rectifiable curves in  $\Omega$  (resp.  $\Omega - E$ ) connecting  $\alpha_0$  and  $\alpha_1$ .

The following lemma is important.

LEMMA 3. (Ohtsuka) Set

$$C(\alpha_0, \alpha_1) = \inf_f D_{\Omega-E}(f),$$

where  $f$  runs over all elements of  $\mathcal{D}(\Omega - E)$ . Then there exists a unique har-



monic function  $f_0 \in \mathcal{D}(\Omega - E)$  such that  $C(\alpha_0, \alpha_1) = D_{\Omega - E}(f_0)$ . Moreover we have the equality  $C(\alpha_0, \alpha_1) = M(\Gamma')$ .

PROOF. The proof of the first half is the same as that in [4] when we replace a Riemann surface by  $\Omega - E$ . Regarding the latter half, we sketch the proof given by Ohtsuka in his lectures: Extremal length in 3-space. First, note that

$$\int_{\gamma} |\text{grad } f_0| ds \geq \left| \int_{\gamma} df_0 \right| = 1 \quad \text{for a.e. } \gamma \in \Gamma'.$$

Accordingly we have  $M(\Gamma') \leq C(\alpha_0, \alpha_1)$  by property (4.2). On the other hand, for any  $\varepsilon$ ,  $0 < \varepsilon < \frac{1}{2}$ , we can take a  $C^\infty$ -function  $\beta(x)$  in  $\Omega - E$  such that  $0 < \beta(x) < \text{dist}(x, \partial(\Omega - E))$  and  $|\text{grad } \beta| < \varepsilon$  hold. We denote by  $U(x, r)$  the closed ball with center  $x$  and radius  $r$ . Take any  $\rho$  admissible in association with  $\Gamma'$ . Let

$$f(x) = \frac{1}{\sigma_N \beta(x)^N} \int_{U(x, \beta(x))} \rho dV$$

in  $\Omega - E$  and extend it by 0 on the rest of  $R^N$ . This function is continuous in  $\Omega - E$ . We can see that  $(1 + \varepsilon)f$  is admissible in association with  $\Gamma'$  and obtain the inequality

$$\int_{\Omega - E} f^2 dV \leq (1 + \varepsilon) \int_{\Omega - E} \rho^2 dV.$$

For this reason we may restrict admissible  $\rho$  to be continuous in  $\Omega - E$  in defining  $M(\Gamma')$ . Suppose  $M(\Gamma') < \infty$ . For a continuous function  $\rho$  admissible in association with  $\Gamma'$ , we set

$$g(x) = \inf_{\gamma} \int_{\gamma} \rho ds \quad \text{in } \Omega - E,$$

where  $\gamma$  is a curve in  $\Omega - E$  starting from  $x \in \Omega - E$  and terminating at a point of  $\alpha_0$ . Then we can see that  $g(\gamma) = 0$  for a.e.  $\gamma \in \tilde{\Gamma}_0$  and  $g(\gamma) \geq 1$  for a.e.  $\gamma \in \tilde{\Gamma}_1$ . If the segment  $\overline{xx'}$  is included in  $\Omega - E$ , then

$$|g(x) - g(x')| \leq \int_{\overline{xx'}} \rho ds.$$

From this inequality we infer that  $g$  is absolutely continuous along every curve in  $\Omega - E$ . Moreover, by Rademacher-Stepanov's theorem we see that  $\text{grad } g$  exists a.e. in  $\Omega - E$ , and that  $|\text{grad } g| \leq \rho$  a.e. in  $\Omega - E$ . Accordingly  $\min(g, 1) \in \mathcal{D}(\Omega - E)$ , and hence

$$C(\alpha_0, \alpha_1) \leq \int_{\Omega - E} |\text{grad } \min(g, 1)|^2 dV \leq \int_{\Omega - E} \rho^2 dV.$$

This implies that  $C(\alpha_0, \alpha_1) \leq M(\Gamma')$ .

Next, we shall show a necessary condition for  $E \in N_{KD}$ .

**THEOREM 4.** *If  $E \in N_{KD}$ , then  $M(\Gamma) = M(\Gamma')$  for every  $\Omega$ ,  $\alpha_0$  and  $\alpha_1$ .*

**PROOF.** In view of  $M(\Gamma') \leq M(\Gamma)$ , we may assume  $M(\Gamma') < \infty$ . Let  $f_0$  be the extremal function in Lemma 3 such that  $D_{\Omega-E}(f_0) = M(\Gamma')$ . Take an open set  $G$  such that  $E \subset G \subset \bar{G} \subset \Omega$  and  $\partial G$  consists of a finite number of compact  $C^1$ -surfaces. Since  $f_0$  is the harmonic function with the smallest Dirichlet integral in the class of harmonic functions defined in  $G-E$  and having boundary values  $f_0$  on  $\partial G$ , we have  $\int_{\tau} \frac{\partial f_0}{\partial n} dS = 0$  for all  $\tau$  in  $\{\tau\}_{G-E}$ ; cf. [3], Satz 15.1. Since any  $\tau$  in  $\{\tau\}_{\Omega-E}$  is homologous to a finite number of surfaces of  $\{\tau\}_{G-E}$ , we have  $\int_{\tau} \frac{\partial f_0}{\partial n} dS = 0$  for any  $\tau$  in  $\{\tau\}_{\Omega-E}$ . Therefore  $f_0 \in KD(\Omega-E)$ . Hence there exists a harmonic function  $\hat{f}_0$  belonging to  $KD(\Omega)$  such that  $f_0 = \hat{f}_0$  holds in  $\Omega-E$ . It follows that

$$\int_{\gamma} |\text{grad } \hat{f}_0| ds \geq \left| \int_{\gamma} d\hat{f}_0 \right| \geq 1 \quad \text{for a.e. } \gamma \in \Gamma.$$

By property (4.2) this shows that  $M(\Gamma) \leq D_{\Omega}(\hat{f}_0)$ . Accordingly we have

$$D_{\Omega-E}(f_0) = M(\Gamma') \leq M(\Gamma) \leq D_{\Omega}(\hat{f}_0).$$

By Corollary 2 the equality  $D_{\Omega}(\hat{f}_0) = D_{\Omega-E}(f_0)$  is true.

These imply  $M(\Gamma) = M(\Gamma')$ .

It is open whether the converse of Theorem 4 is true or not.

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