

## ***On Function-theoretic Separative Conditions on Compactifications of Hyperbolic Riemann Surfaces***

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### **Introduction**

In the theory of compactifications of hyperbolic Riemann surfaces, there have been considered various conditions which require that ideal boundary points are separated in some function-theoretic sense. In order to extend Fatou's and Beurling's theorems to Riemann surfaces, Z. Kuramochi introduced notions of *H.B.* separative and *H.D.* separative metrics (cf. [10]). The present author [19] defined separative compactifications rather than separative metrics and simplified Kuramochi's definitions: A compactification  $R^*$  of a hyperbolic Riemann surface  $R$  is called *H.D.* (resp. *H.B.*) separative if any two closed sets in  $R$  which are separated in  $R^*$  are also separated in the Royden compactification up to a set of capacity zero (resp. in the Wiener compactification up to a set of harmonic measure zero.) In [19], it was shown that *H.B.* separative compactifications are nothing but resolutive ones, i.e., the quotient spaces of the Wiener compactification and that the quotient spaces of the Royden compactification are *H.D.* separative but the converse is not true. Another notion of separativeness is the regularity introduced by F-Y. Maeda [12]: A resolutive compactification  $R^*$  of  $R$  is called regular if continuous functions on  $\Delta = R^* - R$  whose Dirichlet solutions belong to *HD* separate points of  $\Delta$ .

In this paper, we shall introduce another notions of separativeness. The first of them is of Kuramochi's type: *H.M.* separativeness, which is defined in the same fashion as *H.D.* separativeness using the harmonic measure on the Royden compactification instead of capacity (§4). The other notions will be defined in terms of curves (§6): A metrizable compactification  $R^*$  of  $R$  is said to satisfy condition (*E*) (resp. (*G*)) if almost every curve in  $R$  (resp. Green lines) tending to the ideal boundary  $\Delta$  terminates at one point on  $\Delta$ . Here, "almost every" is in the sense of extremal length (resp. Green measure). The main purpose of this paper is to investigate relations among these various separative conditions. In §1 and §2, we prepare basic definitions and results which are necessary for the subsequent theories. In §3, we focus our attention to singular points on the Kuramochi boundary and to

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poles of such points defined on the Royden boundary and on the Wiener boundary. Some of the results in this section will be used to supply examples in §4; while the other results (especially Theorem 3) concerning a characterization of singular points in terms of poles may be interesting in its own right.

Relations among *H.D.* separativeness, *H.M.* separativeness and regularity are studied in §4. The results in this section are summarized at the end of the section. Then, in §5, we consider the Martin compactification of a Riemann surface belonging to  $O_{HD}-O_{HB}$ . We shall show that such a compactification is neither *H.D.* separative nor *H.M.* separative nor regular. Furthermore, we shall remark that, on its boundary, a normal derivative in the sense of F-Y. Maeda [12] is not uniquely determined. Finally, in §6, we introduce conditions (E) and (G) and investigate relations among *H.D.* or *H.M.* separativeness and these conditions. Our results in this last section improve those given F-Y. by Maeda ([11; Theorem 2]) and M. Ohtsuka ([16; Theorem 1]).

### *Notation and terminology*

Let  $R$  be a hyperbolic Riemann surface. For a subset  $A$  of  $R$ , we denote by  $\partial A$  and  $A^i$  the (relative) boundary and the interior of  $A$  respectively. We call a closed or open subset  $A$  of  $R$  *regular* if  $\partial A$  is non-empty and consists of at most a countable number of analytic arcs clustering nowhere in  $R$ . An *exhaustion* will mean an increasing sequence  $\{R_n\}_{n=1}^{\infty}$  of relatively compact domains on  $R$  such that  $\bigcup_{n=1}^{\infty} R_n = R$  and each  $\partial R_n$  consists of a finite number of closed analytic Jordan curves. We fix a closed disk  $K_0$  in  $R$  once for all and let  $R_0 = R - K_0$ .

We denote by  $BC = BC(R)$  the space of all bounded continuous (real-valued) functions on  $R$  and by  $C_0 = C_0(R)$  the subspace of  $BC$  whose functions have compact supports in  $R$ . Let  $HB = HB(R)$  be the space of all bounded harmonic functions on  $R$  and  $HD = HD(R)$  be the space of all harmonic functions on  $R$  with finite Dirichlet integral (or finite Dirichlet norm). We denote  $HBD = HD \cap BC$ .

## §1 Preliminaries

### 1.1 Wiener functions ([3])

For a finite continuous function  $f$  on  $R$ , we shall denote by  $\bar{\mathcal{W}}_f$  (resp.  $\mathcal{W}_f$ ) the family of all superharmonic (resp. subharmonic) functions on  $R$  such that  $s \geq f$  on  $R - K_s$  (resp.  $s \leq f$  on  $R - K_s$ ) for some compact set  $K_s$  in  $R$ . If  $\bar{\mathcal{W}}_f$  and  $\mathcal{W}_f$  are non-empty, then we set  $\bar{h}_f(a) = \inf\{s(a); s \in \bar{\mathcal{W}}_f\}$  and  $\underline{h}_f(a) = \sup\{s(a); s \in \mathcal{W}_f\}$  ( $a \in R$ ). It is known that  $\bar{h}_f, \underline{h}_f$  are harmonic and  $\underline{h}_f \leq \bar{h}_f$ . If  $\bar{h}_f = \underline{h}_f$ , then  $f$  is said to be harmonizable. We write  $h_f = \bar{h}_f = \underline{h}_f$  if  $f$  is har-

monizable. A finite continuous function  $f$  on  $R$  is called a *Wiener function* if  $|f|$  has a superharmonic majorant and  $f$  is harmonizable. If a Wiener function  $f$  satisfies  $h_f=0$ , then  $f$  is called a *Wiener potential*. We denote by  $W$  (resp.  $W_0$ ) the family of all finite continuous Wiener functions (resp. Wiener potentials) on  $R$  and set  $BCW = W \cap BC$  (resp.  $BCW_0 = W_0 \cap BC$ ). It is known that both  $BCW$  and  $BCW_0$  are vector lattices with respect to the maximum and minimum operations and also contain  $C_0$

### 1.2 Dirichlet functions and Dirichlet principle

We follow C. Constantinescu and A. Cornea [3] for the definition and properties of Dirichlet functions. Let  $f$  be a Dirichlet function on  $R$  and  $F$  be a non-polar<sup>1)</sup> closed set in  $R$ . Then there exists a uniquely determined Dirichlet function  $f^F$  which minimizes the Dirichlet norm  $\|g\|$  among Dirichlet functions  $g$  such that  $g = f$  q.p. (quasi überall)<sup>1)</sup> on  $F$  and which is equal to  $f$  on  $F$  and is harmonic in  $R - F$ .

*Properties of  $f^F$  ([3]):*

(A, 1)  $\|f^F\| \leq \|f\|$  and  $(g - f^F, f^F)^2 = 0$  for any Dirichlet function  $g$  such that  $g = f$  q.p. on  $F$ .

(A, 2) If  $f \geq 0$  on  $F$ , then  $f^F \geq 0$ .

(A, 3) If  $F_1 \subset F_2$ , then  $f^{F_1} = (f^{F_1})^{F_2} = (f^{F_2})^{F_1}$ .

(A, 4)  $(a_1 f_1 + a_2 f_2)^F = a_1 f_1^F + a_2 f_2^F$  ( $a_1, a_2$ : constant).

(A, 5) If  $G$  is a component of  $R - F$ , then  $f^F = f^{\partial F} = f^{\partial G}$  on  $G$ .

We denote by  $BCD$  (resp.  $BCD_0$ ) the family of all bounded continuous Dirichlet functions (resp. Dirichlet potentials) on  $R$ . It is known that both  $BCD$  and  $BCD_0$  are vector lattices with respect to the maximum and minimum operations. Furthermore,  $BCD$  is decomposed into the direct sum of two parts  $HBD$  and  $BCD_0$  (Royden decomposition). It is known ([3]) that  $BCD \subset BCW$  and  $BCD_0 \subset BCW_0$ .

### 1.3 Compactifications

If  $R^*$  a compact Hausdorff space and if there is a homeomorphism of  $R$  into  $R^*$  such that the image of  $R$  is open and dense in  $R^*$ , then we may identify the image of  $R$  with  $R$  and call  $R^*$  a *compactification* of  $R$ .  $\Delta = R^* - R$  is called an ideal boundary of  $R$ . We shall say that a subfamily  $Q$  of  $BC$  separates points of  $\Delta$  if, for any two distinct points  $\xi_1$  and  $\xi_2$  of  $\Delta$ , there exists a function  $f$  in  $Q$  such that  $\lim_{a \rightarrow \xi_1} f(a) < \lim_{a \rightarrow \xi_2} f(a)$  or  $\lim_{a \rightarrow \xi_1} f(a) > \lim_{a \rightarrow \xi_2} f(a)$ . Given a compactification  $R^*$ , let  $C(\Delta)$  (resp.  $C(R^*)$ ) be the space of all finite

1) See p. 30 in [3].

2)  $(g - f^F, f^F)$  is the mixed Dirichlet integral of  $g - f^F$  and  $f^F$ .

continuous functions on  $\mathcal{A}$  (resp.  $R^*$ ).

Let  $Q$  be a non-empty subfamily of  $BC$ . If a compactification  $R^*$  of  $R$  satisfies the following:

- 1) every  $f \in Q$  can be continuously extended over  $R^*$ ,
- 2)  $Q$  separates points of  $\mathcal{A}$ ,

then  $R^*$  is called a  $Q$ -compactification of  $R$ . It is known ([3]) that a  $Q$ -compactification always exists and is unique up to a homeomorphism. Thus it will be denoted by  $R_Q^*$  and its ideal boundary by  $\mathcal{A}_Q$ .

*Properties of  $Q$ -compactifications:*

(a) Let  $R^*$  be a compactification. If  $Q \subset BC \cap C(R^*)$  separates points of  $\mathcal{A}$ , then  $R^* = R_Q^*$ .

(b) If  $R^*$  is metrizable, then there exists a countable subfamily  $Q$  of  $BC$  such that  $R^* = R_Q^*$ .

(c) Let  $Q$  be a vector sublattice of  $BC$  containing  $C_0$  and constants. If  $A$  and  $B$  are closed subsets of  $R$  such that  $\bar{A}^* \cap \bar{B}^* = \emptyset$  in  $R_Q^*$ , then there exists a function  $f$  in  $Q$  such that  $f=0$  on  $A$  and  $f=1$  on  $B$ .

We refer to [3] for the definitions and properties of the Martin compactification  $R_M^*$ , the Kuramochi compactification  $R_N^*$ , the Royden compactification  $R_D^*$  and the Wiener compactification  $R_W^*$ . For a subset  $A$  of  $R$ , we shall denote by  $\bar{A}^*$  (resp.  $\bar{A}^M, \bar{A}^N, \bar{A}^D, \bar{A}^W$ ) the closure of  $A$  in  $R^*$  (resp.  $R_M^*, R_N^*, R_D^*, R_W^*$ ).

Let  $R_1^*$  and  $R_2^*$  be two compactifications of  $R$ . If there is a continuous mapping  $\pi$  of  $R_2^*$  onto  $R_1^*$  whose restriction to  $R$  is the identity mapping and  $\pi^{-1}(R) = R$ , then we shall say that  $\pi$  is a *canonical mapping* of  $R_2^*$  onto  $R_1^*$  and that  $R_1^*$  is a quotient space of  $R_2^*$ . It is known ([3]) that if  $Q_1 \subset Q_2$ , then  $R_{Q_1}^*$  is a quotient space of  $R_{Q_2}^*$ . We note that  $R_M^*, R_N^*$  and  $R_D^*$  are quotient spaces of  $R_W^*$ . Furthermore  $R_N^*$  is a quotient space of  $R_D^*$ .

We shall frequently use the following fact: Let  $R^*$  be a compactification of  $R$  and  $A$  be a closed set in  $\mathcal{A} = R^* - R$ . For any neighborhood  $U$  of  $A$  in  $R^*$ , there exists a regular closed set  $F$  in  $R$  such that  $\bar{F}^*$  is a neighborhood of  $A$  and  $\bar{F}^* \subset U$ .

#### 1.4 Harmonic measures and harmonic boundaries

Let  $R^*$  be a compactification of  $R$  and let  $\mathcal{A} = R^* - R$ . Given a function  $f$  (extended real-valued) on  $\mathcal{A}$ , we consider the following classes:

$$\bar{\mathcal{P}}_f = \bar{\mathcal{P}}_f^{R^*} = \left\{ s; \text{superharmonic, bounded below on } R, \right. \\ \left. \lim_{a \rightarrow \xi} s(a) \geq f(\xi) \quad \text{for } \xi \in \mathcal{A} \right\} \cup \{\infty\}$$

and

$$\mathcal{S}_f = \mathcal{S}_f^{R^*} = \{s; -s \in \bar{\mathcal{P}}_{-f}^{R^*}\}.$$

Let  $\bar{H}_f(a) = \bar{H}^{R^*}_f(a) = \inf\{s(a); s \in \bar{\mathcal{F}}_f\}$  and  $\underline{H}_f(a) = \underline{H}^{R^*}_f(a) = \sup\{s(a); s \in \underline{\mathcal{F}}_f\}$  ( $a \in R$ ). It is known (Perron-Brelot) that  $\bar{H}_f$  (resp.  $\underline{H}_f$ ) is either harmonic,  $\equiv +\infty$  or  $\equiv -\infty$ . If  $\bar{H}_f = \underline{H}_f$  and are harmonic, then we say that  $f$  is resolutive (with respect to  $R^*$ ) and  $H_f = \bar{H}_f = \underline{H}_f$  is called the Dirichlet solution of  $f$  (with respect to  $R^*$ ). If any function in  $C(\mathcal{A})$  is resolutive, then we say that  $R^*$  is resolutive. It is known ([3]) that  $R^*_M, R^*_N, R^*_D$  and  $R^*_W$  are resolutive. We denote by  $\omega^Q = \omega^Q_a (a \in R)$  the harmonic measure on  $\Delta_Q (Q = M, N, D, W)$ . Let  $G$  be a domain on  $R$ . Then  $\bar{G}^Q (Q = M, N, D, W)$  is a resolutive compactification of  $G$  (cf. Hilfssatz 8.2 in [3]). We denote by  $\omega^{Q,G} = \omega^{Q,G}(a) (a \in G)$  the harmonic measure on  $\bar{G}^Q - G$ .

Let  $R^*$  be a compactification of  $R$ . For a (Green) potential  $p$  on  $R$ , we set  $\Gamma_p = \{b \in \mathcal{A}; \lim_{a \rightarrow b} p(a) = 0\}$  and  $\Gamma = \bigcap_p \Gamma_p$ . Then  $\Gamma$  is a non-empty compact subset of  $\mathcal{A}$  and is called the *harmonic boundary* of  $R^*$ . We denote by  $\Gamma_W$  (resp.  $\Gamma_D$ ) the harmonic boundary of  $R^*_W$  (resp.  $R^*_D$ ).

*Properties of harmonic boundaries* (cf. [3]):

- (i) The support of  $\omega^Q$  is equal to  $\Gamma_Q (Q = W, D)$ .
- (ii) If  $\pi$  is the canonical mapping of  $R^*_W$  onto  $R^*_D$ , then  $\pi(\Gamma_W) = \Gamma_D$ .
- (iii) A Riemann surface  $R$  belongs to  $O_{HB} - O_G$  (resp.  $O_{HD} - O_G$ ) if and only if  $\Gamma_W$  (resp.  $\Gamma_D$ ) consists of a single point.

### 1.5. Capacity in the sense of G. Choquet

Let  $X$  be a compact Hausdorff space and  $\mathcal{K}$  be the family of all compact sets in  $X$ . A finite-valued function  $\Psi$  on  $\mathcal{K}$  is said to be a *capacity* (on  $X$ ) in the sense of G. Choquet [2] if it has the following properties:

- (a) If  $K_1 \subset K_2$ , then  $\Psi(K_1) \leq \Psi(K_2)$ .
- (b)  $\Psi(K_1 \cup K_2) + \Psi(K_1 \cap K_2) \leq \Psi(K_1) + \Psi(K_2)$ .
- (c) Given  $K \in \mathcal{K}$  and  $\varepsilon > 0$ , there is an open set  $G$  in  $X$  such that  $K \subset K' \subset G (K' \in \mathcal{K})$  implies  $\Psi(K') < \Psi(K) + \varepsilon$ .

By definition, any positive (Radon) measure on  $X$  is a capacity. For a set  $A$  in  $X$ , we define  $\Psi_i(A) = \sup\{\Psi(K); K \in \mathcal{K} \text{ and } K \subset A\}$  and  $\Psi_e(A) = \inf\{\Psi_i(G); G \text{ is open and } A \subset G\}$ . A set  $A$  in  $X$  is said to be  $(\Psi -)$  *capacitable* if  $\Psi_e(A) = \Psi_i(A)$ . G. Choquet [2] proved that any analytic set is capacitable, and hence any Borel set is capacitable. By definition, we see that if  $A$  is capacitable, then  $\Psi_e(A) = \sup\{\Psi(K); K \in \mathcal{K} \text{ and } K \subset A\}$ .

## §2 Harmonic measures and capacities

### 2.1 Reduced functions

We follow [3] (see p. 21) for the definition of the Dirichlet problem on an open set in  $R$  and use the same notation as there. Let  $G$  be a domain on

R. Let  $F$  be a relatively closed set in  $G$  and  $s$  be a non-negative superharmonic function on  $G$ . We introduce the following function:

$$s_F^G = \inf\{v; \text{superharmonic } \geq 0 \text{ on } G, v \geq s \text{ q.p. on } F\}.$$

Then  $s_F^G$  is superharmonic on  $G$  and  $0 \leq s_F^G \leq s$ . When  $G=R$ , we write  $s_F^G = s_F$  for simplicity.

*Properties of  $s_F$  (cf. [3]):*

(B, 1)  $s_F = H_s^{R-F}$  on  $R-F$  and  $s_F = s$  on  $F$  except at irregular boundary points of  $R-F$ .

(B, 2) If  $F_1 \subset F_2$  and  $s_1 \leq s_2$  q.p. on  $F_1$ , then  $(s_1)_{F_1} \leq (s_2)_{F_2}$ .

(B, 3) If  $F_1 \subset F_2$ , then  $s_{F_1} = (s_{F_1})_{F_2} = (s_{F_2})_{F_1}$ .

(B, 4)  $(a_1 s_1 + a_2 s_2)_F = a_1 (s_1)_F + a_2 (s_2)_F$  ( $a_1, a_2$ : constants  $\geq 0$ ).

(B, 5)  $s_{F_1 \cup F_2} + s_{F_1 \cap F_2} \leq s_{F_1} + s_{F_2}$ .

PROPOSITION 1. Let  $G$  be a regular domain on  $R$ . Let  $\{F_n\}_{n=1}^\infty$  be a sequence of regular closed subsets of  $R$  such that  $F_n \supset F_{n+1}$  ( $n=1, 2, \dots$ ) and  $\bigcap_{n=1}^\infty F_n = \emptyset$ . Let  $u$  (resp.  $u_0$ ) be the limit function of  $\{1_{F_n}\}_{n=1}^\infty$  (resp.  $\{1_{F_n \cap G}\}_{n=1}^\infty$ ). Then we have

(a)  $u - u_0 = u_{R-G}$  on  $G$ .

(b) Assume  $\overline{R-G^W} \cap \overline{F_1^W} = \emptyset$ . Then  $u = 0$  if and only if  $u_0 = 0$ .

PROOF (a) If we set  $g_n = 0$  on  $\partial G$  and  $= 1$  on  $\partial F_n \cap G$ , then  $1_{F_n \cap G}^G = H_{g_n}^{G-F_n}$  on  $G-F_n$ . Since  $(1_{F_n})_{(R-G) \cup F_n} = 1_{F_n}$  by (B, 3) it follows from (B, 1) that

$$1_{F_n} - 1_{F_n \cap G}^G = H_{1_{F_n} - g_n}^{G-F_n}$$

Since  $\lim_{a \rightarrow b} H_{1_{F_n}}^G(a) \geq 1_{F_n}(b) - g_n(b)$  for  $b \in \partial G \cup (\partial F_n \cap G)$ , we obtain that

$$1_{F_n} - 1_{F_n \cap G}^G \leq H_{1_{F_n}}^G \quad \text{on } G - F_n.$$

By letting  $n \rightarrow \infty$ , we have  $u - u_0 \leq H_u^G$  on  $G$ . On the other hand, since  $u - u_0$  is a non-negative superharmonic function on  $G$  and

$$\lim_{a \rightarrow b} (u(a) - u_0(a)) = u(b) \quad \text{for } b \in \partial G,$$

we have  $u - u_0 \geq H_u^G$ . Thus  $u - u_0 = H_u^G$  on  $G$ . Since  $H_u^G = u_{R-G}$  on  $G$  by (B, 1), we have (a).

(b) Since  $u_0 \leq u$ ,  $u = 0$  implies  $u_0 = 0$ . Conversely, suppose  $u_0 = 0$ . Then, by (a), we have  $u = u_{R-G}$  on  $R$ . On the other hand, it follows from Proposition 1 in [19] that  $u_{F_1} = u$  on  $R$ . Thus  $u = (u_{R-G})_{F_1} \leq (1_{R-G})_{F_1} \leq \min(1_{R-G}, 1_{F_1})$ . Since  $\overline{R-G^W} \cap \overline{F_1^W} = \emptyset$ , it follows from Lemma 4 in [19] that  $(1_{R-G})_{F_1} = 0$  on  $\Gamma^W$ . Hence  $(1_{R-G})_{F_1}$  is a potential. Therefore we have  $u = 0$ .

PROPOSITION 2. Let  $\{F_n\}_{n=1}^\infty$  be a sequence of regular closed subsets of  $R_0$  such that

- (a)  $\overline{R - F_n^{iW}} \cap \overline{F_{n+1}^W} = \emptyset$  (resp.  $\overline{R - F_n^{iD}} \cap \overline{F_{n+1}^D} = \emptyset$ ) ( $n=1, 2, \dots$ ),
- (b)  $\bigcap_{n=1}^\infty F_n = \emptyset$ ,
- (c)  $1_{F_n} \rightarrow 0$  as  $n \rightarrow \infty$ .

Then we can find a sequence  $\{\phi_n\}_{n=1}^\infty$  of functions in  $BCW$  (resp.  $BCD$ ) such that

- ( $\alpha$ )  $0 \leq \phi_n \leq 1$  on  $R$ ,  $\phi_n = 0$  on  $(R - F_{2n-1}^i) \cup F_{2n+1}$  and  $= 1$  on  $\partial F_{2n}$ ,
- ( $\beta$ )  $\phi_n$  is harmonic in  $F_{2n-1}^i - F_{2n+1} - \partial F_{2n}$ .

Furthermore, if we set  $f_n = \sum_{k=1}^n \phi_k$ , then  $f_n$  is a function in  $BCW$  (resp.  $BCD$ ) and converges to a function  $f$  in  $BCW$  as  $n \rightarrow \infty$ .

PROOF. First we consider the case of Wiener functions. Let  $n$  be fixed. By ( $\alpha$ ), we can find  $g_n$  in  $BCW$  such that  $0 \leq g_n \leq 1$ ,  $g_n = 0$  on  $R - F_n^i$  and  $= 1$  on  $F_{n+1}$ . We set

$$g'_n = \begin{cases} g_n & \text{on } R - (F_n^i - F_{n+1}) \\ H_{g_n}^{F_n^i - F_{n+1}} & \text{on } F_n^i - F_{n+1}. \end{cases}$$

By Hilfssatz 6.5 in [3], we see that  $g'_n$  is a function in  $BCW$ . If we set  $\phi_n = \min(g'_{2n-1}, 1 - g'_{2n})$ , then we see that  $\phi_n$  satisfies ( $\alpha$ ) and ( $\beta$ ). We set  $f_n = \sum_{k=1}^n \phi_k$ . Then  $f_n$  tends to a bounded continuous function  $f$  on  $R$ . Since  $f_n \leq f \leq f_n + 1_{F_{2n+1}}$  on  $R$  ( $n=1, 2, \dots$ ), we have  $0 \leq \bar{h}_f - h_f \leq 1_{F_{2n+1}}$  on  $R$  ( $n=1, 2, \dots$ ). By letting  $n \rightarrow \infty$ , we obtain that  $\bar{h}_f = h_f$ . Since  $|f|$  is bounded,  $f$  is a function in  $BCW$ .

Secondly we consider the case of Dirichlet functions. Since we can choose  $g_n$  in  $BCD$  in this case, we obtain  $\phi_n$  in  $BCD$  satisfying ( $\alpha$ ) and ( $\beta$ ) in the same way as above by considering  $g'_n = g_n^{R - (F_n^i - F_{n+1})}$ . The rest of the proof is the same as above.

COROLLARY. In the above proposition, if each  $f_n$  is a function in  $BCW_0$ , then so is  $f$ .

PROOF. Since  $h_{f_n} \leq h_f \leq h_{f_n} + 1_{F_{2n+1}}$  and  $h_{f_n} = 0$  ( $n=1, 2, \dots$ ), by letting  $n \rightarrow \infty$ , we obtain that  $h_f = 0$ .

## 2.2 Harmonic measures on the ideal boundary

Let  $R^*$  be a resolutive compactification and  $\omega$  be the harmonic measure on  $\mathcal{A}$ . For a closed subset  $A$  of  $\mathcal{A}$ , we consider the following class:

$$\mathcal{S}_{A, R^*} = \left\{ \begin{array}{l} s; \text{ superharmonic } \geq 0 \text{ on } R, s \geq 1 \text{ on } U \cap R \text{ for} \\ \text{some neighborhood } U \text{ of } A \text{ in } R^* \end{array} \right\}.$$

Then the function  $1_A(a) = \inf \{s(a); s \in \mathcal{S}_{A, R^*}\}$  ( $a \in R$ ) is harmonic on  $R$  and  $0 \leq 1_A \leq 1$ .

LEMMA 1. *Let  $A$  be a closed subset of  $\Delta$  and let  $\chi_A$  be the characteristic function of  $A$ . Then  $1_A = \bar{H}_{\chi_A} = \omega(A)$ .*

PROOF. By an elementary discussion, we can show that  $1_A = \bar{H}_{\chi_A}$ . On the other hand, it follows from Hilfssatz 8.3 in [3] that  $\bar{H}_{\chi_A} = \omega(A)$ .

LEMMA 2. *Let  $A$  be a closed subset of  $\Delta$  and  $\{U_n\}_{n=1}^\infty$  be a sequence of neighborhoods of  $A$  in  $R^*$ . Then there exists a sequence  $\{F_n\}_{n=1}^\infty$  of regular closed sets in  $R$  such that*

- (a) *The closure  $\bar{F}_n^*$  of each  $F_n$  is a neighborhood of  $A$  in  $R^*$ ,*
- (b)  *$U_n \cap R \supset F_n$  ( $n=1, 2, \dots$ ) and  $\bigcap_{n=1}^\infty F_n = \emptyset$ ,*
- (c)  *$\overline{R - F_n^{i*}} \cap \bar{F}_{n+1}^* = \emptyset$  ( $n=1, 2, \dots$ ),*
- (d)  *$1_{F_n} \rightarrow \omega(A)$  as  $n \rightarrow \infty$ .*

PROOF. Let  $a_0$  be a fixed point in  $R$ . Then we can find a sequence  $\{s_n\}_{n=1}^\infty$  in  $\mathcal{S}_{A, R^*}$  such that  $s_n(a_0) \rightarrow \omega_{a_0}(A)$  as  $n \rightarrow \infty$ . By assumption,  $s_n \geq 1$  on  $V_n \cap R$  for some neighborhood  $V_n$  of  $A$ . Hence we may assume that  $U_n \supset V_n$ ,  $V_n \supset V_{n+1}$  ( $n=1, 2, \dots$ ) and  $\bigcap_{n=1}^\infty (V_n \cap R) = \emptyset$ . Then there exists a sequence  $\{F_n\}_{n=1}^\infty$  of regular closed sets in  $R$  such that  $V_n \cap R \supset F_n$ ,  $\bar{F}_n^*$  is a neighborhood of  $A$ ,  $\overline{R - F_n^{i*}} \cap \bar{F}_{n+1}^* = \emptyset$ . This sequence satisfies (a), (b) and (c). Since it is a decreasing sequence and  $\bigcap_{n=1}^\infty F_n = \emptyset$ ,  $1_{F_n}$  tends to a harmonic function  $u$  on  $R$  as  $n \rightarrow \infty$ . Since  $s_n \geq 1_{F_n} \geq 1_A$ , by letting  $n \rightarrow \infty$ , we have  $1_A(a_0) \geq u(a_0) \geq 1_A(a_0)$ . Since  $u \geq 1_A$ , it follows from the maximum principle that  $u = 1_A$ . By Lemma 1, we obtain (d). This completes the proof.

As for a resolutive compactification  $R^*$  of  $R$ , we have

LEMMA 3. *Let  $G$  be a domain on  $R$ . Then  $\bar{G}^*$  is a resolutive compactification of  $G$ . For a closed subset  $B$  of  $\Delta$ , we denote by  $u(a)$  ( $a \in G$ ) the harmonic measure of  $B \cap \bar{G}^*$  with respect to  $G$ . Then we have*

- (a)  $\omega(B) - u = (\omega(B))_{R-G} = H_{\omega(B)}^G$  on  $G$ .
- (b) *Assume  $\overline{R - G^*} \cap B = \emptyset$ . Then  $\omega(B) = 0$  if and only if  $u = 0$ .*

PROOF. (a) First setting  $R=G$ ,  $A=B \cap \bar{G}^*$ ,  $U_n = \bar{G}^*$  ( $n=1, 2, \dots$ ) in Lemma 2, we obtain a sequence  $\{\delta_n\}_{n=1}^\infty$  of regular closed sets in  $G$  such that  $\bigcap_{n=1}^\infty \delta_n = \emptyset$ ,  $\overline{G - \delta_n^{i*}} \cap \bar{\delta}_{n+1}^* = \emptyset$  ( $n=1, 2, \dots$ ) and  $1_{\delta_n}^G \rightarrow u$  as  $n \rightarrow \infty$ . Since each  $\bar{\delta}_n^*$  is a neighborhood of  $B \cap \bar{G}^*$  in  $G^*$ , there is a neighborhood  $V_n$  of  $B$  in  $R^*$  such that  $V_n \cap \bar{G}^* \subset \bar{\delta}_n^*$  ( $n=1, 2, \dots$ ). Secondly setting  $R=R$ ,  $A=B$ ,  $U_n = V_n$  ( $n=1, 2, \dots$ ) in Lemma 2, we have a sequence  $\{F_n\}_{n=1}^\infty$  of regular closed sets in  $R$  such that  $\bigcap_{n=1}^\infty F_n = \emptyset$ ,  $\overline{R - F_n^{i*}} \cap \bar{F}_{n+1}^* = \emptyset$  ( $n=1, 2, \dots$ ) and  $1_{F_n} \rightarrow \omega(B)$  as  $n \rightarrow \infty$ . Since

$F_n \cap G \subset U_n \cap G \subset \delta_n$  and  $\overline{F_n \cap G^*}$  is a neighborhood of  $B \cap \overline{G^*}$  ( $n=1, 2, \dots$ ), we see that  $1_{\overline{F_n \cap G}}^G \rightarrow u$  as  $n \rightarrow \infty$ . It follows from (a) in Proposition 1 that

$$\omega(B) - u = (\omega(B))_{R-G} = H_{\omega(B)}^G \quad \text{on } G.$$

(b) Since  $\overline{R-G^*} \cap B = \emptyset$ , we can take  $\{F_n\}_{n=1}^\infty$  in (a) in such way that  $\overline{R-G^*} \cap \overline{F_n^*} = \emptyset$ . Then  $\overline{R-G^W} \cap \overline{F_n^W} = \emptyset$ . Thus it follows from (b) in Proposition 1 that  $\omega(B) = \lim_{n \rightarrow \infty} 1_{F_n} = 0$  if and only if  $u = \lim_{n \rightarrow \infty} 1_{\overline{F_n}} = 0$ .

COROLLARY (cf. [19; Lemma 6]). *For a closed subset  $B$  of  $\Delta_Q(Q=D, W)$ ,  $\omega^Q(B) = 0$  if and only if  $\omega^{Q, R_0}(B) = 0$ .*

### 2.3 Full-superharmonic functions<sup>3)</sup>

Let  $s$  be a non-negative full-superharmonic function on  $R_0$  and  $F$  be a closed set in  $R$ . We refer to [3] for the definition of full-superharmonic functions and the (full-) reduced function  $s_{\overline{F}}$ .

*Properties of  $s_{\overline{F}}$  ([3]):*

(C, 1) If  $s$  is a Dirichlet function on  $R$ ,  $s=0$  on  $K_0$  and  $s$  is a non-negative full-superharmonic function on  $R_0$ , then

$$s_{\overline{F}} = s^{K_0 \cup F} \quad \text{on } R_0 - F.$$

(C, 2) If  $F_1 \subset F_2$  and  $s_1 \leq s_2$  *q.p.* on  $F_1$ , then  $(s_1)_{\overline{F_1}} \leq (s_2)_{\overline{F_2}}$ .

(C, 3) If  $F_1 \subset F_2$ , then  $s_{\overline{F_1}} = (s_{\overline{F_1}})_{\overline{F_2}} = s_{\overline{F_2}}|_{\overline{F_1}}$ .

(C, 4)  $(a_1 s_1 + a_2 s_2)_{\overline{F}} = a_1 (s_1)_{\overline{F}} + a_2 (s_2)_{\overline{F}}$  ( $a_1, a_2 \geq 0$ ).

(C, 5)  $s_{\overline{F_1 \cup F_2}} + s_{\overline{F_1 \cup F_2}} \leq s_{\overline{F_1}} + s_{\overline{F_2}}$ .

(C, 6) If  $s_n \uparrow s$  as  $n \uparrow \infty$ , then  $(s_n)_{\overline{F}} \uparrow s_{\overline{F}}$  as  $n \uparrow \infty$ .

LEMMA 4 (cf. [19]). *Let  $\{F_n\}_{n=1}^\infty$  be a sequence of regular closed subsets of  $R_0$  such that  $F_n \supset F_{n+1}$  ( $n=1, 2, \dots$ ) and  $\bigcap_{n=1}^\infty F_n = \emptyset$ . Then  $1_{\overline{F_n}}$  converges locally uniformly on  $R_0$  and in Dirichlet norm as  $n \rightarrow \infty$ . Furthermore, setting  $u = \lim_{n \rightarrow \infty} 1_{\overline{F_n}}$ , we have*

( $\alpha$ ) *If  $F$  is a regular closed subset of  $R_0$  such that  $F \supset F_{n_0}$  for some  $n_0$ , then  $u_{\overline{F}} = u$  on  $R_0$ .*

( $\beta$ ) *If  $u$  is positive, then  $\sup_{F_n} u = 1$  for each  $n$ .*

$$(\gamma) \quad \|1_{\overline{F_n}}\|^2 = \int_{\partial K_0} \frac{\partial}{\partial \nu} (1_{\overline{F_n}}) ds \quad \text{and} \quad \|u\|^2 = \int_{\partial K_0} \frac{\partial u}{\partial \nu} ds.$$

LEMMA 5. *Let  $s$  be a non-negative full-superharmonic function on  $R_0$  and  $F$  be a closed subset of  $R_0$ . If  $G$  is a component of  $R_0 - F$ , then  $s_{\overline{F}} = s_{\widehat{\overline{F}}} = s_{\widehat{\overline{G}}}$  on  $G$ .*

3) This is called superharmonic by Z. Kuramochi [6] and "positive vollsuperharmonisch" in [3].

PROOF. Let  $D$  be a relatively compact open disk in  $R$  such that  $K_0 \subset D$  and  $(D \cup \partial D) \cap F = \emptyset$ . For each integer  $n > 0$ , we set  $s_n = \min(s_{\widetilde{R_0 - D}}, n)$ . Since  $s_n$  is bounded and the total mass of the measure associated with  $s_n$  is finite, it follows from Satz 17.3 in [3] that  $s_n$  is a Dirichlet function. Hence it follows from (A, 5) that  $(s_n)_{\widetilde{F}} = (s_n)_{\partial \widetilde{F}} = (s_n)_{\partial \widetilde{G}}$  on  $G$ . Since  $s_{\widetilde{R_0 - D}} = s$  on  $R_0 - (D \cup \partial D)$ , by letting  $n \rightarrow \infty$ , we complete the proof by (C, 6).

## 2.4 Relative full-reduced functions

Let  $G$  be a regular open subset of  $R$ . Let  $F$  be a non-polar closed subsets of  $G$  such that  $\overline{R - G^D} \cap \overline{F^D} = \emptyset$ . Then there exists a function  $f$  in  $BCD$  such that  $f = 0$  on  $R - G$  and  $= 1$  on  $F$ . Since  $f^{(R - G) \cup F}$  does not depend on the choice of such an  $f$ , we shall denote it by  $1_{\widetilde{F}}^G$ . If  $F$  is a regular closed set, then  $1_{\widetilde{F}}^G$  is continuous. We note that if  $F$  is a regular closed subset of  $R_0$ , then  $1_{\widetilde{F}^0}^G = 1_{\widetilde{F}}$  on  $R_0$ . Let  $\{F_n\}_{n=1}^{\infty}$  be a decreasing sequence of regular closed subsets of  $G$  such that  $\bigcap_{n=1}^{\infty} F_n = \emptyset$ . Suppose  $\overline{R - G^D} \cap \overline{F_1^D} = \emptyset$ . Then  $1_{\widetilde{F}_n}^G$  is defined for each  $n$ . By an argument similar to the proof of Lemma 4 (see [19; Proposition 2]), we can show that  $1_{\widetilde{F}_n}^G$  tends to a function, say  $u$ , on  $G$  locally uniformly and in Dirichlet norm as  $n \rightarrow \infty$ . Furthermore  $u$  is harmonic in  $G$ .

The following Lemma is known ([6], [10]).

LEMMA 6. *Let  $u$  be the function defined above. Suppose  $u \neq 0$  and  $C_t = \{z \in G; u(z) = t\}$  ( $0 < t < 1$ ). Then*

$$\int_{C_t} \frac{\partial u}{\partial \nu} ds = \|u\|^2 \quad \text{for almost all } t, 0 < t < 1.$$

LEMMA 7 ([9; Theorem 5]). *Let  $G$  be a regular open subset of  $R_0$ . Let  $\{F_n\}_{n=1}^{\infty}$  be a decreasing sequence of regular closed subsets of  $G$  such that  $\bigcap_{n=1}^{\infty} F_n = \emptyset$ . Suppose  $\overline{R - G^D} \cap \overline{F_1^D} = \emptyset$ . Then  $\lim_{n \rightarrow \infty} 1_{\widetilde{F}_n} = 0$  if and only if  $\lim_{n \rightarrow \infty} 1_{\widetilde{F}_n}^G = 0$ .*

PROOF. By (A, 2), (A, 3) and (A, 4), we see that

$$1_{\widetilde{F}_n}^G \leq 1_{\widetilde{F}_n} \quad \text{on } R \quad (n = 1, 2, \dots).$$

On the other hand, it follows from the Dirichlet principle (A, 1) that

$$\|1_{\widetilde{F}_n}\| \leq \|1_{\widetilde{F}_n}^G\| \quad (n = 1, 2, \dots).$$

These two inequalities imply our assertion.

## 2.5 Full-reduced functions on the ideal boundary

Let  $R^*$  be a compactification of  $R$ . Let  $u$  be a non-negative full-super-

harmonic function on  $R_0$ . For a closed subsets  $A$  of  $A$ , we consider the following class:

$$\mathcal{S}_{A,R^*}^u = \left\{ \begin{array}{l} s; \text{ full-superharmonic } \geq 0 \text{ on } R_0, s \geq u \text{ on } U \cap R_0 \\ \text{for some neighborhood } U \text{ of } A \text{ in } R^*. \end{array} \right\}$$

Then the function

$$u_{\bar{A}}(a) = \inf \{s(a); s \in \mathcal{S}_{A,R^*}^u\} (a \in R_0)$$

is harmonic, full-superharmonic on  $R_0$  and  $0 \leq u_{\bar{A}} \leq u$ . We denote  $1_{\bar{A}}$  by  $\bar{\omega}(A) = \bar{\omega}_a(A)$ .

REMARK: For the Kuramochi compactification, the above function  $u_{\bar{A}}$  does not necessarily equal the one defined in [3] (p. 197). However, for  $u = 1$ , we can prove that they are identical.

By a discussion similar to that in the proof of Lemma 2, we can prove

LEMMA 8 (cf. [19; Lemma 6]). *Let  $u$  and  $A$  be as above. Let  $\{U_n\}_{n=1}^\infty$  be any sequence of neighborhoods of  $A$  in  $R^*$ . Then there exists a sequence  $\{F_n\}_{n=1}^\infty$  of regular closed subsets of  $R_0$  such that*

- (a)  $\bar{F}_n^*$  is a neighborhood of  $A$ ,
- (b)  $U_n \cap R_0 \supset F_n$  ( $n = 1, 2, \dots$ ) and  $\bigcap_{n=1}^\infty F_n = \emptyset$ ,
- (c)  $\overline{R - F_n^*} \cap \bar{F}_{n+1}^* = \emptyset$  ( $n = 1, 2, \dots$ ),
- (d)  $u_{F_n}$  decreases to  $u_{\bar{A}}$  as  $n \rightarrow \infty$ .

LEMMA 9. *Let  $u$  be a Dirichlet function on  $R$  such that  $u$  is a non-negative full-superharmonic function on  $R_0$ . Let  $\{F_n\}_{n=1}^\infty$  be a sequence of regular closed sets in  $R$  which satisfies (a)-(d) in Lemma 8. Then  $u_{\bar{A}}$  is a Dirichlet function and we have*

(i)  $\|u_{F_n} - u_{\bar{A}}\| \rightarrow 0$  as  $n \rightarrow \infty$  and  $\|u_{F_n}\|$  decreases to  $\|u_{\bar{A}}\|$  as  $n \rightarrow \infty$ . In particular,  $\|1_{F_n} - \bar{\omega}(A)\| \rightarrow 0$  and  $\|1_{F_n}\|$  decreases to  $\|\bar{\omega}(A)\|$  as  $n \rightarrow \infty$ .

(ii) *If  $F$  is a regular closed subset of  $R_0$  such that  $\bar{F}^*$  is a neighborhood of  $A$  in  $R^*$ , then  $(u_{\bar{F}} - u_{\bar{A}}, u_{\bar{A}}) = 0$  and  $\|u_{\bar{A}}\| \leq \|u_{\bar{F}}\|$ .*

PROOF. (i) By (C, 1) and (A, 1), we see that

$$(u_{F_n} - u_{F_m}, u_{F_m}) = 0 \quad \text{if } m > n.$$

It follows that  $\|u_{F_n}\|$  is decreasing and  $\{u_{F_n}\}_{n=1}^\infty$  is a Cauchy sequence in Dirichlet norm. Since  $u_{F_n}$  tends to  $u_{\bar{A}}$  on  $R_0$  as  $n \rightarrow \infty$ , we see that  $u_{\bar{A}}$  is a Dirichlet function and  $\|u_{F_n} - u_{\bar{A}}\| \rightarrow 0$  as  $n \rightarrow \infty$ . It also follows that  $\|u_{F_n}\|$  decreases to  $\|u_{\bar{A}}\|$  as  $n \rightarrow \infty$ .

(ii) We may assume that  $F \supset F_1$ . Then we have

$$(u_{\bar{F}} - u_{F_n}, u_{F_n}) = 0$$

for each  $n$ . By letting  $n \rightarrow \infty$ , we obtain that  $(u_{\bar{F}} - u_{\bar{A}}, u_{\bar{A}}) = 0$ . Hence  $\|u_{\bar{A}}\| \leq \|u_{\bar{F}}\|$ .

By the aid of (C, 2)–(C, 5) and Lemma 8, we can show the following:

- (D, 1) If  $A_1 \subset A_2$  and  $u_1 \leq u_2$ , then  $(u_1)_{\bar{A}_1} \leq (u_2)_{\bar{A}_2}$ .
- (D, 2) If  $A_1 \subset A_2$ , then  $u_{\bar{A}_1} = (u_{\bar{A}_1})_{\bar{A}_2} = (u_{\bar{A}_2})_{\bar{A}_1}$ .
- (D, 3)  $(a_1 u_1 + a_2 u_2)_{\bar{A}} = a_1 (u_1)_{\bar{A}} + a_2 (u_2)_{\bar{A}}$  ( $a_1, a_2$ ; constant  $\geq 0$ ).
- (D, 4)  $u_{\widetilde{A_1 \cup A_2}} + u_{\widetilde{A_1 \cap A_2}} \leq u_{\bar{A}_1} + u_{\bar{A}_2}$ .

Since  $R_D^*$  is a quotient space of  $R_W^*$  and a full-superharmonic function is superharmonic, we have the following

$$\begin{aligned} \text{LEMMA 10. } \omega^{W, R_0}(\bar{F}_1^W \cap \bar{F}_2^W \cap \Delta_W) &\leq \omega^{D, R_0}(\bar{F}_1^D \cap \bar{F}_2^D \cap \Delta_D) \\ &\leq \tilde{\omega}(\bar{F}_1^D \cap \bar{F}_2^D \cap \Delta_D) \end{aligned}$$

for any regular closed sets  $F_1$  and  $F_2$  in  $R$ .

We can easily see

LEMMA 11. Let  $R^*$  be an arbitrary compactification of  $R$  and let  $\{F_n\}_{n=1}^\infty$  be a sequence of regular closed subsets of  $R_0$  such that  $F_n \supset F_{n+1}$  ( $n=1, 2, \dots$ ) and  $\bigcap_{n=1}^\infty F_n = \emptyset$ . We set  $A = \bigcap_{n=1}^\infty \bar{F}_n^*$ . If  $u$  is a non-negative full-superharmonic function on  $R_0$ , then  $u_{\bar{A}} \geq \lim_{n \rightarrow \infty} u_{\bar{F}_n}$ .

## 2.6 Full-reduced functions on the Royden boundary

LEMMA 12. Let  $u$  be a bounded continuous, non-negative, full-superharmonic function on  $R_0$ . If  $u$  is a Dirichlet function on  $R_0$  and  $F$  is a regular closed subset of  $R_0$ , then

$$u_{\bar{F}} \geq u_{\widetilde{F^D \cap \Delta_D}}.$$

PROOF. Since  $u$  and  $u_{\bar{F}}$  are bounded continuous Dirichlet functions on  $R_0$ ,  $v = u - u_{\bar{F}}$  can be continuously extended over  $R_0 \cup \Delta_D$ . We denote by  $v^*$  the continuous extension of  $v$ . For each  $\varepsilon > 0$ , we set  $U_\varepsilon = \{z \in R_0 \cup \Delta_D; v^*(z) < \varepsilon\}$ . Since  $v^* = 0$  on  $\bar{F}^D$ ,  $U_\varepsilon$  is an open neighborhood of  $\bar{F}^D \cap \Delta_D$  and  $u_{\bar{F}} + \varepsilon > u$  on  $U_\varepsilon \cap R_0$ . Hence  $u_{\bar{F}} + \varepsilon \geq u_{\widetilde{F^D \cap \Delta_D}}$ . Since  $\varepsilon$  is arbitrary, we have  $u_{\bar{F}} \geq u_{\widetilde{F^D \cap \Delta_D}}$ .

By the above lemma and Lemma 11, we obtain

COROLLARY 1. Let  $\{F_n\}_{n=1}^\infty$  be a sequence of regular closed subsets of  $R_0$  such that  $F_n \supset F_{n+1}$  ( $n=1, 2, \dots$ ) and  $\bigcap_{n=1}^\infty F_n = \emptyset$  and let  $A = \bigcap_{n=1}^\infty \bar{F}_n^D$ . Then  $u_{\bar{F}_n}$  converges to  $u_{\bar{A}}$  locally uniformly and in Dirichlet norm as  $n \rightarrow \infty$ .

COROLLARY 2. Let  $\{R_n\}_{n=1}^\infty$  be an exhaustion of  $R$  and let  $F$  be a regular closed subset of  $R_0$ . Then  $u_{\widetilde{F - R_n}}$  converges to  $u_{\widetilde{F^D \cap \Delta_D}}$  locally uniformly and in

Dirichlet norm as  $n \rightarrow \infty$ . In particular,  $1_{\widetilde{F-R_n}}$  converges to  $\tilde{\omega}(\bar{F}^D \cap \mathcal{A}_D)$  locally uniformly and in Dirichlet norm as  $n \rightarrow \infty$ .

**2.7 Capacity on the Royden boundary ([19])**

Let  $A$  be a closed subset of  $\mathcal{A}_D$ . Then, by (i) in Lemma 9, we see that  $\|\tilde{\omega}(A)\| < \infty$ . We define

$$C(A) = \frac{1}{2\pi} \int_{\partial K_0} \frac{\partial \tilde{\omega}(A)}{\partial \nu} ds$$

and call  $C(A)$  the capacity of  $A$  (with respect to  $K_0$ ). By ( $\gamma$ ) of Lemma 4, we can show that  $C(A) = (1/2\pi)\|\tilde{\omega}(A)\|^2$ . It follows from (D, 1), (D, 4) and Lemma 9 that  $A \rightarrow C(A)$  is a capacity in the sense of G. Choquet [2].

We can show that if  $\pi$  is the canonical mapping of  $R_D^*$  onto  $R_N^*$ , then  $C(\pi^{-1}(A)) = \tilde{C}(A)$  for any closed set  $A$  in  $\mathcal{A}_N$ , where  $\tilde{C}$  is the Kuramochi capacity (see [3]).

**PROPOSITION 3.**  $C(\mathcal{A}_D) = 0$  where  $\mathcal{A}_D = \mathcal{A}_D - \Gamma_D$ .

**PROOF.** Since  $\mathcal{A}_D$  is an open set, it is sufficient to show that an arbitrary compact subset  $K$  of  $\mathcal{A}_D$  is of capacity zero. By Hilfssatz 9.1 in [3], we see that there exists a finite continuous Green potential  $p$  with finite energy such that  $\lim_{a \rightarrow K} p(a) = \infty$ . Since  $p$  is a continuous Dirichlet function, so is  $p_0 = p - p_{K_0}$ . For any  $\varepsilon > 0$ , there exists a regular closed subset  $F$  of  $R_0$  such that  $\bar{F}^D$  is a neighborhood of  $K$  and  $p_0 \geq 1/\varepsilon$  on  $F$ . Since  $\min(\varepsilon p_0, 1) = 0$  on  $K_0$  and  $= 1$  on  $F$ , it follows from (C, 1) and (A, 1) that

$$\|1_{\bar{F}}\| \leq \|\min(\varepsilon p_0, 1)\|.$$

Hence, by (ii) of Lemma 9, we have

$$\|\tilde{\omega}(K)\| \leq \|1_{\bar{F}}\| \leq \|\min(\varepsilon p_0, 1)\| \leq \varepsilon \|p_0\|.$$

Since  $\varepsilon$  is arbitrary, we have  $\tilde{\omega}(K) = 0$ . Hence  $C(K) = 0$ . This completes the proof.

**COROLLARY.** If  $\xi$  is a point in  $\mathcal{A}_D$  with  $C(\{\xi\}) > 0$ , then it is contained in  $\Gamma_D$ .

**§3 Singular points on the Kuramochi boundary**

**3.1 Singular points and thin sets**

For  $b \in \mathcal{A}_N$ , let  $\tilde{g}_b$  be the Kuramochi kernel (with respect to  $R_0$ ) ([3]). Let  $\tilde{C}$  be the Kuramochi capacity on  $R_0 \cup \mathcal{A}_N$ . We denote by  $\mathcal{A}_1$  the set of all minimal points in  $\mathcal{A}_N$ . Let  $b$  be a point in  $\mathcal{A}_N$ . If  $\tilde{C}(\{b\}) > 0$ , then  $b$  is called *singular*. Furthermore if  $\omega^N(\{b\}) > 0$ , then  $b$  is called *strictly singular*. We

denote by  $\mathcal{A}_S$  (resp.  $\mathcal{A}_{SS}$ ) the set of all singular (resp. strictly singular) points<sup>4)</sup>. Then  $\mathcal{A}_{SS} \subset \mathcal{A}_S \subset \mathcal{A}_1$ . A point  $b$  in  $\mathcal{A}_1$  belongs to  $\mathcal{A}_S$  if and only if  $\tilde{g}_b$  is bounded. It is known that if  $R$  belongs to  $O_{HD}-O_G$ , then  $\mathcal{A}_{SS}$  consists of only one point. Z. Kuramochi [8] constructed a Riemann surface with  $\mathcal{A}_S - \mathcal{A}_{SS} \neq \emptyset$ .

The following lemma is known (cf. [3; Folgesatz 17.22]).

LEMMA 13. *Let  $b$  be a point in  $R_0 \cup \mathcal{A}_N$  and  $F_\alpha = \{z \in R_0; \tilde{g}_b(z) \geq \alpha\}$  ( $0 < \alpha < \sup \tilde{g}_b$ ). Then we have*

- (a)  $(\tilde{g}_b)_{\bar{F}_\alpha} = \min(\tilde{g}_b, \alpha) = \tilde{g}_b$  on  $R_0 - F_\alpha$ ,
- (b)  $\|\tilde{g}_b\|_{R_0 - F_\alpha}^2 = \|\min(\tilde{g}_b, \alpha)\|^2 = 2\pi\alpha$ ,
- (c) *If  $b$  is a point in  $\mathcal{A}_S$ , then  $\tilde{g}_b = (\sup \tilde{g}_b)\tilde{\omega}(\{b\})$  and  $\|\tilde{g}_b\| < +\infty$ .*

A closed set  $F$  in  $R$  is said to be *thin* at  $b \in \mathcal{A}_1$  if  $(\tilde{g}_b)_{\bar{F}} \neq \tilde{g}_b$ .

*Properties of thinness* (cf. [3]):

- (E, 1) If  $F_1 \subset F_2$  and  $F_2$  is thin at  $b$ , then so is  $F_1$ .
- (E, 2) If both  $F_1$  and  $F_2$  are thin at  $b$ , then so is  $F_1 \cup F_2$ .
- (E, 3) If  $b \notin \bar{F}^N$ , then  $F$  is thin at  $b$ .
- (E, 4) If  $b \in \mathcal{A}_S$ , then  $F$  is thin at  $b$  if and only if  $(\tilde{\omega}(\{b\}))_{\bar{F}} \equiv \tilde{\omega}(\{b\})$ .

The following proposition is essentially due to Z. Kuramochi ([17; Theorem 8]).

PROPOSITION 4. *Let  $b$  be a point in  $\mathcal{A}_S$ . Let  $F_1, F_2$  be regular closed subsets of  $R_0$  such that  $\bar{F}_1^D \cap \bar{F}_2^D = \emptyset$ . If  $F_1$  is not thin at  $b$ , then  $F_2$  is thin at  $b$ .*

PROOF. Let  $\{V_n\}_{n=1}^\infty$  be a sequence of regular closed subsets of  $R_0$  such that  $\bar{V}_n^N$  is a neighborhood of  $b$ ,  $V_n \supset V_{n+1}$  ( $n=1, 2, \dots$ ) and  $\bigcap_{n=1}^\infty \bar{V}_n^N = \{b\}$ . We set  $u = \tilde{\omega}(\{b\}) = \lim_{n \rightarrow \infty} \mathcal{V}_n$ . Let  $f_n = 1_{\bar{F}}^G$  where  $G = R_0 - F_2$  and  $\bar{F} = V_n \cup F_1$  ( $n=1, 2, \dots$ ) and let  $v = \lim_{n \rightarrow \infty} f_n$ . Since  $b \notin \bar{F}_1 - \bar{V}_n^{iN}$ ,  $F_1 - V_n^i$  is thin at  $b$  by (E, 3). Since  $F_1 = (F_1 \cap V_n) \cup (F_1 - V_n^i)$  and  $F_1$  is not thin at  $b$ ,  $F_1 \cap V_n$  is not thin at  $b$ . Hence  $u_{\widetilde{F_1 \cap V_n}} = u$  ( $n=1, 2, \dots$ ) by (E, 4). Since  $u_{\widetilde{F_1 \cap V_n}} \leq 1_{\widetilde{F_1 \cap V_n}} \leq 1_{\mathcal{V}_n} \rightarrow u$  as  $n \rightarrow \infty$ , we obtain that  $\lim_{n \rightarrow \infty} 1_{\widetilde{F_1 \cap V_n}} = u$ . Since  $\|1_{\widetilde{F_1 \cap V_n}}\| \leq \|f_n\|$ ,  $\|1_{\widetilde{F_1 \cap V_n}}\| \rightarrow \|u\|$  and  $\|f_n\| \rightarrow \|v\|$  (cf. Lemma 4), we have  $0 < \|u\| \leq \|v\|$ . Hence  $v \neq 0$ . We set  $C_t = \{z \in R_0 - F_2, v(z) = t\}$  ( $0 < t < 1$ ). It follows from Lemma 6 that there exists a subset  $E$  of  $(0, 1)$  everywhere dense in  $(0, 1)$  such that

$$\int_{C_t} \frac{\partial v}{\partial \bar{v}} ds = \|v\|_{R_0 - F_2}^2 \quad \text{for } t \in E.$$

By Lemma 3 in [10], we see that

4) A point in  $\mathcal{A}_S - \mathcal{A}_{SS}$  is called a singular point of first kind and a point in  $\mathcal{A}_{SS}$  is called a singular point of second kind by Z. Kuramochi [7].

$$\int_{C_t} u_{\tilde{F}_2} \frac{\partial v}{\partial \nu} ds$$

is a constant for all  $t \in E$ . Let  $t_1$  be an arbitrary number in  $E$ . Since  $0 < u_{\tilde{F}_2} \leq u < 1$  on  $R_0 - F_2$ , we can find  $\delta > 0$  such that

$$\int_{C_{t_1}} u_{\tilde{F}_2} \frac{\partial v}{\partial \nu} ds \leq \|v\|_{R_0 - F_2}^2 - \delta.$$

Hence we have

$$\|v\|_{R_0 - F_2}^2 - \delta \geq \int_{C_{t_1}} u_{\tilde{F}_2} \frac{\partial v}{\partial \nu} ds = \lim_{t \rightarrow 1, t \in E} \int_{C_t} u_{\tilde{F}_2} \frac{\partial v}{\partial \nu} ds.$$

Let  $t_2$  be any number in  $E$  such that  $t_2 > t_1$ . Since  $u \geq v$ , we obtain that

$$\begin{aligned} \int_{C_{t_2}} u \frac{\partial v}{\partial \nu} ds &\geq \int_{C_{t_2}} v \frac{\partial v}{\partial \nu} ds = t_2 \int_{C_{t_2}} \frac{\partial v}{\partial \nu} ds \\ &= t_2 \|v\|_{R_0 - F_2}^2. \end{aligned}$$

Thus we have

$$\lim_{t \rightarrow 1, t \in E} \int_{C_t} u \frac{\partial v}{\partial \nu} ds \geq \|v\|_{R_0 - F_2}^2 \geq \delta + \lim_{t \rightarrow 1, t \in E} \int_{C_t} u_{\tilde{F}_2} \frac{\partial v}{\partial \nu} ds.$$

This shows that  $u \not\equiv u_{\tilde{F}_2}$ . Hence  $F_2$  is thin at  $b$  by (E, 4).

### 3.2 Poles of Kuramochi boundary point

Let  $R^*$  be a compactification of  $R$ . Let  $b$  be a point in  $\Delta_1 (\subset \Delta_N)$ . If  $(\tilde{g}_b)_{\{\xi\}} = \tilde{g}_b$  for  $\xi \in \Delta$ , we say that  $\xi$  is a (full-) pole of  $b$  on  $\Delta$ . We denote by  $\mathcal{O}(b)$  (resp.  $\mathcal{O}_W(b)$ ) the set of all poles of  $b$  on  $\Delta_D$  (resp.  $\Delta_W$ ). By definition, we see that the set of all poles of  $b$  on  $\Delta$  is closed, and hence both  $\mathcal{O}(b)$  and  $\mathcal{O}_W(b)$  are closed. The following lemma shows that both  $\mathcal{O}(b)$  and  $\mathcal{O}_W(b)$  are non-empty.

**LEMMA 14.** *Let  $R^*$  be a compactification of  $R$  and  $b$  be a point in  $\Delta_1$ . Then we have*

(a) *If a closed set  $F$  in  $R$  is not thin at  $b$ , then there exists at least one pole of  $b$  on  $\Delta$  which is contained in  $\bar{F}^* \cap \Delta$ .*

(b) *If  $(\tilde{g}_b)_A = \tilde{g}_b$  for a closed subset  $A$  of  $\Delta$ , then there exists at least one pole of  $b$  on  $\Delta$  which is contained in  $A$ .*

**PROOF.** Suppose every  $\xi \in \bar{F}^* \cap \Delta$  is not a pole of  $b$ . Since  $(\tilde{g}_b)_{\{\xi\}} \neq \tilde{g}_b$ , we can find a regular closed set  $F_\xi$  in  $R$  such that  $\bar{F}_\xi^*$  is a neighborhood of  $\xi$  and  $F_\xi$  is thin at  $b$ . Since  $\bar{F}^* \cap \Delta$  is compact, there exists a finite family  $\{F_{\xi_k}\}_{k=1}^n$  of regular closed sets in  $R$  such that  $\bigcup_{k=1}^n \bar{F}_{\xi_k}^*$  is a neighborhood of  $\bar{F}^* \cap \Delta$ . Since  $\bigcup_{k=1}^n \bar{F}_{\xi_k}^* = \overline{\bigcup_{k=1}^n F_{\xi_k}^*}$ , we can find a relatively compact open set  $D$

in  $R$  such that

$$F - D \subset \bigcup_{k=1}^n F_{\xi_k}.$$

Since  $\bigcup_{k=1}^n F_{\xi_k}$  is thin at  $b$  by (E, 2),  $F - D$  is thin at  $b$  by (E, 1). Hence we see that  $F$  is thin at  $b$ . This a contradiction. Thus we obtain (a). Similarly we can show (b).

**LEMMA 15.** *Let  $\pi$  (resp.  $\pi_W$ ) be the canonical mapping of  $R_D^*$  (resp.  $R_W^*$ ) onto  $R_N^*$ . If  $b$  is a point in  $\Delta_1$ , then  $\Phi(b) \subset \pi^{-1}(b)$  and  $\Phi_W(b) \subset \pi_W^{-1}(b)$ .*

**PROOF.** Let  $b_0$  be a point in  $\Delta_N$ . If  $b_0 \neq b$ , then by (E, 3)  $(\tilde{g}_b)_{\{b_0\}} \not\equiv \tilde{g}_b$ . By continuity of  $\pi$ ,  $(\tilde{g}_b)_{\pi^{-1}(b_0)} = (\tilde{g}_b)_{\{b_0\}}$ . Hence, for  $\xi \in \pi^{-1}(b_0)$ ,  $(\tilde{g}_b)_{\{\xi\}} \leq (\tilde{g}_b)_{\{b_0\}} \not\equiv \tilde{g}_b$ , so that  $(\tilde{g}_b)_{\{\xi\}} \not\equiv \tilde{g}_b$ . Thus,  $\pi^{-1}(b_0) \cap \Phi(b) = \emptyset$ , and hence  $\Phi(b) \subset \pi^{-1}(b)$ . Similarly we have  $\Phi_W(b) \subset \pi_W^{-1}(b)$ .

### 3.3 Poles on the Royden boundary

**PROPOSITION 5.** *Let  $b$  be a point in  $\Delta_S$  and  $F$  be a regular closed set in  $R$ . Then  $F$  is thin at  $b$  if and only if  $\bar{F}^D \cap \Phi(b) = \emptyset$ .*

**PROOF.** The “if” part follows from (a) in Lemma 14. To prove “only if” part, suppose  $\bar{F}^D \cap \Phi(b) \neq \emptyset$ . Since  $\tilde{g}_b$  is a Dirichlet function by (c) in Lemma 13,  $(\tilde{g}_b)_{\bar{F}^D \cap \Delta_D} \leq (\tilde{g}_b)_F$  by Lemma 12. For any  $\xi \in \bar{F}^D \cap \Phi(b)$ ,  $\tilde{g}_b = (\tilde{g}_b)_{\{\xi\}} \leq (\tilde{g}_b)_{\bar{F}^D \cap \Delta_D} \leq (\tilde{g}_b)_F \leq \tilde{g}_b$ . Therefore,  $(\tilde{g}_b)_F = \tilde{g}_b$ , i.e.,  $F$  is not thin at  $b$ . Thus the “only if” part is proved.

**COROLLARY 1.** *Let  $b \in \Delta_S$  and let  $\mathcal{G}_b = \{G \subset R; R - G \text{ is a regular closed set in } R \text{ and is thin at } b\}$ . Then  $\{G^D; G \in \mathcal{G}_b\}$  is a fundamental system of neighborhoods of  $\Phi(b)$  in  $R_D^*$ .*

**COROLLARY 2.** *If  $b$  is a point in  $\Delta_S$ , then  $\Phi(b)$  consists of only one point.*

**PROOF.** Suppose  $\Phi(b)$  contains two distinct points  $\xi_1$  and  $\xi_2$ . Then we can find two regular closed sets  $F_1$  and  $F_2$  in  $R$  such that  $\bar{F}_k^D$  is a neighborhood of  $\xi_k$  in  $R_D^*$  ( $k=1, 2$ ) and  $\bar{F}_1^D \cap \bar{F}_2^D = \emptyset$ . It follows from the Proposition that neither  $F_1$  nor  $F_2$  is thin at  $b$ . This is a contradiction by Proposition 4.

**THEOREM 1.** *For  $b \in \Delta_1$ ,  $\tilde{C}(\{b\}) = C(\Phi(b))$  and  $\omega^N(\{b\}) = \omega^D(\Phi(b))$ .*

**PROOF.** Let  $\pi$  be the canonical mapping of  $R_D^*$  onto  $R_N^*$ . By virtue of Lemma 15, we have  $\tilde{C}(\{b\}) = C(\pi^{-1}(b)) \supseteq C(\Phi(b))$  and  $\omega^N(\{b\}) = \omega^D(\pi^{-1}(b)) \supseteq \omega^D(\Phi(b))$ . Since  $\tilde{C}(\{b\}) = 0$  and  $\omega^N(\{b\}) = 0$  for  $b \in \Delta_1 - \Delta_S$ , it is sufficient to prove the theorem for  $b \in \Delta_S$ . Again by Lemma 15, it is enough to show that  $C(\pi^{-1}(b) - \Phi(b)) = 0$  and  $\omega^D(\pi^{-1}(b) - \Phi(b)) = 0$ . Let  $K$  be an arbitrary compact subset of  $\pi^{-1}(b) - \Phi(b)$ . Since  $K$  and  $\Phi(b)$  are compact and  $K \cap \Phi(b) = \emptyset$ , we can find two regular closed sets  $F_1$  and  $F_2$  in  $R$  such that  $\bar{F}_1^D \cap \bar{F}_2^D = \emptyset$ ,

$\bar{F}_1^D$  is a neighborhood of  $K$  and  $\bar{F}_2^D$  is a neighborhood of  $\emptyset(b)$ . Since  $\emptyset(b) \cap \bar{F}_2^D \neq \emptyset$ ,  $F_2$  is not thin at  $b$  by Proposition 5. Hence it follows from Proposition 4 that  $F_1$  is thin at  $b$ . Let  $\{V_n\}_{n=1}^\infty$  be a sequence of regular closed subsets of  $R_0$  such that  $\bar{V}_n^N$  is a neighborhood of  $b$ ,  $V_n \supset V_{n+1}$  ( $n=1, 2, \dots$ ) and  $\bigcap_{n=1}^\infty \bar{V}_n^N = \{b\}$ . Since  $K \subset \pi^{-1}(b)$ ,  $\bar{V}_n^D$  is a neighborhood of  $K$  in  $R^*$ . Hence  $U_n = \bar{V}_n^D \cap \bar{F}_1^D$  is a neighborhood of  $K$  in  $R_D^*$ . Therefore, applying Lemma 8 with  $u=1$ ,  $A=K$  and the above  $U_n$ , we obtain a sequence  $\{\delta_n\}_{n=1}^\infty$  of regular closed sets in  $R$  such that  $\bar{\delta}_n^D$  is a neighborhood of  $K$ ,  $\delta_n \subset F_1 \cap V_n$ ,  $\bigcap_{n=1}^\infty \delta_n = \emptyset$ ,  $\overline{R - \delta_n^{iD}} \cap \bar{\delta}_{n+1}^D = \emptyset$  and  $1_{\bar{\delta}_n}$  decreases to  $1_{\bar{K}} = \bar{\omega}(K)$ . Since  $F_1$  is thin at  $b$ ,  $\delta_n$  is also thin at  $b$  by (E, 1). Suppose  $\bar{\omega}(K) > 0$ . Since the measure associated with  $1_{\bar{\delta}_n}$  is supported by  $\bar{\delta}_n^N$ , the measure associated with  $\bar{\omega}(K)$  is supported by  $\bigcap_{n=1}^\infty \bar{\delta}_n^N = \{b\}$ . Hence we see that  $\bar{\omega}(K) = c_0 \bar{g}_b$  for some  $c_0 > 0$ . It follows from (c) in Lemma 13 that  $\bar{\omega}(K) = c \bar{\omega}(\{b\})$  for some  $c > 0$ . Since  $\sup \bar{\omega}(K) = \sup \bar{\omega}(\{b\}) = 1$  by ( $\beta$ ) in Lemma 4, we have  $c = 1$ . Hence  $\bar{\omega}(K) = \bar{\omega}(\{b\})$ . Since  $(\bar{\omega}(K))_{\bar{\delta}_n} = \bar{\omega}(K)$  by ( $\alpha$ ) in Lemma 4,  $(\bar{\omega}(\{b\}))_{\bar{\delta}_n} = \bar{\omega}(\{b\})$ . This shows that  $\delta_n$  is not thin at  $b$  by (E, 4). This is a contradiction. Thus  $\bar{\omega}(K) = 0$  and  $C(K) = 0$ . It follows that  $C(\pi^{-1}(b) - \emptyset(b)) = 0$ . Since  $0 \leq \omega^{D, R_0}(K) \leq \bar{\omega}(K) = 0$ ,  $\omega^{D, R_0}(K) = 0$  for the above  $K$ . Hence, by the Corollary to Lemma 3,  $\omega^D(K) = 0$ , and hence  $\omega^D(\pi^{-1}(b) - \emptyset(b)) = 0$ .

**PROPOSITION 6.** *Let  $\pi$  be the canonical mapping of  $R_D^*$  onto  $R_N^*$  and set  $\mathcal{A}_S^D = \{\xi \in \mathcal{A}_D; C(\{\xi\}) > 0\}$  ( $\subset \Gamma_D$ ). Then*

- (a)  $\emptyset$  induces a one-to-one mapping of  $\mathcal{A}_S$  onto  $\mathcal{A}_S^D$ .
  - (b)  $\pi$  restricted on  $\mathcal{A}_S^D$  is a one-to-one mapping of  $\mathcal{A}_S^D$  onto  $\mathcal{A}_S$ .
- Furthermore,  $\pi \circ \emptyset$  is the identity on  $\mathcal{A}_S$  and  $\emptyset \circ \pi$  is the identity on  $\mathcal{A}_S^D$ .

**PROOF.** By Corollary 2 to Proposition 5, we see that  $\emptyset$  induces a mapping of  $\mathcal{A}_S$  into  $\mathcal{A}_D$ . By Theorem 1,  $\emptyset(b) \in \mathcal{A}_S^D$  for any  $b \in \mathcal{A}_S$ . Let  $\xi \in \mathcal{A}_S^D$ . Since  $1_{\widetilde{\pi(\xi)}} \geq 1_{\{\xi\}} > 0$ ,  $\pi(\xi) \in \mathcal{A}_S$ . On the other hand,  $\emptyset(\pi(\xi)) \in \mathcal{A}_S^D \cap (\pi^{-1}(\pi(\xi)))$  by the above and Lemma 15. As shown in the proof of Theorem 1,  $C(\pi^{-1}(\pi(\xi)) - \emptyset(\pi(\xi))) = 0$ . Since  $C(\{\xi\}) > 0$ , it follows that  $\xi = \emptyset(\pi(\xi))$ . Therefore,  $\emptyset$  is an onto mapping,  $\emptyset \circ \pi$  is an identity on  $\mathcal{A}_S^D$ , and hence  $\pi$  is one-to-one.

Next, let  $b \in \mathcal{A}_S$ . Again by Lemma 15,  $\pi(\emptyset(b)) \subset \pi(\pi^{-1}(b)) = \{b\}$ . Thus,  $\pi(\emptyset(b)) = b$ , so that  $\pi$  is an onto mapping,  $\pi \circ \emptyset$  is an identity on  $\mathcal{A}_S$  and  $\emptyset$  is one-to-one on  $\mathcal{A}_S$ .

### 3.4 Characterizations of singular points by poles

**THEOREM 2.** *For each  $b \in \mathcal{A}_1$ , either  $\emptyset(b)$  consists of only one point or contains an uncountable number of points accordingly as  $b$  is singular or not.*

**PROOF.** If  $b$  is singular, then, by Corollary 2 to Proposition 5,  $\emptyset(b)$  con-

sists of only one point. Next suppose  $b$  is a point in  $\Delta_1 - \Delta_S$ . Let  $\{F_n\}_{n=1}^\infty$  be a sequence of regular closed subsets of  $R_0$  such that  $\bar{F}_n^N$  is a neighborhood of  $b$ ,  $\overline{R - F_n^{iN}} \cap \bar{F}_{n+1}^N = \emptyset$  ( $n=1, 2, \dots$ ) and  $\bigcap_{n=1}^\infty \bar{F}_n^N = \{b\}$ . Then  $1_{\bar{F}_n} \rightarrow 0$  as  $n \rightarrow \infty$ . For each  $m, n$  ( $m > n$ ), let  $f_{n,m} = 1_{\bar{F}_n^G}$  where  $F = F_m$  and  $G = F_n^i$ . By Lemma 7,  $1_{\bar{F}_n} \rightarrow 0$  implies  $f_{1,n} \rightarrow 0$  as  $n \rightarrow \infty$ . It follows that  $\|f_{1,n}\| \rightarrow 0$  as  $n \rightarrow \infty$ . Thus, there is  $n_1$  such that  $\|f_{1,n_1}\| < 1/2$ . By induction, we can find a subsequence  $\{F_{n_k}\}_{k=1}^\infty$  of  $\{F_n\}_{n=1}^\infty$  such that  $\|f_{n_k, n_{k+1}}\| < 1/2^{k+1}$  ( $k=1, 2, \dots$ ). Thus we may assume that  $\|f_{n, n+1}\| < 1/2^{n+1}$  ( $n=1, 2, \dots$ ) from the beginning.

We set

$$\begin{aligned} \phi_n &= 0 \text{ on } (R - F_{2n-1}^i) \cup F_{2n+1}, = f_{2n-1, 2n} \text{ on } F_{2n-1}^i - F_{2n}, \\ &= 1 - f_{2n, 2n+1} \text{ on } F_{2n}^i - F_{2n+1} \text{ and } = 1 \text{ on } \partial F_{2n} \end{aligned}$$

( $n=1, 2, \dots$ ). Then  $\phi_n$  is a function in  $BCD$  and  $\|\phi_n\| < 1/2^n$ . Then, it is easy to see that  $f = \sum_{n=1}^\infty \phi_n$  belongs to  $BCD$ . For each  $\alpha$  ( $0 < \alpha < 1$ ), we set

$$\Omega_{\alpha, n} = \{z \in F_{2n-1}; f(z) \geq \alpha\} \cup F_{2n}$$

and

$$C_\alpha = \{z \in R; f(z) = \alpha\}.$$

Then  $\Omega_{\alpha, n}$  and  $C_\alpha$  are regular closed and  $\partial \Omega_{\alpha, n} \subset C_\alpha$ . Since  $(\tilde{g}_b)_{\tilde{\alpha}_{\alpha, n}} = \tilde{g}_b$  on  $R_0$ ,  $(\tilde{g}_b)_{\tilde{\alpha}_{\alpha, n}} = \tilde{g}_b$  on  $R_0 - \Omega_{\alpha, n}$  by Lemma 5. This shows that  $(\tilde{g}_b)_{\tilde{c}_\alpha} = \tilde{g}_b$  on  $R_0$  for each  $\alpha$ . We set  $A_\alpha = \bar{C}_\alpha^D \cap \Delta_D$ . For an arbitrary  $\alpha$  ( $0 < \alpha < 1$ ), let  $\{R_n\}_{n=1}^\infty$  be an exhaustion of  $R$  such that  $C_\alpha - R_n$  is regular closed in  $R$ . Since  $C_\alpha = (C_\alpha - R_n) \cup (C_\alpha \cap (R_n \cup \partial R_n))$  and  $C_\alpha \cap (R_n \cup \partial R_n)$  is thin at  $b$  by (E, 3), we have  $(\tilde{g}_b)_{\tilde{c}_{\alpha - R_n}} = (\tilde{g}_b)_{\tilde{c}_\alpha} = \tilde{g}_b$  on  $R_0$  for each  $n$ . Hence it follows from Lemma 11 that

$$\tilde{g}_b \geq (\tilde{g}_b)_{\tilde{\alpha}_\alpha} \geq \lim_{n \rightarrow \infty} (\tilde{g}_b)_{\tilde{c}_{\alpha - R_n}} = \tilde{g}_b \quad \text{on } R_0.$$

Hence  $(\tilde{g}_b)_{\tilde{\alpha}_\alpha} = \tilde{g}_b$  on  $R_0$  for each  $\alpha$ . By (b) in Lemma 14, there exists at least one pole  $z(\alpha)$  of  $b$  on  $A_\alpha$  for each  $\alpha$ . If  $\alpha \neq \alpha'$ , then  $A_\alpha \cap A_{\alpha'} = \emptyset$  since  $f \in BCD$ . Hence  $\mathcal{O}(b)$  is uncountable. This completes the proof.

As for  $\mathcal{O}_W(b)$ , we have

**THEOREM 3.** *For a point  $b$  in  $\Delta_1 - \Delta_{SS}$ ,  $\mathcal{O}_W(b)$  contains an uncountable number of points. For a point  $b$  in  $\Delta_{SS}$ ,  $\mathcal{O}_W(b)$  does not necessarily consist of a single point.*

**PROOF.** First let  $b$  be a point in  $\Delta_1 - \Delta_{SS}$ . Let  $\{F_n\}_{n=1}^\infty$  be a sequence of regular closed sets in  $R$  such that  $\bar{F}_n^N$  is a neighborhood of  $b$  in  $R_N^*$ ,  $\overline{R - F_n^{iN}} \cap \bar{F}_{n+1}^N = \emptyset$  ( $n=1, 2, \dots$ ) and  $\bigcap_{n=1}^\infty \bar{F}_n^N = \{b\}$ . Then, by assumption, we see that

$1_{F_n} \rightarrow 0$  as  $n \rightarrow \infty$ . Since  $\overline{R - F_n^{iW}} \cap \overline{F_{n+1}^W} = \emptyset$  ( $n=1, 2, \dots$ ) and  $\bigcap_{n=1}^{\infty} F_n = \emptyset$ , we can apply Proposition 2 to this case and obtain a function  $f$  in  $BCW$  such that  $f=1$  on  $\partial F_{2n}$  and  $f=0$  on  $\partial F_{2n-1}$  ( $n=1, 2, \dots$ ). For each ( $0 < \alpha < 1$ ), we set

$$C_\alpha = \{z \in R; f(z) = \alpha\} \text{ and } A_\alpha = \overline{C}_\alpha^W \cap \Delta_W.$$

By a discussion similar to the proof of Theorem 2, we obtain that  $(\tilde{g}_b)_{A_\alpha} = \tilde{g}_b$  on  $R_0$  for each  $\alpha$  and that  $\mathcal{O}_W(b)$  is uncountable.

Secondly suppose  $R$  belongs to  $O_{HD} - O_{HB}$ . Then  $\Delta_{SS}$  consists of a single point  $b$ . Furthermore  $\Gamma_D$  consists of a single point  $\mathcal{O}(b)$  and  $\Gamma_W$  contains at least two distinct points  $\xi_1$  and  $\xi_2$ . Then we can find two regular closed sets  $F_1$  and  $F_2$  in  $R$  such that  $\overline{F_k^W}$  is a neighborhood of  $\xi_k$  ( $k=1, 2$ ) and  $\overline{F_1^W} \cap \overline{F_2^W} = \emptyset$ . Since both  $\xi_1$  and  $\xi_2$  are mapped to  $\mathcal{O}(b)$  by the canonical mapping of  $R_W^*$  onto  $R_D^*$ , we see that  $\mathcal{O}(b) \in \overline{F_k^D}$  ( $k=1, 2$ ). Hence  $(\tilde{g}_b)_{\overline{F_k^D}} = \tilde{g}_b$  on  $R_0$  by Proposition 5, and hence  $(\tilde{g}_b)_{\overline{F_k^W} \cap \Delta_W} = \tilde{g}_b$  on  $R_0$  ( $k=1, 2$ ) by Lemma 11. This shows that both  $\xi_1$  and  $\xi_2$  belong to  $\mathcal{O}_W(b)$ . This completes the proof.

### 3.5 A property of Riemann surfaces belonging to $O_{HD} - O_{HB}$

PROPOSITION 7 (cf. [3; Satz 9.10]). *If  $\xi$  is a point in  $\Delta_D$  with  $C(\{\xi\}) > 0$ , then there exists a fundamental system of open connected neighborhoods of  $\xi$  in  $R_D^*$ .*

PROOF. Let  $\pi$  be the canonical mapping of  $R_D^*$  onto  $R_N^*$ . By Proposition 6, we have  $\mathcal{O}(\pi(\xi)) = \xi$ . By Theorem 1, we see that  $\tilde{\omega}(\{\xi\}) = 1_{\{\xi\}} = 1_{\pi(\xi)} = (\sup \tilde{g}_{\pi(\xi)})^{-1} \tilde{g}_{\pi(\xi)}$ . We set  $u = \tilde{\omega}(\{\xi\})$ . Let  $U$  be an arbitrary neighborhood of  $\xi$  in  $R_D^*$  such that  $U \cap R$  is a regular open set in  $R$  and  $K_0 \cap ((U \cap R) \cup \partial(U \cap R)) = \emptyset$ . Then  $F = R - U \cap R$  is a regular closed set in  $R$ . Since  $\xi \notin \overline{F^D}$ , it follows from Proposition 5 that  $F$  is thin at  $\pi(\xi)$ , and hence  $u_F \not\equiv u$ . Hence there exists a connected component  $G$  of  $R_0 - F = U \cap R$  such that  $u_F < u$  on  $G$ . Since  $u_{\overline{R-G}} = u_{\partial G} \leq u_F$  on  $G$  by Lemma 5, we see that  $u_{\overline{R-G}} \not\equiv u$ . Hence  $\xi \notin \overline{R - G^D}$  by Proposition 5. Thus  $\xi \in \overline{G^D}$ . Since  $\overline{G^D} - \partial \overline{G^D}$  is open in  $R_D^*$  (cf., [3; Satz 9.9]) and  $\xi \notin R_D^* - U(\subset \partial \overline{G^D})$ ,  $\overline{G^D} - \partial \overline{G^D}$  is an open connected neighborhood of  $\xi$  in  $R_D^*$ . This completes the proof.

COROLLARY 1. *Let  $\pi$  be the canonical mapping of  $R_W^*$  onto  $R_D^*$ . If  $\xi$  is a point in  $\Delta_D$  with  $C(\{\xi\}) > 0$ , then  $\pi^{-1}(\xi)$  is connected.*

PROOF. Let  $\{U_\alpha\}_{\alpha \in A}$  be a fundamental system of open connected neighborhoods of  $\xi$  in  $R_D^*$  where  $A$  is an index set. Since  $\overline{U_\alpha \cap R^W}$  is connected and  $\{\overline{U_\alpha \cap R^W}; \alpha \in A\}$  is a lower directed family, we see that  $\pi^{-1}(\xi) = \bigcap_{\alpha \in A} \overline{U_\alpha \cap R^W}$  is connected.

COROLLARY 2 ([3; Satz 9.10]). *If  $\xi$  is a point in  $\Delta_D$  with  $\omega^D(\{\xi\}) > 0$ ,*

then there exists a fundamental system of open connected neighborhoods of  $\xi$  in  $R_D^*$ .

**THEOREM 4.** *If  $R$  belongs to  $O_{HD}-O_{HB}$ , then there exists a bounded continuous Green potential  $p$  on  $R$  such that  $\omega^D(\bar{A}_\alpha^D \cap \Delta_D) > 0$  for some  $\alpha > 0$  where  $A_\alpha = \{z \in R; p(z) \geq \alpha\}$ .*

**PROOF.** If  $R \in O_{HD}-O_{HB}$ , then  $\Gamma_D$  consists of a single point  $\xi$  with  $\omega^D(\{\xi\}) > 0$ . Let  $\pi$  be the canonical mapping of  $R_W^*$  onto  $R_D^*$ . Since  $\pi(\Gamma_D) = \Gamma_W$ , we have  $\Gamma_W \subset \pi^{-1}(\Gamma_D) = \pi^{-1}(\xi)$ . Since  $\Gamma_W$  contains at least two distinct points and is totally disconnected (cf. [3; Satz 9.6]), the connectedness of  $\pi^{-1}(\xi)$  (the above Corollary 1) implies the existence of a point  $z \in A_W = \Delta_W - \Gamma_W$  such that  $\pi(z) = \xi$ . It follows from Hilfssatz 8.4 in [3] that there exists a bounded continuous Green potential  $p$  on  $R$  such that  $\lim_{a \rightarrow z} p(a) > 0$ . Let  $\alpha$  be a real number such that  $0 < \alpha < \lim_{a \rightarrow z} p(a)$ . Since  $\pi(z) = \xi$ , we see that  $\xi \in \bar{A}_\alpha^D \cap \Delta_D$ . This completes the proof.

**COROLLARY.** *If  $R$  belongs to  $O_{HD}-O_{HB}$ , then there exists a bounded continuous Green potential  $p$  on  $R$  such that  $C(\bar{A}_\alpha^D \cap \Delta_D) > 0$  for some  $\alpha > 0$ .*

#### §4 Function-theoretic separative conditions

In this section, for a given compactification  $R^*$  of  $R$  and a closed subset  $A$  of  $\Delta$ , we set  $\mathcal{V}(A) = \{F; F \text{ is regular closed in } R \text{ and } \bar{F}^* \text{ is a neighborhood of } A \text{ in } R^*\}$ .

##### 4.1 General notion of separative compactification

Let  $R \cup \Gamma$  be a compactification of  $R$  and  $\Psi$  be a capacity on  $\Gamma$  in the sense of Choquet. For a subset  $E$  of  $R$ , we denote by  $E^a$  the closure of  $E$  in  $R \cup \Gamma$ .

**DEFINITION 1.** Let  $R^*$  be a compactification of  $R$ . Then  $R^*$  is said to be  $\Psi$ -separative if  $\Psi(F_1^a \cap F_2^a) = 0$  for any regular closed sets  $F_1$  and  $F_2$  in  $R$  such that  $\bar{F}_1^* \cap \bar{F}_2^* = \emptyset$  in  $R^*$ .

The following lemma follows immediately from the definition.

**LEMMA 16.** *Let  $R_1^*$  and  $R_2^*$  be two compactifications of  $R$ . If  $R_2^*$  is a quotient space of  $R_1^*$  and  $R_1^*$  is  $\Psi$ -separative, then  $R_2^*$  is also  $\Psi$ -separative.*

**PROPOSITION 8.** *Let  $R^*$  be a compactification of  $R$ . Suppose, for any two distinct points  $\xi_1$  and  $\xi_2$  in  $\Delta$ , there exist  $A \in \mathcal{V}(\{\xi_1\})$  and  $B \in \mathcal{V}(\{\xi_2\})$  such that*

- (a)  $\bar{A}^* \cap \bar{B}^* = \emptyset$  in  $R^*$ ,

(b)  $\Psi(A^a \cap B^a) = 0$ .

Then  $R^*$  is  $\Psi$ -separative.

PROOF. Let  $F_1$  and  $F_2$  be two regular closed sets in  $R$  such that  $\bar{F}_1^* \cap \bar{F}_2^* = \emptyset$ . We shall show that  $\Psi(F_1^a \cap F_2^a) = 0$ . Let  $\alpha_k = \bar{F}_k^* \cap \Delta$  ( $k=1, 2$ ). We may assume that  $\alpha_k \neq \emptyset$  ( $k=1, 2$ ). For any  $\xi \in \alpha_1$  and  $\eta \in \alpha_2$ , we can find  $A_{\xi, \eta} \in \mathcal{V}(\{\xi\})$  and  $B_{\xi, \eta} \in \mathcal{V}(\{\eta\})$  which satisfy (a) and (b). First, fix  $\xi$ . Since  $\alpha_2$  is compact, there exists a finite number of points  $\{\eta_k\}_{k=1}^n$  in  $\alpha_2$  such that  $B_\xi = \bigcup_{k=1}^n B_{\xi, \eta_k} \in \mathcal{V}(\alpha_2)$ . We may assume that  $A_\xi = \bigcap_{k=1}^n A_{\xi, \eta_k}$  belongs to  $\mathcal{V}(\{\xi\})$ . Since  $A_\xi^a \cap B_\xi^a \subset \bigcup_{k=1}^n (A_{\xi, \eta_k}^a \cap B_{\xi, \eta_k}^a)$ , we see that  $\Psi(A_\xi^a \cap B_\xi^a) \leq \sum_{k=1}^n \Psi(A_{\xi, \eta_k}^a \cap B_{\xi, \eta_k}^a \cap \Gamma) = 0$ . Next, varying  $\eta$ , we can similarly show that there exist  $U \in \mathcal{V}(\alpha_1)$  and  $V \in \mathcal{V}(\alpha_2)$  such that  $\bar{U}^* \cap \bar{V}^* = \emptyset$  in  $R^*$  and  $\Psi(U^a \cap V^a) = 0$ . Since  $F_1^a \cap \Gamma \subset U^a \cap \Gamma$  and  $F_2^a \cap \Gamma \subset V^a \cap \Gamma$ , we obtain that  $\Psi(F_1^a \cap F_2^a) = 0$ . Hence  $R^*$  is  $\Psi$ -separative.

COROLLARY. Let  $f$  be any non-constant function in  $BC$ . Suppose there is a dense subset  $E$  of  $[\inf f, \sup f]$  such that  $\Psi(\{f \leq r_1\}^a \cap \{f \geq r_2\}^a) = 0$  for any  $r_1, r_2 \in E$  with  $r_1 < r_2$ . Then  $R_{\{f\}}^*$  is  $\Psi$ -separative.

PROOF. Let  $\xi_1$  and  $\xi_2$  be two distinct points of  $\Delta = R_{\{f\}}^* - R$ . We may assume that  $t_1 = \lim_{z \rightarrow \xi_1} f(z) < \lim_{z \rightarrow \xi_2} f(z) = t_2$ . Let  $r_1$  and  $r_2$  be numbers in  $E$  such that  $t_1 < r_1 < r_2 < t_2$ . Then we can find  $A \in \mathcal{V}(\{\xi_1\})$  and  $B \in \mathcal{V}(\{\xi_2\})$  such that  $f < r_1$  on  $A$  and  $f > r_2$  on  $B$ . We see that

$$A^a \cap B^a \subset \{f \leq r_1\}^a \cap \{f \geq r_2\}^a.$$

Thus

$$\Psi(A^a \cap B^a) \leq \Psi(\{f \leq r_1\}^a \cap \{f \geq r_2\}^a) = 0.$$

Hence it follows from the proposition that  $R_{\{f\}}^*$  is  $\Psi$ -separative.

THEOREM 5. There is always a maximum  $\Psi$ -separative compactification of  $R$  up to a homeomorphism, i. e., there exists a  $\Psi$ -separative compactification  $R_{\Psi}^*$  of  $R$  such that any other  $\Psi$ -separative compactification of  $R$  is a quotient space of  $R_{\Psi}^*$ .

PROOF. We set  $Q_0 = \{f \in BC; R_{\{f\}}^* \text{ is } \Psi\text{-separative}\}$ . Let  $R^*$  be any  $\Psi$ -separative compactification of  $R$ . If we set  $Q = BC \cap C(R^*)$ , then  $R^* = R_Q^*$ . Let  $f$  be any function in  $Q$ . Then it follows from Lemma 16 that  $R_{\{f\}}^*$  is  $\Psi$ -separative. Hence  $f$  belongs to  $Q_0$  and  $Q \subset Q_0$ . This shows that  $R_Q^*$  is a quotient space of  $R_{Q_0}^*$ . Now, we shall show that  $R_{Q_0}^*$  itself is  $\Psi$ -separative. If  $Q_0$  consists of only constant functions, then  $R_{Q_0}^*$  is the one-point compactification, and is trivially  $\Psi$ -separative. Suppose  $Q_0$  contains non-constant functions and let  $\xi_1$  and  $\xi_2$  be two distinct points in  $\Delta_{Q_0}$ . Then there exists

a function  $f$  in  $Q_0$  such that  $\lim_{z \rightarrow \xi_1} f(z) < \lim_{z \rightarrow \xi_2} f(z)$ . Choose  $\alpha, \beta$  such that  $\lim_{z \rightarrow \xi_1} f(z) < \alpha < \beta < \lim_{z \rightarrow \xi_2} f(z)$ . Then we can find  $A \in \mathcal{V}(\{\xi_1\})$  and  $B \in \mathcal{V}(\{\xi_2\})$  such that  $f < \alpha$  on  $A$  and  $f > \beta$  on  $B$ . Then  $\bar{A}^* \cap \bar{B}^* = \emptyset$  in  $R^*_{\{f\}}$ . Since  $R^*_{\{f\}}$  is  $\Psi$ -separative, we have  $\Psi(A^a \cap B^a) = 0$ . Hence it follows from Proposition 8 that  $R^*_{Q_0}$  is  $\Psi$ -separative.

#### 4.2 H.D. separativeness, H.M. separativeness and regularity

Let  $R^*$  be a resolutive compactification of  $R$ . We introduce the following class:

$$C_D(\mathcal{A}) = \{f \in C(\mathcal{A}); H_f^{R^*} \in HD\}.$$

DEFINITION 2. A resolutive compactification  $R^*$  of  $R$  is said to be *regular* if  $C_D(\mathcal{A})$  is dense in  $C(\mathcal{A})$  with respect to the uniform convergence topology.

DEFINITION 3. A compactification  $R^*$  of  $R$  is said to be *H.D. separative* if  $C(\bar{F}_1^D \cap \bar{F}_2^D) = 0$  for any regular closed sets  $F_1$  and  $F_2$  in  $R$  such that  $\bar{F}_1^* \cap \bar{F}_2^* = \emptyset$  in  $R^*$ .

DEFINITION 4. A compactification  $R^*$  of  $R$  is said to be *H.M. separative* if  $\omega^D(\bar{F}_1^D \cap \bar{F}_2^D) = 0$  for any regular closed sets  $F_1$  and  $F_2$  in  $R$  such that  $\bar{F}_1^* \cap \bar{F}_2^* = \emptyset$  in  $R^*$ .

REMARK: (i) Definition 2 is due to F–Y. Maeda [12].

(ii) Definition 3 is equivalent to the original one defined by Z. Kuramochi [10] in case  $R^*$  is metrizable (see Theorem 2 in [19]).

(iii) *H.D. separativeness* is the  $\Psi$ -separativeness with  $\Gamma = \Delta_D$  and  $\Psi = C$ .

(iv) *H.M. separativeness* is the  $\Psi$ -separativeness with  $\Gamma = \Delta_D$  and  $\Psi = \omega^D_{a_0}(a_0 \in R)$ .

(v) *Resolutivity* is the  $\Psi$ -separativeness with  $\Gamma = \Delta_W$  and  $\Psi = \omega^W_{a_0}(a_0 \in R)$  (see Corollary 2 to Theorem 1 in [19]).

PROPOSITION 9. A compactification  $R^*$  of  $R$  is regular if and only if there exists a non-empty subfamily  $Q$  of the vector sum  $HBD + BCW_0$  such that  $R^* = R^*_Q$ .

PROOF. Suppose  $R^*$  is regular. For  $f \in C(R^*)$  we denote its restrictions to  $\mathcal{A}$  and  $R$  by  $f_{\mathcal{A}}$  and  $f_R$  respectively. We set  $C_D(R^*) = \{f \in C(R^*); H_{f_{\mathcal{A}}}^{R^*} \in HD\}$  and  $Q = \{f_R; f \in C_D(R^*)\}$ . Since  $C_D(\mathcal{A}) = \{f_{\mathcal{A}}; f \in C_D(R^*)\}$  is dense in  $C(\mathcal{A})$ ,  $Q$  separates points of  $\mathcal{A}$ . Hence  $R^* = R^*_Q$ . Let  $f$  be any function in  $Q$ . By Hilsfssatz 8.2 in [3], we see that  $f - H_f^{R^*}$  is contained in  $BCW_0$ . Thus  $Q \subset HBD + BCW_0$ . Conversely suppose, for a given  $R^*$ , there exists a non-empty subfamily  $Q$  of  $HBD + BCW_0$  such that  $R^* = R^*_Q$ . It is easy to see that  $C_D(\mathcal{A}_Q)$  is a vector sublattice of  $C(\mathcal{A}_Q)$  with respect to the maximum and minimum

operations and contains constants. Let  $b_1$  and  $b_2$  be two distinct points of  $\mathcal{A}_Q$ . Then we can find a function  $f$  in  $Q$  such that  $\lim_{a \rightarrow b_2} f(a) \neq \lim_{a \rightarrow b_1} f(a)$ . Let  $\psi(b) = \lim_{a \rightarrow b} f(a)$  for  $b \in \mathcal{A}_Q$ . Then  $\psi(b_1) \neq \psi(b_2)$ . Since  $H_\psi^{R^*} = h_f \in HBD$ ,  $\psi \in C_D(\mathcal{A}_Q)$ . Thus  $C_D(\mathcal{A}_Q)$  separates points of  $\mathcal{A}_Q$ . Hence  $C_D(\mathcal{A}_Q)$  is dense in  $C(\mathcal{A}_Q)$  with respect to the uniform convergence topology by the Stone-Weierstrass theorem. Therefore  $R_Q^*$  is regular.

We introduce the following notation on types of compactifications:

- (D)  $R^* = R_Q^*$  for some  $Q \subset BCD$ .
- (HD)  $R^*$  is *H.D.* separative.
- (HM)  $R^*$  is *H.M.* separative.
- (R)  $R^*$  is regular.
- (W)  $R^*$  is resolutive.

Now we have the following two theorems.

**THEOREM 6.**  $(D) \Rightarrow (R) \Rightarrow (W)$ .

**PROOF.** Since  $BCD = HBD + BCD_0 \subset HBD + BCW_0$ , Proposition 9 implies that  $(D) \Rightarrow (R)$ . The implication  $(R) \Rightarrow (W)$  is a part of the definition of regularity.

**THEOREM 7.**  $(D) \Rightarrow (HD) \Rightarrow (HM) \Rightarrow (W)$ .

**PROOF.** The implication  $(D) \Rightarrow (HD)$  is obvious by the definition of *H.D.* separativeness (cf. Lemma 16). The last two implications follows from Lemma 10.

### 4.3 Exmaples

**EXMAPLE 1.** We set  $R = \{ |z| < 1 \}$ . Let  $\omega_a (a \in R)$  be the harmonic measure of the arc  $\{ e^{i\theta}; |\theta| < \pi/2 \}$  with respect to  $R$ . We set  $Q = \{ \omega_a \}$  and consider  $R_Q^*$ . Then we have

- (a)  $R_Q^*$  is *H.D.* separative.
- (b)  $R_Q^*$  is not regular.

**PROOF.** (a) It is known ([19]) that  $R_Q^*$  is *H.D.* separative.

(b) We set  $\xi_0 = \{ \xi \in \mathcal{A}_Q; \omega_\xi = 0 \}$  and  $\xi_1 = \{ \xi \in \mathcal{A}_Q; \omega_\xi = 1 \}$ . For each  $\varepsilon (0 < \varepsilon < \pi/2)$ , we denote by  $u_\varepsilon(a)$  (resp.  $v_\varepsilon(a)$ ) the harmonic measure of the arc  $\{ e^{i\theta}; |\theta + \pi/2| < \varepsilon \}$  (resp.  $\{ e^{i\theta}; |\theta - \pi/2| < \varepsilon \}$ ) with respect to  $R$ . Given  $f \in C(\mathcal{A}_Q)$ , let  $M = \max_{\xi \in \mathcal{A}_Q} |f(\xi)|$ . Then we can easily show that

$$3M(u_\varepsilon + v_\varepsilon) + f(\xi_0) + (f(\xi_1) - f(\xi_0))\omega_a \in \bar{\mathcal{S}}_f$$

and

$$-3M(u_\varepsilon + v_\varepsilon) + f(\xi_0) + (f(\xi_1) - f(\xi_0))\omega_a \in \underline{\mathcal{S}}_f.$$

Since  $u_\varepsilon \rightarrow 0$  and  $v_\varepsilon \rightarrow 0$  as  $\varepsilon \rightarrow 0$ , it follows that

$$H_f(a) = f(\xi_0) + (f(\xi_1) - f(\xi_0))\omega_a \quad (a \in R).$$

Since  $\omega_a$  is not a function in  $BCD$ , we see that  $C_D(\mathcal{A}_Q) = \{f \in C(\mathcal{A}_Q); f(\xi_1) = f(\xi_0)\}$ . Thus,  $f_0(\xi) = \omega_\xi$  cannot belong to the closure of  $C_D(\mathcal{A}_Q)$ . Hence  $R_Q^*$  is not regular

**EXAMPLE 2.** Let  $R$  be a Riemann surface in  $O_{HD} - O_{HB}$ . Then it follows from Theorem 4 that there exists a bounded continuous Green potential  $p$  on  $R$  such that  $\omega^D(\bar{A}_\alpha^D \cap \mathcal{A}_D) > 0$  for some  $\alpha > 0$ , where  $A_\alpha = \{z \in R; p(z) \geq \alpha\}$ . We set  $Q = \{p\}$  and consider  $R_Q^*$ . Then we have

- (a)  $R_Q^*$  is regular.
- (b)  $R_Q^*$  is not *H.M.* separative.

**PROOF.** (a) Since  $p \in BCW_0$ , we see that  $R_Q^*$  is regular by Proposition 9.

(b) We shall use the same notation as in the proof of Theorem 4. For each  $\alpha_1$  and  $\alpha_2$  ( $0 < \alpha_1 < \alpha_2 < \varliminf_{a \rightarrow \xi} p(a)$ ), we set  $A = \{z \in R; p(z) \leq \alpha_1\}$  and  $B = \{z \in R; p(z) \geq \alpha_2\}$ . Since  $\lim_{a \rightarrow \xi} p(a) = 0$  by the definition of  $\Gamma_D$ , we see that  $\xi \in \bar{A}^D \cap \bar{B}^D$ , so that  $\omega^D(\bar{A}^D \cap \bar{B}^D) > 0$ . Obviously,  $\bar{A}^* \cap \bar{B}^* = \emptyset$  in  $R_Q^*$ . Hence  $R_Q^*$  is not *H.M.* separative.

**EXAMPLE 3.** Let  $R$  be a Riemann surface with  $\mathcal{A}_S - \mathcal{A}_{SS} \neq \emptyset$ . Let  $b$  be a point in  $\mathcal{A}_S - \mathcal{A}_{SS}$  and  $\xi$  be the unique pole of  $b$  on  $\mathcal{A}_D$ . Then it follows from Theorem 1 that  $C(\{\xi\}) > 0$  and  $\omega^D(\{\xi\}) = 0$ . Let  $\{F_n\}_{n=1}^\infty$  be a sequence of regular closed sets in  $R$  such that  $\bar{F}_n^N$  is a neighborhood of  $b$  in  $R_N^*$ ,  $\overline{R - F_n^i} \cap \bar{F}_{n+1}^N = \emptyset$  ( $n = 1, 2, \dots$ ) and  $\bigcap_{n=1}^\infty \bar{F}_n^N = \{b\}$ . Since  $\omega^N(\{b\}) = 0$ ,  $1_{F_n} \rightarrow 0$  as  $n \rightarrow \infty$ . Since  $\overline{R - F_n^i} \cap \bar{F}_{n+1}^D = \emptyset$  ( $n = 1, 2, \dots$ ), we obtain functions  $f_n$  is  $BCD$  and  $f$  in  $BCW$  as in Proposition 2. We set  $Q = \{f\}$  and consider  $R_Q^*$ . Then we have

- (a)  $R_Q^*$  is *H.M.* separative.
- (d)  $R_Q^*$  is not *H.D.* separative.

**PROOF.** (a) Let  $r_1, r_2$  be real numbers such that  $0 < r_1 < r_2 < 1$ . We set  $A = \{z \in R; f(z) \leq r_1\}$  and  $B = \{z \in R; f(z) \geq r_2\}$ . Since  $f = f_n$  on  $R - F_{2n+1}^i$ ,  $A - F_{2n+1}^i \subset \{z \in R; f_n(z) \leq r_1\}$  and  $B - F_{2n+1}^i \subset \{z \in R; f_n(z) \geq r_2\}$ . Since  $f_n$  is a function in  $BCD$ , we see that  $\overline{A - F_{2n+1}^i} \cap \overline{B - F_{2n+1}^i} = \emptyset$ . Thus  $\bar{A}^D \cap \bar{B}^D \subset (\overline{A - F_{2n+1}^i} \cap \bar{F}_{2n+1}^D) \cap (\overline{B - F_{2n+1}^i} \cup \bar{F}_{2n+1}^D) = \bar{F}_{2n+1}^D$ . This shows that  $F_n \in \mathcal{V}(\bar{A}^D \cap \bar{B}^D)$  for each  $n$ . Hence  $\omega^D(\bar{A}^D \cap \bar{B}^D) \leq 1_{F_n}$  for each  $n$ . By letting  $n \rightarrow \infty$ , we obtain that  $\omega^D(\bar{A}^D \cap \bar{B}^D) = 0$ . Therefore  $R_Q^*$  is *H.M.* separative by the Corollary to Proposition 8.

(d) We set  $A = \{f \leq 1/3\}$  and  $B = \{f \geq 2/3\}$ . For each  $\alpha$  ( $0 < \alpha < 1$ ), let  $C_\alpha = \{z \in R; f(z) = \alpha\}$  and  $A_\alpha = \bar{C}_\alpha^D \cap \mathcal{A}_D$ . By a discussion similar to that in the proof of Theorem 2, we have  $(\tilde{g}_b)_{\tilde{A}_\alpha} = \tilde{g}_b$  on  $R_0$  for each  $\alpha$ . Since  $\emptyset(b)$  consists of only one point  $\xi$ , we see that  $\xi$  belongs to  $A_\alpha$  for each  $\alpha$  by Lemma

14, (b). Since  $C_\alpha \subset A$  for  $\alpha \leq 1/3$  and  $C_\alpha \subset B$  for  $\alpha \geq 2/3$ , we see that  $\xi \in \bar{A}^D \cap \bar{B}^D$ . Hence  $0 < C(\{\xi\}) \leq C(\bar{A}^D \cap \bar{B}^D)$ . Therefore  $R_\xi^*$  is not *H.D.* separative.

Combining Theorem 6 and Theorem 7 with the above three examples, we have the following relations:

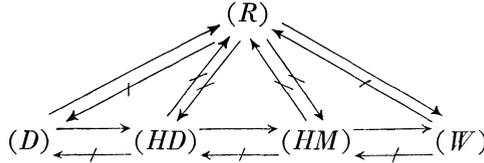


Diagram 1.

In the above diagram,  $(A) \rightarrow (B)$  (resp.  $(A) \dashrightarrow (B)$ ) means that  $(A)$  implies  $(B)$  (resp.  $(A)$  does not imply  $(B)$ ).

**§5 Martin compactifications of Riemann surfaces belonging to  $O_{HD} - O_{HB}$**

In this section, let  $\omega = \omega^W$  and  $\mu = \omega^M$  (the harmonic measures on  $\Delta_W$  and  $\Delta_M$  respectively). Let  $\Delta_1$  be the set of all minimal Martin boundary points of  $\Delta_M$  in this section. It is known (cf. [3]) that  $\Delta_1$  is a Borel set and  $\mu(\Delta_M - \Delta_1) = 0$ .

**5.1 Properties of Martin compactification of  $R \in O_{HD} - O_{HB}$**

The following lemma is due to J.L. Doob [4] (cf. [3]).

LEMMA 17. (a) *Let  $f$  be a resolutive function on  $\Delta_M$ . Then the fine limit<sup>5)</sup> of  $H_f$  exists and equals  $f$   $\mu$ -almost everywhere on  $\Delta_1$ .*

(b) *Let  $u$  be a bounded harmonic function on  $R$ . Then the fine limit  $f$  of  $u$  exists  $\mu$ -almost everywhere on  $\Delta_1$  and  $u$  equals  $H_{f^*}$  on  $R$ , where  $f^*$  is any extension of  $f$  over  $\Delta_M$ .*

PROPOSITION 10. *A hyperbolic Riemann surface  $R$  does not belong to  $O_{HB}$  if and only if there exist two mutually disjoint compact subsets  $A_1$  and  $A_2$  of  $\Delta_M$  such that  $\mu(A_1) > 0$  and  $\mu(A_2) > 0$ .*

PROOF. Suppose  $R$  does not belong to  $O_{HB}$ . Let  $u$  be a non-constant bounded harmonic function on  $R$ . Then, by (b) in Lemma 17, we see that  $u(a) = \int_{\Delta_1} \hat{u} d\mu_a$ , where  $\hat{u}$  is the fine limit of  $u$  on  $\Delta_1$ . Hence we can find two mutually disjoint compact subsets  $A_1$  and  $A_2$  of  $\Delta_M$  such that  $\mu(A_1) > 0$  and  $\mu(A_2) > 0$ . Conversely suppose there exist two mutually disjoint compact

5) See [4] and [13].

subsets  $A_1$  and  $A_2$  of  $\Delta_M$  such that  $\mu(A_1) > 0$  and  $\mu(A_2) > 0$ . Since the greatest harmonic minorant of  $\mu_a(A_1)$  and  $\mu_a(A_2)$  is equal to  $\mu_a(A_1 \cap A_2) = 0$ , either  $\mu_a(A_1)$  or  $\mu_a(A_2)$  is a non-constant bounded harmonic function on  $R$ . Hence  $R$  does not belong to  $O_{HB}$ .

**THEOREM 8.** *The Martin compactifications of Riemann surfaces which belong to  $O_{HD} - O_{HB}$  are not regular.*

**PROOF.** Let  $R$  be a Riemann surface which belongs to  $O_{HD} - O_{HB}$ . By Proposition 10, we can find two mutually disjoint compact subsets  $A_1$  and  $A_2$  of  $\Delta_M$  such that  $\mu(A_1) > 0$  and  $\mu(A_2) > 0$ . Suppose  $R_M^*$  is regular. Then we can find  $f \in C_D(\Delta_M)$  such that  $f \geq 1$  on  $A_1$  and  $f \leq 0$  on  $A_2$ . Since  $R \in O_{HD}$ ,  $H_f = \text{constant}$ . Hence, by (a) in Lemma 17,  $f = \text{constant}$   $\mu$ -almost everywhere on  $\Delta_1$ , which is a contradiction.

**THEOREM 9.** *The Martin compactifications of Riemann surfaces which belong to  $O_{HD} - O_{HB}$  are not H.M. separative, and hence not H.D. separative.*

**PROOF.** Let  $R$  be a Riemann surface belonging to  $O_{HD} - O_{HB}$ . By Proposition 10, there exist two mutually disjoint compact subsets  $A_1$  and  $A_2$  of  $\Delta_M$  such that  $\mu(A_1) > 0$  and  $\mu(A_2) > 0$ . Then there exist two regular closed sets  $F_1$  and  $F_2$  in  $R$  such that  $\bar{F}_k^M$  is a neighborhood of  $A_k$  in  $R_M^*$  ( $k=1, 2$ ) and  $\bar{F}_1^M \cap \bar{F}_2^M = \emptyset$ . We set  $\alpha_k = \bar{F}_k^W \cap \Delta_W$  ( $k=1, 2$ ). Since  $\omega(\pi^{-1}(A)) = \mu(A)$  for each compact subset  $A$  of  $\Delta_M$  and  $\alpha_k \supset \pi^{-1}(A_k)$  ( $k=1, 2$ ), we obtain that  $0 < \mu(A_k) = \omega(\pi^{-1}(A_k)) \leq \omega(\alpha_k)$  ( $k=1, 2$ ), where  $\pi$  is the canonical mapping of  $R_W^*$  onto  $R_M^*$ . Since the support of  $\omega$  is equal to the harmonic boundary  $\Gamma_W$  of  $R_W^*$ , we see that  $\alpha_k \cap \Gamma_W \neq \emptyset$  ( $k=1, 2$ ). On the other hand, it is known that  $\Gamma_D$  consists of a single point  $b$ . Since  $R_D^*$  is a quotient space of  $R_W^*$  and  $\alpha_k \cap \Gamma_W \neq \emptyset$  ( $k=1, 2$ ), it follows from Satz 8.6 in [3] that  $b \in \bar{F}_1^D \cap \bar{F}_2^D$ . Hence  $\omega^D(\bar{F}_1^D \cap \bar{F}_2^D) \geq \omega^D(\{b\}) > 0$ . Therefore  $R_M^*$  is not H.M. separative, and hence is not H.D. separative.

## 5.2 Normal derivative on the Martin boundary

Let  $R^*$  be a resolutive compactification of  $R$  and  $\lambda_a$  ( $a \in R$ ) be the harmonic measure on  $\Delta$ . We fix  $a_0 \in R$  once for all and let  $\lambda \equiv \lambda_{a_0}$ ,  $\mu \equiv \mu_{a_0}$ . We set  $R_D(\Delta) = \{f; \text{resolutive on } \Delta \text{ and } H_f^{R^*} \in HD\}$ .

**DEFINITION 5** ([12]). Let  $u$  be a function in  $HD$ . We say that  $u$  has a normal derivative  $\psi$  on  $\Delta$  (relative to  $a_0$ ), or  $\psi$  is a normal derivative of  $u$  on  $\Delta$  (relative to  $a_0$ ), if  $\psi f \in L^1(\Delta)$  and

$$(u, H_f^{R^*}) = - \int \psi f d\lambda \quad \text{for any } f \in R_D(\Delta).$$

F-M. Maeda ([12; Theorem 2]) proved that if the compactification  $R^*$  is

regular, then the normal derivative of a function  $u$  in  $HD$ , if it exists, is uniquely determined  $\lambda$ -almost everywhere. We shall show that this result is not valid without regularity.

**THEOREM 10.** *For the Martin compactification of a Riemann surface which belong to  $O_{HD}-O_{HB}$ , a normal derivative of a function  $u$  in  $HD$ , if it exists, is not necessarily uniquely determined  $\mu$ -almost everywhere.*

**PROOF.** Let  $A_1$  and  $A_2$  be as in the proof of Theorem 8. We set  $\phi_1 = \chi_{A_2} - (\mu(A_2)/\mu(A_1))\chi_{A_1}$  and  $\phi_2 = 0$ , where  $\chi_A$  is the characteristic function of a subset  $A$  of  $\Delta_M$ . Let  $f$  be any function in  $R_D(\Delta_M)$ . Since  $R \in O_{HD}$ ,  $H_f$  is reduced to a constant. Hence it follows from (a) in Lemma 17 that  $f$  equals a constant  $\mu$ -almost everywhere on  $\Delta_M$ . Thus for any constant  $u$ ,  $(u, H_f) = 0 = -\int \phi_1 f d\mu = -\int \phi_2 f d\mu$ . This shows that both  $\phi_1$  and  $\phi_2$  are normal derivatives of  $u$  on  $\Delta_M$ . However  $\phi_1$  is not equal to  $\phi_2$  on a set of positive  $\mu$ -measure.

### §6 Extremal length and Green lines

In this section we assume that all compactifications are metrizable.

#### 6.1 Family of curves and extremal length

In the following we consider only locally rectifiable curves and call them curves for simplicity. Let  $c$  be a curve on  $R$ . Then there exists a parameterization  $z = z(t)$  ( $0 < t < 1$ ) of  $c$  such that  $z = z(t)$  is non-constant on any subinterval of  $(0, 1)$ . We always consider such a parameterization of  $c$  and call it a parameterization of  $c$  for simplicity. We shall say that a curve  $c$  on  $R$  meets a subset  $A$  of  $R$  infinitely many times if there are a parameterization  $z = z(t)$  ( $0 < t < 1$ ) of  $c$  and a sequence  $\{t_n\}_{n=1}^\infty$  of real numbers such that  $0 < t_n < t_{n+1}$  ( $n = 1, 2, \dots$ ),  $\lim_{n \rightarrow \infty} t_n = 1$  and  $z(t_n) \in A$  ( $n = 1, 2, \dots$ ).

We shall say that a curve  $c$  on  $R$  starts at a point in  $R$  and tends to the ideal boundary of  $R$  if there is a parameterization  $z = z(t)$  ( $0 < t < 1$ ) of  $c$  satisfying the following:

- (i)  $\bigcap_{\varepsilon > 0} \overline{\{z(t); 0 < t < \varepsilon\}}$  is a single point in  $R$ .
- (ii)  $\bigcap_{\varepsilon > 0} \overline{\{z(t); 1 - \varepsilon < t < 1\}}$  is empty.

Let  $\{F_n\}_{n=1}^\infty$  be a sequence of regular closed sets in  $R$  such that  $F_n \supset F_{n+1}$  ( $n = 1, 2, \dots$ ) and  $\bigcap_{n=1}^\infty F_n = \emptyset$ . Let  $c$  be a curve on  $R$  which starts at a point in  $R$  and tends to the ideal boundary of  $R$ . We shall say that  $c$  tends to the ideal boundary of  $R$  along  $\{F_n\}_{n=1}^\infty$  if there is a parameterization  $z = z(t)$  ( $0 < t < 1$ ) satisfying (i) and (ii) and a sequence  $\{t_n\}_{n=1}^\infty$  of real numbers such that  $0 < t_n < t_{n+1}$ ,  $\lim_{n \rightarrow \infty} t_n = 1$  and  $z(t) \in F_n$  for  $t \geq t_n$ .

The extremal length (or module) of a family  $C$  of curves on  $R$  is defined as follows (cf. [17]). A non-negative Borel measurable linear density  $\rho(z)|dz|$  is called admissible in association with  $C$  if  $\int_c \rho(z)|dz| \geq 1$  for each  $c \in C$ , and the *module*  $M(C)$  of  $C$  is defined by  $\inf \iint \rho^2 dx dy$ , where  $\inf$  is taken over all admissible  $\rho(z)|dz|$  and  $z=x+iy$  is a local parameter. The *extremal length*  $\lambda(C)$  of  $C$  is defined by  $1/M(C)$ . We say that *almost every* curve on  $R$  has a property if the module of the family of exceptional curves vanishes.

*Properties of modules:*

(a) If  $C_1 \subset C_2$ , then  $M(C_1) \leq M(C_2)$ .

(b)  $M(\bigcup_{n=1}^{\infty} C_n) \leq \sum_{n=1}^{\infty} M(C_n)$ .

LEMMA 18. *Let  $F_1$  and  $F_2$  be regular colsed sets in  $R$  and  $C$  be the family of all curves on  $R$  each of which meets both  $F_1$  and  $F_2$  infinitely many times. If  $\bar{F}_1^D \cap \bar{F}_2^D = \emptyset$ , then  $M(C) = 0$ .*

PROOF. We can find a function  $f$  in  $BCD$  such that  $f=0$  on  $F_1$  and  $=1$  on  $F_2$ . We set  $u = f^{F_1 \cup F_2}$ . Then it can be seen that  $\varepsilon |\text{grad } u(z)| |dz|$  is admissible in association with  $C$  for any  $\varepsilon > 0$ . Thus we have

$$M(C) \leq \varepsilon^2 \iint |\text{grad } u|^2 dx dy = \varepsilon^2 \|u\|^2.$$

Since  $\|u\| \leq \|f\| < \infty$  and  $\varepsilon$  is arbitrary, we obtain that  $M(C) = 0$ .

The following lemma is due to A. Pfluger [18].

LEMMA 19. *Let  $K$  be a closed set on  $|z|=1$ . Then the extremal length of the family of all curves in  $1/2 < |z| < 1$  which connect the points of  $K$  to the points of  $|z|=1/2$  is infinite if and only if the logarithmic capacity of  $K$  is zero.*

PROPOSITION 11. *Let  $K_0$  be a closed disk in  $R$ . Let  $F_1$  and  $F_2$  be regular closed subsets of  $R_0 = R - K_0$  such that  $F_1 \cap F_2 = \emptyset$ . Let  $C$  be a family of curves on  $R$  starting at points of  $K_0$  and tending to the ideal boundary of  $R$ . If each member  $c$  in  $C$  meets both  $F_1$  and  $F_2$  infinitely many times, then  $M(C) \leq 2\pi C(\bar{F}_1^D \cap \bar{F}_2^D)$ .*

PROOF. Applying Lemma 8 with  $u=1$ ,  $A = \bar{F}_1^D \cap \bar{F}_2^D$  and  $U_n = R_D^* - K_0$ , we obtain a sequence  $\{\delta_n\}_{n=1}^{\infty}$  of regular closed sets in  $R_0$  such that each  $\bar{\delta}_n^D$  is a neighborhood of  $\bar{F}_1^D \cap \bar{F}_2^D$  in  $R_D^*$ ,  $\bigcap_{n=1}^{\infty} \delta_n = \emptyset$ ,  $\overline{R - \delta_n^{iD} \cap \delta_{n+1}^D} = \emptyset$  and  $1_{\bar{\delta}_n^D}$  decreases to  $\bar{\omega}(\bar{F}_1^D \cap \bar{F}_2^D)$ . For each  $n$ , we set  $C_n = \{c \in C; c \cap \delta_n = \emptyset\}$  and  $C_0 = \bigcup_{n=1}^{\infty} C_n$ . Since  $\overline{F_1 - \delta_n^{iD} \cap F_2 - \delta_n^{iD}} = \emptyset$ , it follows from Lemma 18 that  $M(C_n) = 0$ . Hence  $M(C_0) \leq \sum_{n=1}^{\infty} M(C_n) = 0$ . Since each member of  $C - C_0$  meets all  $\delta_n$ ,  $|\text{grad } 1_{\bar{\delta}_n^D}|$

$|dz|$  is admissible in association with  $C - C_0$ . Hence we have  $M(C - C_0) \leq \|1_{\tilde{s}_n}\|^2$  ( $n=1, 2, \dots$ ) Thus we obtain.

$$M(C) \leq M(C - C_0) + M(C_0) = M(C - C_0) \leq \|1_{\tilde{s}_n}\|^2 \quad (n=1, 2, \dots).$$

By letting  $n \rightarrow \infty$ , we obtain that

$$M(C) \leq \|\tilde{\omega}(\bar{F}_1^D \cap \bar{F}_2^D)\|^2 = 2\pi C(\bar{F}_1^D \cap \bar{F}_2^D).$$

COROLLARY. *If  $C(\bar{F}_1^D \cap \bar{F}_2^D) = 0$ , then  $M(C) = 0$ .*

### 6.2 Green lines and Dirichlet problems

For the following notation and definitions, we refer to M. Brelot-G. Choquet [1]. We denote by  $g_a(z) = g(a, z)$  the Green function of  $R$  with pole at  $a \in R$ . Let  $a_0$  be a fixed point in  $R$  and let  $g_0(z) = g_{a_0}(z)$ . We consider Green lines in  $R$  determined by  $g_0$ . Then the set  $L$  of all Green lines admits the Green measure  $g$ . By definition,  $g$  is a complete measure. A Green line  $l$  for which  $\inf_{a \in l} g_0(a) = 0$  is called a *regular Green line*. Any regular Green line tends to the ideal boundary of  $R$  as  $g_0 \rightarrow 0$ . The set of all regular Green lines will be denoted by  $L_r$ . It is known (cf. [1]) that  $L_r$  is a  $G_\delta$ -set in  $L$  and  $g(L - L_r) = 0$ . We shall say that *almost every*  $l \in L_r$  has a property if the Green measure of the family of exceptional Green lines vanishes.

Given a real-valued function  $f$  on  $R$  and  $l \in L_r$ , let  $\bar{\lim}_l f$  (resp.  $\underline{\lim}_l f$ ) denote the upper limit  $\bar{\lim}_{a \in l, g_0(a) \rightarrow 0} f(a)$  (resp. the lower limit  $\underline{\lim}_{a \in l, g_0(a) \rightarrow 0} f(a)$ ). If  $\bar{\lim}_l f = \underline{\lim}_l f$ , then we say that  $f$  has a limit along  $l$ . Let  $\psi$  be an extended real-valued function on  $L_r$ . We define

$$\bar{\mathcal{F}}_\psi = \left\{ s; \text{superharmonic, bounded below on } R, \right. \\ \left. \underline{\lim}_l s \geq \psi(l) \text{ for almost every } l \in L_r \right\} \cup \{\infty\}$$

and

$$\mathcal{F}_\psi = \{-s; s \in \bar{\mathcal{F}}_{-\psi}\}.$$

Let  $\bar{\mathcal{G}}_\psi(a) = \inf\{s(a); s \in \bar{\mathcal{F}}_\psi\}$  and  $\mathcal{G}_\psi(a) = \sup\{s(a); s \in \mathcal{F}_\psi\}$  ( $a \in R$ ). Then it is known ([1]) that  $\bar{\mathcal{G}}_\psi$  (resp.  $\mathcal{G}_\psi$ ) is either harmonic,  $\equiv +\infty$  or  $\equiv -\infty$ . If  $\bar{\mathcal{G}}_\psi = \mathcal{G}_\psi$  and are harmonic, then we write  $\mathcal{G}_\psi = \bar{\mathcal{G}}_\psi = \underline{\mathcal{G}}_\psi$ . It is known ([1]) that

$$\underline{\mathcal{G}}_\psi(a_0) \leq \int \psi dg \leq \bar{\mathcal{G}}_\psi(a_0).$$

LEMMA 20. *Let  $f$  be a function in  $BC$  such that it has a limit  $\psi(l)$  along almost every  $l \in L_r$ . Then we have*

(a) If  $f$  is a function in  $BCW$ , then  $\mathcal{G}_\psi = h_f$  and

$$\int \phi dg = \mathcal{G}_\psi(a_0) = h_f(a_0).$$

(b) If  $f$  is a function in  $BCW_0$ , then  $\phi(l) = 0$  for almost every  $l \in L_r$ .

PROOF. (a) Since  $\mathcal{W}_f \subset \mathcal{F}_\psi$  and  $\bar{\mathcal{W}}_f \subset \bar{\mathcal{F}}_\psi$ , we obtain that  $\underline{h}_f \leq \mathcal{G}_\psi \leq \bar{\mathcal{G}}_\psi \leq \bar{h}_f$ . Hence we have (a). Then, (b) is obvious.

Let  $t_0$  be a real number such that  $K_0 = \{z; g_0(z) \geq t_0\}$  is compact in  $R$  and  $|\text{grad } g_0| \neq 0$  on  $K_0 - \{a_0\}$ . We shall call such a compact set  $K_0$  a *Green disk* with center at  $a_0$ . For a subset  $A$  of  $L_r$ , we denote by  $A(K_0)$  the family of curves consisting of the restrictions of  $l \in A$  to  $R - K_0$ .

The following lemma is due to M. Ohtsuka [16].

LEMMA 21. Let  $A$  be a subset of  $L_r$ . Then  $g(A) = 0$  if and only if  $M(A(K_0)) = 0$ .

Let  $K_0$  be a compact Green disk with center at  $a_0$  and let  $R_0 = R - K_0$ . Although the following proposition follows from a result by M. Nakai ([14; Proposition 4.1]), we shall give an alternative proof.

PROPOSITION 12. Let  $F_1$  and  $F_2$  be regular closed subsets of  $R_0$  such that  $F_1 \cap F_2 = \emptyset$ . Let  $A$  be a subfamily of  $L_r$  whose member meets both  $F_1$  and  $F_2$  infinitely many times. Then we have

$$\bar{g}(A) \leq \omega_{a_0}^D(\bar{F}_1^D \cap \bar{F}_2^D),$$

where  $\bar{g}$  means the outer measure induced by  $g$ .

PROOF. Let  $\{\delta_n\}_{n=1}^\infty$  be as in the proof of Proposition 11. We set  $A_n = \{l \in A; l \cap \delta_n = \emptyset\}$ ,  $A_0 = \bigcup_{n=1}^\infty A_n$ ,  $\tilde{A}_n = \{l \in A - A_0; l \text{ meets } R_0 - \delta_n^i \text{ infinitely many times}\}$  and  $\tilde{A}_0 = \bigcup_{n=1}^\infty \tilde{A}_n$ . As in the proof of Proposition 11, Lemma 18 implies  $M(A_0(K)) = 0$ . Since  $\overline{R_0 - \delta_n^{iD}} \cap \bar{\delta}_{n+1}^D = \emptyset$  and  $\tilde{A}_n \cap A_{n+1} = \emptyset$ , we have  $M(\tilde{A}_n(K_0)) = 0$ ,  $n = 1, 2, \dots$ , again by Lemma 18. Hence  $M(\tilde{A}_0(K_0)) = 0$ , and hence  $M(A_0(K_0) \cup \tilde{A}_0(K_0)) = 0$ . Since every  $l \in A - (A_0 \cup \tilde{A}_0)$  tends to the ideal boundary of  $R$  along  $\{\delta_n\}_{n=1}^\infty$ ,  $1_{\delta_n} \in \mathcal{F}_{\chi_{A - (A_0 \cup \tilde{A}_0)}}(a_0)$  ( $n = 1, 2, \dots$ ). Thus we have

$$0 \leq \bar{g}(A - (\tilde{A}_0 \cup A_0)) \leq \bar{\mathcal{G}}_{\chi_{A - (A_0 \cup \tilde{A}_0)}}(a_0) \leq 1_{\delta_n}(a_0)$$

( $n = 1, 2, \dots$ ). By letting  $n \rightarrow \infty$ , we obtain that

$$\bar{g}(A) \leq \bar{g}(A - (\tilde{A}_0 \cup A_0)) + \bar{g}(A_0 \cup \tilde{A}_0) \leq \omega_{a_0}^D(\bar{F}_1^D \cap \bar{F}_2^D).$$

COROLLARY. If  $\omega^D(\bar{F}_1^D \cap \bar{F}_2^D) = 0$ , then  $g(A) = 0$ .

**6.3 Separative conditions (E) and (G).**

DEFINITION 6. We shall say that a resolutive compactification  $R^*$  of  $R$  satisfies *condition (E)* if almost every curve on  $R$  which starts at a point in  $R$  and tends to the ideal boundary of  $R$ , has exactly one limit point in  $\Delta$ .

DEFINITION 7. We shall say that a resolutive compactification  $R^*$  of  $R$  satisfies *condition (G)* if, for every  $a_0$ , almost every Green line tends to one point in  $\Delta$ .

REMARK: (i) By Lemma 21, condition (E) implies condition (G).  
 (ii) The condition (G) is said to be Green-compatible in [15].

The following results are known

LEMMA 22 ([11; Theorem 1] and [16; Theorem 1]).

(a) *If  $Q$  is a countable subfamily of  $BC$  such that each  $f \in Q$  has a limit almost along every  $l \in L_r$ , then  $R_\Delta^*$  satisfies condition (G).*

(b) *If  $Q$  is a countable subfamily of  $BC$  such that each  $f \in Q$  has a limit along almost every curve which starts at a point in  $R$  and tends to the ideal boundary of  $R$ , then  $R_\Delta^*$  satisfies condition (E).*

THEOREM 11. *The H.D. separativeness implies condition (E).*

PROOF. Since  $R^*$  is assumed to be metrizable, we can find a countable subfamily  $Q$  of  $BC$  such that  $R^* = R_\Delta^*$ . Let  $K_0$  be a closed disk in  $R$ . We denote by  $C$  the family of all curves on  $R$  which starts at a point in  $K_0$  and tends to the ideal boundary of  $R$ . Since  $R$  is covered by a countable family of closed disks, by (b) in Lemma 22, it is sufficient to prove that each  $f \in Q$  has a limit along almost every curve in  $C$ . Let  $f$  be any non-constant function in  $Q$ . We may assume that  $\inf f = 0$  and  $\sup f = 1$ . Let  $r$  and  $r'$  be two rational numbers such that  $0 < r < r' < 1$ . We set  $C_{r,r'} = \{c \in C; c \text{ meets both } \{f \leq r\} \text{ and } \{f \geq r'\} \text{ infinitely many times}\}$ . Since  $\{f \leq r\}^* \cap \{f \geq r'\}^* = \emptyset$  in  $R_\Delta^*$ , it follows from the Corollary to Proposition 11 and H.D. separativeness that  $M(C_{r,r'}) = 0$ . Hence  $M(\bigcup_{r,r'} C_{r,r'}) \leq \sum_{r,r'} M(C_{r,r'}) = 0$ . Since  $f$  has a limit along every curve  $c \in C - \bigcup_{r,r'} C_{r,r'}$ , we see that  $f$  has a limit along almost every curve in  $C$ .

By virtue of Theorem 3 in [19], this theorem implies the following results by M. Ohtsuka [16; Theorem 1 and Theorem 2]:

COROLLARY 1. *If  $Q$  is a countable subfamily of  $BCD$  and  $Q$  separates points of  $\Delta$ , then almost every curve on  $R$  which starts at a point in  $R$  and tends to the ideal boundary, converges to a point in  $\Delta$ .*

COROLLARY 2. *Every function in  $BCD$  has a limit along almost every curve which has the property in Corollary 1.*

Using the Corollary to Proposition 12 and (a) in Lemma 22, we can prove the following theorem by the same method as Theorem 11:

**THEOREM 12.** *The H.M. separativeness implies condition (G).*

**COROLLARY 1** ([11]). *Almost every Green line converges to a point of the Kuramochi boundary.*

**COROLLARY 2** ([5] and [14]). *Every function in BCD has a limit along almost every Green line.*

**REMARK:** We do not use the result by M. Godefroid ([5]) to obtain the above Corollary 1 (cf. [11]).

**THEOREM 13.** (a) *The H.M. separativeness does not imply condition (E).*

(b) *Regularity does not imply condition (E).*

**PROOF.** (a) Let  $R = \{|z| < 1\}$ . Let  $K$  be a closed set on  $|z| = 1$  such that the logarithmic capacity of  $K$  is positive and the harmonic measure of  $K$  with respect to  $R$  is zero. Since  $K$  is compact in  $D = \{|z| < 2\}$ , there exists a sequence  $\{K_n\}_{n=1}^{\infty}$  of regular compact sets in  $D$  such that  $\partial K_n \cap \{|z| = 1\}$  consists of a finite number of points,  $K_n^i \supset K_{n+1}$  ( $n = 1, 2, \dots$ ) and  $\bigcap_{n=1}^{\infty} K_n = K$ . We set  $F_n = K_n \cap R$  for each  $n$ . Then  $1_{F_n} \rightarrow 0$  as  $n \rightarrow \infty$  by the assumption on  $K$ . Since  $\overline{R - F_n^{iD}} \cap \overline{F_{n+1}^D} = \emptyset$  ( $n = 1, 2, \dots$ ), we obtain functions  $f_n$  in  $BCD$  and  $f$  in  $BCW$  as in Proposition 2. We set  $Q = \{f\}$  and consider  $R_Q^*$ . By the same method as the proof of Example 3, (a), we see that  $R_Q^*$  is H.M. separative. Next we shall prove that  $R_Q^*$  does not satisfy condition (E). Let  $K_0 = \{|z| \leq 1/2\}$  and  $C$  be the family of all curves in  $R - K_0$  which connect the points of  $\partial K_0$  to the points of  $K$ . Then, by Lemma 19, we have  $\lambda(C) < \infty$ . Let  $c$  be any curve in  $C$ . Then  $c$  meets all  $\partial F_n$ . Since  $f(z) = 1$  for  $z \in \partial F_{2k}$  and  $= 0$  for  $z \in \partial F_{2k-1}$  ( $k = 1, 2, \dots$ ),  $c$  does not converge to a point of  $A_Q$ . Therefore,  $R_Q^*$  does not satisfy condition (E).

(b) Since  $\overline{R - F_n^{iW}} \cap \overline{F_{n+1}^W} = \emptyset$  ( $1, 2, \dots$ ), we now take functions  $f_n$  and  $f$  in  $BCW$  constructed in the proof of Proposition 2 for this  $\{F_n\}_{n=1}^{\infty}$ . We set  $Q = \{f\}$  and consider  $R_Q^*$ . By a discussion similar to the above, we see that  $R_Q^*$  does not satisfy condition (E). We shall prove that  $R_Q^*$  is regular. Since  $\partial K_n \cap \{|z| = 1\}$  consists of a finite number of points, by the definition of  $f_n$  we see that  $\lim_{z \rightarrow \xi} f_n(z) = 0$  if  $\xi \in \{|z| = 1\} - \bigcup_{k=1}^{\infty} \partial K_{2k} - K$ . It follows that  $\lim_l f_n = 0$  for almost all  $l \in L_r$ . Hence  $\mathcal{G}_{f_n} = 0$  for each  $n$ , and hence  $h_{f_n} = 0$  by Lemma 20 for each  $n$ . Thus, by the Corollary to Proposition 2, we see that  $f \in BCW_0$ . Therefore,  $R_Q^*$  is regular by Proposition 9.

**COROLLARY.** *Condition (G) does not imply condition (E).*

Finally, summarizing the above results, we have the following implica-

tion diagram for metrizable compactifications: Diagram 2.

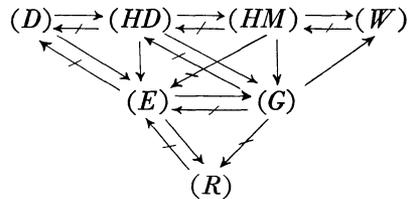


Diagram 2.

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