

## ***On a Characterization of Almost Dedekind Domains***

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### **Introduction.**

Throughout this discussion  $R$  will be a commutative ring with unit. The purpose of this paper is to characterize the rings over which each module with *D.C.C.* decomposes to a direct sum of cocyclic modules.

We shall introduce a homomorphism  $\phi_A$ , related with an ideal of  $R$  of an indecomposable injective module over  $R$ . The  $\phi_A$  plays an important role for our purpose; we shall discuss in §3 some basic properties of the  $\phi_A$  and also of the image of  $\phi_A$ , which enable us to show that a locally noetherian domain with the property mentioned above must be an almost Dedekind domain.

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### **Notation and terminology.**

Let  $M$  be an  $R$ -module and  $x \neq 0 \in M$ . We denote by  $E(M)$  the *injective envelope* of  $M$  and by  $0(x) = \{r \in R \mid rx = 0\}$  the *order ideal* of  $x$ . Let  $E$  be an injective  $R$ -module,  $N$  a submodule of  $E$  and  $A$  an ideal of  $R$ ; we put  $A^* = \{x \in E \mid ax = 0 \text{ for every } a \in A\}$  and  $N^* = \{r \in R \mid rx = 0 \text{ for every } x \in N\}$ . We shall say that a module  $M$  has *D.C.C.* if  $M$  satisfies the descending chain condition for submodules. We shall say that an  $R$ -module  $M$  is a  $P$ -primary module, where  $P$  is a prime ideal of  $R$ , if for every non-zero element  $x$  of  $M$ ,  $0(x)$  is a  $P$ -primary ideal. A ring  $R$  is called a *quasi-local ring* if it has only one maximal ideal and a noetherian quasi-local ring is called a *local ring*. If  $R$  is a local ring,  $\bar{R}$  denotes the completion of  $R$ .

### **§1. Cocyclic modules**

Let  $B$  be an  $R$ -module. If  $B$  has a non-zero element  $c$  with the following condition (\*), then we shall call  $B$  a *cocyclic  $R$ -module*.

(\*) For every  $R$ -module  $C$ , every  $R$ -homomorphism  $\phi: B \rightarrow C$  with  $c \notin \text{Ker}\phi$  is *monic*.

Then we shall call  $c$  a *cogenerator* of  $B$ . (We borrow this definition from Fuchs [3].) It is easily seen that  $B$  is a cocyclic  $R$ -module if and only if

every non-zero submodule of  $B$  contains  $c$ ; i.e.  $Rc$  is the smallest submodule of  $B$ .

**PROPOSITION 1.**  *$B$  is a cocyclic  $R$ -module if and only if  $B$  is an essential extension of  $R/P$  for some maximal ideal  $P$  of  $R$ .*

**PROOF.** Let  $B$  be a cocyclic  $R$ -module and  $c$  a cogenerator of  $B$ . It is clear that  $B$  is an essential extension of  $Rc$ . Since  $Rc$  is isomorphic to  $R/P$  for some ideal  $P$  of  $R$  and  $Rc$  is the smallest submodule of  $B$ ,  $R/P$  is a field. The assertion follows immediately from the fact that  $Rc$  is simple.

**LEMMA 1.** *Let  $P$  be a maximal ideal of  $R$  and  $E = E(R/P)$ .*

*Then we have the following:*

- (1) *For every non-zero element  $x$  of  $E$ ,  $0(x) \subseteq P$ .*
- (2) *For every element  $s$  of  $R - P$ , the homothety  $s: E \ni x \rightarrow sx \in E$  is an automorphism.*
- (3)  *$E$  has the structure of an  $R_P$ -module.*

**PROOF.** (1) and (2) are obvious.

(3) Let  $s \in R - P$ ,  $r \in R$  and  $x \in E$ . Then by (2), there exists a unique element  $y$  of  $E$  such that  $x = sy$ . If we define  $(r/s)x = ry$ , it is easily verified that this definition is well-defined and makes  $E$  into an  $R_P$ -module.

**LEMMA 2.** *With the notation of Lemma 1,*

- (1) *The order ideal of  $x$  in  $R_P$  is  $0(x)R_P$ .*
- (2)  *$0(x) = 0(x)R_P \cap R$ .*

**PROOF.** Trivial.

**PROPOSITION 2.** *Let  $R$  be a locally noetherian ring and  $B$  a cocyclic  $R$ -module; i.e.  $B$  is an essential extension of  $R/P$  for a maximal ideal  $P$  of  $R$ . Then  $B$  has the structure of an  $R_P$ -module.*

**PROOF.** By Proposition 1, we may assume  $B \subseteq E(R/P)$ . Let  $s \in R - P$  and  $x \in B$ . Then by Lemma 1 (2), there exists a unique element  $y$  of  $E(R/P)$  such that  $x = sy$ . Since  $R_P$  is a noetherian ring, then by Lemma 2 and by Lemma 3.2 of *E. Matlis* [4],  $0(y)R_P$  is a  $PR_P$ -primary. Therefore  $P^n R_P \subseteq 0(y)R_P$  for some positive integer  $n$ . By Lemma 2,  $P^n = P^n R_P \cap R \subseteq 0(y)R_P \cap R = 0(y)$ ; this implies that, combining the fact  $P^n + R_s = R$ ,  $y \in Rx$ . Thus the proof is completed.

**COROLLARY.** *With the notation of Proposition 2,  $B$  has the structure of an  $\bar{R}_P$ -module.*

**PROOF.** This follows from Proposition 2 and Theorem 3.6 of *E. Matlis* [4].

**THEOREM 1.** *For a ring  $R$ , the following statements are equivalent:*

- (1)  *$R$  is a locally noetherian ring.*
- (2) *Every cocyclic  $R$ -module has D.C.C.*
- (3) *For every maximal ideal  $P$  of  $R$ ,  $E(R/P)$  has D.C.C.*

**PROOF.** (1)  $\Rightarrow$  (2): Let  $B$  be a cocyclic  $R$ -module. Then there exists a maximal ideal  $P$  of  $R$  such that  $R/P \subseteq B \subseteq E(R/P) = E$ . By Proposition 2,  $B$  has the structure of an  $R_P$ -module. Since  $R_P$  is a noetherian ring, by Proposition 4.1 of *E. Matlis* [4],  $B$  has D.C.C. as an  $R_P$ -module; therefore  $B$  has D.C.C. as an  $R$ -module.

(2)  $\Rightarrow$  (3): Trivial.

(3)  $\Rightarrow$  (1): Let  $P$  be a maximal ideal of  $R$  and  $E = E(R/P)$ . By Lemma 1,  $E$  has the structure of an  $R_P$ -module and therefore  $E$  has D.C.C. as an  $R_P$ -module. Then by Theorem 4.1 of *Ishikawa* [1],  $R_P$  has the ascending chain condition for ideals; i.e.  $R_P$  is a noetherian ring.

**§2. Modules with D.C.C.**

We show in §2 that every module with D.C.C. over an almost Dedekind domain has a decomposition into a direct sum of cocyclic modules. Throughout this section the ring will be a locally noetherian ring. Now we give a generalization of the result in Proposition 3 of *E. Matlis* [5] in the following

**PROPOSITION 3.** *Let  $B$  be an  $R$ -module. Then  $B$  has D.C.C. if and only if  $E(B) = E(R/P_1) \oplus \cdots \oplus E(R/P_n)$  for a finite number of maximal ideals  $P_i$  of  $R$ . ( $i = 1, 2, \dots, n$ )*

**PROOF.** By virtue of Theorem 1, the proof can be done quite similarly as in Proposition 3 of *E. Matlis* [5].

**COROLLARY.** *If  $B$  is an  $R$ -module with D.C.C., then  $B = B_1 \oplus \cdots \oplus B_n$ , where every  $B_i$  is the  $P_i$ -component of  $B$  for distinct maximal ideals  $P_1, \dots, P_n$  of  $R$ .*

**PROOF.** By Proposition 3, there exist a finite number of distinct maximal ideals  $P_1, \dots, P_n$  of  $R$  such that  $E(B) = E_1 \oplus \cdots \oplus E_n$ , where every  $E_i$  is the  $P_i$ -component of  $E(B)$ . Let  $B_i = B \cap E_i$  for each  $i$ . Then  $B_i$  is the  $P_i$ -component of  $B$ . Let  $x \neq 0 \in B$ . Then  $x = x_1 + \cdots + x_n$ , where  $x_i \in E_i$ . It is sufficient to prove that  $x_i \in B$ . Clearly  $x_1 \in B$ , if  $x = x_1$ . If  $x \neq x_1$ , then  $P_1 \cong \bigcap_{i=2}^n 0(x_i)$ , hence there exists an element  $r$  of  $\bigcap_{i=2}^n 0(x_i)$  such that  $r \notin P_1$ . Hence  $rx = rx_1$ . Since  $0(x_1) \supseteq P_1^s$  for some integer  $s$  and there exist two elements  $p \in P_1^s$  and  $a \in R$  such that  $1 = p + ra$ ,  $x_1 = rax$ . Thus  $x_1 \in B$  and so on.

**PROPOSITION 4.** *Suppose that  $R$  is a locally noetherian domain. Let  $B$  be a  $P$ -primary  $R$ -module, where  $P$  is a maximal ideal of  $R$ .*

Then:

- (1)  $B$  has the structure of an  $R_P$ -module.
- (2)  $B$  has D.C.C. as an  $R$ -module if and only if  $B$  has D.C.C. as an  $R_P$ -module.

PROOF. (1) This can be done similarly as Proposition 2.

- (2) Trivial.

The next result is a generalization of the result in Theorem 17.1 of Fuchs [3].

**THEOREM 2.** *Let  $R$  be a local ring with the maximal ideal  $(p)$ , generated by an element  $p$  of  $R$ , and  $B$  a  $(p)$ -primary  $R$ -module. We assume that there exist an ascending chain  $\{B_n\}$  of  $R$ -submodules of  $B$  such that  $B = \bigcup_{n=1}^{\infty} B_n$  and  $B_n \cap P^n B = 0$  for each integer  $n$ . Then  $B$  is a direct sum of cocyclic  $R$ -modules; more precisely,  $B = \bigoplus_{\alpha} B_{\alpha}$ , where  $B_{\alpha} = B \cap E_{\alpha}$  for some decomposition  $\bigoplus_{\alpha} E_{\alpha}$  of  $E(B)$  with  $E_{\alpha} \cong E(R/(p))$ .*

PROOF. We can prove this by the method analogous to the proof of Theorem 17.1 of Fuchs [3].

**COROLLARY 1.** *Let  $R$  be a discrete valuation ring with the maximal ideal  $(p)$  and  $B$  a  $(p)$ -primary  $R$ -module with D.C.C. Then  $B = B \cap E_1 \oplus \cdots \oplus B \cap E_n$  for some decomposition  $E_1 \oplus \cdots \oplus E_n$  of  $E(B)$ , where  $E_i \cong E(R/(p))$ .*

PROOF. Since  $R$  is a discrete valuation ring, each divisible  $R$ -module is injective. Therefore we may assume that  $B$  has no divisible submodule. Since  $B$  has D.C.C., there exists some integer  $m$  such that  $p^m B = 0$ . Then this Corollary holds by Theorem 2.

**COROLLARY 2.** *Let  $R$  be an almost Dedekind domain. Then every  $R$ -module with D.C.C. is a direct sum of a finite number of cocyclic  $R$ -modules.*

PROOF. This follows from Proposition 3, Proposition 4 and Corollary 1 of Theorem 2.

### §3. On a homomorphism $\phi_A$

In this section, we shall prove the converse of Corollary 2 of Theorem 2. Hereafter let  $R$  be a quasi-local ring,  $P$  the maximal ideal of  $R$  and  $E = E(R/P)$ .

**DEFINITION.** For an ideal  $A = (a_1, \dots, a_n)$  of  $R$ , we define a homomorphism  $\phi_A: E \rightarrow \bigoplus^n E$  by  $\phi_A(x) = (a_1 x, \dots, a_n x)$ . ( $\bigoplus^n E$  denotes a direct sum of  $n$  copies of  $E$ .) We denote  $\text{Im} \phi_A$  by  $E_A$ . Since  $\text{Ker} \phi_A = A^*$ ,  $E_A$  is independent of the choice of the ideal basis of  $A$  up to isomorphisms.

**PROPOSITION 5.** *With the above notation,  $E_A$  contains  $\bigoplus^n R/P$  if and only if  $A$  is generated by  $n$  elements at least.*

PROOF. Let  $E_A \cong \bigoplus^n R/P$ . If  $A$  is generated by  $k$  elements with  $k \leq n-1$ ,  $E(E_A) \cong \bigoplus^{n-1} E$ . Clearly this leads to a contradiction.

Conversely, let  $(a_1, \dots, a_n)$  be a minimal basis of  $A$  and  $A_i = (a_1, \dots, \check{a}_i, \dots, a_n)$ . Then  $A_i \cong (a_i)$  for each  $i$ , therefore there exists  $x_i \in E$  such that  $0(x_i) \cong A_i$  and  $0(x_i) \not\supset a_i$  for each  $i$  by noting the annihilator relation of ideals. Then  $E_A \cong \bigoplus_{i=1}^n R(a_1x_i, \dots, a_nx_i) = \bigoplus_{i=1}^n R(0, \dots, a_ix_i, \dots, 0) \cong \bigoplus^n R/P$ .

COROLLARY. *Let  $R$  be a quasi-local domain. Then  $E_A$  is isomorphic to  $E$  if and only if  $A$  is principal.*

PROOF. This follows immediately from the fact that  $E$  is divisible.

N.B. If  $R$  is a complete local domain, indecomposable submodules in each direct sum of a finite number of  $E$  are only  $E_A$ , where  $A$  is principal.

LEMMA 3. *Let  $A$  be an ideal of  $R$  with a minimal basis  $(a_1, \dots, a_n)$ , where  $n \geq 2$ . Then we have  $E_A \cong \bigoplus^n E$ .*

PROOF. Assume that  $E_A = \bigoplus^n E$ . Then  $(a_1)^* = \dots = (a_n)^*$ . In fact let  $x \in (a_i)^*$ . Since  $(x, \dots, x) \in E_A$ , there exists an element  $y$  of  $E$  such that  $x = a_jy$  for  $j=1, 2, \dots, n$ . Then  $a_jx = a_ja_iy = a_ix = 0$ . Hence  $x \in (a_j)^*$ . Thus  $(a_1)^* = (a_2)^* = \dots = (a_n)^*$ . Then by noting the annihilator relation for ideals,  $(a_1) = (a_2) = \dots = (a_n)$ . This is a contradiction.

PROPOSITION 6. *Let  $R$  be a complete local domain and let  $A_1$  and  $A_2$  be ideals of  $R$ . Then  $E_{A_1}$  is isomorphic to  $E_{A_2}$  if and only if there exist two non-zero elements  $a, b$  of  $R$  such that  $aA_1 = bA_2$ .*

PROOF.  $\Rightarrow$ . Trivial.

$\Leftarrow$ . If  $E_{A_1}$  is isomorphic to  $E_{A_2}$ , then  $E/A_1^*$  is isomorphic to  $E/A_2^*$ . Then by Theorem 4.2 of E. Matlis [4],  $A_1 \cong A_2$ . Since  $R$  is an integral domain, there exist two non-zero elements  $a, b$  of  $R$  such that  $aA_1 = bA_2$ .

PROPOSITION 7. *Let  $R$  be a complete local domain. Then  $E$  can not have a decomposition into a sum of two non-zero proper submodules. Moreover every non-zero homomorphic image of  $E$  has this property.*

PROOF. Assume that  $E = M + N$ , where  $M$  and  $N$  are two submodules of  $E$ . Then  $M^* \cap N^* = E^* = 0$ . Since  $R$  is an integral domain  $M^* = 0$  or  $N^* = 0$ . Say  $M^* = 0$ ; then by Theorem 4.2 of E. Matlis [4],  $M = E$ . Thus the first assertion is proved. The latter is obvious.

COROLLARY. *Let  $R$  be a complete local domain and  $A$  a non-zero ideal of  $R$ . Then  $E_A$  is indecomposable.*

PROOF. This follows immediately from Proposition 7.

THEOREM 3. *Let  $R$  be a locally noetherian domain. Then  $R$  is an almost Dedekind domain if and only if every  $R$ -module with D.C.C. decomposes into a direct sum of cocyclic  $R$ -modules.*

PROOF.  $\Rightarrow$ . This follows from Corollary 2 of Theorem 2.

$\Leftarrow$ . Suppose  $R$  be not an almost Dedekind domain. Then there exists a maximal ideal  $P$  of  $R$  such that  $R_P$  is not a principal ideal domain but a noetherian ring. Let  $E = E(R/P)$ . Then  $E$  has the structure of an  $\bar{R}_P$ -module by Corollary of Proposition 2 and by Theorem 2 of I.S. Cohen [2]  $\bar{R}_P$  is not a principal ideal domain; therefore there exists an ideal  $A$  of  $\bar{R}_P$  such that  $A$  is not principal. Then  $E_A$  has D.C.C. and is not a direct sum of cocyclic  $R$ -modules by Theorem 1 and Corollary of Proposition 7. This is a contradiction.

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