

Characterizations of Radicals of Infinite Dimensional Lie Algebras

Dedicated to Professor Tôzîrô Ogasawara
on the occasion of his retirement

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Introduction

Recently investigations have been made on the Lie algebras of infinite dimension. As the Lie analogues of the infinite group theory, B. Hartley [1] has considered the notions of subideals and ascendant subalgebras and studied the locally nilpotent radicals which reduce to the nilpotent radical in finite-dimensional case. In [4, 5] we have introduced and studied the locally solvable radicals which reduce to the solvable radical in finite-dimensional case. If \mathfrak{X} is a coalescent (resp. an ascendantly coalescent) class of Lie algebras, for an arbitrary Lie algebra L we there defined the radical $\text{Rad}_{\mathfrak{X}-\text{si}}(L)$ (resp. $\text{Rad}_{\mathfrak{X}-\text{asc}}(L)$) as the subalgebra generated by all the \mathfrak{X} subideals (resp. all the ascendant \mathfrak{X} subalgebras) of L . In particular, if the basic field is of characteristic 0, $\text{Rad}_{\mathfrak{N}\cap\mathfrak{S}-\text{si}}(L)$ and $\text{Rad}_{\mathfrak{N}\cap\mathfrak{S}-\text{asc}}(L)$ are respectively the Baer radical $\beta(L)$ and the Gruenberg radical $\gamma(L)$ which are locally nilpotent [1], and $\text{Rad}_{\mathfrak{S}\cap\mathfrak{F}-\text{si}}(L)$ and $\text{Rad}_{\mathfrak{S}\cap\mathfrak{F}-\text{asc}}(L)$ are locally solvable radicals [4, 5], where \mathfrak{N} , \mathfrak{S} and \mathfrak{F} denote respectively the classes of nilpotent, solvable and finite-dimensional Lie algebras.

The purpose of this paper is to investigate the radicals of Lie algebras, especially to present certain characterizations of $\text{Rad}_{\mathfrak{X}-\text{si}}(L)$ and $\text{Rad}_{\mathfrak{X}-\text{asc}}(L)$ and to study two new radicals.

For a class \mathfrak{X} of Lie algebras, we denoted by $L\mathfrak{X}$ the collection of Lie algebras L such that any finite subset of L lies inside an \mathfrak{X} subalgebra of L [4]. In Section 2, in connection with $L\mathfrak{X}$ we define $\mathfrak{M}\mathfrak{X}$ (resp. $\mathfrak{M}'\mathfrak{X}$) as the class of Lie algebras L such that any finite subset of L lies inside an \mathfrak{X} subideal (resp. an ascendant \mathfrak{X} subalgebra) of L and study their properties. In Section 3 we show that if \mathfrak{X} is coalescent (resp. ascendantly coalescent), any Lie algebra L has a unique maximal $\mathfrak{M}\mathfrak{X}$ (resp. $\mathfrak{M}'\mathfrak{X}$) ideal (Theorem 3.2) and $\text{Rad}_{\mathfrak{X}-\text{si}}(L)$ (resp. $\text{Rad}_{\mathfrak{X}-\text{asc}}(L)$) is the subalgebra generated by all the $\mathfrak{M}\mathfrak{X}$ subideals (resp. all the ascendant $\mathfrak{M}'\mathfrak{X}$ subalgebras) of L and belongs to $\mathfrak{M}\mathfrak{X}$ (resp. $\mathfrak{M}'\mathfrak{X}$) (Theorem 3.5). Hence if furthermore $\text{Rad}_{\mathfrak{X}-\text{si}}(L)$ (resp. $\text{Rad}_{\mathfrak{X}-\text{asc}}(L)$) is an ideal of L then it is the unique

maximal $\mathfrak{M}\mathfrak{X}$ (resp. $\acute{\mathfrak{M}}\mathfrak{X}$) ideal of L (Theorem 3.6). In Section 4 we apply these results to $\beta(L)$, $\gamma(L)$, $\text{Rad}_{\mathfrak{S}\cap\mathfrak{F}-\text{si}}(L)$ and $\text{Rad}_{\mathfrak{S}\cap\mathfrak{F}-\text{asc}}(L)$ to get their characterizations. E.g., $\beta(L)$ is the unique maximal $\mathfrak{M}(\mathfrak{N}\cap\mathfrak{F})$ ideal and the unique maximal $\mathfrak{M}(\mathfrak{N}\cap\mathfrak{F})$ subideal of L (Theorem 4.1). In Section 5 we study the two new radicals $\text{Rad}_{\acute{\mathfrak{M}}(\mathfrak{N}\cap\mathfrak{F})}(L)$ and $\text{Rad}_{\acute{\mathfrak{M}}(\mathfrak{S}\cap\mathfrak{F})}(L)$. We show that each of them is an ideal but not necessarily a characteristic ideal of L , and that if the basic field is of characteristic 0 then $\beta(L)\subseteq\text{Rad}_{\acute{\mathfrak{M}}(\mathfrak{N}\cap\mathfrak{F})}(L)\subseteq\gamma(L)$ and $\text{Rad}_{\mathfrak{S}\cap\mathfrak{F}-\text{si}}(L)\subseteq\text{Rad}_{\acute{\mathfrak{M}}(\mathfrak{S}\cap\mathfrak{F})}(L)\subseteq\text{Rad}_{\mathfrak{S}\cap\mathfrak{F}-\text{asc}}(L)$ where the equalities do not hold in general (Theorems 5.1 and 5.3).

§1. Preliminaries

We shall be concerned with Lie algebras over a field Φ which are not necessarily finite-dimensional. Throughout this paper, L will be an arbitrary Lie algebra over a field Φ , and \mathfrak{X} an arbitrary class of Lie algebras, that is, an arbitrary collection of Lie algebras over a field Φ such that $(0)\in\mathfrak{X}$ and if $H\in\mathfrak{X}$ and $H\simeq K$ then $K\in\mathfrak{X}$, unless otherwise specified.

We mainly employ the terminology and notations which were used in [4, 5].

$H\leq L$, $H\triangleleft L$, H si L and H asc L mean that H is respectively a subalgebra, an ideal, a subideal and an ascendant subalgebra of L . A Lie algebra (resp. a subalgebra, an ideal, a subideal and an ascendant subalgebra of L) belonging to \mathfrak{X} is called an \mathfrak{X} algebra (resp. an \mathfrak{X} subalgebra, an \mathfrak{X} ideal, an \mathfrak{X} subideal and an ascendant \mathfrak{X} subalgebra of L). \mathfrak{X} is coalescent (resp. ascendantly coalescent) provided H, K si L (resp. H, K asc L) and $H, K\in\mathfrak{X}$ imply $\langle H, K \rangle$ si L (resp. $\langle H, K \rangle$ asc L) and $\langle H, K \rangle\in\mathfrak{X}$. \mathfrak{F} , \mathfrak{N} , \mathfrak{S} and \mathfrak{G} denote respectively the classes of finite-dimensional, nilpotent, solvable, and finitely generated Lie algebras. Then both $\mathfrak{N}\cap\mathfrak{F}$ and $\mathfrak{S}\cap\mathfrak{F}$ are coalescent and ascendantly coalescent if the basic field Φ is of characteristic 0.

$\mathfrak{L}\mathfrak{X}$ denotes the class of locally \mathfrak{X} algebras, that is, the class of Lie algebras L such that any finite subset of L lies inside an \mathfrak{X} subalgebra of L .

$\mathfrak{N}\mathfrak{X}$ (resp. $\acute{\mathfrak{N}}\mathfrak{X}$) denotes the class of Lie algebras generated by \mathfrak{X} subideals (resp. ascendant \mathfrak{X} subalgebras). \mathfrak{X} is said to be \mathfrak{N}_0 -closed provided the sum of any two \mathfrak{X} ideals of any Lie algebra always belongs to \mathfrak{X} .

For a coalescent (resp. an ascendantly coalescent) class \mathfrak{X} , the radical $\text{Rad}_{\mathfrak{X}-\text{si}}(L)$ (resp. $\text{Rad}_{\mathfrak{X}-\text{asc}}(L)$) of L is the subalgebra generated by all the \mathfrak{X} subideals (resp. all the ascendant \mathfrak{X} subalgebras) of L . For an \mathfrak{N}_0 -closed class \mathfrak{X} , the radical $\text{Rad}_{\mathfrak{X}}(L)$ of L is the sum of all the \mathfrak{X} ideals of L . These three radicals belong to $\mathfrak{L}\mathfrak{X}$. $\text{Rad}_{\mathfrak{L}\mathfrak{X}}(L)$ is the Hirsch-Plotkin radical $\rho(L)$. If the basic field Φ is of characteristic 0, then $\text{Rad}_{\mathfrak{N}\cap\mathfrak{F}-\text{si}}(L)$ is the Baer radical $\beta(L)$, and $\text{Rad}_{\mathfrak{N}\cap\mathfrak{F}-\text{asc}}(L)$ is the Gruenberg radical $\gamma(L)$. These reduce to the nilpotent radical in finite-dimensional case. Corresponding to these radicals, $\text{Rad}_{\mathfrak{L}(\mathfrak{S}\cap\mathfrak{F})}(L)$, $\text{Rad}_{\mathfrak{S}\cap\mathfrak{F}-\text{si}}(L)$, and $\text{Rad}_{\mathfrak{S}\cap\mathfrak{F}-\text{asc}}(L)$ have been investigated in [4, 5]. These reduce to the solvable

radical in finite-dimensional case.

§2. Operations M, \acute{M}, M_1 and \acute{M}_1

We begin with introducing new closure operations M, \acute{M}, M_1 and \acute{M}_1 which are intimately connected with the operation L .

DEFINITION 2.1. For any class \mathfrak{X} of Lie algebras, we denote by $M\mathfrak{X}$ (resp. $\acute{M}\mathfrak{X}$) the class of Lie algebras L such that any finite subset of L lies inside an \mathfrak{X} subideal (resp. an ascendant \mathfrak{X} subalgebra) of L and by $M_1\mathfrak{X}$ (resp. $\acute{M}_1\mathfrak{X}$) the class of Lie algebras L such that any element of L lies inside an \mathfrak{X} subideal (resp. an ascendant \mathfrak{X} subalgebra) of L .

Then these classes and $L\mathfrak{X}$ are related to each other as in the following diagram:

$$\begin{array}{c} \mathfrak{X} \subseteq M\mathfrak{X} \subseteq \acute{M}\mathfrak{X} \subseteq L\mathfrak{X} \\ \quad \cap \quad \cap \\ \quad M_1\mathfrak{X} \subseteq \acute{M}_1\mathfrak{X} \end{array}$$

Generally these six classes are different from each other. This fact will be shown by examples in Section 6.

LEMMA 2.2. If \mathfrak{X} is a coalescent (resp. an ascendantly coalescent) class of Lie algebras, then

$$M\mathfrak{X} = M_1\mathfrak{X} = N\mathfrak{X} \quad (\text{resp. } \acute{M}\mathfrak{X} = \acute{M}_1\mathfrak{X} = \acute{N}\mathfrak{X}).$$

PROOF. For any class \mathfrak{X} it is evident that

$$M\mathfrak{X} \subseteq M_1\mathfrak{X} \subseteq N\mathfrak{X} \quad \text{and} \quad \acute{M}\mathfrak{X} \subseteq \acute{M}_1\mathfrak{X} \subseteq \acute{N}\mathfrak{X}.$$

Now let \mathfrak{X} be coalescent (resp. ascendantly coalescent) and assume that $L \in N\mathfrak{X}$ (resp. $\acute{N}\mathfrak{X}$). Let $\{x_1, \dots, x_n\}$ be any finite subset of L . Then for each i there exist H_{ij} 's such that

$$x_i \in \langle H_{i1}, \dots, H_{im_i} \rangle, H_{ij} \text{ si } L \text{ (resp. } H_{ij} \text{ asc } L) \text{ and } H_{ij} \in \mathfrak{X}.$$

Denote the join of all the H_{ij} by H . Since \mathfrak{X} is coalescent (resp. ascendantly coalescent),

$$H \text{ si } L \text{ (resp. } H \text{ asc } L) \quad \text{and} \quad H \in \mathfrak{X}.$$

Hence $L \in M\mathfrak{X}$ (resp. $\acute{M}\mathfrak{X}$). Therefore

$$N\mathfrak{X} \subseteq M\mathfrak{X} \quad (\text{resp. } \acute{N}\mathfrak{X} \subseteq \acute{M}\mathfrak{X}),$$

which establishes the lemma.

LEMMA 2.3. (1) $M\mathfrak{N} = M(\mathfrak{N} \cap \mathfrak{F})$ (resp. $\acute{M}\mathfrak{N} = \acute{M}(\mathfrak{N} \cap \mathfrak{F})$) and these classes are equal to the collection of $L \in L\mathfrak{N}$ such that $H \leq L$ and $H \in \mathfrak{F}$ imply H si L (resp. H asc L).

(2) $M_1\mathfrak{N} = M_1(\mathfrak{N} \cap \mathfrak{F})$ and $\acute{M}_1\mathfrak{N} = \acute{M}_1(\mathfrak{N} \cap \mathfrak{F})$.

(3) $N\mathfrak{N} = N(\mathfrak{N} \cap \mathfrak{F})$ and $\acute{N}\mathfrak{N} = \acute{N}(\mathfrak{N} \cap \mathfrak{F})$.

(4) If the basic field Φ is of characteristic 0, then

$$M\mathfrak{N} = M(\mathfrak{N} \cap \mathfrak{F}) = M_1\mathfrak{N} = M_1(\mathfrak{N} \cap \mathfrak{F}) = N\mathfrak{N} = N(\mathfrak{N} \cap \mathfrak{F}),$$

$$\acute{M}\mathfrak{N} = \acute{M}(\mathfrak{N} \cap \mathfrak{F}) = \acute{M}_1\mathfrak{N} = \acute{M}_1(\mathfrak{N} \cap \mathfrak{F}) = \acute{N}\mathfrak{N} = \acute{N}(\mathfrak{N} \cap \mathfrak{F}).$$

PROOF. (1) Assume $L \in M\mathfrak{N}$ (resp. $\acute{M}\mathfrak{N}$). Let K be any finite subset of L . Then there exists H such that

$$K \subseteq H, H \text{ si } L \text{ (resp. } H \text{ asc } L) \text{ and } H \in \mathfrak{N}.$$

Since $H \in \mathfrak{N}$, $\langle K \rangle$ si H and therefore $\langle K \rangle$ si L (resp. $\langle K \rangle$ asc L). Taking account of the fact that $\mathfrak{N} \cap \mathfrak{G} \subseteq \mathfrak{F}$, we have $\langle K \rangle \in \mathfrak{N} \cap \mathfrak{F}$. Hence $L \in M(\mathfrak{N} \cap \mathfrak{F})$ (resp. $\acute{M}(\mathfrak{N} \cap \mathfrak{F})$). Consequently

$$M\mathfrak{N} \subseteq M(\mathfrak{N} \cap \mathfrak{F}) \quad (\text{resp. } \acute{M}\mathfrak{N} \subseteq \acute{M}(\mathfrak{N} \cap \mathfrak{F})).$$

Since the converse inclusion is evident, we have the first statement of (1).

Assume $L \in M(\mathfrak{N} \cap \mathfrak{F})$ (resp. $\acute{M}(\mathfrak{N} \cap \mathfrak{F})$). Evidently $L \in L\mathfrak{N}$. Let H be an \mathfrak{F} subalgebra of L . Then $H = (x_1, \dots, x_n)$. By assumption, there exists K such that

$$\{x_1, \dots, x_n\} \subseteq K, K \text{ si } L \text{ (resp. } K \text{ asc } L) \text{ and } K \in \mathfrak{N} \cap \mathfrak{F}.$$

Since $K \in \mathfrak{N}$, H si K and therefore H si L (resp. H asc L). Conversely, assume that $L \in L\mathfrak{N}$ and any \mathfrak{F} subalgebra of L is a subideal (resp. an ascendant subalgebra). Let K be any finite subset of L . Since $L\mathfrak{N} = L(\mathfrak{N} \cap \mathfrak{F})$ by Lemma 4.1 in [5], there exists H such that

$$K \subseteq H, H \leq L \text{ and } H \in \mathfrak{N} \cap \mathfrak{F}.$$

Hence, by assumption, H si L (resp. H asc L). This shows that $L \in M(\mathfrak{N} \cap \mathfrak{F})$ (resp. $\acute{M}(\mathfrak{N} \cap \mathfrak{F})$).

The statement in (2) can be proved in the same way as the first part of (1).

(3) Assume $L \in N\mathfrak{N}$ (resp. $\acute{N}\mathfrak{N}$). Let H be any one of \mathfrak{N} subideals (resp. ascendant \mathfrak{N} subalgebras) generating L . For any $x \in H$, (x) si H since $H \in \mathfrak{N}$. It follows that

$$(x) \text{ si } L \quad (\text{resp. } (x) \text{ asc } L).$$

Hence H is a union of $\mathfrak{N} \cap \mathfrak{F}$ subideals (resp. ascendant $\mathfrak{N} \cap \mathfrak{F}$ subalgebras)

of L . Therefore $L \in \mathcal{N}(\mathfrak{R} \cap \mathfrak{F})$ (resp. $\acute{\mathcal{N}}(\mathfrak{R} \cap \mathfrak{F})$). Consequently

$$\mathcal{N}\mathfrak{R} \subseteq \mathcal{N}(\mathfrak{R} \cap \mathfrak{F}) \quad (\text{resp. } \acute{\mathcal{N}}\mathfrak{R} \subseteq \acute{\mathcal{N}}(\mathfrak{R} \cap \mathfrak{F})).$$

Since the converse inclusion is evident, we have the statement of (3).

(4) If Φ is of characteristic 0, then $\mathfrak{R} \cap \mathfrak{F}$ is coalescent and ascendantly coalescent. Hence the statement is immediate from (1)–(3) and Lemma 2.2.

The proof is complete.

§3. Characterizations of $\text{Rad}_{\mathfrak{x}-\text{si}}(L)$ and $\text{Rad}_{\mathfrak{x}-\text{asc}}(L)$

In this section, for any coalescent (resp. ascendantly coalescent) class \mathfrak{X} we shall show the existence of a unique maximal $\mathcal{M}\mathfrak{X}$ (resp. $\acute{\mathcal{M}}\mathfrak{X}$) ideal of L and use it to give characterizations of the radical $\text{Rad}_{\mathfrak{x}-\text{si}}(L)$ (resp. $\text{Rad}_{\mathfrak{x}-\text{asc}}(L)$).

LEMMA 3.1. *If \mathfrak{X} is coalescent (resp. ascendantly coalescent), then the sum of any collection of $\mathcal{M}\mathfrak{X}$ (resp. $\acute{\mathcal{M}}\mathfrak{X}$) ideals of L belongs to $\mathcal{M}\mathfrak{X}$ (resp. $\acute{\mathcal{M}}\mathfrak{X}$). In particular $\mathcal{M}\mathfrak{X}$ and $\acute{\mathcal{M}}\mathfrak{X}$ are \mathcal{N}_0 -closed.*

PROOF. Let \mathfrak{C} be any collection of $\mathcal{M}\mathfrak{X}$ (resp. $\acute{\mathcal{M}}\mathfrak{X}$) ideals of L and R be the sum of ideals in \mathfrak{C} . Suppose $\{x_1, \dots, x_n\}$ is any finite subset of R . Then

$$x_i = \sum_{j=1}^{m_i} x_{ij}, \quad x_{ij} \in N_{ij} \in \mathfrak{C}.$$

Since $N_{ij} \in \mathcal{M}\mathfrak{X}$ (resp. $\acute{\mathcal{M}}\mathfrak{X}$), there exist H_{ij} 's such that

$$x_{ij} \in H_{ij}, \quad H_{ij} \text{ si } N_{ij} \text{ (resp. } H_{ij} \text{ asc } N_{ij}), \quad H_{ij} \in \mathfrak{X}.$$

It follows that

$$H_{ij} \text{ si } L \quad (\text{resp. } H_{ij} \text{ asc } L).$$

Denote the join of all the H_{ij} by H . Then coalescency (resp. ascendant coalescency) of \mathfrak{X} tells us that

$$H \text{ si } L \text{ (resp. } H \text{ asc } L), \quad H \in \mathfrak{X}.$$

Taking account of the fact that $H \subseteq R$, we have

$$H \text{ si } R \quad (\text{resp. } H \text{ asc } R).$$

Since $H \supseteq \{x_1, \dots, x_n\}$, R belongs to $\mathcal{M}\mathfrak{X}$ (resp. $\acute{\mathcal{M}}\mathfrak{X}$), and this completes the proof.

THEOREM 3.2. *If \mathfrak{X} is coalescent (resp. ascendantly coalescent), then $\text{Rad}_{\mathcal{M}\mathfrak{X}}(L)$ (resp. $\text{Rad}_{\acute{\mathcal{M}}\mathfrak{X}}(L)$) is the unique maximal $\mathcal{M}\mathfrak{X}$ (resp. $\acute{\mathcal{M}}\mathfrak{X}$) ideal of L .*

PROOF. Since $\mathcal{M}\mathfrak{X}$ (resp. $\acute{\mathcal{M}}\mathfrak{X}$) is \mathcal{N}_0 -closed by Lemma 3.1, $\text{Rad}_{\mathcal{M}\mathfrak{X}}(L)$ (resp.

$\text{Rad}_{\mathfrak{M}\mathfrak{X}}(L)$ can be defined. By Lemma 3.1 it belongs to $\mathfrak{M}\mathfrak{X}$ (resp. $\mathfrak{M}\mathfrak{X}$). Therefore it is the unique maximal $\mathfrak{M}\mathfrak{X}$ (resp. $\mathfrak{M}\mathfrak{X}$) ideal of L .

LEMMA 3.3. *Every $\mathfrak{M}\mathfrak{X}$ subideal (resp. ascendant $\mathfrak{M}\mathfrak{X}$ subalgebra) of L is a union of \mathfrak{X} subideals (resp. ascendant \mathfrak{X} subalgebras) of L .*

PROOF. Let H be an $\mathfrak{M}\mathfrak{X}$ subideal (resp. an ascendant $\mathfrak{M}\mathfrak{X}$ subalgebra) of L . For any $x \in H$, there exists an \mathfrak{X} subideal (resp. an ascendant \mathfrak{X} subalgebra) of H containing x . It is then an \mathfrak{X} subideal (resp. an ascendant \mathfrak{X} subalgebra) of L . Therefore H is a union of \mathfrak{X} subideals (resp. ascendant \mathfrak{X} subalgebras) of L .

LEMMA 3.4. *If \mathfrak{X} is coalescent (resp. ascendantly coalescent), the subalgebra generated by any collection of \mathfrak{X} subideals (resp. ascendant \mathfrak{X} subalgebras) of L belongs to $\mathfrak{M}\mathfrak{X}$ (resp. $\mathfrak{M}\mathfrak{X}$).*

PROOF. Let \mathfrak{C} be any collection of \mathfrak{X} subideals (resp. ascendant \mathfrak{X} subalgebras) of L and R be the subalgebra generated by all the subalgebras in \mathfrak{C} . Suppose $\{x_1, \dots, x_n\}$ is any finite subset of R . Then for each i there exist H_{ij} 's such that

$$x_i \in \langle x_{i1}, \dots, x_{im_i} \rangle, \quad x_{ij} \in H_{ij} \in \mathfrak{C}.$$

Denote the join of all the H_{ij} by H . Since \mathfrak{X} is coalescent (resp. ascendantly coalescent),

$$H \text{ si } L \text{ (resp. } H \text{ asc } L), \quad H \in \mathfrak{X}.$$

Taking account of the fact that $H \subseteq R$, we have

$$H \text{ si } R \quad (\text{resp. } H \text{ asc } R).$$

Since $H \supseteq \{x_1, \dots, x_n\}$, R belongs to $\mathfrak{M}\mathfrak{X}$ (resp. $\mathfrak{M}\mathfrak{X}$), and this completes the proof.

THEOREM 3.5. *If \mathfrak{X} is coalescent (resp. ascendantly coalescent), $\text{Rad}_{\mathfrak{X}\text{-si}}(L)$ (resp. $\text{Rad}_{\mathfrak{X}\text{-asc}}(L)$) is the subalgebra generated by all the $\mathfrak{M}\mathfrak{X}$ subideals (resp. ascendant $\mathfrak{M}\mathfrak{X}$ subalgebras) of L and belongs to $\mathfrak{M}\mathfrak{X}$ (resp. $\mathfrak{M}\mathfrak{X}$).*

PROOF. Let R be the subalgebra generated by all the $\mathfrak{M}\mathfrak{X}$ subideals (resp. all the ascendant $\mathfrak{M}\mathfrak{X}$ subalgebras) of L . Then by Lemma 3.3

$$R \subseteq \text{Rad}_{\mathfrak{X}\text{-si}}(L) \quad (\text{resp. } R \subseteq \text{Rad}_{\mathfrak{X}\text{-asc}}(L)).$$

The converse inclusion is immediate from the fact that $\mathfrak{X} \subseteq \mathfrak{M}\mathfrak{X}$. Therefore

$$R = \text{Rad}_{\mathfrak{X}\text{-si}}(L) \quad (\text{resp. } R = \text{Rad}_{\mathfrak{X}\text{-asc}}(L)).$$

The other part of the statement follows from Lemma 3.4.

THEOREM 3.6. *Let \mathfrak{X} be coalescent (resp. ascendantly coalescent). If $\text{Rad}_{\mathfrak{x}-\text{si}}(L)$ (resp. $\text{Rad}_{\mathfrak{x}-\text{asc}}(L)$) is a subideal (resp. an ascendant subalgebra) of L , then it is the unique maximal $\mathfrak{M}\mathfrak{X}$ subideal (resp. ascendant $\acute{\mathfrak{M}}\mathfrak{X}$ subalgebra) of L . If $\text{Rad}_{\mathfrak{x}-\text{si}}(L)$ (resp. $\text{Rad}_{\mathfrak{x}-\text{asc}}(L)$) is an ideal of L , then it is the unique maximal $\mathfrak{M}\mathfrak{X}$ (resp. $\acute{\mathfrak{M}}\mathfrak{X}$) ideal of L .*

PROOF. This is an immediate consequence of Theorems 3.2 and 3.5.

It is finally to be noted that by Lemma 2.2 the theorems and lemmas in this section are valid with $\mathfrak{M}\mathfrak{X}$ (resp. $\acute{\mathfrak{M}}\mathfrak{X}$) replaced by each of $\mathfrak{M}_1\mathfrak{X}$, $\mathfrak{N}\mathfrak{X}$ (resp. $\acute{\mathfrak{M}}_1\mathfrak{X}$, $\acute{\mathfrak{N}}\mathfrak{X}$).

**§4. Characterizations of $\beta(L)$, $\gamma(L)$, $\text{Rad}_{\mathfrak{e}\cap\mathfrak{F}-\text{si}}(L)$
and $\text{Rad}_{\mathfrak{e}\cap\mathfrak{F}-\text{asc}}(L)$**

In this section we assume that the basic field Φ is of characteristic 0. We shall apply the results of the preceding section for $\beta(L)$, $\gamma(L)$, $\text{Rad}_{\mathfrak{e}\cap\mathfrak{F}-\text{si}}(L)$ and $\text{Rad}_{\mathfrak{e}\cap\mathfrak{F}-\text{asc}}(L)$ to obtain their characterizations.

The Baer radical $\beta(L)$ of L is equal to the subalgebra generated by all the \mathfrak{R} (resp. all the one-dimensional) subideals of L and to the set of $x \in L$ such that $(x) \text{ si } L$ [2, Theorem 10.4]. We have further characterizations of $\beta(L)$ in the following

THEOREM 4.1. *The Baer radical $\beta(L)$ of L is the unique maximal $\mathfrak{M}(\mathfrak{R} \cap \mathfrak{F})$ ideal, the unique maximal $\mathfrak{M}(\mathfrak{R} \cap \mathfrak{F})$ subideal and the unique maximal characteristic $\mathfrak{M}(\mathfrak{R} \cap \mathfrak{F})$ ideal of L .*

PROOF. It is shown in Corollary to Theorem 3 of [1] that $\beta(L)$ is a characteristic ideal of L . Hence the statement follows from Theorem 3.6.

L is called [2] a Baer algebra if $L = \beta(L)$. We call an ideal of L which is itself a Baer algebra a Baer ideal of L . Then the $\mathfrak{M}(\mathfrak{R} \cap \mathfrak{F})$ ideals of L are the Baer ideals of L , since $\mathfrak{M}(\mathfrak{R} \cap \mathfrak{F}) = \mathfrak{N}(\mathfrak{R} \cap \mathfrak{F})$ by Lemma 2.2. Therefore a part of the theorem may be expressed as in the following

COROLLARY 4.2. *The Baer radical of L is the sum of all the Baer ideals of L and is the unique maximal Baer ideal of L .*

The Gruenberg radical $\gamma(L)$ of L is equal to the subalgebra generated by all the ascendant \mathfrak{R} (resp. one-dimensional) subalgebras of L and to the set of $x \in L$ such that $(x) \text{ asc } L$. The proof may be carried out in the same way as that of the corresponding characterizations of $\beta(L)$ given in [2]. We have further characterizations of $\gamma(L)$ in the following statements.

THEOREM 4.3. *The Gruenberg radical $\gamma(L)$ of L is the subalgebra generated by the ascendant $\acute{\mathfrak{M}}(\mathfrak{R} \cap \mathfrak{F})$ subalgebras of L and belongs to $\acute{\mathfrak{M}}(\mathfrak{R} \cap \mathfrak{F})$.*

PROOF. This follows from Theorem 3.5.

COROLLARY 4.4. *The Gruenberg radical of L is the subalgebra generated by all the ascendant $\mathfrak{M}(\mathfrak{N} \cap \mathfrak{F})$ subalgebras of L .*

PROOF. This follows from Theorem 4.3 and the fact that

$$\mathfrak{N} \cap \mathfrak{F} \subseteq \mathfrak{M}(\mathfrak{N} \cap \mathfrak{F}) \subseteq \acute{\mathfrak{M}}(\mathfrak{N} \cap \mathfrak{F}).$$

THEOREM 4.5. *The radical $\text{Rad}_{\mathfrak{S} \cap \mathfrak{F}-\text{si}}(L)$ of L is the unique maximal $\mathfrak{M}(\mathfrak{S} \cap \mathfrak{F})$ ideal, the unique maximal $\mathfrak{M}(\mathfrak{S} \cap \mathfrak{F})$ subideal and the unique maximal characteristic $\mathfrak{M}(\mathfrak{S} \cap \mathfrak{F})$ ideal of L .*

PROOF. It is shown in Theorem 8.3 of [4] that $\text{Rad}_{\mathfrak{S} \cap \mathfrak{F}-\text{si}}(L)$ is a characteristic ideal of L . Hence the statement follows from Theorem 3.6.

THEOREM 4.6. *The radical $\text{Rad}_{\mathfrak{S} \cap \mathfrak{F}-\text{asc}}(L)$ of L is the subalgebra generated by all the ascendant $\acute{\mathfrak{M}}(\mathfrak{S} \cap \mathfrak{F})$ subalgebras of L and belongs to $\acute{\mathfrak{M}}(\mathfrak{S} \cap \mathfrak{F})$.*

PROOF. This follows from Theorem 3.5.

COROLLARY 4.7. *The radical $\text{Rad}_{\mathfrak{S} \cap \mathfrak{F}-\text{asc}}(L)$ of L is the subalgebra generated by all the ascendant $\mathfrak{M}(\mathfrak{S} \cap \mathfrak{F})$ subalgebras of L .*

PROOF. This follows from Theorem 4.6 and the fact that

$$\mathfrak{S} \cap \mathfrak{F} \subseteq \mathfrak{M}(\mathfrak{S} \cap \mathfrak{F}) \subseteq \acute{\mathfrak{M}}(\mathfrak{S} \cap \mathfrak{F}).$$

It is to be noted that, by Lemma 2.3, Theorems 4.1, 4.3 and Corollary 4.4 are valid with $\mathfrak{M}(\mathfrak{N} \cap \mathfrak{F})$ (resp. $\acute{\mathfrak{M}}(\mathfrak{N} \cap \mathfrak{F})$) replaced by each of $\mathfrak{M}\mathfrak{N}$, $\mathfrak{M}_1\mathfrak{N}$, $\mathfrak{M}_1(\mathfrak{N} \cap \mathfrak{F})$, $\mathfrak{N}\mathfrak{N}$, $\mathfrak{N}(\mathfrak{N} \cap \mathfrak{F})$ (resp. $\acute{\mathfrak{M}}\mathfrak{N}$, $\acute{\mathfrak{M}}_1\mathfrak{N}$, $\acute{\mathfrak{M}}_1(\mathfrak{N} \cap \mathfrak{F})$, $\acute{\mathfrak{N}}\mathfrak{N}$, $\acute{\mathfrak{N}}(\mathfrak{N} \cap \mathfrak{F})$) and, by Lemma 2.2, Theorems 4.5, 4.6 and Corollary 4.7 are valid with $\mathfrak{M}(\mathfrak{S} \cap \mathfrak{F})$ (resp. $\acute{\mathfrak{M}}(\mathfrak{S} \cap \mathfrak{F})$) replaced by each of $\mathfrak{M}_1(\mathfrak{S} \cap \mathfrak{F})$, $\mathfrak{N}(\mathfrak{S} \cap \mathfrak{F})$ (resp. $\acute{\mathfrak{M}}_1(\mathfrak{S} \cap \mathfrak{F})$, $\acute{\mathfrak{N}}(\mathfrak{S} \cap \mathfrak{F})$).

§5. $\text{Rad}_{\acute{\mathfrak{M}}(\mathfrak{R} \cap \mathfrak{F})}(L)$ and $\text{Rad}_{\acute{\mathfrak{M}}(\mathfrak{S} \cap \mathfrak{F})}(L)$

$\text{Rad}_{\acute{\mathfrak{M}}(\mathfrak{R} \cap \mathfrak{F})}(L)$ and $\text{Rad}_{\acute{\mathfrak{M}}(\mathfrak{S} \cap \mathfrak{F})}(L)$ are respectively locally nilpotent and locally solvable radicals of L whose existence was shown in Theorem 3.2. This section is devoted to investigation of the properties of these two new radicals. We first show the following

THEOREM 5.1. (1) $\text{Rad}_{\acute{\mathfrak{M}}(\mathfrak{R} \cap \mathfrak{F})}(L)$ is not necessarily a characteristic ideal of L and

$$\text{Rad}_{\acute{\mathfrak{M}}(\mathfrak{R} \cap \mathfrak{F})}(L) \subseteq \rho(L).$$

(2) If the basic field Φ is of characteristic 0, then

$$\beta(L) \subseteq \text{Rad}_{\dot{M}(\mathfrak{N} \cap \mathfrak{F})}(L) \subseteq \gamma(L)$$

and these are generally different from each other.

PROOF. Since $\dot{M}(\mathfrak{N} \cap \mathfrak{F}) \subseteq L\mathfrak{N}$, we have $\text{Rad}_{\dot{M}(\mathfrak{N} \cap \mathfrak{F})}(L) \subseteq \rho(L)$. Assume that the basic field Φ is of characteristic 0. Then by Theorem 4.1 $\beta(L)$ is an $M(\mathfrak{N} \cap \mathfrak{F})$ ideal of L and therefore an $\dot{M}(\mathfrak{N} \cap \mathfrak{F})$ ideal of L . Hence $\beta(L) \subseteq \text{Rad}_{\dot{M}(\mathfrak{N} \cap \mathfrak{F})}(L)$. By Theorem 4.3, $\gamma(L)$ is the subalgebra generated by all the ascendant $\dot{M}(\mathfrak{N} \cap \mathfrak{F})$ subalgebras of L . Hence $\text{Rad}_{\dot{M}(\mathfrak{N} \cap \mathfrak{F})}(L) \subseteq \gamma(L)$. $\beta(L)$ is a characteristic ideal of L and $\gamma(L)$ is not necessarily an ideal of L . Since $\text{Rad}_{\dot{M}(\mathfrak{N} \cap \mathfrak{F})}(L)$ is an ideal of L , it only remains to show that it is not necessarily a characteristic ideal of L .

Let L be the Lie algebra in Example C in [4]. That is, L is the semi-direct sum of an infinite-dimensional abelian Lie algebra $A = (e_0, e_1, e_2, \dots)$ and a nilpotent Lie algebra (x, y, z) of derivations of A with $[x, y] = z$, $[x, z] = [y, z] = 0$, where

$$\begin{aligned} x: e_i &\rightarrow e_{i+1} & (i \geq 0), \\ y: e_0 &\rightarrow 0, & e_i \rightarrow ie_{i-1} & (i \geq 1), \\ z: e_i &\rightarrow e_i & (i \geq 0). \end{aligned}$$

Let $L_1 = A + (y, z)$. Then the $\mathfrak{N} \cap \mathfrak{F}$ subalgebras of L_1 containing z are (z) and (y, z) . The idealizers of (z) and (y, z) in L_1 are (y, z) . Hence neither (z) nor (y, z) is an ascendant subalgebra of L_1 . This shows that $L_1 \notin \dot{M}(\mathfrak{N} \cap \mathfrak{F})$. On the other hand, any finite subset of $A + (y)$ lies inside some ascendant $\mathfrak{N} \cap \mathfrak{F}$ subalgebra $A_n + (y)$ where $A_n = (e_0, e_1, \dots, e_n)$. Hence $A + (y)$ is an $\dot{M}(\mathfrak{N} \cap \mathfrak{F})$ ideal of L_1 . Therefore $\text{Rad}_{\dot{M}(\mathfrak{N} \cap \mathfrak{F})}(L_1) = A + (y)$. $\text{ad}_L x$ induces the derivation D of L_1 sending y to $-z$. Hence $A + (y)$ is not invariant under D . Thus $\text{Rad}_{\dot{M}(\mathfrak{N} \cap \mathfrak{F})}(L_1)$ is not a characteristic ideal of L_1 .

The proof is completed.

By imposing certain conditions on L we have the following

PROPOSITION 5.2. Let L be a Lie algebra of countable dimension. Then $\text{Rad}_{\dot{M}(\mathfrak{N} \cap \mathfrak{F})}(L) = \rho(L)$. If furthermore the basic field Φ is of characteristic 0 and $L \in L\mathfrak{F}$, then $\text{Rad}_{\dot{M}(\mathfrak{N} \cap \mathfrak{F})}(L) = \rho(L) = \gamma(L)$.

PROOF. Let H be any $L\mathfrak{N}$ ideal of L and K be any finite subset of H . If $\{e_1, e_2, \dots\}$ denotes a basis of H , $K \subseteq H_n = \langle e_1, e_2, \dots, e_n \rangle$ for some n . Since $H \in L\mathfrak{N}$, $H_k \in \mathfrak{N} \cap \mathfrak{F}$ and therefore H_k is H_{k+1} for any k . It follows that $H_n \text{ asc } H$. Hence $H \in \dot{M}(\mathfrak{N} \cap \mathfrak{F})$. Thus the $L\mathfrak{N}$ ideals of L are the $\dot{M}(\mathfrak{N} \cap \mathfrak{F})$ ideals of L . Therefore $\text{Rad}_{\dot{M}(\mathfrak{N} \cap \mathfrak{F})}(L) = \rho(L)$. If Φ is of characteristic 0 and $L \in L\mathfrak{F}$, it is shown in Corollary 3.9 of [3] that $\gamma(L) \subseteq \rho(L)$. Hence $\text{Rad}_{\dot{M}(\mathfrak{N} \cap \mathfrak{F})}(L) \subseteq \gamma(L) \subseteq \rho(L)$.

$\rho(L)$ and therefore $\text{Rad}_{\acute{M}(\mathfrak{S} \cap \mathfrak{F})}(L) = \gamma(L) = \rho(L)$.

THEOREM 5.3. (1) $\text{Rad}_{\acute{M}(\mathfrak{S} \cap \mathfrak{F})}(L)$ is not necessarily a characteristic ideal of L ,

$$\text{Rad}_{\acute{M}(\mathfrak{S} \cap \mathfrak{F})}(L) \subseteq \text{Rad}_{L(\mathfrak{S} \cap \mathfrak{F})}(L)$$

and these are generally different.

(2) If the basic field Φ is of characteristic 0, then

$$\text{Rad}_{\mathfrak{S} \cap \mathfrak{F} - \text{si}}(L) \subseteq \text{Rad}_{\acute{M}(\mathfrak{S} \cap \mathfrak{F})}(L) \subseteq \text{Rad}_{\mathfrak{S} \cap \mathfrak{F} - \text{asc}}(L)$$

and these are generally different from each other.

PROOF. Since $\acute{M}(\mathfrak{S} \cap \mathfrak{F}) \subseteq L(\mathfrak{S} \cap \mathfrak{F})$, we have $\text{Rad}_{\acute{M}(\mathfrak{S} \cap \mathfrak{F})}(L) \subseteq \text{Rad}_{L(\mathfrak{S} \cap \mathfrak{F})}(L)$. Assume that the basic field Φ is of characteristic 0. Then by Theorem 4.5 $\text{Rad}_{\mathfrak{S} \cap \mathfrak{F} - \text{si}}(L)$ is an $\mathfrak{M}(\mathfrak{S} \cap \mathfrak{F})$ ideal of L and therefore an $\acute{M}(\mathfrak{S} \cap \mathfrak{F})$ ideal of L . Hence $\text{Rad}_{\mathfrak{S} \cap \mathfrak{F} - \text{si}}(L) \subseteq \text{Rad}_{\acute{M}(\mathfrak{S} \cap \mathfrak{F})}(L)$. By Theorem 3.2 $\text{Rad}_{\acute{M}(\mathfrak{S} \cap \mathfrak{F})}(L)$ is the unique maximal $\acute{M}(\mathfrak{S} \cap \mathfrak{F})$ ideal of L and by Theorem 4.6 $\text{Rad}_{\mathfrak{S} \cap \mathfrak{F} - \text{asc}}(L)$ is the subalgebra generated by all the ascendant $\acute{M}(\mathfrak{S} \cap \mathfrak{F})$ subalgebras of L . Hence $\text{Rad}_{\acute{M}(\mathfrak{S} \cap \mathfrak{F})}(L) \subseteq \text{Rad}_{\mathfrak{S} \cap \mathfrak{F} - \text{asc}}(L)$.

By Theorem 8.3 in [4] $\text{Rad}_{\mathfrak{S} \cap \mathfrak{F} - \text{si}}(L)$ is a characteristic ideal of L and by Theorem 4.2 in [5] $\text{Rad}_{\mathfrak{S} \cap \mathfrak{F} - \text{asc}}(L)$ is not necessarily an ideal of L . To show that $\text{Rad}_{\mathfrak{S} \cap \mathfrak{F} - \text{si}}(L)$, $\text{Rad}_{\acute{M}(\mathfrak{S} \cap \mathfrak{F})}(L)$ and $\text{Rad}_{\mathfrak{S} \cap \mathfrak{F} - \text{asc}}(L)$ are generally different from each other, it therefore suffices to show that $\text{Rad}_{\acute{M}(\mathfrak{S} \cap \mathfrak{F})}(L)$ is not necessarily a characteristic ideal of L .

Let L_1 be the Lie algebra as in the proof of Theorem 5.1. The $\mathfrak{S} \cap \mathfrak{F}$ subalgebras of L_1 containing z are

$$(z), (y, z), B+(z), A_n+(y, z)$$

where B is any \mathfrak{F} subalgebra of A . The idealizer of (z) is (y, z) and that of $B+(z)$ is either $B+(z)$ or $A_n+(y, z)$. (y, z) and $A_n+(y, z)$ are equal to their idealizers in L_1 . Hence any $\mathfrak{S} \cap \mathfrak{F}$ subalgebra of L_1 containing z is not an ascendant subalgebra of L_1 . Thus $L_1 \notin \acute{M}(\mathfrak{S} \cap \mathfrak{F})$. On the other hand any finite subset of $A+(y)$ lies inside some ascendant $\mathfrak{S} \cap \mathfrak{F}$ subalgebra $A_n+(y)$ of $A+(y)$. Hence $A+(y)$ is an $\acute{M}(\mathfrak{S} \cap \mathfrak{F})$ ideal of L_1 . Therefore $\text{Rad}_{\acute{M}(\mathfrak{S} \cap \mathfrak{F})}(L_1) = A+(y)$. It is not characteristic since it is not invariant under the derivation of L_1 induced by $\text{ad}_L x$.

Thus it only remains to show that $\text{Rad}_{\acute{M}(\mathfrak{S} \cap \mathfrak{F})}(L)$ and $\text{Rad}_{L(\mathfrak{S} \cap \mathfrak{F})}(L)$ are different in general. Let L be the Lie algebra as in the proof of Theorem 5.1. Then it is shown in the proofs of Theorems 4.2 and 4.3 in [5] that

$$\text{Rad}_{\mathfrak{S} \cap \mathfrak{F} - \text{asc}}(L) = A+(y) \text{ and } \text{Rad}_{L(\mathfrak{S} \cap \mathfrak{F})}(L) = A+(y, z).$$

Since $\text{Rad}_{\dot{M}(\mathfrak{S} \cap \mathfrak{F})}(L) \subseteq \text{Rad}_{\mathfrak{S} \cap \mathfrak{F}\text{-asc}}(L)$, it follows that $\text{Rad}_{\dot{M}(\mathfrak{S} \cap \mathfrak{F})}(L) \neq \text{Rad}_{L(\mathfrak{S} \cap \mathfrak{F})}(L)$. This completes the proof.

§6. Examples

This section is devoted to showing by examples that the six classes \mathfrak{X} , $M\mathfrak{X}$, $\dot{M}\mathfrak{X}$, $M_1\mathfrak{X}$, $\dot{M}_1\mathfrak{X}$ and $L\mathfrak{X}$ are generally different from each other as announced in Section 2.

EXAMPLE 6.1. $\mathfrak{X} \neq M\mathfrak{X}$ generally. Take $\mathfrak{X} = \mathfrak{N}$ and let L be the Lie algebra over a field of characteristic 0 in Theorem 12.1 in [2]. Then it is known that $L \notin \mathfrak{N}$ and $L = \beta(L)$. Hence $L \in \mathfrak{N}(\mathfrak{N} \cap \mathfrak{F})$ and therefore by Lemms 2.3 $L \in M\mathfrak{N}$.

EXAMPLE 6.2. $M\mathfrak{X} \neq \dot{M}\mathfrak{X}$ and $M_1\mathfrak{X} \neq \dot{M}_1\mathfrak{X}$ generally. Take $\mathfrak{X} = \mathfrak{N}$ and let $L = A + (y)$ be a subalgebra of the Lie algebra $A + (x, y, z)$ in the proof of Theorem 5.1. Suppose that there exists an \mathfrak{N} subideal H of L containing y . Then $H \neq L$ and $H \neq (y)$. Therefore H contains

$$u = \sum_{i=0}^k a_i e_i + by, \quad a_k \neq 0.$$

But

$$u(\text{ad } y)^k = k! a_k e_0.$$

Hence $e_0 \in H$. Considering $u - a_0 e_0$ and $(\text{ad } y)^{k-1}$ instead of u and $(\text{ad } y)^k$, we obtain $e_1 \in H$. By induction we see that $H \supseteq A_k + (y)$. It follows that $H = A_n + (y)$ for some n and H is not a subideal of L . Thus no \mathfrak{N} subideals of L contain y . Hence $L \notin M_1\mathfrak{N}$ and therefore $L \notin M\mathfrak{N}$. On the other hand, any finite subset of L is obviously contained in a subalgebra $A_n + (y)$ for some n which is an ascendant \mathfrak{N} subalgebra of L . Hence $L \in \dot{M}\mathfrak{N}$ and therefore $L_1 \in \dot{M}\mathfrak{N}$.

EXAMPLE 6.3. $\dot{M}\mathfrak{X} \neq L\mathfrak{X}$, $M_1\mathfrak{X} \neq L\mathfrak{X}$ and $\dot{M}_1\mathfrak{X} \neq L\mathfrak{X}$ generally. Take $\mathfrak{X} = \mathfrak{S} \cap \mathfrak{F}$ and let $L = A + (z)$ be a subalgebra of the Lie algebra in the proof of Theorem 5.1. Suppose that H is an ascendant $\mathfrak{S} \cap \mathfrak{F}$ subalgebra of L containing z . Then $H \neq L$, $H \neq A$ and $H \neq (z)$. It follows that H is the sum of (z) and a subalgebra of A . But H is then its own idealizer in L , which contradicts our supposition on H . Thus there exist no ascendant $\mathfrak{S} \cap \mathfrak{F}$ subalgebras of L containing z . Hence $L \notin \dot{M}_1(\mathfrak{S} \cap \mathfrak{F})$. It follows that $L \notin \dot{M}(\mathfrak{S} \cap \mathfrak{F})$ and $L \notin M_1(\mathfrak{S} \cap \mathfrak{F})$. On the other hand, any finite subset of L obviously lies inside some $A_n + (z)$. Hence $L \in L(\mathfrak{S} \cap \mathfrak{F})$. Thus we conclude that each of $\dot{M}(\mathfrak{S} \cap \mathfrak{F})$, $M_1(\mathfrak{S} \cap \mathfrak{F})$ and $\dot{M}_1(\mathfrak{S} \cap \mathfrak{F})$ is different from $L(\mathfrak{S} \cap \mathfrak{F})$.

EXAMPLE 6.4. $M\mathfrak{X} \neq M_1\mathfrak{X}$ generally. Take $\mathfrak{X} = \mathfrak{A}$ and let $L = (x, y, z)$ be a subalgebra of the Lie algebra in the proof of Theorem 5.1. For any element $u = ax + by + cz$ of L ,

$$(u) \triangleleft (ax + by, z) \triangleleft L.$$

Hence (u) is an \mathfrak{A} subideal of L . Therefore $L \in M_1\mathfrak{A}$. However $L \notin M\mathfrak{A}$, since the subalgebra containing $\{x, y\}$ is not abelian.

EXAMPLE 6.5. $\acute{M}\mathfrak{X} \neq \acute{M}_1\mathfrak{X}$ generally. Take $\mathfrak{X} = \mathfrak{A}$ and let L be a subalgebra $A_n + (y)$ of the Lie algebra in the proof of Theorem 5.1. Let u be any non-zero element of L . If $u = ay$, $(u) \text{ asc } L$. Otherwise we have

$$u = \sum_{i=0}^n a_i e_i + by, \quad a_n \neq 0.$$

Then

$$(u) \triangleleft (e_0, \sum_{i=1}^n a_i e_i + by) \triangleleft (e_0, e_1, \sum_{i=2}^n a_i e_i + by) \triangleleft \dots \triangleleft A_n + (y).$$

Since $A_n + (y) \text{ asc } L$, it follows that $(u) \text{ asc } L$. Therefore $L \in \acute{M}_1\mathfrak{A}$. It is however obvious that $L \notin \acute{M}\mathfrak{A}$.

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