

## *Meromorphic Mappings into a Compact Complex Space*

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**Introduction.** Let  $M$  be an  $m$ -dimensional smooth complex projective variety,  $\Delta_n = \{(z_j) \in \mathbf{C}^n; |z_j| < 1\}$  the unit polydisc in the complex affine space  $\mathbf{C}^n$  of dimension  $n$  and  $\Delta_n^* = \Delta_n - \{z_1 = 0\}$ . Kobayashi-Ochiai ([5]) proved that if a holomorphic mapping  $f: \Delta_n^* \rightarrow M$  is of rank  $m$ , i. e., the differential  $df$  is non-singular at some point, and if the canonical bundle  $K_M$  over  $M$  is positive, then  $f$  has a meromorphic extension from  $\Delta_n$  into  $M$ . Kodaira showed that this extension theorem remains valid in the case where  $M$  is of general type (see Kobayashi-Ochiai [5, Addendum]). The condition that  $M$  is of general type is birationally invariant, whereas the positivity of  $K_M$  is not. For holomorphic mappings  $f: \Delta_n^* \rightarrow M$  with  $n < m$ , Carlson ([1]) proved the analogous extension theorem under the condition that the vector bundle  $\Omega(n)$  of holomorphic  $n$ -forms over  $M$  is positive.

In the present paper we shall establish such an extension theorem for algebraically non-degenerate holomorphic mappings  $f: \Delta_n^* \rightarrow M$  with  $n \leq m$  under an assumption for  $\Omega(n)$  which is birationally, moreover, bimeromorphically invariant and coincides, in case  $n = m$ , with that  $M$  is of general type (see (1.1), Corollary 1.2 and Theorem 3.1). Furthermore we shall deal with the case where  $M$  is a Moisëzon space.

In the proof of that theorem, the key is a lemma of the Schwarz type (Lemma 2.2). In the last section we shall apply this lemma to study the family  $\mathcal{M}$  of meromorphic mappings from an  $n$ -dimensional compact complex manifold  $N$  into  $M$  of rank  $n$ . We shall prove that if the analytic set  $B$  in  $M$  defined in section 4 is empty, then  $\mathcal{M}$  is  $m$ -normal and the limits belong to  $\mathcal{M}$ , i. e., the space  $\mathcal{M}$  endowed with  $m$ -convergence is compact (see Definitions 5.1, 5.2 and Theorem 5.3). In general, however, it seems that the  $m$ -convergence does not determine a topology in the precise sense. To make clear this fact we shall prove that  $\mathcal{M}$  can be embedded into some complex affine and projective spaces in such a way that  $\mathcal{M}$  is compact in each of them (Theorem 5.4).

### 1. Preliminaries

Let  $M$  be a compact complex manifold of dimension  $m$  and  $\Omega(n)$  the vector bundle of holomorphic  $n$ -forms over  $M$ . Suppose that there exists an effective

divisor  $D$  on  $M$  with  $\kappa(D, M) = m$  (Iitaka [4]), that is,

$$\overline{\lim}_{k \rightarrow \infty} \dim \Gamma(M, [kD])/k^m > 0,$$

where  $\Gamma(M, [kD])$  denotes the vector space of global holomorphic sections of the line bundle  $[kD]$  determined by the divisor  $kD$  with integral coefficient  $k \in \mathbb{Z}$ . By Iitaka [4] there is a positive integer  $k_0$  such that the image of the meromorphic mapping

$$T: M \ni x \longmapsto (\tau_1(x), \dots, \tau_N(x)) \in \mathbb{P}^{N-1}$$

is  $m$ -dimensional, where  $\{\tau_j\}$  is a basis of  $\Gamma(M, [k_0D])$ ,  $N = \dim \Gamma(M, [k_0D])$  and  $\mathbb{P}^{N-1}$  denotes the  $(N - 1)$ -dimensional complex projective space. Pulling back rational functions on  $\mathbb{P}^{N-1}$  through  $T$  we see that the meromorphic function field of  $M$  is of transcendental degree  $m$ , i. e.,  $M$  is a Moisézon manifold. We consider the following condition for  $M$ :

$$(1.1) \quad \left\{ \begin{array}{l} \text{For some effective divisor } D \text{ on } M \text{ with } \kappa(D, M) = m, \\ \text{there are a positive integer } l \text{ and a point } x_0 \in M \text{ such} \\ \text{that for any } \xi \in \Omega_{x_0}^*(n) \text{ with } \xi \neq 0 \text{ there is a section } \sigma \in \Gamma(M, \\ S^l(\Omega(n)) \otimes [-D]) \text{ such that } \sigma_{x_0}(S^l\xi) \neq 0, \end{array} \right.$$

where  $\Omega^*(n)$  denotes the dual bundle of  $\Omega(n)$  and  $S^l(\cdot)$  the  $l$ -th symmetric tensor power. When  $E$  is a line bundle, we shall simply write  $S^l(E) = E^l$ .

**REMARK.** In the proof of Theorem 3.1 in section 3 and in section 4, we shall see that condition (1.1) is independent of the choice of such a  $D$ . In case  $n = m$ , (1.1) is equivalent to that  $M$  is of general type (cf. [7]).

Let  $O$  denote the zero section of the bundle  $\Omega^*(n)$  and  $P\Omega^*(n)$  the quotient of  $\Omega^*(n) - O$  by the multiplicative group  $\mathbb{C}^*$ . Then  $\Omega^*(n) - O \rightarrow P\Omega^*(n)$  is a principal bundle with group  $\mathbb{C}^*$ . Let  $L$  be the dual of the associated line bundle over  $P\Omega^*(n)$ . Letting  $\pi: P\Omega^*(n) \rightarrow M$  denote the projection, we have

$$\Gamma(M, S^l(\Omega(n)) \otimes [-D]) = \Gamma(P\Omega^*(n), L^l \otimes \pi^*[-D]) \quad (\text{cf. [3]}).$$

Let  $A$  be the analytic set of the common zeros of global holomorphic sections of  $L^l \otimes \pi^*[-D]$  and  $B = \pi(A)$ . Then (1.1) is equivalent to

$$(1.1') \quad B \neq M$$

and the set of points at which (1.1) does not hold is the analytic set  $B$ .

**PROPOSITION 1.1.** *Let  $M_1$  and  $M_2$  be compact complex manifolds of dimension  $m$  and  $f: M_1 \rightarrow M_2$  a surjective meromorphic mapping. If  $M_2$  satisfies (1.1), then so does  $M_1$ .*

PROOF. Let  $S$  be the singular locus (indeterminant points) of  $f$ . Then  $f|_{M_1-S}: M_1-S \rightarrow M_2$  is holomorphic and  $d(f|_{M_1-S})$  is non-singular in a non-empty open set. Let  $D_2$  be an effective divisor on  $M_2$  with which (1.1) holds. By the above argument we may assume that (1.1) holds at a point  $x_2=f(x_1)$  with  $x_1 \in M_1-S$  at which  $(df)_{x_1}$  is non-singular. Let  $D_1=f^*D_2$  be the pullback of the effective divisor  $D_2$  on  $M_2$ . Since  $\dim \Gamma(M_1, [kD_1]) \geq \dim \Gamma(M_2, [kD_2])$ ,  $\kappa(D_1, M_1)=m$ . We naturally get a homomorphism

$$f^*: \Gamma(M_2, S^l(\Omega_{M_2}(n)) \otimes [-D_2]) \longrightarrow \Gamma(M_1, S^l(\Omega_{M_1}(n)) \otimes [-D_1]).$$

Since condition (1.1) for  $M_2$  is satisfied at  $x_2$  and  $(df)_{x_1}$  is non-singular,  $M_1$  satisfies (1.1) at  $x_1$ .

COROLLARY 1.2. *Condition (1.1) is bimeromorphically invariant.*

DEFINITION 1.1. We say that a Moisèzon space  $X^*)$  satisfies condition (1.1) if a non-singular model  $\tilde{X}$  of  $X$  satisfies (1.1).

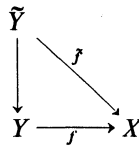
By Corollary 1.2 this condition for  $X$  is independent of the choice of  $\tilde{X}$ .

In general, a meromorphic mapping  $f$  into a complex space  $X$  is said to be algebraically degenerate if the image of  $f$  is contained in a proper subvariety of  $X$ . If it is not the case,  $f$  is said to be algebraically non-degenerate.

Let  $Y$  be a complex space and  $f: Y \rightarrow X$  a holomorphic mapping. We define the rank of  $f$  by

$$\text{rank of } f = \max_{y \in Y} \{ \dim Y - \dim_y f^{-1}(f(y)) \} \quad (\text{see [9, Chap. VII]}).$$

In the case where  $f$  is meromorphic, there is a modification  $\tilde{Y} \rightarrow Y$  and a holomorphic mapping  $\tilde{f}: \tilde{Y} \rightarrow X$  such that the diagram



is commutative. We set

$$\text{rank of } f = \text{rank of } \tilde{f}.$$

## 2. Schwarz lemma

In this section we let  $M$  be a smooth complex projective variety,  $D$  an ample

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\*) Throughout the present paper, complex spaces are assumed to be reduced and irreducible.

divisor<sup>\*</sup>) on  $M$ , and assume that  $M$  satisfies (1.1) with  $D$ . Let  $\{\tau_0, \dots, \tau_N\}$  be a basis of  $\Gamma(M, [D])$ . Then  $\rho = \sum |\tau_j|^2 \in \Gamma(M, [D] \otimes \overline{[D]})$  is a positive section which naturally determines a metric in  $[D] \rightarrow M$ , where the bar denotes the complex conjugate. We denote by  $\omega$  the curvature form of the metric, which is positive definite. By using a local coordinate system  $(x_\alpha)$ , we set

$$\omega = \sum h_{\alpha\bar{\beta}} \frac{i}{2\pi} dx_\alpha \wedge d\bar{x}_\beta.$$

The Kähler metric  $h$  associated with  $\omega$  is locally given by

$$h = \sum h_{\alpha\bar{\beta}} \frac{1}{\pi} dx_\alpha \otimes d\bar{x}_\beta.$$

The metric naturally induces a metric  $h^{(n)}$  in  $\Omega^*(n)$  in the following manner: For decomposable vectors  $\xi = \xi_1 \wedge \dots \wedge \xi_n$  and  $\eta = \eta_1 \wedge \dots \wedge \eta_n$  in  $\Omega_x^*(n)$ ,

$$(2.1) \quad h_x^{(n)}(\xi, \eta) = \det(h_x(\xi_i, \eta_j))$$

and  $h^{(n)}$  is defined for general  $\xi$  and  $\eta$  by linearity. Let  $\{\sigma_1, \dots, \sigma_s\}$  be a basis of  $\Gamma(M, S^l(\Omega(n)) \otimes [-D])$  and set

$$\psi = (\sigma_1 \otimes \bar{\sigma}_1 + \dots + \sigma_s \otimes \bar{\sigma}_s) \otimes \rho \in \Gamma(M, S^l \Omega(n) \otimes S^l \overline{\Omega(n)}).$$

Let  $\Sigma$  be the unit sphere bundle of  $\Omega^*(n)$  with respect to  $h^{(n)}$ . For  $\xi \in \Sigma$ ,

$$\psi(S^l \xi, S^l \xi) = \sum_i |\sigma_i(S^l \xi)|^2 \otimes \rho$$

is a smooth function. Since  $\Sigma$  is compact, we can take the above  $\{\sigma_i\}$  so that  $\psi(S^l \xi, S^l \xi) \leq 1$  for  $\xi \in \Sigma$ . This implies

$$(2.2) \quad \psi(S^l \xi, S^l \xi) \leq (h^{(n)}(\xi, \xi))^l$$

for  $\xi \in \Omega^*(n)$ . Let  $W$  be an  $n$ -dimensional complex submanifold in a domain of  $M$  and assume that the restriction  $\psi|_W \in \Gamma(W, K_W^l \otimes \overline{K}_W^l)$  does not vanish identically. Then  $\psi|_W$  is locally written as

$$\psi|_W = \rho|_W(x) (\sum |a_i(x)|^2) |dx_1 \wedge \dots \wedge dx_n|^{2l},$$

where  $x = (x_1, \dots, x_n)$  is a local coordinate system in  $W$  and  $a_i$  are holomorphic functions. We define the curvature form  $\Theta(\psi, W)$  of  $\psi$  relative to  $W$  by

$$\Theta(\psi, W) = \frac{i}{2\pi} \partial \bar{\partial} \log ((\rho|_W) (\sum |a_i|^2)),$$

\*) We call  $D$  ample if the associated line bundle  $[D]$  is ample in the sense of Griffiths [3], i.e.,  $\Gamma(M, [D])$  gives an immersion of  $M$  into some complex projective space.

which may be singular on a subvariety  $S$  of  $W$ . Since  $a_i$  are holomorphic,

$$(2.3) \quad \Theta(\psi, W) \geq \omega|_W \quad \text{out of } S.$$

Therefore  $\wedge_1^l \Theta(\psi, W) \geq \wedge_1^l \omega|_W$ . Now we let  $v(x) = b(x)(i/2)dx_1 \wedge d\bar{x}_1 \wedge \dots \wedge (i/2)dx_n \wedge d\bar{x}_n$  be a volume form. Then  $v(x)$  can be written as  $v(x) = b(x)|dx_1 \wedge \dots \wedge dx_n|^2$ . We shall freely use this identification. Combining (2.3) with (2.2) we have

LEMMA 2.1. *For any  $n$ -dimensional complex submanifold  $W$  in a domain of  $M$ ,*

$$\left(\wedge_1^n \Theta(\psi, W)\right)^l \geq \psi|_W.$$

We set

$$\begin{aligned} \Delta(r) &= \{z \in \mathbf{C}; |z| < r\}, \\ \Delta^*(r) &= \{z \in \mathbf{C}; 0 < |z| < r\}, \\ \Delta_n(r) &= \Delta(r) \times \dots \times \Delta(r) \quad (n\text{-times}), \\ \Delta_n^*(r) &= \Delta^*(r) \times \Delta_{n-1}(r). \end{aligned}$$

In case  $r=1$ , we simply write  $\Delta_n(r) = \Delta_n$  and  $\Delta_n^*(r) = \Delta_n^*$ . Let  $(z_1, \dots, z_n)$  be the natural coordinate system in  $\Delta_n(r)$  and set

$$\begin{aligned} v_r &= \prod_1^n \frac{r^2}{(r^2 - |z_j|^2)^2} \left(\frac{1}{\pi}\right)^n |dz_1 \wedge \dots \wedge dz_n|^2, \\ v &= v_1. \end{aligned}$$

LEMMA 2.2. *Let  $f: \Delta_n \rightarrow M$  be a meromorphic mapping. Then*

$$f^*\psi \leq c_0 v^l,$$

where  $c_0 = l^n$ .

PROOF. We may suppose that  $f^*\psi \neq 0$ . Set

$$\begin{aligned} f^*\psi &= a(z)|dz_1 \wedge \dots \wedge dz_n|^{2l}, \\ v_r(z) &= b_r(z)|dz_1 \wedge \dots \wedge dz_n|^2, \\ c_r(z) &= \log((b_r(z))^l/a(z)), \end{aligned}$$

where  $0 < r < 1$ . First one notes that  $a(z)$  is a smooth function. If some  $|z_j| \rightarrow r$ , then  $b_r(z) \rightarrow +\infty$  and if  $a(z) = 0$  at  $z \in \Delta_n(r)$ , then  $c_r(z) = +\infty$ . The infimum of

$c_r(z)$  in  $\Delta_n(r)$  is attained at some point  $z_0 \in \Delta_n(r)$  at which

$$(2.4) \quad a(z_0) \neq 0.$$

We shall see that  $f$  is holomorphic at  $z_0$ . Let  $\{\tau_0, \dots, \tau_N\}$  be the basis of  $\Gamma(M, [D])$  taken above and set

$$T = (\tau_0, \dots, \tau_N): M \longrightarrow \mathbf{P}^N,$$

which is an immersion. Then  $f^*\psi = \sum_{i,j} |f^*(\sigma_i \otimes \tau_j)|^2$  and (2.4) implies that there is a section  $f^*(\sigma_i \otimes \tau_j)$ , say,  $f^*(\sigma_1 \otimes \tau_0)$  such that  $f^*(\sigma_1 \otimes \tau_0)(z_0) \neq 0$ . The meromorphic mapping  $T \circ f$  is represented by

$$T \circ f = (f^*(\sigma_1 \otimes \tau_0), \dots, f^*(\sigma_1 \otimes \tau_N)).$$

Since  $f^*(\sigma_1 \otimes \tau_0)(z_0) \neq 0$ ,  $T \circ f$  is holomorphic at  $z_0$  and so is  $f$ .

Since  $i(2\pi)^{-1} \partial \bar{\partial} \log c_r(z_0)$  is semi-positive definite,

$$l \frac{i}{2\pi} \partial \bar{\partial} \log b_r(z_0) \geq \frac{i}{2\pi} \partial \bar{\partial} \log a(z_0),$$

so that

$$(2.5) \quad l^n \bigwedge_1^n \frac{i}{2\pi} \partial \bar{\partial} \log b_r(z_0) \geq \bigwedge_1^n \frac{i}{2\pi} \partial \bar{\partial} \log a(z_0).$$

It follows from (2.4) that  $(df)_{z_0}$  is of maximal rank. There is a neighborhood  $W$  of  $z_0$  which is biholomorphically embedded into a domain of  $M$  by  $f$ . We regard  $W$  as a submanifold in the domain. The right hand side of (2.5) is equal to  $\bigwedge_1^n \Theta(\psi, W)$ . From Lemma 2.1 and the identity,  $\bigwedge_1^n \text{Ric } v_r = v_r$ , it follows that

$$l^{nl}(v_r(z_0))^l \geq f^*\psi(z_0).$$

Hence  $c_r(z_0) \geq -nl \log l$  and so  $f^*\psi \leq c_0 v_r^l$  in  $\Delta_n(r)$ . Letting  $r \rightarrow 1$ , we deduce that  $f^*\psi \leq c_0 v^l$  in  $\Delta_n$ .

### 3. Extension theorem

**THEOREM 3.1.** *Let  $X$  be a Moisëzon space of dimension  $m$  satisfying condition (1.1) and  $f: \Delta_n^* \rightarrow X$  an algebraically non-degenerate meromorphic mapping of rank  $n$ . Then  $f$  can be meromorphically extended over  $\Delta_n$ .*

**REMARK.** Since (1.1) is bimeromorphically invariant (Corollary 1.2),  $X$  may contain  $\mathbf{P}^{m-1}$ . Therefore the algebraic non-degeneracy of  $f$  can not be dropped.

As immediate consequences of this theorem we get

**COROLLARY 3.2.** *Let  $N$  be an  $n$ -dimensional complex manifold and  $S$  a thin analytic set in  $N$ . Then any algebraically non-degenerate holomorphic mapping of  $N - S$  into  $X$  of rank  $n$  has a meromorphic extension of  $N$  into  $X$ .*

**COROLLARY 3.3.** *Let  $f: \mathbf{C}^n \rightarrow X$  be a meromorphic mapping. Then  $f$  is algebraically degenerate or the rank of  $f$  is less than  $n$ .*

**PROOF OF THEOREM 3.1.** By Moisëzon's theorem [8], there is a modification  $\lambda: (\tilde{X}, \tilde{S}) \rightarrow (X, S)$ , where  $\tilde{X}$  is a smooth projective variety in some complex projective space  $\mathbf{P}^N$ . By Proposition 1.1  $\tilde{X}$  satisfies (1.1) with a divisor  $D$  such that  $\kappa(D, \tilde{X}) = m$ . Let  $\tilde{D}$  be a general hyperplane section of  $\tilde{X}$ . Then by Kodaira [7] there is an exact sequence

$$0 \longrightarrow \Gamma(X, [kD - \tilde{D}]) \longrightarrow \Gamma(\tilde{X}, [kD]) \longrightarrow \Gamma(\tilde{D}, [kD]|_{\tilde{D}}) \longrightarrow \dots$$

Since  $\overline{\lim}_{k \rightarrow \infty} \dim \Gamma(\tilde{X}, [kD]) / k^m > 0$  and  $\dim \Gamma(\tilde{D}, [kD]|_{\tilde{D}}) = O(k^{m-1})$  as  $k \rightarrow \infty$ ,  $\dim \Gamma(\tilde{X}, [kD - \tilde{D}]) > 0$  for a large  $k$ . Replacing  $l$  in (1.1) by  $kl$  we easily see that (1.1) is valid for the divisor  $kD$ . Using a section  $\alpha \in \Gamma(\tilde{X}, [kD - \tilde{D}])$  with  $\alpha \neq 0$ , we get an into-isomorphism

$$\Gamma(\tilde{X}, S^{kl}(\Omega(n)) \otimes [-kD]) \ni \sigma \longmapsto \sigma \otimes \alpha \in \Gamma(\tilde{X}, S^{kl}(\Omega(n)) \otimes [-\tilde{D}]).$$

Hence  $X$  satisfies (1.1) with the very ample divisor  $\tilde{D}$ .

Since  $f$  is algebraically non-degenerate,  $f$  can be lifted to a meromorphic mapping  $\hat{f}: \Delta_n^* \rightarrow \tilde{X}$ , which is algebraically non-degenerate and of rank  $n$ . Now assume that  $f$  has a meromorphic extension over  $\Delta_n$ . We denote it by  $\hat{f}$ . Let  $\hat{\Gamma} \subset \Delta_n \times \tilde{X}$  be the graph of  $\hat{f}$  and  $\Gamma$  that of  $f$ . Then we have

$$\begin{array}{ccc} \hat{\Gamma} & \longleftarrow & \Delta_n \times \tilde{X} \\ & & \downarrow \lambda \\ \Gamma & \subset & \Delta_n^* \times X \subset \Delta_n \times X, \end{array}$$

where  $\lambda = (\text{identity}) \times \lambda$ . Since  $\lambda$  is proper,  $\lambda(\hat{\Gamma})$  is an analytic set in  $\Delta_n \times X$  and  $\lambda(\hat{\Gamma}) \supset \Gamma$ . From the construction it is easily seen that  $\bar{\Gamma}$  (closure of  $\Gamma$ ) =  $\lambda(\hat{\Gamma})$ . Thus  $f$  has a meromorphic extension from  $\Delta_n$  into  $X$ .

Therefore it is sufficient to prove Theorem 3.1 in the case where  $X$  is a smooth complex projective variety  $M$  and the divisor  $D$  on  $M$  in condition (1.1) is very ample (i.e., global holomorphic sections of  $[D]$  give an embedding into some  $\mathbf{P}^N$ ). Let  $B$  be the analytic set in (1.1'). Since  $f: \Delta_n^* \rightarrow M$  is algebraically non-degenerate,  $f^{-1}(B)$  is a proper subvariety in  $\Delta_n^*$ . Since  $df$  is of maximal rank in a non-empty open set in  $\Delta_n^*$ , there is a section  $\sigma \in \Gamma(M, S^l(\Omega(n)) \otimes [-D])$  such that  $f^* \sigma \in \Gamma(\Delta_n^*, K_{\Delta_n^*}^l \otimes f^*[-D])$  does not vanish identically. Let  $\{\tau_0, \dots, \tau_N\}$  be a

basis of  $\Gamma(M, [D])$  and set  $T=(\tau_0, \dots, \tau_N): M \rightarrow \mathbf{P}^N$ , which is an embedding. We set

$$\begin{aligned} \alpha_i &= f^* \sigma \otimes f^* \tau_i \in \Gamma(\Delta_n^*, K_{\Delta_n^*}^l), \\ (3.1) \quad F &= (\alpha_0, \dots, \alpha_N): \Delta_n^* \longrightarrow \mathbf{P}^N. \end{aligned}$$

Then (3.1) gives a representation of  $T \circ f$ . It is enough to show that each  $\alpha_i$  can be meromorphically extended over  $\Delta_n$ . Letting  $\alpha$  denote one of  $\{\alpha_i\}$ , we may assume that

$$|\alpha|^2 \leq f^* \psi \quad (\text{see section 2 for } \psi).$$

Setting

$$\alpha(z) = a(z)(dz_1 \wedge \dots \wedge dz_n)^l,$$

we have by Lemma 2.2

$$|a(z)|^2 \leq c_0(b(z))^l,$$

where

$$b(z_1, \dots, z_n) = \pi^{-n} |z_1|^{-2} (\log |z_1|^2)^{-2} \prod_{j=2}^n (1 - |z_j|^2)^{-2}.$$

We expand  $a(z)$  as a Laurent series

$$a(z) = \sum_{\mu_1=-\infty}^{+\infty} z_1^{\mu_1} \sum_{\substack{\mu_j \geq 0 \\ j \geq 2}} a_{\mu_2 \dots \mu_n}^{(\mu_1)} z_2^{\mu_2} \dots z_n^{\mu_n}$$

and set each  $z_j = r_j e^{i\theta_j}$  with  $0 < r_j < 1$ . Then

$$\begin{aligned} &\int_0^{2\pi} \frac{d\theta_1}{2\pi} \dots \int_0^{2\pi} \frac{d\theta_n}{2\pi} |a(r_1 e^{i\theta_1}, \dots, r_n e^{i\theta_n})|^2 \\ &\leq c_0 \pi^{-ln} r_1^{-2l} (\log r_1^2)^{-2l} \prod_{j=2}^n (1 - r_j^2)^{-2l}. \end{aligned}$$

Hence

$$\begin{aligned} &\sum_{\mu_1=-\infty}^{+\infty} r_1^{2\mu_1} \sum_{\substack{\mu_j \geq 0 \\ j \geq 2}} |a_{\mu_2 \dots \mu_n}^{(\mu_1)}|^2 r_2^{2\mu_2} \dots r_n^{2\mu_n} \\ &\leq c_0 \pi^{-ln} r_1^{-2l} (\log r_1^2)^{-2l} \prod_{j=2}^n (1 - r_j^2)^{-2l}. \end{aligned}$$

Comparing the orders of both the sides as  $r_1 \rightarrow 0$ , we infer that  $a_{\mu_2 \dots \mu_n}^{(\mu_1)} = 0$  for  $\mu_1 \leq -l$ . Thus  $\alpha(z)$  has singularities which are at most poles of order  $l-1$  on  $\{z_1=0\}$ .



REMARK 1. It should be noted that the poles of all  $\alpha_i$  in the representation of  $T \circ f$  (see (3.1)) are at most of order  $l-1$  which is independent of each  $f$ .

REMARK 2. As proved above, the theorem remains valid without the assumption that  $f$  is algebraically non-degenerate, unless  $f(\Delta_n^*)$  is contained in  $B$ .

In the case where  $\Omega(n)$  is positive, this theorem was proved by Carlson [1] without algebraic non-degeneracy. In this case, we can take  $l$  in condition (1.1) so that  $B = \emptyset$ .

4. The analytic set  $B$

Let  $M$  be a smooth complex projective variety of dimension  $m$  and  $D$  an ample divisor on  $M$ . The purpose of the present section is to show that the analytic set  $B$  in (1.1') can be defined independently of each  $D$ , provided  $D$  is ample.

Let  $B_{l,k}(D)$  be the analytic set of all points  $x \in M$  at each of which there is an element  $\xi \in \Omega_x^*(n)$  with  $\xi \neq 0$  such that  $\sigma_x(S^l \xi) = 0$  for all  $\sigma \in \Gamma(M, S^l(\Omega(n)) \otimes [-kD])$ . We set

$$(4.1) \quad B(D) = \bigcap_{\substack{l \geq 0 \\ k \geq 0}} B_{l,k}(D).$$

PROPOSITION 4.1. Let  $D_i$  ( $i = 1, 2$ ) be ample divisors on  $M$ . Then

- (i)  $B(D_1) = B(D_2)$ ,
- (ii)  $B(D_1) = B_{l,1}(D_1)$

for some  $l \in \mathbf{Z}$  ( $l > 0$ ).

PROOF. To prove (i), it is enough to show  $B(D_1) \subset B(D_2)$ . Let  $x$  be any point of  $B(D_1)$ . Since  $D_2$  is ample, there is a positive integer  $k_0$  such that there is a section  $\phi \in \Gamma(M, [k_0 D_2 - D_1])$  with  $\phi(x) \neq 0$ . For an arbitrary  $\sigma \in \Gamma(M, S^l(\Omega(n)) \otimes [-kD_2])$ ,  $S^{k_0} \sigma \in \Gamma(M, S^{k_0+l}(\Omega(n)) \otimes [-k_0 k D_2])$ , so that  $S^{k_0} \sigma \otimes \phi^k \in \Gamma(M, S^{k_0+l}(\Omega(n)) \otimes [-kD_1])$ . Since  $x \in B(D_1)$ , there is an element  $\xi \in \Omega_x^*(n)$  with  $\xi \neq 0$  such that  $\sigma'_x(S^{k_0+l} \xi) = 0$  for all  $\sigma' \in \Gamma(M, S^{k_0+l}(\Omega(n)) \otimes [-kD_1])$ . Therefore we have  $(S^{k_0} \sigma \otimes \phi^k)_x(S^{k_0+l} \xi) = 0$ . Since  $\phi(x) \neq 0$ ,  $\sigma_x(S^l \xi) = 0$ . Hence  $x \in B(D_2)$ .

For the proof of (ii) we simply write  $D_1 = D$ . We first prove

$$(4.2) \quad B(D) = \bigcap_l B_{l,1}(D).$$

If it is proved that  $B_{l,k}(D) \supset B_{l,1}(D)$ , then (4.2) immediately follows. Let  $x$  be an arbitrary point of  $B_{l,1}(D)$ . Since  $D$  is ample, there is a section  $\tau \in \Gamma(M, [D])$  with  $\tau(x) \neq 0$ . For any  $\sigma \in \Gamma(M, S^l(\Omega(n)) \otimes [-kD])$ ,  $\sigma \otimes \tau^{k-1}$  belongs to  $\Gamma(M, S^l(\Omega(n)) \otimes [-D])$ . Since  $x \in B_{l,1}(D)$ , there is an element  $\xi \in \Omega_x^*(n)$  with  $\xi \neq 0$  such that  $\sigma'_x(S^l \xi) = 0$  for all  $\sigma' \in \Gamma(M, S^l(\Omega(n)) \otimes [-D])$ , so that  $(\sigma \otimes \tau^{k-1})_x(S^l \xi) =$

0. Since  $\tau(x) \neq 0$ ,  $\sigma_x(S^l \xi) = 0$ . This proves (4.2).

Since  $M$  is compact,  $B(D) = \bigcap_{i=1}^s B_{i,1}(D)$  for a positive integer  $s$ . In the same manner as above, we see that  $B_{i,1}(D) \supset B_{i',1}(D)$  for any  $i' \in \mathbf{Z}$ ,  $i' > 0$ . Let  $l_0$  be the least common multiple of  $\{2, \dots, s\}$ . Then  $B(D) = B_{l_0,1}(D)$ . This completes the proof.

In the rest of this paper we shall denote by  $B$  the analytic set  $B(D)$ . One should note that this does not depend on the choice of  $D$  but essentially on the vector bundle  $\Omega(n)$  of holomorphic  $n$ -forms over  $M$ .

### 5. Meromorphic mappings of $N$ into $M$

Let  $M$  be a smooth complex projective variety of dimension  $m$  and  $N$  a complex manifold.

DEFINITION 5.1. A sequence  $\{f_v\}_{v=1,2,\dots}$  of meromorphic mappings of  $N$  into  $M$  is said to be meromorphically convergent (simply,  $m$ -convergent) to a meromorphic mapping  $f$  of  $N$  into  $M$  if there are an embedding  $T: M \rightarrow \mathbf{P}^N$  and a neighborhood  $U$  of each point of  $N$  in which  $T \circ f_v$  and  $T \circ f$  have representations

$$(5.1) \quad \begin{aligned} T \circ f_v &= (\alpha_{v0}, \dots, \alpha_{vN}), \\ T \circ f &= (\alpha_0, \dots, \alpha_N), \end{aligned}$$

where  $(w_0, \dots, w_N)$  is a homogeneous coordinate system in  $\mathbf{P}^N$  and  $\alpha_{vj}$ ,  $\alpha_j$  are holomorphic functions in  $U$  such that each  $\{\alpha_{vj}\}_v$  converges uniformly on any compact set in  $U$  to  $\alpha_j$ .

DEFINITION 5.2. A family  $\mathcal{M}$  of meromorphic mappings of  $N$  into  $M$  is said to be  $m$ -normal if any sequence of  $\mathcal{M}$  has a subsequence which is  $m$ -convergent.

REMARK. Fujimoto ([2]) first introduced the notion of  $m$ -convergence. In his definition the representation of each  $T \circ f_v$  in (5.1) is assumed to be reduced, i. e.,  $\text{codim} \{f_{v0} = \dots = f_{vN} = 0\} \geq 2$ , while ours is not. By using Stoll's theorem [10] we easily see that if  $\{f_v\}$  is  $m$ -convergent to  $f$  in the present sense, a subsequence of  $\{f_v\}$  is  $m$ -convergent to  $f$  in that of Fujimoto. Hence, so far as the  $m$ -normality is concerned, the present definition coincides with that of Fujimoto.

In the rest of this paper we assume that  $N$  is a compact complex manifold of dimension  $n$  and restrict ourselves in the special case where the analytic set  $B$  in  $M$  defined in section 5 is empty. Let  $\mathcal{M}$  denote the family of meromorphic mappings from  $N$  into  $M$  of rank  $n$ .

Let  $D$  be a very ample divisor on  $M$ ,  $\{\tau_0, \dots, \tau_N\}$  a basis of  $\Gamma(M, [D])$  and  $\{\sigma_1, \dots, \sigma_s\}$  that of  $\Gamma(M, S^l(\Omega(n)) \otimes [-D])$  where  $l$  is a positive integer such that

$B_{i,1}(D) = \emptyset$  (see Proposition 4.1). Set  $\vartheta_{ij} = \sigma_i \otimes \tau_j \in \Gamma(M, S^l \Omega(n))$  and

$$\psi = \sum_{i,j} \vartheta_{ij} \otimes \bar{\vartheta}_{ij}.$$

By the assumption  $B = \emptyset$ ,  $\psi_x(S^l \xi, S^l \xi) = \sum_{i,j} |(\vartheta_{ij})_x(S^l \xi)|^2 > 0$  for  $\xi \in \Omega_x^*(n)$  with  $\xi \neq 0$ . Let  $T: M \rightarrow \mathbf{P}^N$  be the embedding defined by

$$X \ni x \longmapsto (\tau_0(x), \dots, \tau_N(x)) \in \mathbf{P}^N,$$

and  $\omega = i(2\pi)^{-1} \partial \bar{\partial} \log(\sum_{j=0}^N |\tau_j|^2)$  the positive  $(1, 1)$ -form belonging to the first Chern class  $c_1([D])$  of  $[D]$ . Let  $\chi$  be the Kähler form associated with the standard Fubini-Study metric on  $\mathbf{P}^N$ . Then  $\omega = T^* \chi$ . We may assume that  $\psi$  satisfies (2.2). Let  $\Sigma$  be the unit sphere bundle of  $\Omega^*(n)$  with respect to the metric defined by (2.1). Then

$$\inf \{ \psi(S^l \xi, S^l \xi); \xi \in \Sigma \} > 0,$$

since  $\Sigma$  is compact. Thus there is a positive constant  $c_0$  such that for any  $n$ -dimensional complex submanifold  $W$  in a domain of  $M$

$$(5.2) \quad c_0 \left( \int_1^n \omega|_W \right)^l \leq \psi|_W \leq \left( \int_1^n \omega|_W \right)^l.$$

By Lemma 2.2 we have

LEMMA 5.1. *There is a smooth volume form  $v$  on  $N$  satisfying*

$$f^* \psi \leq v^l$$

for every  $f \in \mathcal{M}$ .

LEMMA 5.2. *For every  $f \in \mathcal{M}$*

$$C_0 \leq \int_N (f^* \psi)^{1/l} \leq C_1,$$

where  $C_0 = c_0^{1/l}$  with the constant  $c_0$  in (5.2) and  $C_1 = \int_N v$ .

PROOF. The second inequality immediately follows from Lemma 5.1.

Let  $W = f(N)$ . Then  $W$  is a complex  $n$ -dimensional subvariety in  $M$  and

$$\int_N (f^* \psi)^{1/l} = \deg(f) \int_W (\psi|_W)^{1/l},$$

where  $\deg(f)$  denotes the degree of the meromorphic mapping  $f: N \rightarrow W$  (cf. Kobayashi-Ochiai [6, Lemma 4]). By (5.2)

$$\int_W (\psi|_W)^{1/l} \geq C_0 \int_W T^*(\wedge_1^n \chi) = C_0 \int_{T(W)} \wedge_1^n \chi = C_0 \deg(T(W)),$$

where  $\deg(T(W))$  denotes the degree of the subvariety  $T(W)$  in  $\mathbf{P}^N$ . Hence

$$\int_N (f^*\psi)^{1/l} \geq C_0 \deg(f) \deg(T(W)) \geq C_0.$$

**THEOREM 5.3.** *The family  $\mathcal{M}$  of meromorphic mappings from  $N$  into  $M$  of rank  $n$  is  $m$ -normal. Moreover the limits belong to  $\mathcal{M}$ .*

**PROOF.** Let  $\{f_v\}_{v=1,2,\dots}$  be a sequence of  $\mathcal{M}$ . By Lemma 5.1

$$|f_v^* \vartheta_{ij}|^2 \leq f^* \psi \leq v^l.$$

This implies that  $f_v^* \vartheta_{ij} \in \Gamma(N, K'_N)$  are uniformly bounded. There is a subsequence  $\{f_{v_k}\}$  such that each  $f_{v_k}^* \vartheta_{ij}$  converges uniformly to  $\alpha_{ij} \in \Gamma(N, K'_N)$ . By Lemma 5.2

$$\int_N (\sum_{i,j} |f_{v_k}^* \vartheta_{ij}|^2)^{1/l} \geq C_0.$$

We have

$$\int_N (\sum_{i,j} |\alpha_{ij}|^2)^{1/l} \geq C_0.$$

Therefore there is a section  $\alpha_{ij} \neq 0$ , say,  $\alpha_{10} \neq 0$ . We define a meromorphic mapping  $F$  by

$$F = (\alpha_{10}, \dots, \alpha_{1N}): N \longrightarrow \mathbf{P}^N.$$

We may assume that all  $f_{v_k}^* \vartheta_{10} \neq 0$ . Then  $T \circ f_{v_k}$  are represented by

$$T \circ f_{v_k} = (f_{v_k}^* \vartheta_{10}, \dots, f_{v_k}^* \vartheta_{1N}): N \longrightarrow T(M) \subset \mathbf{P}^N.$$

Hence  $F(N) \subset T(M)$ . Setting  $f = T^{-1} \circ F$  we infer that  $\{f_{v_k}\}$  is  $m$ -convergent to  $f$  and

$$(5.3) \quad \alpha_{ij} = f^* \vartheta_{ij} \quad \text{for all } i, j.$$

Since  $f^* \vartheta_{10} \neq 0$ ,  $f$  belongs to  $\mathcal{M}$ .

Theorem 5.3 means that  $\mathcal{M}$  is compact in the sense of the  $m$ -convergence. But it seems that, in general, the  $m$ -convergence does not define a topology in the precise sense. In the following we shall make clear this point.

Let  $\Gamma_0$  be the vector subspace in  $\Gamma(M, S^l \Omega(n))$  generated by  $\{\vartheta_{ij}\}$  and  $\Gamma_1 = \Gamma(N, K'_N)$ . Then a meromorphic mapping  $f \in \mathcal{M}$  induces a homomorphism

$$f^*: \Gamma_0 \ni \vartheta \longmapsto f^* \vartheta \in \Gamma_1.$$

We set

$$\iota: \mathcal{M} \ni f \longmapsto f^* \in \text{Hom}(\Gamma_0, \Gamma_1) - \{O\}.$$

Composing  $\iota$  with the natural mapping

$$\text{Hom}(\Gamma_0, \Gamma_1) - \{O\} \longrightarrow (\text{Hom}(\Gamma_0, \Gamma_1) - \{O\})/\mathbf{C}^* = \text{PHom}(\Gamma_0, \Gamma_1),$$

We get

$$\bar{z}: \mathcal{M} \longrightarrow \text{PHom}(\Gamma_0, \Gamma_1).$$

We shall show that  $\bar{z}$  and  $\iota$  are injective. Let  $f_i \in \mathcal{M}$ ,  $i=1, 2$  and assume that  $\bar{z}(f_1)=\bar{z}(f_2)$ . Then  $f_1^*=cf_2^*$  with some  $c \in \mathbf{C}^*$ . There is a section  $\vartheta_{ij}$ , say,  $\vartheta_{10}$  such that  $f_1^*\vartheta_{10}=cf_2^*\vartheta_{10} \neq 0$ . The meromorphic mappings  $T \circ f_1$  and  $T \circ f_2$  are represented by

$$(5.4) \quad \begin{aligned} T \circ f_1 &= (f_1^*\vartheta_{10}, \dots, f_1^*\vartheta_{1N}), \\ T \circ f_2 &= (f_2^*\vartheta_{10}, \dots, f_2^*\vartheta_{1N}). \end{aligned}$$

Since  $f_1^*\vartheta_{1j}=cf_2^*\vartheta_{1j}$  for all  $j$ ,  $T \circ f_1=T \circ f_2$  and so  $f_1=f_2$ .

Next we show that the image  $\iota(\mathcal{M})$  is compact in  $\text{Hom}(\Gamma_0, \Gamma_1) - \{O\}$  endowed with the usual topology. Let  $\{\iota(f_v)\}$  be any sequence of  $\iota(\mathcal{M})$ . Taking a suitable subsequence, we may assume by Theorem 5.3 that  $\{f_v\}$  is  $m$ -convergent to a meromorphic mapping  $f \in \mathcal{M}$ . Then each  $\{f_v^*\vartheta_{ij}\}_v \subset \Gamma(N, K_N^i)$  converges uniformly on any compact set in  $N$  minus a thin analytic set to  $f^*\vartheta_{ij} \in \Gamma(N, K_N^i)$ . By the maximal principle of holomorphic functions, each  $\{f_v^*\vartheta_{ij}\}_v$  converges uniformly to  $f^*\vartheta_{ij}$ . Therefore  $\{\iota(f_v)\}$  converges to  $\iota(f)$  in  $\text{Hom}(\Gamma_0, \Gamma_1) - \{O\}$  and so  $\iota(\mathcal{M})$  and  $\bar{z}(\mathcal{M})$  are compact sets.

Let  $\{\bar{z}(f_v)\}_v$  be a sequence of  $\bar{z}(\mathcal{M})$  converging to  $\bar{z}(f)$  in  $\text{PHom}(\Gamma_0, \Gamma_1)$ . Then, using the representations of  $T \circ f_v$  and  $T \circ f$  of the type (5.4), we deduce that  $\{f_v\}$  is  $m$ -convergent to  $f$ . Thus we have

**THEOREM 5.4.** (i) *The mappings  $\iota: \mathcal{M} \rightarrow \text{Hom}(\Gamma_0, \Gamma_1) - \{O\}$  and  $\bar{z}: \mathcal{M} \rightarrow \text{PHom}(\Gamma_0, \Gamma_1)$  are injective.*

(ii)  *$\iota(\mathcal{M})$  and  $\bar{z}(\mathcal{M})$  are compact sets in each space.*

(iii) *Let  $f_v \in \mathcal{M}$ ,  $v=1, 2, \dots$ , and  $f \in \mathcal{M}$ . Then the following convergences are equivalent:*

- (a)  $\{f_v\}$  is  $m$ -convergent to  $f$ ,
- (b)  $\iota(f_v) \longrightarrow \iota(f)$  in  $\text{Hom}(\Gamma_0, \Gamma_1)$ ,
- (c)  $\bar{z}(f_v) \longrightarrow \bar{z}(f)$  in  $\text{PHom}(\Gamma_0, \Gamma_1)$ .

Therefore  $\bar{z}(\mathcal{M}) \ni \bar{z}(f) \longmapsto \iota(f) \in \iota(\mathcal{M})$  is continuous.

REMARK 1. In the case where  $n = m$  and  $M$  is of general type, Kobayashi-Ochiai ([6]) recently proved that  $\mathcal{M}$  is a finite set. They also dealt with the case  $n \geq m$  and obtained the same result.

In case  $n < m$ , the finiteness of  $\mathcal{M}$  does not hold in general. In fact, let  $N$  be a closed Riemann surface with genus greater than one,  $M = N \times N$  and denote by  $G$  the holomorphic automorphism group of  $N$ . Then the vector bundle  $\Omega(1)$  over  $M$  is positive, so that  $B = \emptyset$ . In this case we have

$$\mathcal{M} = \{(a, f); a \in N, f \in G\} \cup \{(f, b); f \in G, b \in N\} \cup \{(f, g); f, g \in G\},$$

which is infinite.

REMARK 2. Theorem 5.4 (iii) implies that  $\tau(\mathcal{M})$  can not be an analytic set of positive dimension, since the  $\mathbf{C}^*$ -bundle  $\text{Hom}(\Gamma_0, \Gamma_1) - \{O\} \rightarrow \text{PHom}(\Gamma_0, \Gamma_1)$ , restricted to any subvariety of positive dimension in  $\text{PHom}(\Gamma_0, \Gamma_1)$  is topologically non-trivial.

REMARK 3. Let  $N'$  be an  $n$ -dimensional compact complex manifold  $N$  minus a thin analytic set. Let  $\mathcal{M}'$  be the family of meromorphic mappings from  $N'$  into  $M$  of rank  $n$ . Then, by the remark in section 3, Theorems 5.3 and 5.4 are still valid for  $\mathcal{M}'$ .

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