

## The Bergman kernel function for symmetric Siegel domains of type III

Dedicated to Professor K. Murata for his 60th birthday

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It is known (Wolf-Korányi [7]) that every hermitian symmetric space of noncompact type has a standard realization as a Siegel domain of type III. In this note we give an explicit formula for the Bergman kernel function of such a symmetric Siegel domain.

The general definition of Siegel domain of type III was given by Pyatetskii-Shapiro [4] as follows. Let  $U, V$  and  $W$  be complex vector spaces. Let  $U_{\mathbf{R}}$  be a real form of  $U$ ,  $\Omega$  an open convex cone in  $U_{\mathbf{R}}$ , and  $B$  a bounded domain in  $W$ . Given any  $w \in B$ , let  $\Phi_w$  be a semi-hermitian form of  $V \times V$  to  $U$ , i.e.,  $\Phi_w = \Phi_w^h + \Phi_w^b$  where  $\Phi_w^h$  is hermitian relative to the complex conjugation of  $U$  over  $U_{\mathbf{R}}$  and  $\Phi_w^b$  is symmetric  $\mathbf{C}$ -bilinear. Then the domain

$$\{(u, v, w) \in U \oplus V \oplus W; \operatorname{Im} u - \operatorname{Re} \Phi_w(v, v) \in \Omega, w \in B\}$$

is called a Siegel domain of type III. Siegel domains of type II are degenerate special case  $W=0$ , i.e.,  $B=(0)$ ,  $\Phi_0^b=0$  and  $\Phi_0^h$  is positive definite relative to  $\Omega$ .

For Siegel domains of type II (not necessarily symmetric nor homogeneous), an explicit formula for the Bergman kernel was given by Gindikin [1, Theorem 5.4] in terms of a certain integral over the dual cone of  $\Omega$  (see also Korányi [3, Proposition 5.3]).

Every hermitian symmetric space of noncompact type can be written as  $G/K$ , where  $G$  is a connected semi-simple linear Lie group and  $K$  is a maximal compact subgroup of  $G$ . Let  $\mathfrak{g}, \mathfrak{k}$  be the Lie algebras of  $G, K$  and  $\mathfrak{g} = \mathfrak{k} + \mathfrak{p}$  be the corresponding Cartan decomposition. We denote the complexifications of  $\mathfrak{g}, \mathfrak{k}, \mathfrak{p}$  by  $\mathfrak{g}_{\mathbf{C}}, \mathfrak{k}_{\mathbf{C}}, \mathfrak{p}_{\mathbf{C}}$ , respectively. Then  $\mathfrak{p}_{\mathbf{C}}$  is decomposed into the direct sum of two complex subalgebras  $\mathfrak{p}^+$  and  $\mathfrak{p}^-$ , which are  $(\pm i)$ -eigenspaces of the complex structure of  $\mathfrak{p}$ , respectively, and are abelian subalgebras of  $\mathfrak{g}_{\mathbf{C}}$  normalized by  $\mathfrak{k}_{\mathbf{C}}$ .

Let  $G_{\mathbf{C}}$  be the complexification of  $G$  and let  $P^{\pm}, K_{\mathbf{C}}$  be the connected subgroups of  $G_{\mathbf{C}}$  corresponding to  $\mathfrak{p}^{\pm}, \mathfrak{k}_{\mathbf{C}}$ , respectively. It is known that the map  $\mathfrak{p}^+ \times K_{\mathbf{C}} \times \mathfrak{p}^- \rightarrow G_{\mathbf{C}}$ , given by  $(X^+, k, X^-) \rightarrow \exp X^+ \cdot k \cdot \exp X^-$ , is a holomorphic diffeomorphism onto a dense open subset  $P^+ K_{\mathbf{C}} P^-$  of  $G_{\mathbf{C}}$ , which contains  $G$ . Therefore, every element  $g \in P^+ K_{\mathbf{C}} P^- \subset G_{\mathbf{C}}$  can be written in a unique way as

$$(1) \quad g = \pi_+(g) \cdot \pi_0(g) \cdot \pi_-(g), \quad \pi_0(g) \in K_{\mathbb{C}}, \pi_{\pm}(g) \in P^{\pm}.$$

Furthermore, the map  $\zeta: P^+K_{\mathbb{C}}P^- \rightarrow \mathfrak{p}^+$ , given by

$$(2) \quad \zeta(g) = \log \pi_+(g),$$

induces a holomorphic diffeomorphism of  $G/K$  onto  $\zeta(G)=D$ , and  $D$  is a bounded domain in  $\mathfrak{p}^+$ .

Let  $\mathfrak{t}$  be a maximal abelian subalgebra of  $\mathfrak{k}$ . Then  $\mathfrak{t}_{\mathbb{C}}$ , the complexification of  $\mathfrak{t}$ , is a Cartan subalgebra of  $\mathfrak{g}_{\mathbb{C}}$ . For each root  $\alpha$  of  $\mathfrak{g}_{\mathbb{C}}$  relative to  $\mathfrak{t}_{\mathbb{C}}$ , let  $\mathfrak{g}^{\alpha}$  be the corresponding root space, and let  $H_{\alpha} \in i\mathfrak{t} \cap [\mathfrak{g}^{\alpha}, \mathfrak{g}^{-\alpha}]$  be the unique element such that  $\alpha(H_{\alpha})=2$ . We choose a linear order in the dual of the real vector space  $\mathfrak{t}$  such that  $\mathfrak{p}^+$  is spanned by root spaces for noncompact positive roots. For each noncompact root  $\alpha$ , we choose a root vector  $E_{\alpha} \in \mathfrak{g}^{\alpha}$  such that  $[E_{\alpha}, E_{-\alpha}] = H_{\alpha}$  and  $\bar{E}_{\alpha} = E_{-\alpha}$  where the bar denotes the complex conjugation of  $\mathfrak{g}_{\mathbb{C}}$  with respect to  $\mathfrak{g}$ .

Let  $\Psi$  be a maximal set of strongly orthogonal noncompact positive roots. Following Wolf-Korányi [7], we define, for every subset  $\Gamma \subset \Psi$ , the partial Cayley transform by

$$c_{\Gamma} = \prod_{\alpha \in \Gamma} \exp \frac{\pi}{4} i(E_{\alpha} + E_{-\alpha}).$$

Then  $c_{\Gamma}G \subset P^+K_{\mathbb{C}}P^-$  and we can define

$$(3) \quad S_{\Gamma} = \zeta(c_{\Gamma}G) \subset \mathfrak{p}^+$$

where  $\zeta$  is as in (2). In [7] it is shown that  $S_{\Gamma}$  is a Siegel domain of type III and in the special case  $\Gamma = \Psi$ ,  $S_{\Psi}$  is a Siegel domain of type II. For our purpose, however, the precise description of  $S_{\Gamma}$  as a Siegel domain of type III is not needed.

For  $(g, z) \in G_{\mathbb{C}} \times \mathfrak{p}^+$ , such that  $g \exp z \in P^+K_{\mathbb{C}}P^-$ , we define  $g \cdot z \in \mathfrak{p}^+$  by

$$g \cdot z = \log(\pi_+(g \exp z))$$

where  $\pi_+$  is as in (1). Then the map  $z \rightarrow g \cdot z$  is holomorphic and  $S_{\Gamma}$  is the partial Cayley transform of the bounded symmetric domain  $D$ , i.e.,

$$S_{\Gamma} = c_{\Gamma} \cdot D.$$

Let  $\mathcal{K}_{\Gamma}(z, w)$  be the Bergman kernel function of  $S_{\Gamma}$  and let  $\chi: K_{\mathbb{C}} \rightarrow \mathbb{C}^{\times}$  be the holomorphic character of  $K_{\mathbb{C}}$  defined by

$$\chi(k) = \det(\text{Ad}(k)|_{\mathfrak{p}^+}), \quad k \in K_{\mathbb{C}}.$$

Then we have

**PROPOSITION.** *The Bergman kernel function of  $S_{\Gamma}$  is given by*

$$\mathcal{K}_G(z, w) = \text{vol}(D)^{-1} \chi(\pi_0(\exp(-\bar{w})c_T^2 \exp z))$$

where  $\text{vol}(D)$  is the Euclidean volume of the bounded symmetric domain  $D$ ,  $\pi_0$  is as in (1), and  $w \rightarrow \bar{w}$  denotes the complex conjugation of  $\mathfrak{g}_G$  with respect to  $\mathfrak{g}$ .

PROOF. Let  $\mathcal{K}_D(z, w)$  be the Bergman kernel of the bounded symmetric domain  $D$ . Then it is known ([2], [5]) that

$$(4) \quad \mathcal{K}_D(z, w) = \text{vol}(D)^{-1} \chi(\pi_0(\exp(-\bar{w}) \exp z)).$$

For  $z \in S_G$ , let  $j(c_T^{-1}, z)$  denote the complex Jacobian (determinant) of the holomorphic map  $z \rightarrow c_T^{-1} \cdot z$  at the point  $z$ . Then by [5, Lemma 5.3, p. 65],

$$j(c_T^{-1}, z) = \chi(\pi_0(c_T^{-1} \exp z)).$$

On the other hand, since  $D = c_T^{-1} \cdot S_G$ , the general theory of the Bergman kernel implies that, for  $z, w \in S_G$ ,

$$(5) \quad \mathcal{K}_G(z, w) = j(c_T^{-1}, z) \mathcal{K}_D(c_T^{-1} \cdot z, c_T^{-1} \cdot w) \overline{j(c_T^{-1}, w)}$$

In what follows we write  $c$  instead of  $c_T$ . We also write  $e^z$  for  $\exp z$ . Let  $\sigma$  denote the complex conjugation of  $G_G$  with respect to  $G$ , and let  $\iota$  denote the anti-automorphism of  $G_G$  defined by  $\iota(g) = g^{-1}$ . Then

$$\begin{aligned} \exp(\overline{-c^{-1} \cdot w}) &= \iota \sigma \pi_+(c^{-1} e^w) \\ &= \iota \sigma [c^{-1} e^w \cdot \iota \pi_-(c^{-1} e^w) \cdot \iota \pi_0(c^{-1} e^w)] \\ &= \sigma \pi_0(c^{-1} e^w) \cdot \sigma \pi_-(c^{-1} e^w) \cdot \iota \sigma(c^{-1} e^w), \\ \exp(c^{-1} \cdot z) &= \pi_+(c^{-1} e^z) \\ &= c^{-1} e^z \cdot \iota \pi_-(c^{-1} e^z) \cdot \iota \pi_0(c^{-1} e^z). \end{aligned}$$

Since  $K_G$  normalizes  $P^\pm$ , and since  $\sigma \pi_-(c^{-1} e^w) \in P^+$ ,  $\sigma \pi_0(c^{-1} e^w)$ ,  $\iota \pi_0(c^{-1} e^z) \in K_G$ ,  $\iota \pi_-(c^{-1} e^z) \in P^-$ , it follows from (4) that

$$\begin{aligned} \mathcal{K}_D(c^{-1} \cdot z, c^{-1} \cdot w) &= \text{vol}(D)^{-1} \chi(\pi_0(\exp(\overline{-c^{-1} \cdot w}) \exp(c^{-1} \cdot z))) \\ &= \text{vol}(D)^{-1} \chi(\sigma \pi_0(c^{-1} e^w)) \chi(\pi_0(\iota \sigma(c^{-1} e^w) \cdot c^{-1} e^z)) \chi(\iota \pi_0(c^{-1} e^z)). \end{aligned}$$

On the other hand

$$\begin{aligned} j(c^{-1}, z) &= \chi(\pi_0(c^{-1} e^z)), \\ \overline{j(c^{-1}, w)} &= \overline{\chi(\pi_0(c^{-1} e^w))} = \chi(\iota \sigma \pi_0(c^{-1} e^w)). \end{aligned}$$

Hence (5) implies that

$$\mathcal{K}_\Gamma(z, w) = \text{vol}(D)^{-1} \chi(\pi_0(\iota\sigma(c^{-1}e^w) \cdot c^{-1}e^z)).$$

Here  $\iota\sigma(c^{-1}e^w) = \exp(-\bar{w}) \cdot \iota\sigma(c^{-1}) = \exp(-\bar{w}) \cdot c^{-1}$ , since  $\sigma(c) = c^{-1}$ , and the proposition follows.

We illustrate our result by the following example.

EXAMPLE. Let  $G/K = SU(p, q)/S(U(p) \times U(q))$  ( $p \geq q \geq 1$ ). We have  $G_{\mathbf{C}} = SL(p+q, \mathbf{C})$  and

$$K_{\mathbf{C}} = \left\{ \begin{pmatrix} a & 0 \\ 0 & d \end{pmatrix}; a \in GL(p, \mathbf{C}), d \in GL(q, \mathbf{C}), \det(a) \det(d) = 1 \right\}.$$

If we write  $(p+q) \times (p+q)$  complex matrices in block form

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \text{ (} a \text{ is } p \times p, b \text{ is } p \times q, c \text{ is } q \times p, d \text{ is } q \times q\text{),}$$

then

$$\mathfrak{k}_{\mathbf{C}} = \left\{ \begin{pmatrix} a & 0 \\ 0 & d \end{pmatrix}; \text{trace}(a) + \text{trace}(d) = 0 \right\}, \quad \mathfrak{p}_{\mathbf{C}} = \left\{ \begin{pmatrix} 0 & b \\ c & 0 \end{pmatrix} \right\};$$

furthermore, we can put

$$\mathfrak{p}^+ = \left\{ \begin{pmatrix} 0 & b \\ 0 & 0 \end{pmatrix} \right\}, \quad \mathfrak{p}^- = \left\{ \begin{pmatrix} 0 & 0 \\ c & 0 \end{pmatrix} \right\}$$

and hence

$$P^+ = \left\{ \begin{pmatrix} 1_p & b \\ 0 & 1_q \end{pmatrix} \right\}, \quad P^- = \left\{ \begin{pmatrix} 1_p & 0 \\ c & 1_q \end{pmatrix} \right\}.$$

Every element  $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in P^+ K_{\mathbf{C}} P^-$  can be written uniquely as

$$(6) \quad g = \begin{pmatrix} 1_p & bd^{-1} \\ 0 & 1_q \end{pmatrix} \begin{pmatrix} a - bd^{-1}c & 0 \\ 0 & d \end{pmatrix} \begin{pmatrix} 1_p & 0 \\ d^{-1}c & 1_q \end{pmatrix}.$$

Therefore,  $\zeta(g) = \begin{pmatrix} 0 & bd^{-1} \\ 0 & 0 \end{pmatrix}$  ( $\zeta(g)$  is as in (2)) and it follows (cf. Wolf [6]) that

$$D = \zeta(G) = \left\{ \begin{pmatrix} 0 & z \\ 0 & 0 \end{pmatrix} \in \mathfrak{p}^+; 1_q - z^*z > 0 \right\}$$

where  $z^*$  is the conjugate transpose of  $z$  and “ $>$ ” means “is positive definite”.

For  $1 \leq k \leq q$ , let

$$c_k = \frac{1}{\sqrt{2}} \begin{pmatrix} 1_k & 0 & i1_k & 0 \\ 0 & \sqrt{2} 1_{p-k} & 0 & 0 \\ i1_k & 0 & 1_k & 0 \\ 0 & 0 & 0 & \sqrt{2} 1_{q-k} \end{pmatrix}$$

These elements  $c_k$  will play the role of partial Cayley transforms  $c_r$ . As in (3) we put

$$S_k = \zeta(c_k G) \subset \mathfrak{p}^+.$$

Then the domain  $S_k$  can be described as follows (cf. Pyatetskii-Shapiro [4]). We identify  $\mathfrak{p}^+$  with the space  $M_{p,q}(\mathbb{C})$  of  $p \times q$  complex matrices and write  $z \in M_{p,q}(\mathbb{C})$  in the form

$$z = \begin{pmatrix} u & v_2 \\ v_1 & w \end{pmatrix} \quad \left( \begin{array}{l} u \text{ is } k \times k, v_1 \text{ is } (p-k) \times k \\ v_2 \text{ is } k \times (q-k), w \text{ is } (p-k) \times (q-k) \end{array} \right).$$

Corresponding to the decomposition

$$\begin{pmatrix} u & v_2 \\ v_1 & w \end{pmatrix} = \begin{pmatrix} u & 0 \\ 0 & 0 \end{pmatrix} + \begin{pmatrix} 0 & v_2 \\ v_1 & 0 \end{pmatrix} + \begin{pmatrix} 0 & 0 \\ 0 & w \end{pmatrix},$$

we have the direct sum decomposition

$$M_{p,q}(\mathbb{C}) = U \oplus V \oplus W,$$

where  $U = M_{k,k}(\mathbb{C})$ ,  $V = M_{p-k,k}(\mathbb{C}) \oplus M_{k,q-k}(\mathbb{C})$  and  $W = M_{p-k,q-k}(\mathbb{C})$ . For a real form  $U_{\mathbb{R}}$  of  $U$ , we take  $U_{\mathbb{R}} = \{u \in U; u^* = u\}$ . Let

$$B = \{w \in W; 1_{q-k} - w^*w > 0\},$$

and for each  $w \in B$ , we put  $[w] = (1_{q-k} - w^*w)^{-1}$ . For  $w \in B$  and  $v = \begin{pmatrix} 0 & v_2 \\ v_1 & 0 \end{pmatrix}$ ,  $\tilde{v} = \begin{pmatrix} 0 & \tilde{v}_2 \\ \tilde{v}_1 & 0 \end{pmatrix} \in V$  we define

$$\Phi_w(v, \tilde{v}) = 2(\tilde{v}_1^*(1_{p-k} - ww^*)^{-1}v_1 + v_2[w]\tilde{v}_2^*) + i(v_2[w]w^*\tilde{v}_1 + \tilde{v}_2[w]w^*v_1).$$

Then  $\Phi_w: V \times V \rightarrow U$  is a semi-hermitian form and we have

$$S_k = \left\{ \begin{pmatrix} u & v_2 \\ v_1 & w \end{pmatrix} \in M_{p,q}(\mathbb{C}); \text{Im } u - \text{Re } \Phi_w(v, v) > 0, w \in B \right\}$$

where  $v = \begin{pmatrix} 0 & v_2 \\ v_1 & 0 \end{pmatrix}$ . Therefore,  $S_k$  is a Siegel domain of type III.

We turn to the Bergman kernel of  $S_k$ . Let  $z' = \begin{pmatrix} 0 & z \\ 0 & 0 \end{pmatrix} \in \mathfrak{p}^+$  and  $w' = \begin{pmatrix} 0 & w \\ 0 & 0 \end{pmatrix} \in \mathfrak{p}^+$ . If  $g = \begin{pmatrix} a & 0 \\ 0 & d \end{pmatrix} \in K_{\mathbf{C}}$ , then

$$(7) \quad \chi(g) \equiv \det(\text{Ad}(g)|_{\mathfrak{p}^+}) = (\det a)^q (\det d)^{-p} = (\det d)^{-(p+q)}.$$

Thus if we write

$$\exp(-\bar{w}') c_k^{-2} \exp z' = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \quad (\in P^+ K_{\mathbf{C}} P^-),$$

(6), (7) and the proposition imply that the Bergman kernel  $\mathcal{K}_k$  of  $S_k$  is given by

$$\mathcal{K}_k(z', w') = \text{vol}(D)^{-1} (\det d)^{-(p+q)}.$$

To compute  $d$  we write  $z = \begin{pmatrix} z_1 & z_3 \\ z_2 & z_4 \end{pmatrix}$ ,  $w = \begin{pmatrix} w_1 & w_3 \\ w_2 & w_4 \end{pmatrix}$  where  $z_1$  and  $w_1$  are  $k \times k$ ,  $z_4$  and  $w_4$  are  $(p-k) \times (q-k)$ , and the sizes of the other rectangular blocks are determined accordingly. Then noting that  $\exp(-\bar{w}') = \begin{pmatrix} 1_p & 0 \\ -w^* & 1_q \end{pmatrix}$  ( $-$  denotes the conjugation of  $\mathfrak{sl}(p+q, \mathbf{C})$  with respect to  $\mathfrak{su}(p, q)$ ), a simple computation shows that

$$d = \begin{pmatrix} i(w_1^* - z_1) - w_2^* z_2 & -iz_3 - w_2^* z_4 \\ iw_3^* - w_4^* z_2 & 1_{q-k} - w_4^* z_4 \end{pmatrix}.$$

Therefore, under the identification  $\mathfrak{p}^+ = M_{p,q}(\mathbf{C})$ , we have for  $z = \begin{pmatrix} z_1 & z_3 \\ z_2 & z_4 \end{pmatrix}$ ,  $w = \begin{pmatrix} w_1 & w_3 \\ w_2 & w_4 \end{pmatrix} \in S_k$

$$\mathcal{K}_k(z, w) = \text{vol}(D)^{-1} \det \begin{pmatrix} i(w_1^* - z_1) - w_2^* z_2 & -iz_3 - w_2^* z_4 \\ iw_3^* - w_4^* z_2 & 1_{q-k} - w_4^* z_4 \end{pmatrix}^{-(p+q)}$$

In the special case  $k = q$ ,

$$S_q = \left\{ \begin{pmatrix} u \\ v \end{pmatrix} \in M_{p,q}(\mathbf{C}); \frac{1}{2i}(u - u^*) - v^*v > 0 \right\}$$

and  $S_q$  is a Siegel domain of type II. In this case we have for  $z = \begin{pmatrix} z_1 \\ z_2 \end{pmatrix}$ ,  $w = \begin{pmatrix} w_1 \\ w_2 \end{pmatrix} \in S_q$

$$\mathcal{K}_q(z, w) = \text{vol}(D)^{-1} \det(i(w_1^* - z_1) - w_2^* z_2)^{-(p+q)}.$$

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