

Unperturbed weakly reducible non-minimal bridge positions

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ABSTRACT. A bridge position of a knot is said to be perturbed if there exists a cancelling pair of bridge disks. Motivated by the examples of knots admitting unperturbed, strongly irreducible, non-minimal bridge positions due to Jang-Kobayashi-Ozawa-Takao, we derive examples of unperturbed, weakly reducible, non-minimal bridge positions. Also, a bridge version of Gordon's Conjecture is proposed: the connected sum of unperturbed bridge positions is unperturbed.

1. Introduction

Suppose that S^3 is decomposed into two 3-balls by an embedded sphere S . A knot K is in n -bridge position with respect to S if K intersects each of the 3-balls in a collection of n ∂ -parallel arcs. The original concept of bridge position, the bridge number, was first introduced by Schubert in 1954 [11]. Thereafter it is generalized to the notion of bridge splitting for (a 3-manifold, link) pair.

For any n -bridge position, we can always give a perturbation to get a perturbed $(n + 1)$ -bridge position. Conversely, from a perturbed bridge position we obtain a lower index bridge position. A bridge position is *unperturbed* if it is not perturbed. It is a fundamental problem to detect whether a given bridge position is unperturbed or not. The unknot has a unique 1-bridge position and every n -bridge position ($n > 1$) of the unknot is perturbed [6]. Non-minimal bridge positions of 2-bridge knots [7], torus knots [8] are perturbed. Zupan showed that if K is an mp-small knot and every non-minimal bridge position of K is perturbed, then every non-minimal bridge position of a (p, q) -cable of K is also perturbed [14]. Concerning 2-cables, the author showed that if every non-minimal bridge position of a knot K is perturbed, then every non-minimal bridge position of a $(2, 2q)$ -cable link of K is perturbed, without the assumption of mp-smallness of K [4].

On the other hand, there exist knots admitting unperturbed non-minimal bridge positions [3], [9]. All the examples in [3] and [9] are strongly irreducible

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bridge positions. Weakly reducible bridge positions are the opposites of more complicated strongly irreducible ones, so simpler. For weakly reducible bridge positions, one can ask whether unperturbed non-minimal bridge positions can be attained. We show that there exist unperturbed, weakly reducible, non-minimal bridge positions by taking the connected sum operation on the knots due to Jang et al.

THEOREM 1. *There exist unperturbed, weakly reducible, non-minimal bridge positions.*

The unperturbedness is shown by the method of 2-fold branched covering and Gordon's Conjecture. Can we prove it directly without taking a 2-fold branched covering? This raises the following conjecture.

CONJECTURE 1 (A bridge version of Gordon's Conjecture). *The connected sum of two unperturbed bridge positions is unperturbed.*

The presented examples of knots for Theorem 1 are composite knots. We have the following question.

QUESTION 1. *Does there exist a prime knot admitting an unperturbed, weakly reducible, non-minimal bridge position?*

2. Bridge positions

Let B be a 3-ball. A *trivial tangle* is a collection of disjoint properly embedded arcs b_1, \dots, b_n in B such that each b_i cobounds a disk D_i with an arc in ∂B satisfying $D_i \cap b_j = \emptyset$ for all $j \neq i$. Suppose that a 2-sphere S decomposes S^3 into two 3-balls B and C . Let K be a knot. If $B \cap K$ and $C \cap K$ are trivial tangles, each consisting of n arcs, then we say that K is in *n -bridge position* with respect to S . Each arc of the trivial tangles $B \cap K$ and $C \cap K$ is called a *bridge*. A bridge b_i of the trivial tangle, say $B \cap K = \{b_1, \dots, b_n\}$, cobounds a *bridge disk* D_i with an arc in S such that $D_i \cap b_j = \emptyset$ for all $j \neq i$ by definition. By a standard cut-and-paste argument, D_i 's ($i = 1, \dots, n$) can be taken to be pairwise disjoint. A collection $\{D_1, \dots, D_n\}$ of n disjoint bridge disks is called a *complete bridge disk system*. If K is in bridge position, we have a decomposition of the pair (S^3, K) into $(B, B \cap K)$ and $(C, C \cap K)$. But when it is clear from the context, we will simply use the notation $B \cup_S C$ to indicate the bridge position.

For an n -bridge position $B \cup_S C$, we can perturb a small neighborhood of a point p of $K \cap S$ so that it becomes an $(n+1)$ -bridge position having bridge disks $D \subset B$ and $E \subset C$ with $D \cap E = p$. Such an operation is called a *perturbation*, and a bridge position isotopic to one obtained by a perturbation is

said to be *perturbed*. Each of D and E is a *cancelling disk* and (D, E) is a *cancelling pair*. Conversely, a perturbation can be reversed to give a lower index bridge position. A bridge position is *unperturbed* if it is not perturbed.

A disk D properly embedded in B or C with $D \cap K = \emptyset$ is a *compressing disk* if ∂D does not bound a disk in $S - K$. A bridge position $B \cup_S C$ is *weakly reducible* if there exist compressing disks $D \subset B$ and $E \subset C$ such that $D \cap E = \emptyset$. Otherwise, it is *strongly irreducible*. It is easy to see that if an n -bridge position ($n \geq 3$) is perturbed, then it is weakly reducible. Note that a 2-bridge position of the unknot is perturbed and strongly irreducible.

The *bridge number* $b(K)$ of a knot K is the minimum of

$$\{n \mid K \text{ admits an } n\text{-bridge position}\}.$$

For a connected sum $K_1 \# K_2$ of two knots K_1 and K_2 , $b(K_1 \# K_2)$ is $b(K_1) + b(K_2) - 1$ [11], [12]. For a (p, q) -torus knot $K_{p,q}$, $b(K_{p,q}) = \min\{|p|, |q|\}$ [11], [13].

3. Heegaard splittings

In this section, we briefly review basic notions and facts about Heegaard splittings. Connections between Heegaard splittings and bridge positions, via 2-fold branched coverings, will be discussed in the subsequent sections.

For a closed 3-manifold M , a *Heegaard splitting* $V \cup_F W$ is a decomposition of M into two handlebodies V and W of the same genus. The common boundary F of V and W is called the *Heegaard surface* of $V \cup_F W$. A Heegaard splitting $V \cup_F W$ is *stabilized* if there exist disks $D \subset V$ and $E \subset W$ such that $|D \cap E| = 1$, and (D, E) is called a *cancelling pair*. Otherwise, it is *unstabilized*. If there exist compressing disks $D \subset V$ and $E \subset W$ such that $\partial D = \partial E$ ($D \cap E = \emptyset$ respectively), then the Heegaard splitting is said to be *reducible* (*weakly reducible* respectively). A Heegaard splitting is *irreducible* (*strongly irreducible* respectively) if it is not reducible (weakly reducible respectively). It is immediate that a reducible Heegaard splitting is weakly reducible, by slightly pushing one of D and E with $\partial D = \partial E$ to be apart from the other.

Suppose that $V \cup_F W$ is stabilized with a cancelling pair (D, E) and the genus of F is at least two. Then we can see that $V \cup_F W$ is reducible, hence weakly reducible, by band summing two copies of D along ∂E and band summing two copies of E along ∂D . As a contrapositive, we have the following.

PROPOSITION 1. *If a Heegaard splitting of genus $g \geq 2$ is irreducible, then it is unstabilized.*

4. 2-Fold branched coverings

Let $B \cup_S C$ be an n -bridge position of a knot K . Let $\{D_1, \dots, D_n\}$ be a complete bridge disk system for $B \cap K$. Cut B along $\bigcup_{i=1}^n D_i$. Let B' be the resulting 3-ball and let $D'_{i,+}$ and $D'_{i,-}$ denote the two scars of D_i on $\partial B'$. Let B'' be a copy of B' and similarly let $D''_{i,+}$ and $D''_{i,-}$ denote the two scars of D_i on $\partial B''$. Glue B' and B'' along $D'_{i,\pm}$ and $D''_{i,\mp}$ for each i . The resulting manifold is a genus $n - 1$ handlebody V . There is an involution of V fixing $B \cap K$ such that the quotient map induced by the involution is a 2-fold covering $p_1 : V \rightarrow B$ branched along $B \cap K$. Similarly, we can take a 2-fold covering $p_2 : W \rightarrow C$ branched along $C \cap K$, where W is a genus $n - 1$ handlebody. Hence we have a 2-fold branched covering map p from a genus $n - 1$ Heegaard splitting $V \cup_F W$ to $B \cup_S C$, branched along the knot K in n -bridge position.

Suppose $B \cup_S C$ is perturbed, so it admits a cancelling pair (D, E) . The preimages $p^{-1}(D)$ and $p^{-1}(E)$ are disks in V and W respectively that intersect at one point, so $V \cup_F W$ is stabilized. As a contrapositive, we have the following.

PROPOSITION 2. *Suppose that $p : V \cup_F W \rightarrow B \cup_S C$ is the 2-fold covering branched along a knot K in bridge position with respect to S . If $V \cup_F W$ is unstabilized, then $B \cup_S C$ is unperturbed.*

The converse of Proposition 2 does not hold. There is a relevant discussion in [2, Section 1]. Let $K_{p,q}$ be a (p, q) -torus knot with $0 < p < q$. A p -bridge position $B \cup_S C$ of $K_{p,q}$ is unperturbed since $b(K_{p,q}) = p$. A 2-fold covering of S^3 branched along $K_{p,q}$ is a small Seifert fibered manifold M . It is known that an irreducible Heegaard splitting of a Seifert fibered manifold is either vertical or horizontal [5]. The genus of a vertical splitting of M is at most two. The genus of a horizontal splitting is always an even number. Refer to [5] for more details. The 2-fold branched covering $V \cup_F W$ of $B \cup_S C$ is of genus $p - 1$. So for example, if $(p, q) = (4, 5)$, then $V \cup_F W$ is a reducible Heegaard splitting of M . Since M is an irreducible manifold, $V \cup_F W$ is stabilized. Therefore, if $(p, q) = (4, 5)$, then $B \cup_S C$ is unperturbed and $V \cup_F W$ is stabilized.

5. Connected sums

Let $B_1 \cup_{S_1} C_1$ and $B_2 \cup_{S_2} C_2$ be bridge positions of knots K_1 and K_2 , respectively. Let $p_i : V_i \cup_{F_i} W_i \rightarrow B_i \cup_{S_i} C_i$ ($i = 1, 2$) be the 2-fold branched coverings explained in Section 4. See Figure 1. The connected sum of $B_1 \cup_{S_1} C_1$ and $B_2 \cup_{S_2} C_2$ is defined as follows. Take a small open ball neigh-

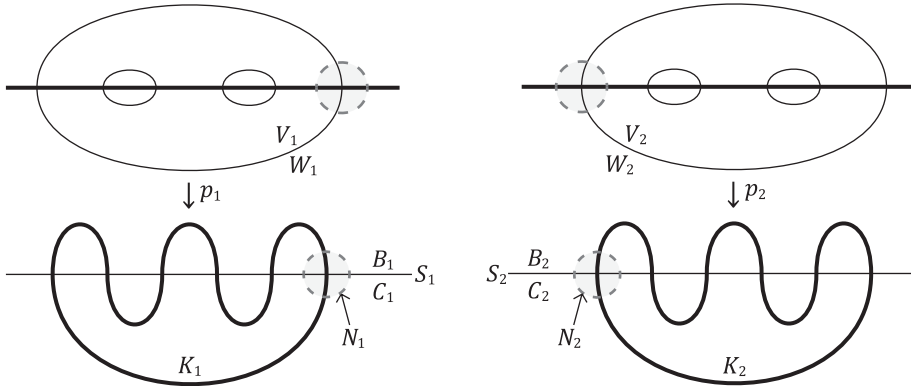


Fig. 1. 2-Fold branched coverings $p_1 : V_1 \cup_{F_1} W_1 \rightarrow B_1 \cup_{S_1} C_1$ and $p_2 : V_2 \cup_{F_2} W_2 \rightarrow B_2 \cup_{S_2} C_2$.

neighborhood N_i at a point of $K_i \cap S_i$. Glue $B_1 - N_1$ and $B_2 - N_2$ along $B_1 \cap \partial N_1$ and $B_2 \cap \partial N_2$ so that $K_1 \cap (B_1 \cap \partial N_1)$ is identified with $K_2 \cap (B_2 \cap \partial N_2)$. Similarly, glue $C_1 - N_1$ and $C_2 - N_2$ along $C_1 \cap \partial N_1$ and $C_2 \cap \partial N_2$ so that $K_1 \cap (C_1 \cap \partial N_1)$ is identified with $K_2 \cap (C_2 \cap \partial N_2)$. The result is a bridge position $(B_1 \natural B_2) \cup_{S_1 \# S_2} (C_1 \natural C_2)$ of $K_1 \# K_2$.

$$(1) \quad (B_1 \cup_{S_1} C_1) \# (B_2 \cup_{S_2} C_2) = (B_1 \natural B_2) \cup_{S_1 \# S_2} (C_1 \natural C_2).$$

Now we consider the connected sum of $M_1 = V_1 \cup_{F_1} W_1$ and $M_2 = V_2 \cup_{F_2} W_2$. Since we want the connected sum to be compatible with the branched covering map, take $p_1^{-1}(N_1)$ and $p_2^{-1}(N_2)$, which are open 3-balls. Glue $V_1 - p_1^{-1}(N_1)$ and $V_2 - p_2^{-1}(N_2)$ along $V_1 \cap \partial(p_1^{-1}(N_1))$ and $V_2 \cap \partial(p_2^{-1}(N_2))$. Similarly, glue $W_1 - p_1^{-1}(N_1)$ and $W_2 - p_2^{-1}(N_2)$ along $W_1 \cap \partial(p_1^{-1}(N_1))$ and $W_2 \cap \partial(p_2^{-1}(N_2))$. The result is a Heegaard splitting $(V_1 \natural V_2) \cup_{F_1 \# F_2} (W_1 \natural W_2)$ of $M_1 \# M_2$.

$$(2) \quad (V_1 \cup_{F_1} W_1) \# (V_2 \cup_{F_2} W_2) = (V_1 \natural V_2) \cup_{F_1 \# F_2} (W_1 \natural W_2).$$

Since $V_i - p_i^{-1}(N_i)$ ($i = 1, 2$) is a 2-fold branched covering of $B_i - N_i$, the handlebody $V_1 \natural V_2$ is a 2-fold branched covering of $B_1 \natural B_2$. Similarly, since $W_i - p_i^{-1}(N_i)$ ($i = 1, 2$) is a 2-fold branched covering of $C_i - N_i$, the handlebody $W_1 \natural W_2$ is a 2-fold branched covering of $C_1 \natural C_2$. So $(V_1 \natural V_2) \cup_{F_1 \# F_2} (W_1 \natural W_2)$ is a 2-fold branched covering of $(B_1 \natural B_2) \cup_{S_1 \# S_2} (C_1 \natural C_2)$. See Figure 2. Then by (1) and (2), we have the following lemma.

LEMMA 1. *There is a 2-fold branched covering $p : (V_1 \cup_{F_1} W_1) \# (V_2 \cup_{F_2} W_2) \rightarrow (B_1 \cup_{S_1} C_1) \# (B_2 \cup_{S_2} C_2)$.*

In other words, by carefully choosing the 3-balls, a connected sum of 2-fold branched coverings is a 2-fold branched covering of a connected sum.

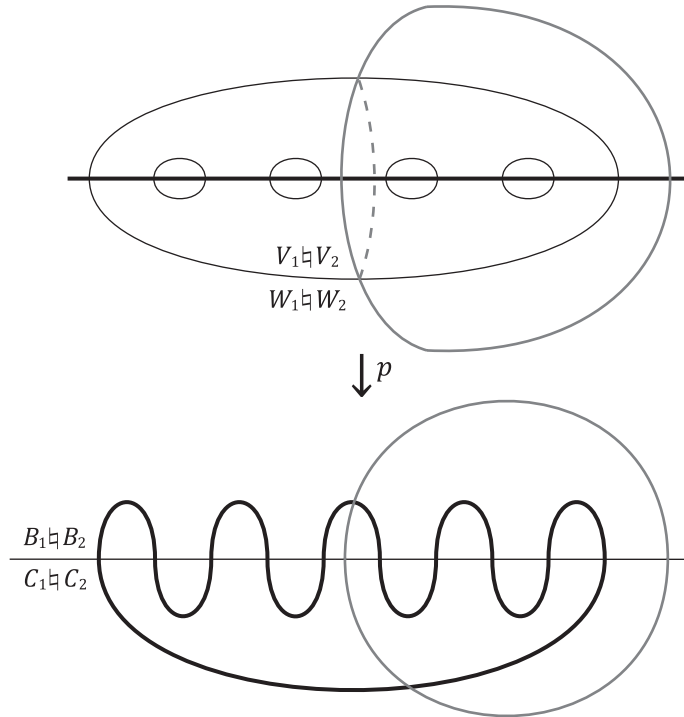


Fig. 2. A 2-fold branched covering $p : (V_1 \cup_{F_1} W_1) \# (V_2 \cup_{F_2} W_2) \rightarrow (B_1 \cup_{S_1} C_1) \# (B_2 \cup_{S_2} C_2)$.

6. Gordon’s Conjecture

CONJECTURE 2 (Gordon’s Conjecture). *The connected sum of two unstabilized Heegaard splittings is unstabilized.*

Gordon’s Conjecture is proved by Bachman [1] and independently by Qiu and Scharlemann [10]. Bachman used the notion of critical surface. The proof in [10] is constructive and combinatorial. We proposed a bridge version of Gordon’s Conjecture in the introduction. Compared to the case of Heegaard splittings, the presence of a knot may cause a difficulty in the bridge version.

7. Proof of Theorem 1

Let K_1 be a knot admitting an n_1 -bridge position $B_1 \cup_{S_1} C_1$ with $n_1 > b(K_1)$ whose 2-fold branched covering $V_1 \cup_{F_1} W_1$ is an unstabilized Heegaard splitting.

CLAIM 1. There are infinitely many examples for K_1 .

PROOF. There are infinitely many knots in [3], each of which admits a $(2k + 5)$ -bridge position for any integer $k \geq 0$. Let K_1 be one of them, and $B_1 \cup_{S_1} C_1$ be a $(2k + 5)$ -bridge position of K_1 with $2k + 5 > b(K_1)$. It is shown in [3] that the 2-fold branched covering $V_1 \cup_{F_1} W_1$ of $B_1 \cup_{S_1} C_1$ is strongly irreducible. By Proposition 1, $V_1 \cup_{F_1} W_1$ is unstabilized.

Let K_2 be a knot admitting an n_2 -bridge position $B_2 \cup_{S_2} C_2$ whose 2-fold branched covering $V_2 \cup_{F_2} W_2$ is unstabilized. There are also infinitely many examples for K_2 . Then $(V_1 \cup_{F_1} W_1) \# (V_2 \cup_{F_2} W_2)$ is unstabilized by Gordon's Conjecture. There exists a 2-fold branched covering $p : (V_1 \cup_{F_1} W_1) \# (V_2 \cup_{F_2} W_2) \rightarrow (B_1 \cup_{S_1} C_1) \# (B_2 \cup_{S_2} C_2)$ by Lemma 1. By Proposition 2, $(B_1 \cup_{S_1} C_1) \# (B_2 \cup_{S_2} C_2)$ is unperturbed. It is weakly reducible because it is obtained by a connected sum. The bridge number $b(K_1 \# K_2)$ is $b(K_1) + b(K_2) - 1$ and $(B_1 \cup_{S_1} C_1) \# (B_2 \cup_{S_2} C_2)$ is an $(n_1 + n_2 - 1)$ -bridge position of $K_1 \# K_2$, where $n_1 + n_2 - 1 > b(K_1) + b(K_2) - 1$.

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