# ENTIRE FUNCTIONS AND THEIR FIRST DERIVATIVES SHARING SIMPLE $\beta$-POINTS FOR A SMALL FUNCTION $\beta$ 

Amer H. H. Al-Khaladi


#### Abstract

The following theorem has been proved by A. Schweizer [7]. If a nonconstant entire function $f$ and its derivative $f^{\prime}$ share their simple zeros and if every simple $a$ point of $f$ is a (not necessarily simple) $a$-point of $f^{\prime}$ for some nonzero constant $a$, then $f \equiv f^{\prime}$. In this paper we shall prove that the above result is also true when the nonzero constant $a$ is replaced by a meromorphic small function $\beta(\not \equiv 0, \infty)$.


## 1. Introduction and results

In this paper, we use the same notation as given in Nevanlinna theory of meromorphic functions ([2, 8]). For a meromorphic function $h$, let $T(r, h)$ denote the Nevanlinna characteristic of $h$ and let $S(r, h)$ be any quantity satisfying $S(r, h)=o\{T(r, h)\}$ as $r \rightarrow \infty$, except possibly on a set of finite linear measure. Then a meromorphic function $\beta$ is called a small function of $h$ if and only if $T(r, h)=S(r, h)$. Let $f$ and $g$ be two nonconstant entire functions. For $a \in \mathbf{C}$ we say that $f$ and $g$ share the value $a \mathrm{CM}$ (counting multiplicities) if the $a$ points of $f$ and $g$ coincide in locations and multiplicities. If we do not consider the multiplicities, we say that $f$ and $g$ share the value $a$ IM (ignoring multiplicities). Let $k$ be a positive integer and always keeping in mind that the $\beta$ points of $f$ are the zeros of $f-\beta$ and their multiplicity is the multiplicity of that zero. We denote by $N_{k)}\left(r, \frac{1}{f-\beta}\right)$ the counting function of $\beta$-points of $f$ with multiplicity $\leq k$, by $N_{(k+1}\left(r, \frac{1}{f-\beta}\right)$ the counting function of $\beta$-points of $f$ with multiplicity $>k$ and by $N_{=k}\left(r, \frac{1}{f-\beta}\right)$ counting function of $\beta$-points of $f$ which have the multiplicity $k$. In the same way we define $\bar{N}_{k)}\left(r, \frac{1}{f-\beta}\right)$,

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$\bar{N}_{(k+1}\left(r, \frac{1}{f-\beta}\right)$ and $\bar{N}_{=k}\left(r, \frac{1}{f-\beta}\right)$ where in counting the $\beta$-points of $f$ we ignore the multiplicities ([8]). Finally we denote by $E(\beta, f)$ the set of $\beta$-points of $f$ (counting multiplicities), by $\bar{E}(\beta, f)$ the set of $\beta$-points of $f$ (ignoring multiplicities) and by $E_{1)}(\beta, f)$ the set of $\beta$-points of $f$ with multiplicity one. Thus we say that $f$ and $g$ share $\beta \mathrm{CM}, \beta \mathrm{IM}, \beta$ simple if $E(\beta, f)=E(\beta, g)$, $\bar{E}(\beta, f)=\bar{E}(\beta, g)$ and $E_{1)}(\beta, f)=E_{1)}(\beta, g)$ respectively.

On the problems of uniqueness of an entire function and its derivative that share some values. Rubel-Yang ([6]) proved that if the entire function $f$ and $f^{\prime}$ share two distinct finite values CM then $f \equiv f^{\prime}$. Mues-Steinmetz ([3]) improved this result to the case when $f$ and $f^{\prime}$ share two distinct finite values IM. ZhengWang ([9]) generalized this result to $f$ and $f^{\prime}$ which share two small functions CM. In 2000 Qiu ([5]) improved this result to the case when $f$ and $f^{\prime}$ share two small functions IM. Recently, Schweizer [7] proved the following theorem:

Theorem 1.1. Let $f$ be a nonconstant entire function and let a be a nonzero constant. If $f$ and $f^{\prime}$ share their simple zeros and if every simple a-point of $f$ is a (not necessarily simple) a-point of $f^{\prime}$, then $f \equiv f^{\prime}$.

It is natural to ask whether the "nonzero constant $a$ " of Theorem 1.1 can be replaced by "small function $\beta(\not \equiv 0, \infty)$ "? In this paper, we will give a positive answer to this question. Indeed, we shall prove the following:

Theorem 1.2. Let $f$ be a nonconstant entire function and let $\beta$ be a small meromorphic function of $f$ such that $\beta(z) \not \equiv 0, \infty$. If $f$ and $f^{\prime}$ share their simple zeros and if every simple $\beta$-point of $f$ is a (not necessarily simple) $\beta$-point of $f^{\prime}$, then $f \equiv f^{\prime}$.

It is obvious that Theorem 1.2 is a generalization of Theorem 1.1. From Theorem 1.2, we directly conclude the following corollary:

Corollary 1.3. Let $f$ be a nonconstant entire function and let $\beta$ be a small meromorphic function of $f$ such that $\beta(z) \not \equiv 0, \infty$. If $E_{1)}(0, f)=E_{1)}\left(0, f^{\prime}\right)$ and $E_{1)}(\beta, f)=E_{1)}\left(\beta, f^{\prime}\right)$, then $f \equiv f^{\prime}$.
A. Schweizer [7] also gave the following example:

Example 1. Let

$$
\begin{equation*}
f(z)=\frac{a}{2}(\sin (2 z)+1), \quad 0 \neq a \in \mathbf{C} \tag{1.1}
\end{equation*}
$$

then $f^{\prime}(z)=a \cos (2 z)$. All $a$-points of $f$ and of $f^{\prime}$ and all zeros of $f$ have multiplicity 2 . Thus the condition that $f$ and $f^{\prime}$ share their simple $a$-points and that if every simple zero of $f$ is a simple zero of $f^{\prime}$ does not imply $f \equiv f^{\prime}$.

Note that from (1.1) we see that

$$
L=\frac{\left(\frac{f-a}{0-a}\right)^{\prime}}{\frac{f-a}{0-a}}=\frac{f^{\prime}}{f-a}=\frac{2 \cos (2 z)}{\sin (2 z)-1}
$$

Hence $L^{\prime}=\frac{4}{\sin (2 z)-1}$, and so $\bar{N}\left(r, \frac{1}{L^{\prime}}\right)=S(r, f)$. In the present paper, we shall prove the following theorem which includes Example 1 as a special case:

Theorem 1.4. Let $f$ be a nonconstant entire function and let $\beta_{1}$ and $\beta_{2}$ be two distinct small meromorphic functions of $f$. If all zeros of $f-\beta_{1}$ and $f-\beta_{2}$ have multiplicities greater than 1, then either

$$
\begin{equation*}
f(z)-\beta_{1}(z)=\frac{-1}{4 A}\left(\beta_{2}(z)-\beta_{1}(z)\right) e^{-c z}\left(1-A e^{c z}\right)^{2} \tag{1.2}
\end{equation*}
$$

and

$$
\begin{equation*}
f(z)-\beta_{2}(z)=\frac{-1}{4 A}\left(\beta_{2}(z)-\beta_{1}(z)\right) e^{-c z}\left(1+A e^{c z}\right)^{2} \tag{1.3}
\end{equation*}
$$

or

$$
\begin{equation*}
T(r, f) \leq 4 \bar{N}\left(r, \frac{1}{L^{\prime}}\right)+S(r, f) \tag{1.4}
\end{equation*}
$$

where $A, c$ are nonzero constants, $L=\frac{F^{\prime}}{F}$ and $F=\frac{f-\beta_{1}}{\beta_{2}-\beta_{1}}$.
From Theorem 1.4, we immediately deduce the following corollary:
Corollary 1.5. Let $f$ be a nonconstant entire function, and let $\beta_{1}$ and $\beta_{2}$ be two distinct small meromorphic functions of $f$ satisfying $\bar{N}\left(r, \frac{1}{L^{\prime}}\right)=S(r, f)$ where $L=\frac{F^{\prime}}{F}$ and $F=\frac{f-\beta_{1}}{\beta_{2}-\beta_{1}}$. If all zeros of $f-\beta_{1}$ and $f-\beta_{2}$ have multiplicities greater than 1, then (1.2) and (1.3) hold.

Remarks.

1. If $\beta_{1} \equiv a, \beta_{2} \equiv 0, c=2 i$ and $A=-i$, then (1.3) becomes (1.1).
2. From (1.2) or (1.3) it is easy to see that $L^{\prime}(z)=\frac{-2 A c^{2} e^{c z}}{\left(1-A e^{c z}\right)^{2}}$. Hence $\bar{N}\left(r, \frac{1}{L^{\prime}}\right)=S(r, f)$.
3. The following example shows that the condition $\bar{N}\left(r, \frac{1}{L^{\prime}}\right)=S(r, f)$ in Corollary 1.5 cannot be removed.

Example 2. Let $\alpha(z)$ be any nonconstant entire function, $A$ be any nonzero constant and

$$
f(z)-\beta_{1}(z)=\frac{-1}{4 A}\left(\beta_{2}(z)-\beta_{1}(z)\right) e^{-\int_{0}^{2} \alpha(t) d t}\left(1-A e^{\int_{0}^{z} \alpha(t) d t}\right)^{2} .
$$

Then it is easy to see that all zeros of $f-\beta_{1}$ and $f-\beta_{2}$ have multiplicities 2 and

$$
L(z)=-2 A \alpha\left(\frac{1}{2 A}+\frac{e^{\int_{0}^{z} \alpha(t) d t}}{1-A e^{\int_{0}^{z} \alpha(t) d t}}\right) .
$$

Hence

$$
L^{\prime}(z)=\frac{-\alpha^{\prime}\left[1+\frac{\alpha^{4}}{\alpha^{\prime 2}}-\left(A e^{\int_{0}^{z} \alpha(t) d t}-\frac{\alpha^{2}}{\alpha^{\prime}}\right)^{2}\right]}{\left(1-A e^{\int_{0}^{2} \alpha(t) d t}\right)^{2}} .
$$

From this we deduce that $\bar{N}\left(r, \frac{1}{L^{\prime}}\right) \neq S(r, f)$ but the conclusion of Corollary 1.5
is not valid.

## 2. Some lemmas

For the proof of our theorems we need the following lemmas:
Lemma 2.1 [4]. Let $f$ be a nonconstant meromorphic function and $\Psi=$ $a_{n} f^{n}+a_{n-1} f^{n-1}+\cdots+a_{1} f+a_{0}$, where $a_{n} \not \equiv 0, a_{n-1}, \ldots, a_{1}, a_{0}$ are meromorphic small functions of $f$. If $\bar{N}\left(r, \frac{1}{\Psi}\right)=S(r, f)$, then three cases are possible
(i) $\Psi=a_{n}\left(f+\frac{a_{n-1}}{n a_{n}}\right)^{n}$;
(ii) There exist a meromorphic small function $\alpha_{0} \not \equiv 0$ and an integer $\mu$ such that $n=2 \mu$ and

$$
\Psi=a_{n}\left(f^{2}+2 \frac{a_{n-1}}{n a_{n}} f+\left(\frac{a_{n-1}}{n a_{n}}\right)^{2}+\alpha_{0}\right)^{\mu}
$$

(iii) There exist a meromorphic small function $\alpha_{0} \not \equiv 0$, positive integers $\mu_{1}$ and $\mu_{2}$, and distinct complex numbers $\lambda_{1}$ and $\lambda_{2}$ such that $\mu_{1}+\mu_{2}=n, \mu_{1} \lambda_{1}+\mu_{2} \lambda_{2}=0$, and

$$
\Psi=a_{n}\left(f+\frac{a_{n-1}}{n a_{n}}-\lambda_{1} \alpha_{0}\right)^{\mu_{1}}\left(f+\frac{a_{n-1}}{n a_{n}}-\lambda_{2} \alpha_{0}\right)^{\mu_{2}} .
$$

Lemma 2.2 [2, P. 47]. Let $f$ be a nonconstant meromorphic function, and $a_{1}$, $a_{2}, a_{3}$ be distinct small functions of $f$. Then

$$
T(r, f) \leq \sum_{j=1}^{3} \bar{N}\left(\frac{1}{f-a_{j}}\right)+S(r, f)
$$

Lemma 2.3 [5]. Let $f$ be a nonconstant entire function, $\alpha_{1}$ and $\alpha_{2}$ be two distinct small functions of $f$ with $\alpha_{1} \not \equiv \infty$ and $\alpha_{2} \not \equiv \infty$. Set

$$
\Delta(f)=\left|\begin{array}{ll}
f-\alpha_{1} & \alpha_{1}-\alpha_{2} \\
f^{\prime}-\alpha_{1}^{\prime} & \alpha_{1}^{\prime}-\alpha_{2}^{\prime}
\end{array}\right|=\left|\begin{array}{cc}
f-\alpha_{2} & \alpha_{1}-\alpha_{2} \\
f^{\prime}-\alpha_{2}^{\prime} & \alpha_{1}^{\prime}-\alpha_{2}^{\prime}
\end{array}\right|
$$

Then

$$
\Delta(f) \not \equiv 0 \quad \text { and } \quad m\left(r, \frac{\Delta(f)}{f-\alpha_{i}}\right)=S(r, f) \quad(i=1,2)
$$

Lemma 2.4 [1]. Let $f^{\prime}$ be a nonconstant meromorphic function and let

$$
\Gamma=\left(\frac{f^{\prime \prime}}{f^{\prime}}\right)^{2}-2\left(\frac{f^{\prime \prime}}{f^{\prime}}\right)^{\prime}
$$

Then

$$
T(r, \Gamma) \leq 2 \bar{N}\left(r, \frac{1}{f^{\prime}}\right)+2 \bar{N}_{(2}(r, f)+S\left(r, f^{\prime}\right)
$$

## 3. Proof of Theorems

### 3.1. Proof of Theorem $\mathbf{1 . 2}$

The proof here is by contradiction. Assume that $f \not \equiv f^{\prime}$. Set

$$
\begin{equation*}
W=\frac{\left[(f / \beta)^{\prime}\right]^{2}\left(f-f^{\prime}\right)}{f^{2}(f-\beta)} \tag{3.1}
\end{equation*}
$$

From Nevanlinna's fundamental estimate of the logarithmic derivative we obtain

$$
\begin{align*}
m(r, W) & \leq m\left(r, \frac{1}{\beta} \cdot \frac{(f / \beta)^{\prime}}{(f / \beta)}\right)+m\left(r, \frac{1}{\beta} \cdot \frac{(f / \beta)^{\prime}}{((f / \beta)-1)}\right)+m\left(r, 1-\frac{f^{\prime}}{f}\right)+O(1)  \tag{3.2}\\
& \leq 2 m\left(r, \frac{1}{\beta}\right)+S(r, f)=S(r, f)
\end{align*}
$$

Since $f$ and $f^{\prime}$ share their simple zeros, every zero of $f$ has multiplicity at least 3 and $f^{\prime}$ has no simple zeros. That is

$$
\begin{equation*}
N\left(r, \frac{1}{f}\right)=N_{(3}\left(r, \frac{1}{f}\right) \quad \text { and } \quad N\left(r, \frac{1}{f^{\prime}}\right)=N_{(2}\left(r, \frac{1}{f^{\prime}}\right) . \tag{3.3}
\end{equation*}
$$

Further it follows from (3.1) that if $z_{0}$ is a zero of $f$ with multiplicity $p \geq 3$ and $\beta\left(z_{0}\right) \neq 0, \infty$, then

$$
\begin{equation*}
W(z)=O\left(\left(z-z_{0}\right)^{p-3}\right), \quad \text { as } z \rightarrow z_{0} . \tag{3.4}
\end{equation*}
$$

Since every simple zero of $f-\beta$ is a zero of $f^{\prime}-\beta$, we can also conclude from (3.1) that if $z_{1}$ is a zero of $f-\beta$ with multiplicity $q \geq 1$ and $\beta\left(z_{1}\right) \neq 0, \infty$,

$$
W(z)= \begin{cases}O(1) & \text { if } q=1  \tag{3.5}\\ O\left(\left(z-z_{1}\right)^{q-2}\right) & \text { if } q \geq 2\end{cases}
$$

as $z \rightarrow z_{1}$. Thus, from (3.2), (3.4) and (3.5) we get

$$
\begin{align*}
T(r, W) & =N(r, W)+m(r, W)=N(r, W)+S(r, f)  \tag{3.6}\\
& \leq 5 N\left(r, \frac{1}{\beta}\right)+S(r, f) \\
& \leq 5 T(r, \beta)+S(r, f)=S(r, f)
\end{align*}
$$

We can rewrite (3.1) in the form

$$
W\left(\frac{\beta-f}{f}\right)=\left(\frac{(f / \beta)^{\prime}}{f}\right)^{2}\left(\frac{f^{\prime}}{f}-1\right)=\frac{1}{\beta^{2}}\left(\frac{f^{\prime}}{f}-\frac{\beta^{\prime}}{\beta}\right)^{2}\left(\frac{f^{\prime}}{f}-1\right)
$$

or

$$
\begin{align*}
G & =(g-1)(g-\lambda)^{2}+W \beta^{2}  \tag{3.7}\\
& =g^{3}-(2 \lambda+1) g^{2}+\lambda(\lambda+2) g+W \beta^{2}-\lambda^{2},
\end{align*}
$$

where $G=\frac{W \beta^{3}}{f}, g=\frac{f^{\prime}}{f}$ and $\lambda=\frac{\beta^{\prime}}{\beta}$. Note that $f$ is an entire function. So by (3.6), (3.7) and (3.3) we find that

$$
\begin{aligned}
T(r, f) & =T(r, G)+S(r, f) \leq 3 T(r, g)+S(r, f) \leq 3 \bar{N}\left(r, \frac{1}{f}\right)+S(r, f) \\
& \leq 3 \bar{N}_{(3}\left(r, \frac{1}{f}\right)+S(r, f) \leq N_{(3}\left(r, \frac{1}{f}\right)+S(r, f) \leq T(r, f)+S(r, f)
\end{aligned}
$$

so that $T(r, f)=3 T(r, g)+S(r, f)$. It follows that every $S(r, f)$ is also an $S(r, g)$ and vice versa. From now on we will write $S(r)$ for the common error term.

Since any zero of $G=\frac{W \beta^{3}}{f}$ can only occur at a zero of $W$ or a zero of $\beta$, we have

$$
\begin{align*}
\bar{N}\left(r, \frac{1}{G}\right) & \leq \bar{N}\left(r, \frac{1}{W}\right)+\bar{N}\left(r, \frac{1}{\beta}\right)  \tag{3.8}\\
& \leq T(r, W)+T(r, \beta)+O(1)=S(r) .
\end{align*}
$$

By Lemma 2.1, only three cases are possible.

Case 1. $G$ can be expressed as

$$
G=\left(g-\frac{2 \lambda+1}{3}\right)^{3}=g^{3}-(2 \lambda+1) g^{2}+3\left(\frac{2 \lambda+1}{3}\right)^{2} g-\left(\frac{2 \lambda+1}{3}\right)^{3}
$$

From this and (3.7), equating the coefficients of $g$ and $g^{0}$ terms, we obtain

$$
3\left(\frac{2 \lambda+1}{3}\right)^{2} \equiv \lambda(\lambda+2) \quad \text { and } \quad W \beta^{2}-\lambda^{2} \equiv-\left(\frac{2 \lambda+1}{3}\right)^{3}
$$

or, equivalently,

$$
(\lambda-1)^{2} \equiv 0 \quad \text { and } \quad W \beta^{2}-\lambda^{2} \equiv-\left(\frac{2 \lambda+1}{3}\right)^{3}
$$

That is $W \beta^{2} \equiv 0$. Since $\beta \not \equiv 0$, therefore $W \equiv 0$. Hence $f \equiv f^{\prime}$, a contradiction.

Case 2. There exists a nonzero small function $\alpha_{0}$ and an integer $\mu$ such that $2 \mu=3$ and

$$
G=\left(g^{2}-2\left(\frac{2 \lambda+1}{3}\right) g+\left(\frac{2 \lambda+1}{3}\right)^{2}+\alpha_{0}\right)^{\mu}
$$

In this case it is impossible to find an integer number $\mu$ such that $2 \mu=3$.
Case 3. There exists a nonzero small function $\alpha_{0}$, positive integers $\mu_{1}$ and $\mu_{2}$, and distinct complex numbers $\kappa_{1}$ and $\kappa_{2}$ such that $\mu_{1}+\mu_{2}=3$, $\mu_{1} \kappa_{1}+\mu_{2} \kappa_{2}=0$ and

$$
\begin{equation*}
G=\left(g-\frac{1}{3}(2 \lambda+1)-\kappa_{1} \alpha_{0}\right)^{\mu_{1}}\left(g-\frac{1}{3}(2 \lambda+1)-\kappa_{2} \alpha_{0}\right)^{\mu_{2}} . \tag{3.9}
\end{equation*}
$$

Without loss of generality we suppose $\mu_{1}=1$ and $\mu_{2}=2$. Thus $\kappa_{1}+2 \kappa_{2}=0$ and (3.9) becomes

$$
\begin{equation*}
G=\left(g-v_{1}\right)\left(g-v_{2}\right)^{2} \tag{3.10}
\end{equation*}
$$

where

$$
v_{1}=\frac{1}{3}(2 \lambda+1)+\kappa_{1} \alpha_{0} \quad \text { and } \quad v_{2}=\frac{1}{3}(2 \lambda+1)-\frac{1}{2} \kappa_{1} \alpha_{0} .
$$

Since $\kappa_{1} \neq 0$ and $\alpha_{0} \not \equiv 0$, therefore $v_{1} \not \equiv v_{2}$. If $v_{1} \equiv 0$, then $v_{2}=\frac{1}{2}(2 \lambda+1)$. From this, (3.10) and (3.7), equating the coefficient of $g$ and $g^{0}$ terms, we get

$$
\lambda \equiv \frac{1}{4} \quad \text { and } \quad W \beta^{2} \equiv \frac{1}{16} .
$$

Then (3.10) reads

$$
\begin{equation*}
\frac{\beta}{16 f}=g\left(g-\frac{3}{4}\right)^{2} \tag{3.11}
\end{equation*}
$$

Differentiating (3.11) and then using $\lambda \equiv \frac{1}{4}$, we find that

$$
\frac{\beta}{16 f}\left(g-\frac{1}{4}\right)=-3 g^{\prime}\left(g-\frac{3}{4}\right)\left(g-\frac{1}{4}\right),
$$

and eliminating $\frac{\beta}{16 f}$ between this and (3.11) leads to

$$
\begin{equation*}
g\left(g-\frac{3}{4}\right)^{2}\left(g-\frac{1}{4}\right)=-3 g^{\prime}\left(g-\frac{3}{4}\right)\left(g-\frac{1}{4}\right) \tag{3.12}
\end{equation*}
$$

If $\left(g-\frac{3}{4}\right)\left(g-\frac{1}{4}\right) \equiv 0$, then it is easy to see that $g$ is a constant. From this and (3.11), it follows that $T(r, f)=S(r, f)$, a contradiction. Therefore $\left(g-\frac{3}{4}\right)\left(g-\frac{1}{4}\right)$ $\not \equiv 0$ and (3.12) becomes $g^{\prime}=-\frac{1}{3} g\left(g-\frac{3}{4}\right)$. We can write this as $\frac{g^{\prime}}{g}-\frac{g^{\prime}}{g-\frac{3}{4}}=\frac{1}{4}$ and integrating both sides, we have $g(z)=\frac{3 / 4}{1-c e^{-(1 / 4) z}}$. Integrating again, we
obtain

$$
\begin{equation*}
f(z)=b\left(e^{(1 / 4) z}-c\right)^{3}, \tag{3.13}
\end{equation*}
$$

where $b$ and $c$ are nonzero constants. But, since $\frac{1}{4}=\lambda=\frac{\beta^{\prime}}{\beta}$, so $\beta(z)=d e^{(1 / 4) z}$, where $d$ is a nonzero constant. Substituting this into (3.13), we conclude that $T(r, f)=S(r, f)$. Again this is a contradiction.

If $v_{2} \equiv 0$, then similarly as the above discussion, we will arrive at the same contradiction. In the following we assume $v_{1} \not \equiv 0$ and $v_{2} \not \equiv 0$. By Lemma 2.2, (3.10) and (3.8) we see that

$$
\begin{align*}
T(r, g) & \leq \bar{N}\left(r, \frac{1}{g}\right)+\bar{N}\left(r, \frac{1}{g-v_{1}}\right)+\bar{N}\left(r, \frac{1}{g-v_{2}}\right)+S(r, g)  \tag{3.14}\\
& \leq \bar{N}\left(r, \frac{1}{g}\right)+2 \bar{N}\left(r, \frac{1}{G}\right)+S(r) \leq \bar{N}\left(r, \frac{1}{g}\right)+S(r)
\end{align*}
$$

We know from $g=\frac{f^{\prime}}{f}$ that the zeros of $g$ can only occur at the zeros of $f^{\prime}$ which are not zero of $f$. Consequently, from (3.3),

$$
\begin{aligned}
T(r, g) & \leq \bar{N}\left(r, \frac{1}{g}\right)+S(r) \leq \bar{N}_{(2}\left(r, \frac{1}{g}\right)+S(r) \\
& \leq \frac{1}{2} N\left(r, \frac{1}{g}\right)+S(r) \leq \frac{1}{2} T(r, g)+S(r)
\end{aligned}
$$

a contradiction, and the proof of Theorem 1.2 is complete.

### 3.2. Proof of Theorem $\mathbf{1 . 4}$

Consider the auxiliary function

$$
\begin{equation*}
h=\frac{\Delta^{2}(f)}{\left(f-\beta_{1}\right)\left(f-\beta_{2}\right)} \tag{3.15}
\end{equation*}
$$

From Lemma 2.3 we know that $\Delta(f) \not \equiv 0$ and

$$
\begin{equation*}
m(r, h) \leq m\left(r, \frac{\Delta(f)}{f-\beta_{1}}\right)+m\left(r, \frac{\Delta(f)}{f-\beta_{2}}\right)=S(r, f) \tag{3.16}
\end{equation*}
$$

From the hypotheses of Theorem 1.4 and Lemma 2.2 we deduce that

$$
\begin{aligned}
T(r, f) & \leq \sum_{j=1}^{2} \bar{N}\left(r, \frac{1}{f-\beta_{j}}\right)+\bar{N}(r, f)+S(r, f) \\
& =\sum_{j=1}^{2} \bar{N}_{(2}\left(r, \frac{1}{f-\beta_{j}}\right)+S(r, f) \\
& \leq \sum_{j=1}^{2} \frac{1}{2} N_{(2}\left(r, \frac{1}{f-\beta_{j}}\right)+S(r, f) \leq T(r, f)+S(r, f) .
\end{aligned}
$$

Therefore

$$
T(r, f)=\sum_{j=1}^{2} \bar{N}_{(2}\left(r, \frac{1}{f-\beta_{j}}\right)+S(r, f)=\frac{1}{2} \sum_{j=1}^{2} N_{(2}\left(r, \frac{1}{f-\beta_{j}}\right)+S(r, f) .
$$

This implies that

$$
\begin{equation*}
T(r, f)=2 \bar{N}_{=2}\left(r, \frac{1}{f-\beta_{1}}\right)+S(r, f)=2 \bar{N}_{=2}\left(r, \frac{1}{f-\beta_{2}}\right)+S(r, f) \tag{3.17}
\end{equation*}
$$

From Lemma 2.3, it is easy to see that

$$
\begin{equation*}
\Delta(f)=\left(\frac{f-\beta_{1}}{\beta_{2}-\beta_{1}}\right)^{\prime}\left(\beta_{2}-\beta_{1}\right)^{2}=\left(\frac{f-\beta_{2}}{\beta_{2}-\beta_{1}}\right)^{\prime}\left(\beta_{2}-\beta_{1}\right)^{2} \tag{3.18}
\end{equation*}
$$

By (3.17) and (3.18), if any zero of $f-\beta_{j}(j=1,2)$ has multiplicity two, then it must be a zero of $\Delta^{2}(f)$ with multiplicity two. Thus, from (3.15) we get $N(r, h)=S(r, f)$. Together with (3.16) we have

$$
\begin{equation*}
T(r, h)=S(r, f) \tag{3.19}
\end{equation*}
$$

We consider the transformation

$$
\begin{equation*}
F=\frac{f-\beta_{1}}{\beta_{2}-\beta_{1}} \tag{3.20}
\end{equation*}
$$

Substitution of expressions (3.20) and (3.18) into (3.15) now tells us that

$$
\frac{h}{\left(\beta_{2}-\beta_{1}\right)^{2}}=\frac{F^{\prime 2}}{F(F-1)}=\left(\frac{F^{\prime}}{F}\right)^{2}\left(\frac{F}{F-1}\right)
$$

or

$$
\begin{equation*}
H=L^{2}+\delta \tag{3.21}
\end{equation*}
$$

where $\delta=\frac{-h}{\left(\beta_{2}-\beta_{1}\right)^{2}}, H=\frac{\delta}{F}$ and $L=\frac{F^{\prime}}{F}$. Differentiating (3.21) once we obtain

$$
\begin{equation*}
H^{\prime}=2 L L^{\prime}+\delta^{\prime} . \tag{3.22}
\end{equation*}
$$

On the other hand differentiating $H=\frac{\delta}{F}$ we find that

$$
\begin{equation*}
H^{\prime}=\frac{\delta}{F}\left(\frac{\delta^{\prime}}{\delta}-L\right) \tag{3.23}
\end{equation*}
$$

and eliminating $H^{\prime}$ between (3.22) and (3.23) leads to

$$
\begin{equation*}
2 L L^{\prime}=-L^{3}+\frac{\delta^{\prime}}{\delta} L^{2}-\delta L \tag{3.24}
\end{equation*}
$$

If $L \equiv 0$, then $F$ is a constant and so $T(r, f)=S(r, f)$ a contradiction. Therefore $L \not \equiv 0$, and (3.24) becomes

$$
\begin{equation*}
2 L^{\prime}=-L^{2}+\frac{\delta^{\prime}}{\delta} L-\delta \tag{3.25}
\end{equation*}
$$

Let $z_{1}$ be a zero of $f-\beta_{1}$ with multiplicity two and $\beta_{i}\left(z_{1}\right) \neq 0, \infty \quad(i=1,2)$, $\left(\beta_{2}-\beta_{1}\right)\left(z_{1}\right) \neq 0, \infty$. Then the Taylor expansion of $F$ about $z_{1}$ is

$$
F(z)=a_{2}\left(z-z_{1}\right)^{2}+a_{3}\left(z-z_{1}\right)^{3}+a_{4}\left(z-z_{1}\right)^{4}+\cdots, \quad a_{2} \neq 0 .
$$

Hence

$$
\begin{gather*}
L=\frac{F^{\prime}}{F}=2\left(z-z_{1}\right)^{-1}+\frac{a_{3}}{a_{2}}+\left[2 \frac{a_{4}}{a_{2}}-\left(\frac{a_{3}}{a_{2}}\right)^{2}\right]\left(z-z_{1}\right)+O\left(\left(z-z_{1}\right)^{2}\right),  \tag{3.26}\\
L^{\prime}=-2\left(z-z_{1}\right)^{-2}+2 \frac{a_{4}}{a_{2}}-\left(\frac{a_{3}}{a_{2}}\right)^{2}+O\left(\left(z-z_{1}\right)\right) \tag{3.27}
\end{gather*}
$$

and

$$
\begin{equation*}
L^{2}=4\left(z-z_{1}\right)^{-2}+4 \frac{a_{3}}{a_{2}}\left(z-z_{1}\right)^{-1}+8 \frac{a_{4}}{a_{2}}-3\left(\frac{a_{3}}{a_{2}}\right)^{2}+O\left(\left(z-z_{1}\right)\right) . \tag{3.28}
\end{equation*}
$$

Substituting (3.26), (3.27) and (3.28) into (3.25) gives

$$
\begin{equation*}
\frac{a_{3}}{a_{2}}=\frac{\delta^{\prime}}{2 \delta} \quad \text { and } \quad \frac{a_{4}}{a_{2}}=\frac{7}{48}\left(\frac{\delta^{\prime}}{\delta}\right)^{2}-\frac{1}{12} \delta \tag{3.29}
\end{equation*}
$$

From (3.27) we find that

$$
\frac{L^{\prime \prime}}{L^{\prime}}=-2\left(z-z_{1}\right)^{-1}-\left[2 \frac{a_{4}}{a_{2}}-\left(\frac{a_{3}}{a_{2}}\right)^{2}\right]\left(z-z_{1}\right)+O\left(\left(z-z_{1}\right)^{2}\right)
$$

Hence

$$
\begin{equation*}
\left(\frac{L^{\prime \prime}}{L^{\prime}}\right)^{\prime}=2\left(z-z_{1}\right)^{-2}-\left[2 \frac{a_{4}}{a_{2}}-\left(\frac{a_{3}}{a_{2}}\right)^{2}\right]+O\left(\left(z-z_{1}\right)\right) \tag{3.30}
\end{equation*}
$$

and

$$
\begin{equation*}
\left(\frac{L^{\prime \prime}}{L^{\prime}}\right)^{2}=4\left(z-z_{1}\right)^{-2}+4\left[2 \frac{a_{4}}{a_{2}}-\left(\frac{a_{3}}{a_{2}}\right)^{2}\right]+O\left(\left(z-z_{1}\right)\right) \tag{3.31}
\end{equation*}
$$

We set

$$
\begin{equation*}
\eta=\left(\frac{L^{\prime \prime}}{L^{\prime}}\right)^{2}-2\left(\frac{L^{\prime \prime}}{L^{\prime}}\right)^{\prime} \tag{3.32}
\end{equation*}
$$

From (3.25) it is easy to see that $N\left(r, L^{\prime}\right)=2 N(r, L)+S(r, f)$. This implies that $N(r, L)=\bar{N}(r, L)+S(r, f)$. From this we conclude that

$$
\begin{equation*}
N_{(2}(r, L)=S(r, f) \tag{3.33}
\end{equation*}
$$

Substituting (3.30) and (3.31) into (3.32) and then using (3.29) we arrive at

$$
\eta=\frac{1}{4}\left(\frac{\delta^{\prime}}{\delta}\right)^{2}-\delta+O\left(\left(z-z_{1}\right)\right)
$$

If $\eta \not \equiv \frac{1}{4}\left(\frac{\delta^{\prime}}{\delta}\right)^{2}-\delta$, then

$$
\begin{aligned}
\bar{N}_{=2}\left(r, \frac{1}{f-\beta_{1}}\right) & \leq N\left(r, \frac{1}{\eta-\frac{1}{4}\left(\frac{\delta^{\prime}}{\delta}\right)^{2}+\delta}\right)+S(r, f) \\
& \leq T\left(r, \eta-\frac{1}{4}\left(\frac{\delta^{\prime}}{\delta}\right)^{2}+\delta\right)+S(r, f) \leq T(r, \eta)+S(r, f)
\end{aligned}
$$

Combining this with (3.33) and Lemma 2.4 yields

$$
\bar{N}_{=2}\left(r, \frac{1}{f-\beta_{1}}\right) \leq 2 \bar{N}\left(r, \frac{1}{L^{\prime}}\right)+S(r, f) .
$$

Together with (3.17) we have $T(r, f) \leq 4 \bar{N}\left(r, \frac{1}{L^{\prime}}\right)+S(r, f)$. This is (1.4). In
the following, we assume that

$$
\begin{equation*}
\eta \equiv \frac{1}{4}\left(\frac{\delta^{\prime}}{\delta}\right)^{2}-\delta \tag{3.34}
\end{equation*}
$$

On the other hand, differentiating (3.25) twice we obtain

$$
\begin{equation*}
2 L^{\prime \prime}=L\left[-2 L^{\prime}+\left(\frac{\delta^{\prime}}{\delta}\right)^{\prime}\right]+\frac{\delta^{\prime}}{\delta} L^{\prime}-\delta^{\prime} \tag{3.35}
\end{equation*}
$$

and

$$
\begin{equation*}
2 L^{\prime \prime \prime}=-2 L^{\prime 2}-L\left[2 L^{\prime \prime}-\left(\frac{\delta^{\prime}}{\delta}\right)^{\prime \prime}\right]+2\left(\frac{\delta^{\prime}}{\delta}\right)^{\prime} L^{\prime}+\frac{\delta^{\prime}}{\delta} L^{\prime \prime}-\delta^{\prime \prime} \tag{3.36}
\end{equation*}
$$

Suppose that $z_{2}$ is a zero of $f-\beta_{2}$ with multiplicity two and $\beta_{i}\left(z_{2}\right) \neq 0, \infty$ $(i=1,2),\left(\beta_{2}-\beta_{1}\right)\left(z_{2}\right) \neq 0, \infty$. Then $F(z)=1+O\left(\left(z-z_{2}\right)^{2}\right)$ and so $L\left(z_{2}\right)=0$. Thus we deduce from (3.25), (3.35), (3.36) and (3.32) that

$$
\eta=3\left(\frac{L^{\prime \prime}}{L^{\prime}}\right)^{2}-2 \frac{L^{\prime \prime \prime}}{L^{\prime}}=\frac{29}{4}\left(\frac{\delta^{\prime}}{\delta}\right)^{2}-4 \frac{\delta^{\prime \prime}}{\delta}-\delta+O\left(\left(z-z_{2}\right)\right)
$$

As in the above discussion, we find that either (1.4) holds or

$$
\begin{equation*}
\eta \equiv \frac{29}{4}\left(\frac{\delta^{\prime}}{\delta}\right)^{2}-4 \frac{\delta^{\prime \prime}}{\delta}-\delta \tag{3.37}
\end{equation*}
$$

If we now eliminate $\eta$ between (3.37) and (3.34) we arrive at

$$
\begin{equation*}
7 \frac{\delta^{\prime 2}}{\delta}=4 \delta^{\prime \prime} \tag{3.38}
\end{equation*}
$$

If $\delta^{\prime} \not \equiv 0$, then from (3.38) we have $7 \frac{\delta^{\prime}}{\delta}=4 \frac{\delta^{\prime \prime}}{\delta^{\prime}}$. By integrating once,

$$
\begin{equation*}
\delta^{7}=c \delta^{\prime 4} \tag{3.39}
\end{equation*}
$$

where $c$ is a nonzero constant. From this we conclude that

$$
\begin{equation*}
3 m(r, \delta)=m\left(r, c\left(\frac{\delta^{\prime}}{\delta}\right)^{4}\right)=4 m\left(r, \frac{\delta^{\prime}}{\delta}\right)+O(1)=4 S(r, \delta)+O(1)=S(r, \delta) \tag{3.40}
\end{equation*}
$$

It follows from (3.39) that if $z_{\infty}$ is a pole of $\delta$ with multiplicity $p(\geq 1)$, then $O\left(\left(z-z_{\infty}\right)^{-7 p}\right)=O\left(\left(z-z_{\infty}\right)^{-4(p+1)}\right)$. Hence $p=\frac{4}{3}$, which contradicts with $p$ being an integer. Therefore $N(r, \delta) \equiv 0$. Together with (3.40) we get $T(r, \delta)=$ $S(r, \delta)$ and this gives us $\delta$ is a nonzero constant. That is $\delta^{\prime} \equiv 0$. This and (3.25) imply that $\frac{L^{\prime}}{L-c}-\frac{L^{\prime}}{L+c}=-c$, where $c=i \sqrt{\delta}$. By integration, we obtain $L=\frac{-c e^{c z}}{B-e^{c z}}+\frac{c B e^{-c z}}{1-B e^{-c z}}$. Since $L=\frac{F^{\prime}}{F}$, it follows from the integration that $F(z)=A e^{-c z}\left(1-B e^{c z}\right)^{2}$, where $A$ and $B$ are nonzero constants. From this and (3.20) we have

$$
\begin{equation*}
f(z)-\beta_{1}(z)=A\left(\beta_{2}(z)-\beta_{1}(z)\right) e^{-c z}\left(1-B e^{c z}\right)^{2} \tag{3.41}
\end{equation*}
$$

We rewrite this in the form

$$
\begin{equation*}
f(z)-\beta_{2}(z)=A\left(\beta_{2}(z)-\beta_{1}(z)\right) e^{-c z}\left[1-\left(2 B+\frac{1}{A}\right) e^{c z}+B^{2} e^{2 c z}\right] \tag{3.42}
\end{equation*}
$$

which, in view of (3.17), leads to $A=\frac{-1}{4 B}$. Substituting this into (3.41) and (3.42) we arrive at (1.2) and (1.3) respectively. This completes the proof of Theorem 1.4.

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Amer H. H. Al-Khaladi
Department of Mathematics
College of Basic Education
Tikrit University
P. O. Box 42, Tikrit

IraQ
E-mail: ameralkhaladi@yahoo.com

