# ENTIRE FUNCTIONS AND THEIR FIRST DERIVATIVES SHARING SIMPLE $\beta$ -POINTS FOR A SMALL FUNCTION $\beta$

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#### Abstract

The following theorem has been proved by A. Schweizer [7]. If a nonconstant entire function f and its derivative f' share their simple zeros and if every simple *a*point of f is a (not necessarily simple) *a*-point of f' for some nonzero constant *a*, then  $f \equiv f'$ . In this paper we shall prove that the above result is also true when the nonzero constant *a* is replaced by a meromorphic small function  $\beta (\not\equiv 0, \infty)$ .

## 1. Introduction and results

In this paper, we use the same notation as given in Nevanlinna theory of meromorphic functions ([2, 8]). For a meromorphic function h, let T(r,h) denote the Nevanlinna characteristic of h and let S(r,h) be any quantity satisfying  $S(r,h) = o\{T(r,h)\}$  as  $r \to \infty$ , except possibly on a set of finite linear measure. Then a meromorphic function  $\beta$  is called a small function of h if and only if T(r,h) = S(r,h). Let f and g be two nonconstant entire functions. For  $a \in \mathbb{C}$  we say that f and g share the value a CM (counting multiplicities) if the a-points of f and g coincide in locations and multiplicities. If we do not consider the multiplicities, we say that f and g share the value a IM (ignoring multiplicities). Let k be a positive integer and always keeping in mind that the  $\beta$ -points of f are the zeros of  $f - \beta$  and their multiplicity is the multiplicity of that zero. We denote by  $N_{k}\left(r, \frac{1}{f-\beta}\right)$  the counting function of  $\beta$ -points of f with multiplicity > k and by  $N_{=k}\left(r, \frac{1}{f-\beta}\right)$  counting function of  $\beta$ -points of f which have the multiplicity k. In the same way we define  $\overline{N}_k$   $\left(r, \frac{1}{f-\beta}\right)$ ,

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 $\overline{N}_{(k+1}\left(r,\frac{1}{f-\beta}\right)$  and  $\overline{N}_{=k}\left(r,\frac{1}{f-\beta}\right)$  where in counting the  $\beta$ -points of f we ignore the multiplicities ([8]). Finally we denote by  $E(\beta, f)$  the set of  $\beta$ -points of f (counting multiplicities), by  $\overline{E}(\beta, f)$  the set of  $\beta$ -points of f (ignoring multiplicities) and by  $E_{1}(\beta, f)$  the set of  $\beta$ -points of f with multiplicity one. Thus we say that f and g share  $\beta$  CM,  $\beta$  IM,  $\beta$  simple if  $E(\beta, f) = E(\beta, g)$ ,  $\overline{E}(\beta, f) = \overline{E}(\beta, g)$  and  $E_{1}(\beta, f) = E_{1}(\beta, g)$  respectively.

On the problems of uniqueness of an entire function and its derivative that share some values. Rubel-Yang ([6]) proved that if the entire function f and f'share two distinct finite values CM then  $f \equiv f'$ . Mues-Steinmetz ([3]) improved this result to the case when f and f' share two distinct finite values IM. Zheng-Wang ([9]) generalized this result to f and f' which share two small functions CM. In 2000 Qiu ([5]) improved this result to the case when f and f' share two small functions IM. Recently, Schweizer [7] proved the following theorem:

**THEOREM 1.1.** Let f be a nonconstant entire function and let a be a nonzero constant. If f and f' share their simple zeros and if every simple a-point of f is a (not necessarily simple) a-point of f', then  $f \equiv f'$ .

It is natural to ask whether the "nonzero constant *a*" of Theorem 1.1 can be replaced by "small function  $\beta \neq 0, \infty$ "? In this paper, we will give a positive answer to this question. Indeed, we shall prove the following:

THEOREM 1.2. Let f be a nonconstant entire function and let  $\beta$  be a small meromorphic function of f such that  $\beta(z) \neq 0, \infty$ . If f and f' share their simple zeros and if every simple  $\beta$ -point of f is a (not necessarily simple)  $\beta$ -point of f', then  $f \equiv f'$ .

It is obvious that Theorem 1.2 is a generalization of Theorem 1.1. From Theorem 1.2, we directly conclude the following corollary:

COROLLARY 1.3. Let f be a nonconstant entire function and let  $\beta$  be a small meromorphic function of f such that  $\beta(z) \neq 0, \infty$ . If  $E_{1}(0, f) = E_{1}(0, f')$  and  $E_{1}(\beta, f) = E_{1}(\beta, f')$ , then  $f \equiv f'$ .

A. Schweizer [7] also gave the following example:

Example 1. Let

(1.1) 
$$f(z) = \frac{a}{2}(\sin(2z) + 1), \quad 0 \neq a \in \mathbb{C}$$

then  $f'(z) = a \cos(2z)$ . All *a*-points of *f* and of *f'* and all zeros of *f* have multiplicity 2. Thus the condition that *f* and *f'* share their simple *a*-points and that if every simple zero of *f* is a simple zero of *f'* does not imply  $f \equiv f'$ .

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Note that from (1.1) we see that

$$L = \frac{\left(\frac{f-a}{0-a}\right)'}{\frac{f-a}{0-a}} = \frac{f'}{f-a} = \frac{2\cos(2z)}{\sin(2z)-1}.$$

Hence  $L' = \frac{4}{\sin(2z) - 1}$ , and so  $\overline{N}\left(r, \frac{1}{L'}\right) = S(r, f)$ . In the present paper, we shall prove the following theorem which includes Example 1 as a special case:

THEOREM 1.4. Let f be a nonconstant entire function and let  $\beta_1$  and  $\beta_2$  be two distinct small meromorphic functions of f. If all zeros of  $f - \beta_1$  and  $f - \beta_2$ have multiplicities greater than 1, then either

(1.2) 
$$f(z) - \beta_1(z) = \frac{-1}{4A} (\beta_2(z) - \beta_1(z)) e^{-cz} (1 - Ae^{cz})^2$$

and

(1.3) 
$$f(z) - \beta_2(z) = \frac{-1}{4A} (\beta_2(z) - \beta_1(z)) e^{-cz} (1 + Ae^{cz})^2$$

or

(1.4) 
$$T(r,f) \le 4\overline{N}\left(r,\frac{1}{L'}\right) + S(r,f),$$

where A, c are nonzero constants,  $L = \frac{F'}{F}$  and  $F = \frac{f - \beta_1}{\beta_2 - \beta_1}$ .

From Theorem 1.4, we immediately deduce the following corollary:

**COROLLARY 1.5.** Let f be a nonconstant entire function, and let  $\beta_1$  and  $\beta_2$  be two distinct small meromorphic functions of f satisfying  $\overline{N}\left(r,\frac{1}{L'}\right) = S(r,f)$  where  $L = \frac{F'}{F}$  and  $F = \frac{f - \beta_1}{\beta_2 - \beta_1}$ . If all zeros of  $f - \beta_1$  and  $f - \beta_2$  have multiplicities greater than 1, then (1.2) and (1.3) hold.

Remarks.

1. If  $\beta_1 \equiv a$ ,  $\beta_2 \equiv 0$ , c = 2i and A = -i, then (1.3) becomes (1.1). 2. From (1.2) or (1.3) it is easy to see that  $L'(z) = \frac{-2Ac^2e^{cz}}{(1 - Ae^{cz})^2}$ .  $\overline{N}\left(r, \frac{1}{L'}\right) = S(r, f)$ . Hence 3. The following example shows that the condition  $\overline{N}\left(r,\frac{1}{L'}\right) = S(r,f)$  in Corollary 1.5 cannot be removed.

*Example* 2. Let  $\alpha(z)$  be any nonconstant entire function, A be any nonzero constant and

$$f(z) - \beta_1(z) = \frac{-1}{4A} (\beta_2(z) - \beta_1(z)) e^{-\int_0^z \alpha(t) \, dt} (1 - A e^{\int_0^z \alpha(t) \, dt})^2.$$

Then it is easy to see that all zeros of  $f - \beta_1$  and  $f - \beta_2$  have multiplicities 2 and

$$L(z) = -2A\alpha \left(\frac{1}{2A} + \frac{e^{\int_0^z \alpha(t) dt}}{1 - Ae^{\int_0^z \alpha(t) dt}}\right)$$

Hence

$$L'(z) = \frac{-\alpha' \left[ 1 + \frac{\alpha^4}{\alpha'^2} - \left( Ae^{\int_0^z \alpha(t) dt} - \frac{\alpha^2}{\alpha'} \right)^2 \right]}{\left( 1 - Ae^{\int_0^z \alpha(t) dt} \right)^2}.$$

From this we deduce that  $\overline{N}\left(r,\frac{1}{L'}\right) \neq S(r,f)$  but the conclusion of Corollary 1.5 is not valid.

#### 2. Some lemmas

For the proof of our theorems we need the following lemmas:

LEMMA 2.1 [4]. Let f be a nonconstant meromorphic function and  $\Psi = a_n f^n + a_{n-1} f^{n-1} + \dots + a_1 f + a_0$ , where  $a_n \neq 0$ ,  $a_{n-1}, \dots, a_1, a_0$  are meromorphic small functions of f. If  $\overline{N}\left(r, \frac{1}{\Psi}\right) = S(r, f)$ , then three cases are possible

(i) 
$$\Psi = a_n \left( f + \frac{a_{n-1}}{na_n} \right);$$

(ii) There exist a meromorphic small function  $\alpha_0 \neq 0$  and an integer  $\mu$  such that  $n = 2\mu$  and

$$\Psi = a_n \left( f^2 + 2 \frac{a_{n-1}}{na_n} f + \left( \frac{a_{n-1}}{na_n} \right)^2 + \alpha_0 \right)^{\mu};$$

(iii) There exist a meromorphic small function  $\alpha_0 \neq 0$ , positive integers  $\mu_1$  and  $\mu_2$ , and distinct complex numbers  $\lambda_1$  and  $\lambda_2$  such that  $\mu_1 + \mu_2 = n$ ,  $\mu_1\lambda_1 + \mu_2\lambda_2 = 0$ , and

$$\Psi = a_n \left( f + \frac{a_{n-1}}{na_n} - \lambda_1 \alpha_0 \right)^{\mu_1} \left( f + \frac{a_{n-1}}{na_n} - \lambda_2 \alpha_0 \right)^{\mu_2}.$$

LEMMA 2.2 [2, P. 47]. Let f be a nonconstant meromorphic function, and  $a_1$ ,  $a_2$ ,  $a_3$  be distinct small functions of f. Then

$$T(r,f) \le \sum_{j=1}^{3} \overline{N}\left(\frac{1}{f-a_{j}}\right) + S(r,f).$$

LEMMA 2.3 [5]. Let f be a nonconstant entire function,  $\alpha_1$  and  $\alpha_2$  be two distinct small functions of f with  $\alpha_1 \neq \infty$  and  $\alpha_2 \neq \infty$ . Set

$$\Delta(f) = \begin{vmatrix} f - \alpha_1 & \alpha_1 - \alpha_2 \\ f' - \alpha'_1 & \alpha'_1 - \alpha'_2 \end{vmatrix} = \begin{vmatrix} f - \alpha_2 & \alpha_1 - \alpha_2 \\ f' - \alpha'_2 & \alpha'_1 - \alpha'_2 \end{vmatrix}$$

Then

$$\Delta(f) \neq 0$$
 and  $m\left(r, \frac{\Delta(f)}{f - \alpha_i}\right) = S(r, f)$   $(i = 1, 2)$ 

LEMMA 2.4 [1]. Let f' be a nonconstant meromorphic function and let

$$\Gamma = \left(\frac{f''}{f'}\right)^2 - 2\left(\frac{f''}{f'}\right)'.$$

Then

$$T(r,\Gamma) \le 2\overline{N}\left(r,\frac{1}{f'}\right) + 2\overline{N}_{(2}(r,f) + S(r,f').$$

# 3. Proof of Theorems

### 3.1. Proof of Theorem 1.2

The proof here is by contradiction. Assume that  $f \neq f'$ . Set

(3.1) 
$$W = \frac{[(f/\beta)']^2 (f - f')}{f^2 (f - \beta)}$$

From Nevanlinna's fundamental estimate of the logarithmic derivative we obtain

$$(3.2) \quad m(r,W) \le m\left(r,\frac{1}{\beta},\frac{(f/\beta)'}{(f/\beta)}\right) + m\left(r,\frac{1}{\beta},\frac{(f/\beta)'}{((f/\beta)-1)}\right) + m\left(r,1-\frac{f'}{f}\right) + O(1)$$
$$\le 2m\left(r,\frac{1}{\beta}\right) + S(r,f) = S(r,f).$$

Since f and f' share their simple zeros, every zero of f has multiplicity at least 3 and f' has no simple zeros. That is

(3.3) 
$$N\left(r,\frac{1}{f}\right) = N_{(3}\left(r,\frac{1}{f}\right) \text{ and } N\left(r,\frac{1}{f'}\right) = N_{(2}\left(r,\frac{1}{f'}\right).$$

Further it follows from (3.1) that if  $z_0$  is a zero of f with multiplicity  $p \ge 3$  and  $\beta(z_0) \ne 0, \infty$ , then

(3.4) 
$$W(z) = O((z - z_0)^{p-3}), \quad as \ z \to z_0.$$

Since every simple zero of  $f - \beta$  is a zero of  $f' - \beta$ , we can also conclude from (3.1) that if  $z_1$  is a zero of  $f - \beta$  with multiplicity  $q \ge 1$  and  $\beta(z_1) \ne 0, \infty$ ,

(3.5) 
$$W(z) = \begin{cases} O(1) & \text{if } q = 1\\ O((z - z_1)^{q-2}) & \text{if } q \ge 2 \end{cases}$$

as  $z \rightarrow z_1$ . Thus, from (3.2), (3.4) and (3.5) we get

$$(3.6) T(r, W) = N(r, W) + m(r, W) = N(r, W) + S(r, f)$$

$$\leq 5N\left(r, \frac{1}{\beta}\right) + S(r, f)$$

$$\leq 5T(r, \beta) + S(r, f) = S(r, f).$$

We can rewrite (3.1) in the form

$$W\left(\frac{\beta-f}{f}\right) = \left(\frac{(f/\beta)'}{f}\right)^2 \left(\frac{f'}{f} - 1\right) = \frac{1}{\beta^2} \left(\frac{f'}{f} - \frac{\beta'}{\beta}\right)^2 \left(\frac{f'}{f} - 1\right),$$

or

(3.7) 
$$G = (g-1)(g-\lambda)^2 + W\beta^2$$
$$= g^3 - (2\lambda+1)g^2 + \lambda(\lambda+2)g + W\beta^2 - \lambda^2,$$

where  $G = \frac{W\beta^3}{f}$ ,  $g = \frac{f'}{f}$  and  $\lambda = \frac{\beta'}{\beta}$ . Note that f is an entire function. So by (3.6), (3.7) and (3.3) we find that

$$T(r,f) = T(r,G) + S(r,f) \le 3T(r,g) + S(r,f) \le 3\overline{N}\left(r,\frac{1}{f}\right) + S(r,f)$$
$$\le 3\overline{N}_{(3}\left(r,\frac{1}{f}\right) + S(r,f) \le N_{(3}\left(r,\frac{1}{f}\right) + S(r,f) \le T(r,f) + S(r,f),$$

so that T(r, f) = 3T(r, g) + S(r, f). It follows that every S(r, f) is also an S(r, g) and vice versa. From now on we will write S(r) for the common error term.

Since any zero of  $G = \frac{W\beta^3}{f}$  can only occur at a zero of W or a zero of  $\beta$ , we have

(3.8) 
$$\overline{N}\left(r,\frac{1}{G}\right) \le \overline{N}\left(r,\frac{1}{W}\right) + \overline{N}\left(r,\frac{1}{\beta}\right)$$
$$\le T(r,W) + T(r,\beta) + O(1) = S(r).$$

By Lemma 2.1, only three cases are possible.

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CASE 1. G can be expressed as

$$G = \left(g - \frac{2\lambda + 1}{3}\right)^3 = g^3 - (2\lambda + 1)g^2 + 3\left(\frac{2\lambda + 1}{3}\right)^2 g - \left(\frac{2\lambda + 1}{3}\right)^3.$$

From this and (3.7), equating the coefficients of g and  $g^0$  terms, we obtain

$$3\left(\frac{2\lambda+1}{3}\right)^2 \equiv \lambda(\lambda+2)$$
 and  $W\beta^2 - \lambda^2 \equiv -\left(\frac{2\lambda+1}{3}\right)^3$ ,

or, equivalently,

$$(\lambda - 1)^2 \equiv 0$$
 and  $W\beta^2 - \lambda^2 \equiv -\left(\frac{2\lambda + 1}{3}\right)^3$ .

That is  $W\beta^2 \equiv 0$ . Since  $\beta \neq 0$ , therefore  $W \equiv 0$ . Hence  $f \equiv f'$ , a contradiction.

CASE 2. There exists a nonzero small function  $\alpha_0$  and an integer  $\mu$  such that  $2\mu = 3$  and

$$G = \left(g^2 - 2\left(\frac{2\lambda+1}{3}\right)g + \left(\frac{2\lambda+1}{3}\right)^2 + \alpha_0\right)^{\mu}.$$

In this case it is impossible to find an integer number  $\mu$  such that  $2\mu = 3$ .

CASE 3. There exists a nonzero small function  $\alpha_0$ , positive integers  $\mu_1$  and  $\mu_2$ , and distinct complex numbers  $\kappa_1$  and  $\kappa_2$  such that  $\mu_1 + \mu_2 = 3$ ,  $\mu_1 \kappa_1 + \mu_2 \kappa_2 = 0$  and

(3.9) 
$$G = \left(g - \frac{1}{3}(2\lambda + 1) - \kappa_1 \alpha_0\right)^{\mu_1} \left(g - \frac{1}{3}(2\lambda + 1) - \kappa_2 \alpha_0\right)^{\mu_2}.$$

Without loss of generality we suppose  $\mu_1 = 1$  and  $\mu_2 = 2$ . Thus  $\kappa_1 + 2\kappa_2 = 0$  and (3.9) becomes

(3.10) 
$$G = (g - v_1)(g - v_2)^2,$$

where

$$v_1 = \frac{1}{3}(2\lambda + 1) + \kappa_1 \alpha_0$$
 and  $v_2 = \frac{1}{3}(2\lambda + 1) - \frac{1}{2}\kappa_1 \alpha_0$ .

Since  $\kappa_1 \neq 0$  and  $\alpha_0 \neq 0$ , therefore  $v_1 \neq v_2$ . If  $v_1 \equiv 0$ , then  $v_2 = \frac{1}{2}(2\lambda + 1)$ . From this, (3.10) and (3.7), equating the coefficient of g and  $g^0$  terms, we get

$$\lambda \equiv \frac{1}{4}$$
 and  $W\beta^2 \equiv \frac{1}{16}$ .

Then (3.10) reads

(3.11) 
$$\frac{\beta}{16f} = g\left(g - \frac{3}{4}\right)^2.$$

Differentiating (3.11) and then using  $\lambda \equiv \frac{1}{4}$ , we find that

$$\frac{\beta}{16f}\left(g-\frac{1}{4}\right) = -3g'\left(g-\frac{3}{4}\right)\left(g-\frac{1}{4}\right),$$

and eliminating  $\frac{\beta}{16f}$  between this and (3.11) leads to

(3.12) 
$$g\left(g-\frac{3}{4}\right)^2\left(g-\frac{1}{4}\right) = -3g'\left(g-\frac{3}{4}\right)\left(g-\frac{1}{4}\right)$$

If  $(g - \frac{3}{4})(g - \frac{1}{4}) \equiv 0$ , then it is easy to see that g is a constant. From this and (3.11), it follows that T(r, f) = S(r, f), a contradiction. Therefore  $(g - \frac{3}{4})(g - \frac{1}{4}) \neq 0$  and (3.12) becomes  $g' = -\frac{1}{3}g(g - \frac{3}{4})$ . We can write this as  $\frac{g'}{g} - \frac{g'}{g - \frac{3}{4}} = \frac{1}{4}$  and integrating both sides, we have  $g(z) = \frac{3/4}{1 - ce^{-(1/4)z}}$ . Integrating again, we obtain

(3.13) 
$$f(z) = b(e^{(1/4)z} - c)^3,$$

where b and c are nonzero constants. But, since  $\frac{1}{4} = \lambda = \frac{\beta'}{\beta}$ , so  $\beta(z) = de^{(1/4)z}$ , where d is a nonzero constant. Substituting this into (3.13), we conclude that T(r, f) = S(r, f). Again this is a contradiction.

If  $v_2 \equiv 0$ , then similarly as the above discussion, we will arrive at the same contradiction. In the following we assume  $v_1 \neq 0$  and  $v_2 \neq 0$ . By Lemma 2.2, (3.10) and (3.8) we see that

$$(3.14) T(r,g) \le \overline{N}\left(r,\frac{1}{g}\right) + \overline{N}\left(r,\frac{1}{g-v_1}\right) + \overline{N}\left(r,\frac{1}{g-v_2}\right) + S(r,g)$$
$$\le \overline{N}\left(r,\frac{1}{g}\right) + 2\overline{N}\left(r,\frac{1}{G}\right) + S(r) \le \overline{N}\left(r,\frac{1}{g}\right) + S(r).$$

We know from  $g = \frac{f'}{f}$  that the zeros of g can only occur at the zeros of f' which are not zero of f. Consequently, from (3.3),

$$T(r,g) \le \overline{N}\left(r,\frac{1}{g}\right) + S(r) \le \overline{N}_{(2}\left(r,\frac{1}{g}\right) + S(r)$$
$$\le \frac{1}{2}N\left(r,\frac{1}{g}\right) + S(r) \le \frac{1}{2}T(r,g) + S(r)$$

a contradiction, and the proof of Theorem 1.2 is complete.

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# 3.2. Proof of Theorem 1.4

Consider the auxiliary function

(3.15) 
$$h = \frac{\Delta^2(f)}{(f - \beta_1)(f - \beta_2)}.$$

From Lemma 2.3 we know that  $\Delta(f) \neq 0$  and

(3.16) 
$$m(r,h) \le m\left(r,\frac{\Delta(f)}{f-\beta_1}\right) + m\left(r,\frac{\Delta(f)}{f-\beta_2}\right) = S(r,f).$$

From the hypotheses of Theorem 1.4 and Lemma 2.2 we deduce that

$$\begin{split} T(r,f) &\leq \sum_{j=1}^{2} \overline{N} \left( r, \frac{1}{f - \beta_j} \right) + \overline{N}(r,f) + S(r,f) \\ &= \sum_{j=1}^{2} \overline{N}_{(2} \left( r, \frac{1}{f - \beta_j} \right) + S(r,f) \\ &\leq \sum_{j=1}^{2} \frac{1}{2} N_{(2} \left( r, \frac{1}{f - \beta_j} \right) + S(r,f) \leq T(r,f) + S(r,f) \end{split}$$

Therefore

$$T(r,f) = \sum_{j=1}^{2} \overline{N}_{(2}\left(r,\frac{1}{f-\beta_{j}}\right) + S(r,f) = \frac{1}{2}\sum_{j=1}^{2} N_{(2}\left(r,\frac{1}{f-\beta_{j}}\right) + S(r,f).$$

This implies that

(3.17) 
$$T(r,f) = 2\overline{N}_{=2}\left(r,\frac{1}{f-\beta_1}\right) + S(r,f) = 2\overline{N}_{=2}\left(r,\frac{1}{f-\beta_2}\right) + S(r,f).$$

From Lemma 2.3, it is easy to see that

(3.18) 
$$\Delta(f) = \left(\frac{f - \beta_1}{\beta_2 - \beta_1}\right)' (\beta_2 - \beta_1)^2 = \left(\frac{f - \beta_2}{\beta_2 - \beta_1}\right)' (\beta_2 - \beta_1)^2.$$

By (3.17) and (3.18), if any zero of  $f - \beta_j$  (j = 1, 2) has multiplicity two, then it must be a zero of  $\Delta^2(f)$  with multiplicity two. Thus, from (3.15) we get N(r, h) = S(r, f). Together with (3.16) we have

(3.19) 
$$T(r,h) = S(r,f).$$

We consider the transformation

(3.20) 
$$F = \frac{f - \beta_1}{\beta_2 - \beta_1}.$$

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Substitution of expressions (3.20) and (3.18) into (3.15) now tells us that

$$\frac{h}{(\beta_2 - \beta_1)^2} = \frac{F'^2}{F(F - 1)} = \left(\frac{F'}{F}\right)^2 \left(\frac{F}{F - 1}\right)$$

or

$$(3.21) H = L^2 + \delta,$$

where  $\delta = \frac{-h}{(\beta_2 - \beta_1)^2}$ ,  $H = \frac{\delta}{F}$  and  $L = \frac{F'}{F}$ . Differentiating (3.21) once we obtain (3.22)  $H' = 2LL' + \delta'$ .

On the other hand differentiating  $H = \frac{\delta}{F}$  we find that

(3.23) 
$$H' = \frac{\delta}{F} \left( \frac{\delta'}{\delta} - L \right);$$

and eliminating H' between (3.22) and (3.23) leads to

(3.24) 
$$2LL' = -L^3 + \frac{\delta'}{\delta}L^2 - \delta L.$$

If  $L \equiv 0$ , then F is a constant and so T(r, f) = S(r, f) a contradiction. Therefore  $L \not\equiv 0$ , and (3.24) becomes

(3.25) 
$$2L' = -L^2 + \frac{\delta'}{\delta}L - \delta.$$

Let  $z_1$  be a zero of  $f - \beta_1$  with multiplicity two and  $\beta_i(z_1) \neq 0, \infty$   $(i = 1, 2), (\beta_2 - \beta_1)(z_1) \neq 0, \infty$ . Then the Taylor expansion of F about  $z_1$  is

$$F(z) = a_2(z-z_1)^2 + a_3(z-z_1)^3 + a_4(z-z_1)^4 + \cdots, \quad a_2 \neq 0.$$

Hence

(3.26) 
$$L = \frac{F'}{F} = 2(z - z_1)^{-1} + \frac{a_3}{a_2} + \left[2\frac{a_4}{a_2} - \left(\frac{a_3}{a_2}\right)^2\right](z - z_1) + O((z - z_1)^2),$$

(3.27) 
$$L' = -2(z-z_1)^{-2} + 2\frac{a_4}{a_2} - \left(\frac{a_3}{a_2}\right)^2 + O((z-z_1))$$

and

(3.28) 
$$L^2 = 4(z-z_1)^{-2} + 4\frac{a_3}{a_2}(z-z_1)^{-1} + 8\frac{a_4}{a_2} - 3\left(\frac{a_3}{a_2}\right)^2 + O((z-z_1)).$$

Substituting (3.26), (3.27) and (3.28) into (3.25) gives

(3.29) 
$$\frac{a_3}{a_2} = \frac{\delta'}{2\delta} \quad \text{and} \quad \frac{a_4}{a_2} = \frac{7}{48} \left(\frac{\delta'}{\delta}\right)^2 - \frac{1}{12}\delta.$$

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$$\frac{L''}{L'} = -2(z-z_1)^{-1} - \left[2\frac{a_4}{a_2} - \left(\frac{a_3}{a_2}\right)^2\right](z-z_1) + O((z-z_1)^2).$$

Hence

(3.30) 
$$\left(\frac{L''}{L'}\right)' = 2(z-z_1)^{-2} - \left[2\frac{a_4}{a_2} - \left(\frac{a_3}{a_2}\right)^2\right] + O((z-z_1))$$

and

(3.31) 
$$\left(\frac{L''}{L'}\right)^2 = 4(z-z_1)^{-2} + 4\left[2\frac{a_4}{a_2} - \left(\frac{a_3}{a_2}\right)^2\right] + O((z-z_1)).$$

We set

(3.32) 
$$\eta = \left(\frac{L''}{L'}\right)^2 - 2\left(\frac{L''}{L'}\right)'.$$

From (3.25) it is easy to see that N(r,L') = 2N(r,L) + S(r,f). This implies that  $N(r,L) = \overline{N}(r,L) + S(r,f)$ . From this we conclude that

(3.33) 
$$N_{(2}(r,L) = S(r,f).$$

Substituting (3.30) and (3.31) into (3.32) and then using (3.29) we arrive at

$$\eta = \frac{1}{4} \left(\frac{\delta'}{\delta}\right)^2 - \delta + O((z - z_1)).$$
  
If  $\eta \neq \frac{1}{4} \left(\frac{\delta'}{\delta}\right)^2 - \delta$ , then  
$$\overline{N}_{=2} \left(r, \frac{1}{f - \beta_1}\right) \le N \left(r, \frac{1}{\eta - \frac{1}{4} \left(\frac{\delta'}{\delta}\right)^2 + \delta}\right) + S(r, f).$$
$$\le T \left(r, \eta - \frac{1}{4} \left(\frac{\delta'}{\delta}\right)^2 + \delta\right) + S(r, f) \le T(r, \eta) + S(r, f)$$

Combining this with (3.33) and Lemma 2.4 yields

$$\overline{N}_{=2}\left(r,\frac{1}{f-\beta_1}\right) \le 2\overline{N}\left(r,\frac{1}{L'}\right) + S(r,f).$$

Together with (3.17) we have  $T(r, f) \le 4\overline{N}\left(r, \frac{1}{L'}\right) + S(r, f)$ . This is (1.4). In the following, we assume that

(3.34) 
$$\eta \equiv \frac{1}{4} \left(\frac{\delta'}{\delta}\right)^2 - \delta.$$

On the other hand, differentiating (3.25) twice we obtain

(3.35) 
$$2L'' = L\left[-2L' + \left(\frac{\delta'}{\delta}\right)'\right] + \frac{\delta'}{\delta}L' - \delta$$

and

(3.36) 
$$2L''' = -2L'^2 - L\left[2L'' - \left(\frac{\delta'}{\delta}\right)''\right] + 2\left(\frac{\delta'}{\delta}\right)'L' + \frac{\delta'}{\delta}L'' - \delta''.$$

Suppose that  $z_2$  is a zero of  $f - \beta_2$  with multiplicity two and  $\beta_i(z_2) \neq 0, \infty$  $(i = 1, 2), (\beta_2 - \beta_1)(z_2) \neq 0, \infty$ . Then  $F(z) = 1 + O((z - z_2)^2)$  and so  $L(z_2) = 0$ . Thus we deduce from (3.25), (3.35), (3.36) and (3.32) that

$$\eta = 3\left(\frac{L''}{L'}\right)^2 - 2\frac{L'''}{L'} = \frac{29}{4}\left(\frac{\delta'}{\delta}\right)^2 - 4\frac{\delta''}{\delta} - \delta + O((z-z_2)).$$

As in the above discussion, we find that either (1.4) holds or

(3.37) 
$$\eta \equiv \frac{29}{4} \left(\frac{\delta'}{\delta}\right)^2 - 4 \frac{\delta''}{\delta} - \delta.$$

If we now eliminate  $\eta$  between (3.37) and (3.34) we arrive at

If  $\delta' \neq 0$ , then from (3.38) we have  $7\frac{\delta'}{\delta} = 4\frac{\delta''}{\delta'}$ . By integrating once,

$$\delta^7 = c \delta'^4.$$

where c is a nonzero constant. From this we conclude that

(3.40) 
$$3m(r,\delta) = m\left(r,c\left(\frac{\delta'}{\delta}\right)^4\right) = 4m\left(r,\frac{\delta'}{\delta}\right) + O(1) = 4S(r,\delta) + O(1) = S(r,\delta).$$

It follows from (3.39) that if  $z_{\infty}$  is a pole of  $\delta$  with multiplicity  $p (\geq 1)$ , then  $O((z-z_{\infty})^{-7p}) = O((z-z_{\infty})^{-4(p+1)})$ . Hence  $p = \frac{4}{3}$ , which contradicts with p being an integer. Therefore  $N(r,\delta) \equiv 0$ . Together with (3.40) we get  $T(r,\delta) = S(r,\delta)$  and this gives us  $\delta$  is a nonzero constant. That is  $\delta' \equiv 0$ . This and (3.25) imply that  $\frac{L'}{L-c} - \frac{L'}{L+c} = -c$ , where  $c = i\sqrt{\delta}$ . By integration, we obtain  $L = \frac{-ce^{cz}}{B-e^{cz}} + \frac{cBe^{-cz}}{1-Be^{-cz}}$ . Since  $L = \frac{F'}{F}$ , it follows from the integration that  $F(z) = Ae^{-cz}(1-Be^{cz})^2$ , where A and B are nonzero constants. From this and (3.20) we have

(3.41) 
$$f(z) - \beta_1(z) = A(\beta_2(z) - \beta_1(z))e^{-cz}(1 - Be^{cz})^2.$$

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(3.42) 
$$f(z) - \beta_2(z) = A(\beta_2(z) - \beta_1(z))e^{-cz} \left[1 - \left(2B + \frac{1}{A}\right)e^{cz} + B^2e^{2cz}\right]$$

which, in view of (3.17), leads to  $A = \frac{-1}{4B}$ . Substituting this into (3.41) and (3.42) we arrive at (1.2) and (1.3) respectively. This completes the proof of Theorem 1.4.

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