

## A NORMALITY CRITERION FOR MEROMORPHIC FUNCTIONS

SHANPENG ZENG AND INDRAJIT LAHIRI

### Abstract

In the paper we prove a normality criterion for a family of meromorphic functions which involves sharing of a non-zero finite value by certain differential polynomials generated by the members of the family.

### 1. Introduction and results

Let  $\mathfrak{D}$  be a domain in the open complex plane  $\mathbf{C}$  and  $\mathfrak{F}$  be a family of meromorphic functions defined in  $\mathfrak{D}$ . The family  $\mathfrak{F}$  is said to be normal in  $\mathfrak{D}$ , in the sense of Montel, if for any sequence  $\{f_n\} \subset \mathfrak{F}$ , there exists a subsequence  $\{f_{n_j}\}$  converging spherically locally uniformly to a meromorphic function or  $\infty$ .

Let  $f$  and  $g$  be two meromorphic functions and  $a \in \mathbf{C}$ . If  $f$  and  $g$  have the same set of  $a$ -points, then we say that  $f$  and  $g$  share the value  $a$  IM (ignoring multiplicities).

In 1998 Y. F. Wang and M. L. Fang [9] proved the following result.

**THEOREM A** [9]. *Let  $k, n(\geq k + 1)$  be positive integers and  $f$  be a transcendental meromorphic function. Then  $(f^n)^{(k)}$  assumes every finite non-zero value infinitely often.*

Following normality criterion corresponds to Theorem A.

**THEOREM B** [8]. *Let  $\mathfrak{F}$  be a family of meromorphic functions defined in a domain  $\mathfrak{D}$  and  $k, n(\geq k + 3)$  be positive integers. If  $(f^n)^{(k)} \neq 1$  for every  $f \in \mathfrak{F}$ , then  $\mathfrak{F}$  is normal.*

In 2009 Y. T. Li and Y. X. Gu [4] improved Theorem B in the following manner.

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**THEOREM C** [4]. *Let  $\mathfrak{F}$  be a family of meromorphic functions in a domain  $\mathfrak{D}$ ,  $k, n (\geq k + 2)$  be positive integers and  $a \in \mathbf{C} \setminus \{0\}$ . If  $(f^n)^{(k)}$  and  $(g^n)^{(k)}$  share the value  $a$  IM in  $\mathfrak{D}$  for each pair of functions  $f, g \in \mathfrak{F}$ , then  $\mathfrak{F}$  is normal.*

In [4] it is shown that Theorem C does not hold for  $n = k + 1$ . So it is an interesting problem to investigate the situation under which the condition  $n = k + 1$  can be accommodated. In this direction we prove the following theorem.

**THEOREM 1.1.** *Let  $\mathfrak{F}$  be a family of meromorphic functions defined in a domain  $\mathfrak{D}$ ,  $a \in \mathbf{C} \setminus \{0\}$  and  $k, n$  be positive integers such that  $n \geq 1$  if  $k = 1$  and  $n \geq 2$  if  $k \geq 2$ . If  $f^n(f^{k+1})^{(k)}$  and  $g^n(g^{k+1})^{(k)}$  share the value  $a$  IM in  $\mathfrak{D}$  for each pair of functions  $f, g \in \mathfrak{F}$ , then  $\mathfrak{F}$  is normal.*

Following corollary immediately follows from Theorem 1.1.

**COROLLARY 1.1.** *Let  $\mathfrak{F}$  be a family of meromorphic functions defined in a domain  $\mathfrak{D}$ ,  $a \in \mathbf{C} \setminus \{0\}$  and  $k, n$  be positive integers such that  $n \geq 1$  if  $k = 1$  and  $n \geq 2$  if  $k \geq 2$ . If  $f^n(f^{k+1})^{(k)} \neq a$  for every  $f \in \mathfrak{F}$ , then  $\mathfrak{F}$  is normal.*

*Remark 1.1.* *If the members of  $\mathfrak{F}$  have no simple zero, then Theorem 1.1 and Corollary 1.1 hold for  $n = 1$  and  $k \geq 2$ .*

*Remark 1.2.* *Considering the family  $\mathfrak{F} = \{e^{mz} : m = 1, 2, 3, \dots\}$  and the domain  $\mathfrak{D} = \{z : |z| < 1\}$  we can verify that  $a \neq 0$  is essential for Theorem 1.1 and Corollary 1.1.*

## 2. Lemmas

In this section we present some necessary lemmas.

**LEMMA 2.1** {p. 101 [7], [6]}. *Let  $\mathfrak{F}$  be a family of meromorphic functions in a domain  $\mathfrak{D} \subset \mathbf{C}$ . If  $\mathfrak{F}$  is not normal in  $\mathfrak{D}$ , then there exist*

- (i) *a number  $r$  with  $0 < r < 1$ ,*
- (ii) *points  $z_j$  satisfying  $|z_j| < r$ ,*
- (iii) *functions  $f_j \in \mathfrak{F}$ ,*
- (iv) *positive numbers  $\rho_j \rightarrow 0$  as  $j \rightarrow \infty$ ,*

*such that  $f_j(z_j + \rho_j \zeta) \rightarrow g(\zeta)$  as  $j \rightarrow \infty$  locally spherically uniformly, where  $g$  is a non-constant meromorphic function in  $\mathbf{C}$  with  $g^\#(\zeta) \leq g^\#(0) = 1$ . In particular,  $g$  has order at most 2.*

A differential polynomial  $P$  of a meromorphic function  $f$  is defined by  $P(z) = \sum_{i=1}^n \phi_i(z)$ , where  $\phi_i(z) = \alpha_i(z) \prod_{j=0}^p (f^{(j)}(z))^{S_{ij}}$ , where  $\alpha_i(z) \not\equiv 0$  are small functions of  $f$  and  $S_{ij}$ 's are non-negative integers. The numbers  $\bar{d}(P) = \max_{1 \leq i \leq n} \sum_{j=0}^p S_{ij}$  and  $\underline{d}(P) = \min_{1 \leq i \leq n} \sum_{j=0}^p S_{ij}$  are respectively called the degree and the lower degree of the differential polynomial  $P$ .

**LEMMA 2.2** [3]. *Let  $f$  be transcendental and meromorphic and  $P$  be a non-constant differential polynomial of  $f$  such that  $\underline{d}(P) > 1$ . Then*

$$T(r, f) \leq \frac{Q+1}{\underline{d}(P)-1} \bar{N}(r, 0; f) + \frac{1}{\underline{d}(P)-1} \bar{N}(r, a; P) + S(r, f),$$

where  $Q = \max_{1 \leq i \leq n} \sum_{j=1}^p jS_{ij}$ .

LEMMA 2.3 [2, 5]. *Let  $f$  be a transcendental meromorphic function and  $a \in \mathbb{C} \setminus \{0\}$ . Then  $f^n f'$  has infinitely many  $a$ -points, where  $n(\geq 2)$  is an integer.*

LEMMA 2.4. *Let  $f$  be a transcendental meromorphic function and  $k, n$  be positive integers such that  $n \geq 1$  if  $k = 1$  and  $n \geq 2$  if  $k \geq 2$ . Then  $f^n (f^{k+1})^{(k)}$  assumes every value  $a \in \mathbb{C} \setminus \{0\}$  infinitely often.*

*Proof.* Without loss of generality we may choose  $a = 1$ . Let  $P = f^n (f^{k+1})^{(k)}$ . If  $k = 1$ , then  $P = 2f^{n+1}f'$  assumes the value 1 infinitely often by Lemma 2.3.

Let  $k \geq 2$ . Then  $\underline{d}(P) = n + k + 1$  and  $Q = k$  in Lemma 2.2. So by Lemma 2.2 we get

$$T(r, f) \leq \frac{k+1}{n+k} \bar{N}(r, 0; f) + \frac{1}{n+k} \bar{N}(r, 1; P) + S(r, f)$$

and so

$$\frac{n-1}{n+k} T(r, f) \leq \frac{1}{n+k} \bar{N}(r, 1; P) + S(r, f),$$

which shows that  $P$  assumes the value 1 infinitely often. This proves the lemma. □

Let  $R = \frac{A}{B}$  be a rational function. We denote by  $(R)_\infty$  the number  $\deg(A) - \deg(B)$ . Using the Laurent expansion around  $\infty$  we can easily obtain the following lemma (or see the proof of Lemma 6 of [10]).

LEMMA 2.5. *If  $(R)_\infty < 0$ , then  $(R^{(k)})_\infty = (R)_\infty - k$ .*

LEMMA 2.6. *Let  $R = \frac{A}{B}$  be rational and  $B$  be non-constant. Then  $(R^{(k)})_\infty \leq (R)_\infty - k$ .*

*Proof.* We consider the following cases.

CASE 1. Let  $(R)_\infty < 0$ . Then the lemma follows from Lemma 2.5.

CASE 2. Let  $(R)_\infty = 0$ . Then we can write

$$(2.1) \quad R = c + \frac{p}{B},$$

where  $c$  is a non-zero constant and  $p$  is a polynomial with  $\deg(p) < \deg(B)$ .

Since  $\deg(A) = \deg(B) > \deg(p)$ , we get

$$(2.2) \quad \left(\frac{p}{B}\right)_{\infty} < \left(\frac{A}{B}\right)_{\infty}.$$

So from (2.1), (2.2) and Lemma 2.5 we obtain

$$(R^{(k)})_{\infty} = \left(\left(\frac{p}{B}\right)^{(k)}\right)_{\infty} = \left(\frac{p}{B}\right)_{\infty} - k < \left(\frac{A}{B}\right)_{\infty} - k = (R)_{\infty} - k.$$

CASE 3. Let  $(R)_{\infty} > 0$ . Then we can express  $R$  as follows

$$(2.3) \quad R = a_m z^m + \cdots + a_1 z + a_0 + \frac{p}{B},$$

where  $a_0, a_1, \dots, a_{m-1}, a_m (\neq 0)$  are constants,  $m$  is a positive integer and  $p$  is a polynomial with  $\deg(p) < \deg(B)$ .

We now further consider the following subcases.

SUBCASE 3.1. Let  $k > m$ . Since  $\left(\frac{p}{B}\right)_{\infty} < 0$ , by Lemma 2.5 we get from (2.3)

$$(R^{(k)})_{\infty} = \left(\left(\frac{p}{B}\right)^{(k)}\right)_{\infty} = \left(\frac{p}{B}\right)_{\infty} - k < (R)_{\infty} - k.$$

SUBCASE 3.2. Let  $k = m$ . Then  $(R)_{\infty} = m = k$ . By Lemma 2.5 we get

$$(2.4) \quad \left(\left(\frac{p}{B}\right)^{(k)}\right)_{\infty} = \left(\frac{p}{B}\right)_{\infty} - k < -k < 0.$$

We put  $\left(\frac{p}{B}\right)^{(k)} = \frac{P}{Q}$ , where  $P, Q$  are polynomials. From (2.4) we get  $\deg(P) < \deg(Q)$  and so  $\deg(a_m Q m! + P) = \deg(Q)$ . Hence

$$\begin{aligned} (R^{(k)})_{\infty} &= \left(a_m m! + \left(\frac{p}{B}\right)^{(k)}\right)_{\infty} = \left(a_m m! + \frac{P}{Q}\right)_{\infty} = \left(\frac{a_m Q m! + P}{Q}\right)_{\infty} \\ &= 0 = k - k = (R)_{\infty} - k. \end{aligned}$$

SUBCASE 3.3. Let  $k < m$ . Then  $(R)_{\infty} = m$  and by Lemma 2.5 we get

$$(2.5) \quad \left(\left(\frac{p}{B}\right)^{(k)}\right)_{\infty} = \left(\frac{p}{B}\right)_{\infty} - k < -k < 0.$$

We put  $\left(\frac{p}{B}\right)^{(k)} = \frac{P}{Q}$ , where  $P, Q$  are polynomials. From (2.5) we see that  $\deg(P) < \deg(Q)$  and so

$$\deg \left[ \left( \frac{a_m m!}{(m-k)!} z^{m-k} + \dots + k! \right) Q + P \right] = \deg \left[ \left( \frac{a_m m!}{(m-k)!} z^{m-k} + \dots + k! \right) Q \right].$$

Therefore

$$\begin{aligned} (R^{(k)})_\infty &= \left( \frac{a_m m!}{(m-k)!} z^{m-k} + \dots + k! + \left( \frac{P}{Q} \right)^{(k)} \right)_\infty \\ &= \left( \frac{\left( \frac{a_m m!}{(m-k)!} z^{m-k} + \dots + k! \right) Q + P}{Q} \right)_\infty \\ &= m - k \\ &= (R)_\infty - k. \end{aligned}$$

This proves the lemma. □

LEMMA 2.7. *Let  $f$  be a non-constant rational function,  $k, n$  be positive integers and  $a \in \mathbb{C} \setminus \{0\}$ . Then  $f^n(f^{k+1})^{(k)}$  has at least two distinct  $a$ -points.*

*Proof.* We consider the following cases.

CASE 1. Suppose  $f^n(f^{k+1})^{(k)}$  has exactly one  $a$ -point.

First we suppose that  $f$  is a non-constant polynomial. We set  $f^n(f^{k+1})^{(k)} - a = A(z - z_0)^l$ , where  $A$  is a non-zero constant and  $l$  is a positive integer satisfying  $l \geq n + (k + 1 - k) = n + 1 \geq 2$ . Then  $[f^n(f^{k+1})^{(k)}]' = Al(z - z_0)^{l-1}$ . Since a zero of  $f$  is a zero of  $f^n(f^{k+1})^{(k)}$  of multiplicity at least 2, it is also a zero of  $[f^n(f^{k+1})^{(k)}]'$ . Since  $[f^n(f^{k+1})^{(k)}]'$  has exactly one zero at  $z_0$  and  $f$  is a non-constant polynomial, it follows that  $z_0$  is a zero of  $f$  and so is a zero of  $f^n(f^{k+1})^{(k)}$ , which is a contradiction. Therefore  $f$  is a non-polynomial rational function. We set

$$(2.6) \quad f(z) = A \frac{(z - \alpha_1)^{m_1} (z - \alpha_2)^{m_2} \dots (z - \alpha_s)^{m_s}}{(z - \beta_1)^{n_1} (z - \beta_2)^{n_2} \dots (z - \beta_t)^{n_t}},$$

where  $A (\neq 0)$  is a constant and  $m_1, m_2, \dots, m_s, n_1, n_2, \dots, n_t$  are positive integers.

We put

$$M = (k + 1) \sum_{j=1}^s m_j, \quad M' = n \sum_{j=1}^s m_j, \quad N = (k + 1) \sum_{i=1}^t n_i \quad \text{and} \quad N' = n \sum_{i=1}^t n_i.$$

From (2.6) we get

$$(2.7) \quad f^{k+1}(z) = A^{k+1} \frac{(z - \alpha_1)^{m_1(k+1)} (z - \alpha_2)^{m_2(k+1)} \dots (z - \alpha_s)^{m_s(k+1)}}{(z - \beta_1)^{n_1(k+1)} (z - \beta_2)^{n_2(k+1)} \dots (z - \beta_t)^{n_t(k+1)}}$$

and so

$$(2.8) \quad (f^{k+1})^{(k)} = \frac{(z - \alpha_1)^{m_1(k+1)-k} (z - \alpha_2)^{m_2(k+1)-k} \cdots (z - \alpha_s)^{m_s(k+1)-k}}{(z - \beta_1)^{n_1(k+1)+k} (z - \beta_2)^{n_2(k+1)+k} \cdots (z - \beta_t)^{n_t(k+1)+k}} g(z),$$

where  $g$  is a polynomial.

From (2.6) and (2.8) we get

$$(2.9) \quad \begin{aligned} f^n (f^{k+1})^{(k)} &= A^n \frac{(z - \alpha_1)^{m_1(n+k+1)-k} (z - \alpha_2)^{m_2(n+k+1)-k} \cdots (z - \alpha_s)^{m_s(n+k+1)-k}}{(z - \beta_1)^{n_1(n+k+1)+k} (z - \beta_2)^{n_2(n+k+1)+k} \cdots (z - \beta_t)^{n_t(n+k+1)+k}} g(z) \\ &= \frac{p_1}{q_1}, \quad \text{say,} \end{aligned}$$

where  $p_1, q_1$  are polynomials.

Since  $f^n (f^{k+1})^{(k)}$  has exactly one  $a$ -point at  $z_0$ , say, we get from (2.9)

$$(2.10) \quad \begin{aligned} f^n (f^{k+1})^{(k)} &= a + \frac{B(z - z_0)^l}{(z - \beta_1)^{n_1(n+k+1)+k} (z - \beta_2)^{n_2(n+k+1)+k} \cdots (z - \beta_t)^{n_t(n+k+1)+k}} \\ &= \frac{p_1}{q_1}, \end{aligned}$$

where  $B$  is a non-zero constant and  $l$  is a positive integer.

From (2.9) and (2.10) we obtain respectively

$$(2.11) \quad \begin{aligned} [f^n (f^{k+1})^{(k)}]' &= \frac{(z - \alpha_1)^{m_1(n+k+1)-k-1} (z - \alpha_2)^{m_2(n+k+1)-k-1} \cdots (z - \alpha_s)^{m_s(n+k+1)-k-1}}{(z - \beta_1)^{m_1(n+k+1)+k+1} (z - \beta_2)^{n_2(n+k+1)+k+1} \cdots (z - \beta_t)^{n_t(n+k+1)+k+1}} \\ &\quad \times g_1(z) \end{aligned}$$

and

$$(2.12) \quad \begin{aligned} [f^n (f^{k+1})^{(k)}]' &= \frac{(z - z_0)^{l-1} g_2(z)}{(z - \beta_1)^{m_1(n+k+1)+k+1} (z - \beta_2)^{n_2(n+k+1)+k+1} \cdots (z - \beta_t)^{n_t(n+k+1)+k+1}}, \end{aligned}$$

where  $g_1, g_2$  are polynomials.

From (2.7) and (2.8) we get

$$(f^{k+1})_\infty = M - N \quad \text{and} \quad ((f^{k+1})^{(k)})_\infty = M - N - (s + t)k + \deg(g).$$

Since by Lemmas 2.6  $((f^{k+1})^{(k)})_\infty \leq (f^{k+1})_\infty - k$ , we get

$$(2.13) \quad \deg(g) \leq k(s + t - 1).$$

From (2.9) and (2.11) we obtain

$$(2.14) \quad (f^n(f^{k+1})^{(k)})_\infty = M + M' - ks + \deg(g) - (N + N' + kt)$$

and

$$(2.15) \quad (f^n(f^{k+1})^{(k)})'_\infty = M + M' - (k+1)s + \deg(g_1) - \{N + N' + (k+1)t\}.$$

By Lemma 2.6 we see that

$$(2.16) \quad (f^n(f^{k+1})^{(k)})'_\infty \leq (f^n(f^{k+1})^{(k)})_\infty - 1.$$

Hence from (2.13)–(2.16) we get

$$(2.17) \quad \begin{aligned} \deg(g_1) &\leq \deg(g) + t + s - 1 \leq k(s + t - 1) + s + t - 1 \\ &= (k+1)(s + t - 1). \end{aligned}$$

Now we consider the following sub-cases.

SUBCASE 1.1. Let  $l < N + N' + kt$ . From (2.10) we see that  $\deg(p_1) = \deg(q_1)$ . From (2.9) and (2.13) we get

$$\begin{aligned} \deg(q_1) &= N + N' + kt = \deg(p_1) = M + M' - ks + \deg(g) \\ &\leq M + M' - ks + k(s + t - 1) = M + M' + kt - k. \end{aligned}$$

Hence  $(M + M') - (N + N') \geq k$  and so  $(n + k + 1)[(m_1 + m_2 + \cdots + m_s) - (n_1 + n_2 + \cdots + n_t)] \geq k$ . This implies  $(m_1 + m_2 + \cdots + m_s) - (n_1 + n_2 + \cdots + n_t) \geq 1$ . So  $(f)_\infty \geq 1$  and hence  $(f^{k+1})_\infty \geq k + 1$ . Therefore we can express  $f^{k+1}$  as follows

$$f^{k+1} = a_m z^m + \cdots + a_1 z + a_0 + \frac{p}{B},$$

where  $a_0, a_1, \dots, a_{m-1}, a_m (\neq 0)$  are constants,  $m (\geq k + 1)$  is an integer,  $p$  and  $B$  are polynomials with  $\deg(p) < \deg(B)$ . Since  $m > k$ , by Subcase 3.3 of the proof of Lemma 2.6 we get

$$(2.18) \quad ((f^{k+1})^{(k)})_\infty = (f^{k+1})_\infty - k \geq k + 1 - k = 1.$$

Since  $(f)_\infty \geq 1$ , from (2.18) we see that  $(f^n(f^{k+1})^{(k)})_\infty \geq n + 1$ , which by (2.9) contradicts the fact that  $\deg(p_1) = \deg(q_1)$ .

SUBCASE 1.2. Let  $l > N + N' + kt$ . Then from (2.10) we see that  $(f^n(f^{k+1})^{(k)})_\infty > 0$ . We now verify that  $m_1 + m_2 + \cdots + m_s > n_1 + n_2 + \cdots + n_t$  and so

$$(2.19) \quad M > N \quad \text{and} \quad M' > N'.$$

If  $m_1 + m_2 + \cdots + m_s \leq n_1 + n_2 + \cdots + n_t$ , then  $(f)_\infty \leq 0$ ,  $(f^n)_\infty \leq 0$  and  $(f^{k+1})_\infty \leq 0$ .

Hence by Lemma 2.6 we get

$$(f^n(f^{k+1})^{(k)})_\infty = (f^n)_\infty + ((f^{k+1})^{(k)})_\infty \leq 0 + (f^{k+1})_\infty - k = -k < 0,$$

a contradiction.

From (2.10) and (2.12) we respectively get

$$\begin{aligned} (f^n(f^{k+1})^{(k)})_\infty &= l - (N + N' + kt) \quad \text{and} \\ (f^n(f^{k+1})^{(k)})'_\infty &= l - 1 + \deg(g_2) - (N + N' + kt) - t. \end{aligned}$$

So by Lemma 2.6 we obtain  $l - 1 + \deg(g_2) - (N + N' + kt) - t \leq l - (N + N' + kt) - 1$  and so  $\deg(g_2) \leq t$ .

Since  $\alpha_i \neq z_0$  for  $i = 1, 2, \dots, s$ , from (2.11) and (2.12) we see that

$$(z - \alpha_1)^{m_1(n+k+1)-k-1} (z - \alpha_2)^{m_2(n+k+1)-k-1} \dots (z - \alpha_s)^{m_s(n+k+1)-k-1}$$

is a factor of  $g_2$ . Therefore

$$(2.20) \quad M + M' - (k+1)s \leq \deg(g_2) \leq t.$$

From (2.19) and (2.20) we get

$$\begin{aligned} M + M' &\leq t + (k+1)s \\ &\leq (n_1 + n_2 + \dots + n_t) + (k+1)(m_1 + m_2 + \dots + m_s) \\ &= \frac{N'}{n} + M \\ &< M + \frac{M'}{n} \\ &\leq M + M', \end{aligned}$$

a contradiction.

**SUBCASE 1.3.** Let  $l = N + N' + kt$ . Then from (2.10) we see that  $(f^n(f^{k+1})^{(k)})_\infty \leq 0$ . We now show that  $m_1 + m_2 + \dots + m_s \leq n_1 + n_2 + \dots + n_t$ . If  $m_1 + m_2 + \dots + m_s > n_1 + n_2 + \dots + n_t$ , then  $(f^n)_\infty = M' - N' \geq n$  and  $(f^{k+1})_\infty = M - N \geq k+1$ . So following the reasoning of Subcase 1.1 and using the proof of Subcase 3.3 of Lemma 2.6 we get  $((f^{k+1})^{(k)})_\infty = (f^{k+1})_\infty - k \geq k+1 - k = 1$  and so  $(f^n(f^{k+1})^{(k)})_\infty \geq n+1$ , which is a contradiction.

Since  $\alpha_j \neq z_0$  for  $j = 1, 2, \dots, s$ , from (2.11) and (2.12) we see that  $(z - z_0)^{l-1}$  is a factor of  $g_1$ . So by (2.17) we get  $l - 1 \leq \deg(g_1) \leq (k+1)(s+t-1)$ . Now

$$\begin{aligned} N + N' &= l - kt \\ &\leq (k+1)(s+t-1) + 1 - kt \\ &= (k+1)s + t - k \\ &\leq (k+1)(m_1 + m_2 + \dots + m_s) + (n_1 + n_2 + \dots + n_t) - k \\ &= M + \frac{N'}{n} - k \\ &\leq N + N' - k, \end{aligned}$$

which is a contradiction.



CASE 2. Suppose  $f^n(f^{k+1})^{(k)}$  has no  $a$ -point. Then  $f$  cannot be a polynomial because in this case  $f^n(f^{k+1})^{(k)}$  becomes a polynomial of degree at least  $n + 1$ . Hence  $f$  is a non-polynomial rational function. Now putting  $l = 0$  in (2.10) and proceeding as Subcase 1.1 we arrive at a contradiction. This proves the lemma.  $\square$

LEMMA 2.8 [1]. *Let  $f$  be an entire function. If the spherical derivative  $f^\#$  is bounded in  $\mathbf{C}$ , then the order of  $f$  is at most 1.*

### 3. Proof of Theorem 1.1

*Proof.* We suppose that  $\mathfrak{F}$  is not normal in  $\mathfrak{D}$ . Then by Lemma 2.1 there exist

- (i) a number  $r$  with  $0 < r < 1$ ,
- (ii) points  $z_j$  satisfying  $|z_j| < r$ ,
- (iii) functions  $f_j \in \mathfrak{F}$ ,
- (iv) positive numbers  $\rho_j \rightarrow 0$  as  $j \rightarrow \infty$ ,

such that  $f_j(z_j + \rho_j \zeta) \rightarrow g(\zeta)$  as  $j \rightarrow \infty$  locally spherically uniformly, where  $g$  is a non-constant meromorphic function in  $\mathbf{C}$  with  $g^\#(\zeta) \leq g^\#(0) = 1$ . In particular,  $g$  has order at most 2.

We put  $g_j(\zeta) = f_j(z_j + \rho_j \zeta)$ . Then  $g_j^n(\zeta)(g_j^{k+1}(\zeta))^{(k)} \rightarrow g^n(\zeta)(g^{k+1}(\zeta))^{(k)}$  as  $j \rightarrow \infty$  locally spherically uniformly.

Let

$$(3.1) \quad g^n(\zeta)(g^{k+1}(\zeta))^{(k)} \equiv a.$$

Then  $g$  is entire having no zero. So in view of Lemma 2.8 we put  $g(\zeta) = \exp(c\zeta + d)$ , where  $c(\neq 0)$  and  $d$  are constants. Therefore from (3.1) we get

$$(k + 1)^k c^k \exp\{(n + k + 1)c\zeta + (n + k + 1)d\} \equiv a,$$

which is impossible unless  $(n + k + 1)c = 0$ , a contradiction. Hence  $g^n(\zeta)(g^{k+1}(\zeta))^{(k)} \neq a$ .

So by Lemma 2.4 and Lemma 2.7 the function  $g^n(\zeta)(g^{k+1}(\zeta))^{(k)}$  has at least two distinct  $a$ -points  $\zeta_0$  and  $\zeta_0^*$ , say. We now choose two circular neighbourhoods  $D_1$  and  $D_2$  of  $\zeta_0$  and  $\zeta_0^*$  respectively such that  $D_1 \cap D_2 = \emptyset$  and  $D_1 \cup D_2$  does not contain any  $a$ -point of  $g^n(\zeta)(g^{k+1}(\zeta))^{(k)}$  other than  $\zeta_0$  and  $\zeta_0^*$ .

Now by Hurwitz's theorem there exist two sequences of points  $\{\zeta_j\} \subset D_1$  and  $\{\zeta_j^*\} \subset D_2$  converging to  $\zeta_0$  and  $\zeta_0^*$  respectively such that  $g_j^n(\zeta_j)(g_j^{k+1}(\zeta_j))^{(k)} = a$  and  $g_j^n(\zeta_j^*)(g_j^{k+1}(\zeta_j^*))^{(k)} = a$ .

By the given condition for any integer  $m$  and for all  $j$  we get  $g_m^n(\zeta_j)(g_m^{k+1}(\zeta_j))^{(k)} = a$  and  $g_m^n(\zeta_j^*)(g_m^{k+1}(\zeta_j^*))^{(k)} = a$ . By (ii) and (iv), if necessary considering a subsequence, we see that there exists a point  $\zeta$ ,  $|\zeta| \leq r$ , such that  $z_j + \rho_j \zeta_j \rightarrow \zeta$  and  $z_j + \rho_j \zeta_j^* \rightarrow \zeta$  as  $j \rightarrow \infty$ . So  $f_m^n(\zeta)(f_m^{k+1}(\zeta))^{(k)} = a$  and since  $a$ -points are isolated, for sufficiently large  $j$  we get  $z_j + \rho_j \zeta_j = \zeta$  and  $z_j + \rho_j \zeta_j^* = \zeta$ .

Hence  $\zeta_j = \frac{\xi - z_j}{\rho_j} = \zeta_j^*$ , which is impossible as  $D_1 \cap D_2 = \emptyset$ . This proves the theorem.  $\square$

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Shanpeng Zeng  
 DEPARTMENT OF MATHEMATICS SCIENCE  
 NANJING NORMAL UNIVERSITY  
 NANJING, JIANGSU 210046  
 P. R. CHINA  
 E-mail: zengshanpeng@163.com

CURRENT ADDRESS:  
 DEPARTMENT OF MATHEMATICS  
 HANGZHOU ELECTRONIC INFORMATION VOCATIONAL SCHOOL  
 (DINGQIAO CAMPUS)  
 HANGZHOU, ZHEJIANG 310021  
 P. R. CHINA

Indrajit Lahiri  
 DEPARTMENT OF MATHEMATICS  
 UNIVERSITY OF KALYANI  
 WEST BENGAL 741235  
 INDIA  
 E-mail: indr9431@dataone.in  
 ilahiri@hotmail.com