

## MEROMORPHIC FUNCTIONS THAT SHARE TWO OR THREE VALUES

BY HONG-XUN YI

### 1. Introduction and Main Results.

Let  $f(z)$  and  $g(z)$  be two nonconstant meromorphic functions in the complex plane. If  $f$  and  $g$  have the same  $a$ -points with the same multiplicities, we say  $f$  and  $g$  share the value  $a$  CM. (see [2]). It is assumed that the reader is familiar with the fundamental concepts of Nevanlinna's theory of meromorphic functions and their standard symbols, as found in [3]. It will be convenient to let  $E$  denote any set of finite linear measure of  $0 < r < \infty$  and let  $I$  denote any set of infinite linear measure of  $0 < r < \infty$ . The notation  $S(r, f)$  denotes any quantity satisfying  $S(r, f) = o(T(r, f))$  ( $r \rightarrow \infty$ ,  $r \notin E$ ).

M. Ozawa proved the following result.

**THEOREM A** (see [5]). *Let  $f$  and  $g$  be entire functions of finite order such that  $f$  and  $g$  share  $0, 1$  CM. If  $\delta(0, f) > 1/2$ , then  $f \cdot g = 1$  unless  $f = g$ .*

*In [9] H. Ueda showed that in Theorem A the order restriction of  $f$  and  $g$  can be removed. He proved more generally the following result.*

**THEOREM B.** *Let  $f$  and  $g$  be meromorphic functions such that  $f$  and  $g$  share  $0, 1, \infty$  CM. If*

$$\limsup_{r \rightarrow \infty} \frac{N(r, 1/f) + N(r, f)}{T(r, f)} < \frac{1}{2},$$

*then  $f = g$  or  $f \cdot g = 1$ .*

*Recently the present author proved the following result.*

**THEOREM C** (see [13]). *Let  $f$  and  $g$  be meromorphic functions such that  $f$  and  $g$  share  $0, 1, \infty$  CM. If*

$$\bar{N}\left(r, \frac{1}{f}\right) + \bar{N}(r, f) < (\lambda + o(1))T(r, f) \quad (r \in I),$$

*where  $\lambda < 1/2$ , then  $f = g$  or  $f \cdot g = 1$ .*

---

Received July 3, 1989; Revised March 12, 1990.

In order to state our first theorem, we introduce the following notations.

Let  $f(z)$  be a meromorphic function. We denote by  $n_1(r, 1/f)$  the number of simple zeros of  $f$  in  $|z| \leq r$  and by  $n_1(r, f)$  the number of simple poles of  $f$  in  $|z| \leq r$ .  $N_1(r, 1/f)$  and  $N_1(r, f)$  are defined in terms of  $n_1(r, 1/f)$  and  $n_1(r, f)$  respectively in the usual way.

Let  $f(z)$  and  $g(z)$  be meromorphic functions. We denote by  $T(r)$  the maximum of  $T(r, f)$  and  $T(r, g)$ .

In this paper we prove the following result which is an improvement of the above results.

**THEOREM 1.** *Let  $f$  and  $g$  be meromorphic functions such that  $f$  and  $g$  share  $0, 1, \infty$  CM. If*

$$N_1\left(r, \frac{1}{f}\right) + N_1(r, f) < (\lambda + o(1))T(r) \quad (r \in I), \quad (1)$$

where  $\lambda < 1/2$ , then  $f=g$  or  $f \cdot g=1$ .

By Theorem 1 we immediately obtain the following corollary.

**COROLLARY 1.** *Let  $f$  and  $g$  be meromorphic function such that  $f$  and  $g$  share  $0, 1, \infty$  CM. If*

$$\limsup_{r \rightarrow \infty} \frac{N_1(r, 1/f) + N_1(r, f)}{T(r)} < \frac{1}{2},$$

then  $f=g$  or  $f \cdot g=1$ .

In [7] H. Ueda proved the following result.

**THEOREM D.** *Let  $f$  and  $g$  be entire functions such that  $f$  and  $g$  share  $0, 1$  CM. If all zero-points of  $f$  excepting at most finite number have multiplicities  $\geq 2$ , then  $f=g$  or  $f \cdot g=1$ .*

From Theorem 1 we immediately deduce the following corollary which is an improvement of Theorem D.

**COROLLARY 2.** *Let  $f$  and  $g$  be meromorphic functions such that  $f$  and  $g$  share  $0, 1, \infty$  CM. If all zero-points and pole-points of  $f$  excepting at most finite number have multiplicities  $\geq 2$ , then  $f=g$  or  $f \cdot g=1$ .*

In [5] M. Ozawa proved the following theorem.

**THEOREM E.** *Let  $f$  and  $g$  be entire functions such that  $f$  and  $g$  share  $1$  CM. If  $\delta(0, f) > 0$  and  $0$  is lacunary for  $g$ , then  $f=g$  or  $f \cdot g=1$ .*

Recently the present author proved the following result which is an extension of Theorem E.

**THEOREM F** (see [11]). *Let  $f$  and  $g$  be meromorphic functions such that  $f$  and  $g$  share 1 CM. If  $\delta(0, f) + \delta(0, g) > 1$  and  $\delta(\infty, f) = \delta(\infty, g) = 1$ , then  $f = g$  or  $f \cdot g = 1$ .*

In this paper we prove the following result which is an improvement of the above theorems.

**THEOREM 2.** *Let  $f$  and  $g$  be meromorphic functions such that  $f$  and  $g$  share  $1, \infty$  CM. If*

$$N\left(r, \frac{1}{f}\right) + N\left(r, \frac{1}{g}\right) + 2\bar{N}(r, f) < (\mu + o(1))T(r) \quad (r \in I), \quad (2)$$

where  $\mu < 1$ , then  $f = g$  or  $f \cdot g = 1$ .

By Theorem 2 we immediately obtain the following corollary.

**COROLLARY 3.** *Let  $f$  and  $g$  be meromorphic functions such that  $f$  and  $g$  share  $1, \infty$  CM. If  $\delta(0, f) + \delta(0, g) + 2\Theta(\infty, f) > 3$ , then  $f = g$  or  $f \cdot g = 1$ .*

Let  $f(z) = 2e^z(1 - 2e^z)$ ,  $g(z) = (1/4)e^{-z}(2 - e^{-z})$ . It is easy to see that this example shows that the theorems and corollaries in this paper are sharp.

**2. Some Lemmas.**

The following lemmas will be needed in the proof of our theorems.

**LEMMA 1.** *Let  $f$  and  $g$  be two nonconstant meromorphic functions, and let  $c_1, c_2$  and  $c_3$  be three nonzero constants. If  $c_1f + c_2g = c_3$ , then*

$$T(r, f) < N\left(r, \frac{1}{f}\right) + N\left(r, \frac{1}{g}\right) + \bar{N}(r, f) + S(r, f).$$

*Proof.* By the second fundamental theorem, we have

$$\begin{aligned} T(r, f) &< N\left(r, \frac{1}{f}\right) + N\left(r, \left(f - \frac{c_3}{c_1}\right)^{-1}\right) + \bar{N}(r, f) + S(r, f) \\ &= N\left(r, \frac{1}{f}\right) + N\left(r, \frac{1}{g}\right) + \bar{N}(r, f) + S(r, f), \end{aligned}$$

which proves Lemma 1.

**LEMMA 2** (see [4]). *Let  $f_1, f_2, \dots, f_n$  be linearly independent meromorphic functions satisfying  $\sum_{i=1}^n f_i = 1$ . Then for  $j = 1, 2, \dots, n$ , we have*

$$T(r, f_j) < \sum_{i=1}^n N\left(r, \frac{1}{f_i}\right) + N(r, f_j) + N(r, D) - \sum_{i=1}^n N(r, f_i) \\ - N\left(r, \frac{1}{D}\right) + O(\log r + \log T_n(r)) \quad (r \notin E),$$

where  $D$  denotes the Wronskian

$$D = \begin{vmatrix} f_1 & , & f_2 & , & \dots & , & f_n \\ f_1' & , & f_2' & , & \dots & , & f_n' \\ \dots & & \dots & & \dots & & \dots \\ f_1^{(n-1)} & , & f_2^{(n-1)} & , & \dots & , & f_n^{(n-1)} \end{vmatrix}$$

and  $T_n(r)$  denotes the maximum of  $T(r, f_i)$ ,  $i=1, 2, \dots, n$ .

LEMMA 3. Let  $f_1, f_2$  and  $f_3$  be three nonconstant meromorphic functions satisfying  $\sum_{i=1}^3 f_i = 1$ , and let  $g_1 = -f_3/f_2$ ,  $g_2 = 1/f_2$ ,  $g_3 = -f_1/f_2$ . If  $f_1, f_2$  and  $f_3$  are linearly independent, then  $g_1, g_2$  and  $g_3$  are linearly independent.

*Proof.* Suppose that  $g_1, g_2$  and  $g_3$  are linearly dependent. Then there exist three constants  $(c_1, c_2, c_3) \neq (0, 0, 0)$  such that

$$c_1 g_1 + c_2 g_2 + c_3 g_3 = 0,$$

that is

$$c_1 f_3 + c_3 f_1 = c_2. \quad (3)$$

If  $c_2 = 0$ , then  $c_1 \neq 0$ ,  $c_3 \neq 0$ , and

$$c_1 f_3 + c_3 f_1 = 0,$$

which contradicts our assumption.

If  $c_2 \neq 0$ , from (3) we have

$$\frac{c_1}{c_2} f_3 + \frac{c_3}{c_2} f_1 = 1. \quad (4)$$

Noting  $\sum_{i=1}^3 f_i = 1$ , from (4) we get

$$\left(1 - \frac{c_3}{c_2}\right) f_1 + f_2 + \left(1 - \frac{c_1}{c_2}\right) f_3 = 0,$$

which is impossible.

This completes the proof of Lemma 3.

LEMMA 4. Let  $h(z)$  be a nonconstant entire function. Then

$$T(r, h') = o(T(r, e^h)) \quad (r \notin E).$$

*Proof.* We have

$$T(r, h') \leq T(r, h) + S(r, h).$$

On other hand, by Clunie's result (see, [3, pp 54]), we have

$$T(r, h) = o(T(r, e^h)).$$

Thus

$$T(r, h') = o(T(r, e^h)) \quad (r \notin E),$$

which proves Lemma 4.

### 3. Proof of Theorem 2.

By assumption, we have

$$f - 1 = e^h(g - 1), \tag{5}$$

where  $h$  is an entire function. Let  $f_1 = f$ ,  $f_2 = e^h$ ,  $f_3 = -e^h g$  and  $T_3(r)$  denote the maximum of  $T(r, f_i)$ ,  $i = 1, 2, 3$ . From (5) we have

$$\sum_{i=1}^3 f_i = 1, \tag{6}$$

$$\sum_{i=1}^3 N\left(r, \frac{1}{f_i}\right) = N\left(r, \frac{1}{f}\right) + N\left(r, \frac{1}{g}\right), \tag{7}$$

and

$$T_3(r) = O(T(r)). \tag{8}$$

We discuss the following two cases.

a) Suppose that  $f_1, f_2$  and  $f_3$  are linearly independent. By Lemma 2 and (8), we have

$$T(r, f) < \sum_{i=1}^3 N\left(r, \frac{1}{f_i}\right) + N(r, D) - N(r, f_2) - N(r, f_3) + o(T(r)) \quad (r \notin E), \tag{9}$$

where

$$D = \begin{vmatrix} f_1, f_2, f_3 \\ f'_1, f'_2, f'_3 \\ f''_1, f''_2, f''_3 \end{vmatrix}. \tag{10}$$

From (6) and (10) we get

$$D = \begin{vmatrix} f'_2, f'_3 \\ f''_2, f''_3 \end{vmatrix}$$

and hence

$$N(r, D) - N(r, f_2) - N(r, f_3) \leq N(r, g'') - N(r, g) = 2\bar{N}(r, g) = 2\bar{N}(r, f). \tag{11}$$

From (7), (9) and (11) we obtain

$$T(r, f) < N\left(r, \frac{1}{f}\right) + N\left(r, \frac{1}{g}\right) + 2\bar{N}(r, f) + o(T(r)) \quad (r \notin E). \quad (12)$$

Let  $g_1 = -f_3/f_2 = g$ ,  $g_2 = 1/f_2 = e^{-h}$ ,  $g_3 = -f_1/f_2 = -e^{-h}f$ . From (6) we obtain

$$\sum_{i=1}^3 g_i = 1.$$

By Lemma 3 we know that  $g_1$ ,  $g_2$  and  $g_3$  are linearly independent. In a similar manner we get

$$T(r, g) < N\left(r, \frac{1}{f}\right) + N\left(r, \frac{1}{g}\right) + 2\bar{N}(r, f) + o(T(r)) \quad (r \notin E). \quad (13)$$

From (12) and (13) we deduce

$$T(r) < N\left(r, \frac{1}{f}\right) + N\left(r, \frac{1}{g}\right) + 2\bar{N}(r, f) + o(T(r)) \quad (r \notin E). \quad (14)$$

Combining (2) and (14) we get

$$(1 - \mu)T(r) < o(T(r)) \quad (r \in I), \quad (15)$$

which is impossible.

b) Suppose that  $f_1$ ,  $f_2$  and  $f_3$  are linearly dependent. Then, there exist three constants  $(c_1, c_2, c_3) \neq (0, 0, 0)$  such that

$$c_1 f_1 + c_2 f_2 + c_3 f_3 = 0. \quad (16)$$

If  $c_1 = 0$ , from (16) we have  $c_2 \neq 0$ ,  $c_3 \neq 0$  and

$$f_3 = -\frac{c_2}{c_3} f_2$$

and hence

$$g = \frac{c_2}{c_3},$$

which is impossible. Thus  $c_1 \neq 0$  and

$$f_1 = -\frac{c_2}{c_1} f_2 - \frac{c_3}{c_1} f_3. \quad (17)$$

Now combining (6) and (17) we get

$$\left(1 - \frac{c_2}{c_1}\right) f_2 + \left(1 - \frac{c_3}{c_1}\right) f_3 = 1. \quad (18)$$

We discuss the following three subcases.

b<sub>1</sub>) Assume  $c_1 = c_2$ . From (18) we have  $c_1 \neq c_3$  and

$$f_3 = \frac{c_1}{c_1 - c_3}, \quad (19)$$

that is

$$g = -\frac{c_1}{c_1 - c_3} e^{-h}. \tag{20}$$

From (6) and (19) we get

$$f_1 + f_2 = -\frac{c_3}{c_1 - c_3},$$

that is

$$f + e^h = -\frac{c_3}{c_1 - c_3}. \tag{21}$$

If  $c_3 \neq 0$ , from (20) and (21) we have

$$T(r) = T(r, e^h) + O(1)$$

and

$$N\left(r, \frac{1}{f}\right) + N\left(r, \frac{1}{g}\right) + 2\bar{N}(r, f) = T(r, e^h) + S(r, f) = (1 + o(1))T(r) \quad (r \notin E),$$

which contradicts our assumption. Thus  $c_3 = 0$ . From (20) and (21) we deduce  $g = -e^{-h}$  and  $f = -e^h$  and hence  $f \cdot g = 1$ .

b<sub>2</sub>) Assume  $c_1 = c_3$ . From (18) we have  $c_1 \neq c_2$  and

$$f_2 = \frac{c_1}{c_1 - c_2}$$

that is

$$e^h = \frac{c_1}{c_1 - c_2}. \tag{22}$$

From (6) and (22) we get

$$f - \frac{c_1}{c_1 - c_2} g = -\frac{c_2}{c_1 - c_2}. \tag{23}$$

If  $c_2 \neq 0$ , by Lemma 1 we have

$$T(r) < N\left(r, \frac{1}{f}\right) + N\left(r, \frac{1}{g}\right) + \bar{N}(r, f) + S(r, f). \tag{24}$$

By (2) and (24) we get

$$(1 - \mu)T(r) < o(T(r)) \quad (r \in I), \tag{25}$$

which is impossible. Thus  $c_2 = 0$ . From (23) we deduce  $f = g$ .

b<sub>3</sub>) Assume  $c_1 \neq c_2$  and  $c_1 \neq c_3$ . From (18) we have

$$g = \frac{c_1 - c_2}{c_1 - c_3} - \frac{c_1}{c_1 - c_3} e^{-h}. \tag{26}$$

Now combining (17) and (26), we get

$$f = -\frac{c_2 - c_3}{c_1 - c_3} e^h - \frac{c_3}{c_1 - c_3}. \quad (27)$$

From (26) and (27) we have

$$T(r) = T(r, e^h) + O(1)$$

and

$$N\left(r, \frac{1}{g}\right) = T(r, e^h) + S(r, g) = (1 + o(1))T(r) \quad (r \notin E),$$

which contradicts our assumption.

This completes the proof of Theorem 2.

#### 4. Proof of Theorem 1.

Suppose that  $f \neq g$ . By assumption we have with two entire functions  $\alpha$  and  $\beta$ ,

$$f = e^\alpha \cdot g, \quad f - 1 = e^\beta \cdot (g - 1). \quad (28)$$

Since  $f \neq g$ , then  $e^\beta \neq 1$  and  $e^{\beta-\alpha} \neq 1$ . Thus from (28) we get

$$f = \frac{1 - e^\beta}{1 - e^{\beta-\alpha}} \quad (29)$$

and

$$T(r, e^\alpha) + T(r, e^\beta) = O(T(r)). \quad (30)$$

If  $e^\beta = c$ , where  $c (\neq 0, 1)$  is a constant, then from (29) we have

$$N\left(r, \frac{1}{f}\right) = 0. \quad (31)$$

If  $e^\beta$  is not a constant, let  $\{z_n\}$  be all the roots of  $f=0$  with multiplicity  $\geq 2$ , then from (29)  $\{z_n\}$  are the roots of  $(1 - e^\beta)' = -\beta' e^\beta = 0$ . Thus

$$N\left(r, \frac{1}{f}\right) - N_1\left(r, \frac{1}{f}\right) \leq 2N\left(r, \frac{1}{\beta'}\right) \leq 2T(r, \beta') + O(1).$$

By Lemma 4 and (30) we have

$$N\left(r, \frac{1}{f}\right) \leq N_1\left(r, \frac{1}{f}\right) + o(T(r)) \quad (r \notin E). \quad (32)$$

If  $e^{\beta-\alpha} = c (\neq 0, 1)$ , then from (29) we have

$$N(r, f) = 0. \quad (33)$$

If  $e^{\beta-\alpha}$  is not a constant, let  $\{t_n\}$  be all the roots of  $1/f=0$  with multiplicity  $\geq 2$ , then from (29)  $\{t_n\}$  are the roots of  $(1 - e^{\beta-\alpha})' = -(\beta' - \alpha')e^{\beta-\alpha} = 0$ . Thus



$$N(r, f) - N_1(r, f) \leq 2N\left(r, \frac{1}{\beta' - \alpha'}\right) \leq 2T(r, \alpha') + 2T(r, \beta') + O(1).$$

By Lemma 4 and (30) we have

$$N(r, f) \leq N_1(r, f) + o(T(r)) \quad (r \notin E). \tag{34}$$

Noting  $N(r, 1/g) = N(r, 1/f)$  and  $N(r, g) = N(r, f)$ , from (31), (32), (33) and (34) we deduce

$$N\left(r, \frac{1}{f}\right) + N\left(r, \frac{1}{g}\right) + 2\bar{N}(r, f) < 2N_1\left(r, \frac{1}{f}\right) + 2N_1(r, f) + o(T(r)) \quad (r \notin E). \tag{35}$$

Now combining (1) and (35) we obtain

$$N\left(r, \frac{1}{f}\right) + N\left(r, \frac{1}{g}\right) + 2\bar{N}(r, f) < (2\lambda + o(1))T(r) \quad (r \in I).$$

By Theorem 2 we deduce the conclusion of Theorem 1.

### 5. An Application of Theorem 1.

Let  $f$  be a nonconstant meromorphic function and  $S$  be a set in the complex plane, and let

$$E_f(S) = \bigcup_{a \in S} \{z \mid f(z) - a = 0\},$$

where any  $z$  which is a zero of multiplicity  $m$  is included in  $E_f(S)$ ,  $m$  times.

In [1] F. Gross and C.F. Osgood proved the following theorem.

**THEOREM G.** *Let  $S_1 = \{-1, 1\}$ ,  $S_2 = \{0\}$ . If  $f$  and  $g$  are entire functions of finite order such that  $E_f(S_i) = E_g(S_i)$  ( $i = 1, 2$ ), then  $f = \pm g$  or  $f \cdot g = \pm 1$ .*

In [10] the present author proved that in the preceding theorem the order restriction of  $f$  and  $g$  can be removed. The present author [12] and independently K. Tohge [6] proved the following result which is an extension of the above results.

**THEOREM H.** *Let  $S_1 = \{1, \omega, \dots, \omega^{n-1}\}$ ,  $S_2 = \{0\}$  and  $S_3 = \{\infty\}$ , where  $n$  is an integer ( $\geq 2$ ) and  $\omega = \cos(2\pi/n) + i \sin(2\pi/n)$ . If  $f$  and  $g$  are meromorphic functions such that  $E_f(S_i) = E_g(S_i)$  ( $i = 1, 2, 3$ ), then  $f^n = g^n$  or  $f^n \cdot g^n = 1$ .*

Using Theorem 1, it is easy to give the proof of Theorem H. In fact, let  $F = f^n$  and  $G = g^n$ , then  $F$  and  $G$  share  $0, 1, \infty$  CM and  $N_1(r, 1/F) + N_1(r, F) = 0$ . By Theorem 1, we get  $F = G$  or  $F \cdot G = 1$ , that is  $f^n = g^n$  or  $f^n \cdot g^n = 1$ . This proves Theorem H.

Acknowledement. I am grateful to the referee for valuable comments.

## REFERENCES

- [1] F. GROSS AND C.F. OSGOOD, Entire functions with common preimages, Factorization Theory of Meromorphic Functions, 19-24, Marcel Dekker, Inc., 1982.
- [2] G.G. GUNDERSEN, Meromorphic functions that share three or four values, J. London Math. Soc., (2), 20 (1979), 457-466.
- [3] W.K. HAYMAN, Meromorphic Functions, Clarendon Press, Oxford, 1964.
- [4] R. NEVANLINNA, Le Théorème de Picard-Borel et la Théorie des Fonctions Méromorphes, Gauthier-Villars, Paris, 1929.
- [5] M. OZAWA, Unicity theorems for entire functions, J. d'Anal. Math., 30 (1976), 411-420.
- [6] K. TOHGE, Meromorphic functions covering certain finite sets at the same points, Kodai Math. J., 11 (1988), 249-279.
- [7] H. UEDA, Unicity theorems for entire functions, Kodai Math. J., 3 (1980), 212-223.
- [8] H. UEDA, Unicity theorems for meromorphic or entire functions, Kodai Math. J., 3 (1980), 457-471.
- [9] H. UEDA, Unicity theorems for meromorphic or entire functions II, Kodai Math. J., 6 (1983), 26-36.
- [10] HONG-XUN YI, Meromorphic functions with common preimages, J. of Math. (PRC), 7 (1987), 219-224.
- [11] HONG-XUN YI, Meromorphic functions with two deficient values, Acta Math. Sin., 30 (1987), 588-597.
- [12] HONG-XUN YI, On the uniqueness of meromorphic functions, Acta Math. Sin., 31 (1988), 570-576.
- [13] HONG-XUN YI, Meromorphic functions that share three values, Chin. Ann. Math., 9A (1988), 434-440.

DEPARTMENT OF MATHEMATICS  
SHANDONG UNIVERSITY  
JINAN, SHANDONG, 250100  
P. R. CHINA