

ENTIRE FUNCTIONS WITH RADIALY DISTRIBUTED ZEROS

BY SHIGERU KIMURA

1. In our previous paper [1], we considered the entire functions of positive integral order and obtained the following characterization of the exponential function.

THEOREM A. *Suppose that $f(z)$ is an entire function of positive integral order p , and that $f(z)$ has no zeros in a sector $\{z; |\arg z| < \pi - \pi/2p + \eta\}$ ($\eta > 0$) and $\delta(0, f) = 1$. If there exists a Jordan curve l joining $z=0$ to $z=\infty$ such that*

$$f(z)f(\omega z) \cdots f(\omega^{2p-1}z) = O(1) \quad (z \in l)$$

where $\omega = \exp(\pi i/p)$, then $f(z) = e^{P(z)}$ where $P(z)$ is a polynomial of degree p , or else

$$\lim_{r \rightarrow \infty} \frac{|\log |f(r)||}{r^p} = +\infty.$$

In this paper, we show that we can remove the condition on the deficiency. But we confine the distribution of zeros in a sector with half opening and prove the following.

THEOREM 1. *Suppose that $f(z)$ is an entire function of positive integral order p , and that $f(z)$ has only zeros in a sector $\{z; |\arg z - \pi| \leq \pi/4p - \eta = \alpha\}$ ($\eta > 0$). If there exists a Jordan curve l joining $z=0$ to $z=\infty$ such that*

$$(1) \quad f(z)f(\omega z) \cdots f(\omega^{2p-1}z) = O(1) \quad (z \in l)$$

where $\omega = \exp(\pi i/p)$, then $f(z) = e^{P(z)}$ where $P(z)$ is a polynomial of degree p , or else

$$(2) \quad \lim_{r \rightarrow \infty} \frac{|\log |f(r)||}{r^p} = +\infty.$$

In our previous paper [1], we also considered the entire function of order $q=2p+1$ having only negative zeros and obtained the following characterization of the exponential function.

Received March 13, 1989

THEOREM B. Suppose that $f(z)$ is an entire function of order $q=2p+1$ where p is a non-negative integer, having only negative zeros and $\delta(0, f)=1$. Further setting $\phi(z^2)=f(z)f(-z)$, $g(z)=\phi(-z)/\phi(0)=e^{Q(z)}g_1(z)$ where $Q(z)$ is a polynomial and $g_1(z)$ is a canonical product, we assume that there is an arbitrarily small $\beta>0$ such that

$$|\log |g(re^{i\beta})g(re^{-i\beta})|-2(\cos \beta q/2) \log |g(r)|| \leq \varepsilon(r) |\log |g(r)||$$

for all sufficiently large r where $0 \leq \varepsilon(r) = O(1/r^{\varepsilon_0})$, $\varepsilon_0 > 0$ unless $g(z)$ is in case $\deg(\operatorname{Re} Q(r))=0$ and $g_1(r) \equiv 1$. Then $f(z) = e^{P(z)}$ where $P(z)$ is a polynomial of degree q , or else

$$\lim_{r \rightarrow \infty} \frac{-\log |f(r)|}{r^q} = +\infty.$$

In our previous paper [2], we considered the entire functions with zeros distributed in a sector. But we obtained only an incomplete result there.

In this paper, we show that we can remove the condition on the deficiency and that the zeros can be distributed in a sector and prove the followings.

LEMMA. Suppose that $g(z) = e^{Q(z)}g_1(z)$ is an entire function of finite order having only zeros in a sector $\{z; |\arg z - \pi| \leq 2\alpha < \pi/2(k+1)\}$, where $Q(z)$ is a polynomial, $g_1(z)$ is a canonical product and k is the genus of $g_1(z)$. Then the sign of $\log |g(r)|$ is definite for $r \geq r_0$ where r_0 is a positive number, unless

$$(3) \quad \deg(\operatorname{Re} Q(r))=0 \quad \text{and} \quad g_1(z) \equiv 1.$$

THEOREM 2. Suppose that $f(z)$ is an entire function of order $q=2p+1$ where p is a non-negative integer, having only zeros in a sector $\{z; |\arg z - \pi| \leq \alpha\}$. Further setting $\phi(z^2)=f(z)f(-z)$, $g(z)=\phi(-z)/\phi(0)$, we assume that there exists a positive number β such that

$$(4) \quad \varepsilon \log |g(re^{i\beta})g(re^{-i\beta})| \leq 2\varepsilon(\cos \beta q/2) \log |g(r)| + \varepsilon \eta(r) \log |g(r)|,$$

for all sufficiently large r where $2\alpha + \beta < \pi/(q+1)$, $\varepsilon = \pm 1$, $\varepsilon \log |g(r)| > 0$ and $0 < \eta(r) = O(1/r^{\eta_0})$, $\eta_0 > 0$ for all sufficiently large r . Then $f(z) = e^{P(z)}$ where $P(z)$ is a polynomial of degree q , or else

$$(5) \quad \lim_{r \rightarrow \infty} \frac{-\log |f(r)|}{r^q} = +\infty.$$

Our method of proof depends heavily upon the following formula.

OZAWA FORMULA [3, p-507]. Let

$$\phi(x, y) = \frac{1}{2} \log(1 + 2y \cos x + y^2) + \sum_{j=1}^k (-1)^j \frac{y^j}{j} \cos jx.$$

Then

$$\frac{\partial \phi(x, y)}{\partial x} = \frac{(-1)^{k+1} y^{k+1}}{1+2y \cos x + y^2} (\sin(k+1)x + y \sin kx).$$

We remark that $\phi(x, y) = \log |E(-ye^{ix}, k)|$, where E is the Weierstrass primary factor.

2. Proof of Theorem 1. Let $f(z)$ be an entire function satisfying the hypotheses in Theorem 1. We can write

$$f(z) = e^{P(z)} f_1(z)$$

where $P(z)$ is a polynomial of degree at most p and $f_1(z)$ is a canonical product with zeros $\{a_\nu\}$. Then we suppose that $f_1^*(z)$ is a canonical product with zeros $\{-|a_\nu|\}$. If the genus of $f_1(z)$ is p , then we have from Ozawa's formula,

$$\begin{aligned} (-1)^p \log |f_1(r)| &\geq (-1)^p \log |f_1^*(re^{i\alpha})| \\ &= r^{p+1} \int_0^\infty \frac{n(x)}{x^{p+1}} \frac{x \cos(p+1)\alpha + r \cos p\alpha}{x^2 + r^2 + 2xr \cos \alpha} dx \\ &\geq (r^{p+1} \cos(p+1)\alpha) \int_0^\infty \frac{n(x)}{x^{p+1}} \frac{dx}{x+r} \geq \frac{1}{2} (r^p \cos(p+1)\alpha) \int_0^r \frac{n(x)}{x^{p+1}} dx, \end{aligned}$$

and we have (2). Therefore, by the assumption that (2) is false, we see that the genus of $f_1(z)$ is at most $p-1$. Hence we have

$$\log M(r, f_1) = o(r^p).$$

Putting

$$\phi(\zeta) = \phi(z^{2p}) = f_1(z) f_1(\omega z) \cdots f_1(\omega^{2p-1} z),$$

we have $\log M(r^{2p}, \phi) \leq 2p \log M(r, f_1) = o(r^p)$. Therefore it follows that

$$\lim_{\rho \rightarrow \infty} \frac{\log M(\rho, \phi)}{\rho^{1/2}} = 0, \quad (\rho = |\zeta| = |z|^{2p}).$$

On the other hand, by the assumption (1) we have

$$m(\rho, \phi) \leq K < +\infty,$$

and it follows that ϕ satisfies hypothesis in Kjellberg's Lemma [1, p-19] with $\lambda=1/2$ unless $\phi(z)$ is constant. Thus we have

$$\lim_{\rho \rightarrow \infty} \frac{\log M(\rho, \phi)}{\rho^{1/2}} = \beta, \quad 0 < \beta \leq +\infty,$$

which is a contradiction.

If ϕ is constant, then we see that $f(z) = e^{P(z)}$, where $P(z)$ is a polynomial of degree p .

3. Proof of Lemma. If $g_1(z)$ has zeros $\{b_\nu\}$, then we suppose that $g_1^*(z)$ is

a canonical product with zeros $\{-|b_\nu|\}$. From Ozawa's formula, we have

$$\begin{aligned} & (-1)^k \log |g_1(r)| \geq (-1)^k \log |g_1^*(re^{2i\alpha})| \\ & = r^{k+1} \int_0^\infty \frac{n(x)}{x^{k+1}} \frac{x \cos 2\alpha(k+1) + r \cos 2\alpha k}{x^2 + r^2 + 2rx \cos 2\alpha} dx \\ & \geq r^{k+1} \cos 2\alpha(k+1) \int_0^\infty \frac{n(x)}{x^{k+1}} \frac{dx}{x+1} > \frac{1}{2} r^k \cos 2\alpha(k+1) \int_0^r \frac{n(x)}{x^{k+1}} dx. \end{aligned}$$

Since $2\alpha(k+1) < \pi/2$, it follows that

$$(-1)^k \frac{\log |g_1(r)|}{r^k} \geq \frac{1}{2} \cos 2\alpha(k+1) \int_0^r \frac{n(x)}{x^{k+1}} dx \longrightarrow +\infty \quad (r \rightarrow +\infty),$$

unless case (3). Hence, if $k \geq l = \deg(\operatorname{Re} Q(r))$, then $\operatorname{sign}(\log |g(r)|) = \operatorname{sign}(\log |g_1(r)|)$ and the sign of $\log |g(r)|$ is definite for $r \geq r_0$ where r_0 is a positive number.

If $k < l$, then we have from Ozawa's formula,

$$\begin{aligned} & (-1)^k \log |g_1(r)| \leq (-1)^k \log |g_1^*(r)| = r^{k+1} \int_0^\infty \frac{n(x)}{x^{k+1}} \frac{dx}{x+r} \\ & \leq r^k \int_0^r \frac{n(x)}{x^{k+1}} dx + r^{k+1} \int_r^\infty \frac{n(x)}{x^{k+2}} dx = o(\operatorname{Re} Q(r)). \end{aligned}$$

Hence $\operatorname{sign}(\log |g(r)|) = \operatorname{sign}(\operatorname{Re} Q(r))$ and the sign of $\log |g(r)|$ is definite for $r \geq r_0$ where r_0 is a positive number.

4. *Proof of Theorem 2.* Let $f(z)$ be an entire function satisfying the hypotheses in Theorem 2. We can write

$$f(z) = e^{P(z)} f_1(z)$$

where $P(z)$ is a polynomial of degree at most q and $f_1(z)$ is a canonical product. We suppose that (5) is false. Then, proceeding as in §2 we see that the genus of $f_1(z)$ is at most $q-1=2p$. Hence we have

$$(6) \quad \lim_{r \rightarrow \infty} \frac{\log M(r, g)}{r^{q/2}} = 0.$$

Now we can write

$$g(z) = e^{Q(z)} g_1(z),$$

where $Q(z)$ is a polynomial of degree at most p and the genus of the canonical product $g_1(z)$ is not greater than p .

We can easily deal with case (3). In this case we have

$$g(z) = \phi(-z)/\phi(0) = \exp\{i(\alpha_{k'} z^{k'} + \dots + \alpha_1 z)\},$$

where α_j ($j=1, \dots, k'$) are all real. Hence we have $f(z) = \exp(P(z))$ where $P(z)$

is a polynomial of degree q , which is the desired result.

Now we consider the other cases than (3).

Case (1). $\log |g(r)| > 0$ and

$$\log |g(re^{i\beta})g(re^{-i\beta})| - 2(\cos \beta q/2) \log |g(r)| \leq \eta(r) \log |g(r)|$$

for all sufficiently large r .

We set

$$Q(z) = a_{k'} z^{k'} + \cdots + a_1 z, \quad \deg(\operatorname{Re} Q(r)) = l \quad (\leq k')$$

and

$$\arg a_j = \theta_j \quad (j=1, \dots, k').$$

We define a harmonic function $H(re^{i\theta})$ in $D = \{z; 0 < |z| < R, 0 < \arg z < \beta\}$ as follows,

$$\begin{aligned} H(re^{i\theta}) &= \int_{-\theta}^{\theta} \log |g(re^{i\phi})| d\phi \\ &= \frac{2}{l} |a_l| r^l \sin l\theta \cos \theta_l + \cdots + 2|a_1| r \sin \theta \cos \theta_1 \\ &\quad + \int_{-\theta}^{\theta} \log |g_1(re^{i\phi})| d\phi. \end{aligned}$$

Furthermore we consider the subcases, denoting the genus of $g_1(z)$ by k .

Case (1-1). $k \geq l$. In this case the sign of $\log |g(r)|$ coincides with the one of $\log |g_1(r)|$ for all sufficiently large r .

Setting $I_1 = [0, \pi/2) \cup (3\pi/2, 2\pi]$, $I_2 = (\pi/2, 3\pi/2)$ we define

$$(7) \quad \begin{aligned} H_1(re^{i\theta}) &= \sum_{\theta_j \in I_1} \frac{2}{j} |a_j| r^j \sin j\theta \cos \theta_j + H_3(re^{i\theta}), \\ H_2(re^{i\theta}) &= \sum_{\theta_j \in I_2} \frac{2}{j} |a_j| r^j \sin j\theta \cos \theta_j, \end{aligned}$$

where

$$H_3(re^{i\theta}) = \int_{-\theta}^{\theta} \log |g_1(re^{i\phi})| d\phi = \int_0^{\theta} \log |g_1(re^{i\phi})g_1(re^{-i\phi})| d\phi.$$

If $g_1(z)$ has zeros $\{b_\nu\}$, then we have from Ozawa's formula

$$\begin{aligned} \frac{\partial^2 H_3}{\partial \theta^2} \Big|_{\theta=\beta} &= \frac{\partial}{\partial \theta} (\log |g_1(re^{i\theta})g_1(re^{-i\theta})|) \Big|_{\theta=\beta} \\ &= \sum_{\nu=1}^{\infty} \frac{\partial}{\partial \theta} \left(\log \left| E\left(\frac{re^{i\theta}}{b_\nu}, k\right) E\left(\frac{re^{-i\theta}}{b_\nu}, k\right) \right| \right) \Big|_{\theta=\beta} \\ &\leq -(\sin k\beta)^2 \sum_{\nu=1}^{\infty} \frac{(r/|b_\nu|)^{k+2}}{(r/|b_\nu|+1)^2} \leq -\frac{k}{4} (\sin k\beta)^2 r^k \int_0^r \frac{n(t)}{t^{k+1}} dt. \end{aligned}$$

Hence we have

$$\frac{\partial^2 H}{\partial \theta^2} \Big|_{\theta=\beta} < 0,$$

for all sufficiently large r and therefore we have from the harmonicity of $H(re^{i\theta})$,

$$\frac{\partial^2 H}{\partial (\log r)^2} = r^2 \left(\frac{\partial^2 H}{\partial r^2} + \frac{1}{r} \cdot \frac{\partial H}{\partial r} \right) > 0$$

with $\theta=\beta$, for all sufficiently large r . From Ozawa's formula again we have

$$H_3(re^{i\beta}) \geq \beta r^k (\cos(k+1)(2\alpha+\beta)) \int_0^r \frac{n(x)}{x^{k+1}} dx,$$

and $H(re^{i\beta})$ is unbounded. Thus we see that $H(re^{i\beta})$ is an increasing convex function of $\log r$ for all sufficiently large r .

Proceeding as in [1, p-26, 27], from (4) and (6), we find a sequence of $r=\{r_n\}$ tending to infinity with n such that

$$(8) \quad C_1 \frac{\log |g(r)|}{r^{q/2}} - C_2 \frac{\log M(2s, g)}{(2s)^{q/2}} \leq C \frac{\log |g(r)|}{r^{q/2+\eta_0}},$$

where C_1, C_2 and C are positive constants which do not depend on r and $s (>r)$. For each fixed r , if s tends to ∞ , then we arrive at an impossible inequality from $\eta_0 > 0$.

Case (1-2). $l > k$. In this case, since $Re(Q(r))$ is positive for all sufficiently large r , θ_l lies in $I_1 = [0, \pi/2) \cup (3\pi/2, 2\pi]$.

Firstly we assume that k is even. In this case, we use the functions H, H_1, H_2 and H_3 defined by (7). $H_1(re^{i\beta})$ is a nondecreasing convex function of $\log r$ on $(0, \infty)$ and $H_1(0) = H_1(0+) = 0$. Since the degree of $H_1(re^{i\beta}) - H_3(re^{i\beta})$ is higher than one of $H_2(re^{i\beta})$, $H(re^{i\beta})$ is a nondecreasing convex function of $\log r$ for all sufficiently large r . Hence arguments similar to those in case (1-1) lead to a contradiction.

Secondly we assume that k is odd. In this case we define

$$H_1(re^{i\theta}) = \sum_{\theta_j \in I_1} \frac{2}{j} |a_j| r^j \sin j\theta \cos \theta_j,$$

$$H_2(re^{i\theta}) = \sum_{\theta_j \in I_2} \frac{2}{j} |a_j| r^j \sin j\theta \cos \theta_j + H_3(re^{i\theta}),$$

where

$$H_3(re^{i\theta}) = \int_0^\theta \log |g_1(re^{i\phi}) g_1(re^{-i\phi})| d\phi.$$

Then we have $H(re^{i\theta}) = H_1(re^{i\theta}) + H_2(re^{i\theta})$.

It is trivial that $H_1(re^{i\beta})$ is a nondecreasing convex function of $\log r$ on $(0, \infty)$ with $H_1(0) = H_1(0+) = 0$.

Now we show that $H(re^{i\beta})$ is a nondecreasing convex function of $\log r$ for all sufficiently large r .

We have from Ozawa's formula

$$\begin{aligned} -H_3(re^{i\beta}) &\leq 2\beta r^{k+1} \int_0^\infty \frac{n(x)}{x^{k+1}} \frac{dx}{x+r} \\ &\leq 2\beta r^k \int_0^r \frac{n(x)}{x^{k+1}} dx + 2\beta r^{k+1} \int_r^\infty \frac{n(x)}{x^{k+2}} dx = o(r^l) \quad (r \rightarrow \infty). \end{aligned}$$

Hence $|H_3(re^{i\beta})|/r^l \rightarrow 0$ as $r \rightarrow +\infty$ and $H(re^{i\beta})$ is unbounded.

If $g_1(z)$ has zeros $\{b_\nu\}$, then we have from Ozawa's formula again,

$$\begin{aligned} \frac{\partial^2 H_3}{\partial \theta^2} \Big|_{\theta=\beta} &\leq \frac{2}{\cos(\beta+2\alpha)} \sum_{\nu=1}^\infty \frac{(r/|b_\nu|)^{k+1}}{(1+r/|b_\nu|)^2} \left\{ 1 + \frac{r}{|b_\nu|} \right\} \\ &\leq \frac{2(k+1)r^{k+1}}{\cos(\beta+2\alpha)} \int_0^\infty \frac{n(t)}{t^{k+1}} \frac{dt}{1+r/t} \\ &\leq \frac{2(k+1)r^{k+1}}{\cos(\beta+2\alpha)} \frac{1}{r} \int_0^r \frac{n(t)}{t^{k+1}} dt + \frac{2(k+1)r^{k+1}}{\cos(\beta+2\alpha)} \int_r^\infty \frac{n(t)}{t^{k+2}} dt. \end{aligned}$$

Hence $(\partial^2 H/\partial \theta^2)_{\theta=\beta}$ is negative and $(\partial^2 H/\partial(\log r)^2)_{\theta=\beta}$ is positive for all sufficiently large r . Therefore $H(re^{i\beta})$ is a nondecreasing convex function of $\log r$ for all sufficiently large r . Thus arguments similar to those in case (1-1) lead to a contradiction.

Case (2). $\log|g(r)| < 0$ and $\log|g(re^{i\beta})g(re^{-i\beta})| - 2(\cos \beta q/2) \log|g(r)| \geq \eta(r) \log|g(r)|$ for all sufficiently large r .

Put $\tilde{Q}(z) = -Q(z)$, $\tilde{g}_1(z) = g_1(z)^{-1}$ and $\tilde{g}(z) = e^{\tilde{Q}(z)} \tilde{g}_1(z)$. Then (4) is equivalent to

$$\log|\tilde{g}(re^{i\beta})\tilde{g}(re^{-i\beta})| - 2(\cos \beta q/2) \log|\tilde{g}(r)| \leq \eta(r) \log|\tilde{g}(r)|.$$

Thus our case is handled in a fashion almost similar to case (1).

We only show how to handle the inequality corresponding to (8). Proceeding as in case (1-1), we have

$$(9) \quad C_1 \frac{\log|\tilde{g}(r)|}{r^{q/2}} - C_2 \frac{\log M_\beta(2s, \tilde{g})}{(2s)^{q/2}} \leq C \frac{\log|\tilde{g}(r)|}{r^{q/2+\eta_0}},$$

where $M_\beta(2s, \tilde{g}) = \sup_{|\theta| < \beta} |\tilde{g}(2se^{i\theta})|$. In this inequality we must show that

$$\lim_{r \rightarrow \infty} \frac{\log M_\beta(r, \tilde{g})}{r^{q/2}} = 0.$$

Since $\log M_\beta(r, \tilde{g}) \leq \sup_{|\theta| < \beta} \operatorname{Re}(\tilde{Q}(re^{i\theta})) + \log M_\beta(r, \tilde{g}_1)$ and $\lim_{r \rightarrow \infty} \{ \sup_{|\theta| < \beta} \operatorname{Re}(\tilde{Q}(re^{i\theta})) \} / r^{q/2} = 0$, it is sufficient to show that

$$(10) \quad \lim_{r \rightarrow \infty} \frac{\log M_\beta(r, \tilde{g}_1)}{r^{q/2}} = 0,$$

in the case that the genus of $g_1(z)$ is not smaller than the degree of $\operatorname{Re}(Q(r))$.

Since (6) implies $\lim_{r \rightarrow \infty} \{\log M(r, g_1)\}/r^{q/2} = 0$, we have $m_\beta(r, \tilde{g}_1)/r^{q/2} \rightarrow 0$ as $r \rightarrow \infty$, where

$$m_\beta(r, \tilde{g}_1) = \frac{1}{2\pi} \int_{-\beta}^{\beta} \log^+ |\tilde{g}_1(re^{i\theta})| d\theta = \frac{1}{2\pi} \int_{-\beta}^{\beta} (-\log |g_1(re^{i\theta})|) d\theta.$$

Now in this case, we have from Ozawa's formula for θ ($|\theta| \leq \beta$),

$$\begin{aligned} -\log |g_1(re^{i\theta})| &\geq r^{k+1} \int_0^\infty \frac{n(x) x \cos(k+1)(\beta+2\alpha) + r \cos k(\beta+2\alpha)}{x^{k+1} (x^2 + r^2 + 2xr \cos(\beta+2\alpha))} dx \\ &\geq (\cos(k+1)(\beta+2\alpha)) r^{k+1} \int_0^\infty \frac{n(x) dx}{x^{k+1} (x+r)} \\ &\geq (\cos(k+1)(\beta+2\alpha)) \log M_\beta(r, \tilde{g}_1). \end{aligned}$$

Hence we obtain (10).

Proceeding as in case (1), we have a contradiction from (9).

REFERENCES

- [1] S. KIMURA, A characterization of the exponential function by product, Kodai Math. J., 7 (1984), 16-33.
- [2] S. KIMURA, A characterization of the exponential function and Lindelöf function, Kodai Math. J., 9 (1986), 351-360.
- [3] M. OZAWA, Radial distribution of zeros and deficiency of a canonical product of finite genus, Kodai Math. Sem. Rep. 24 (1972), 502-512.

DEPARTMENT OF MATHEMATICS,
UTSUNOMIYA UNIVERSITY
MINE-MACHI, UTSUNOMIYA, JAPAN