

## NULL 2-TYPE SURFACES IN $E^3$ ARE CIRCULAR CYLINDERS

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### Abstract

In this article we prove that open portions of circular cylinders are the only surfaces in  $E^3$  which are constructed from eigenfunctions of  $\Delta$  with eigenvalue 0 and an eigenvalue  $\lambda (\neq 0)$ .

### 1. Introduction.

Let  $M$  be a connected (not necessary compact) surface in a Euclidean 3-space  $E^3$ . Denote by  $\Delta$  the Laplacian of  $M$  associated with the induced metric. Then the position vector  $x$  and the mean curvature vector  $H$  of  $M$  in  $E^3$  satisfy

$$(1.1) \quad \Delta x = -2H.$$

This formula yields the following well-known result: A surface  $M$  in  $E^3$  is minimal if and only if all coordinate functions of  $E^3$ , restricted to  $M$ , are harmonic functions, that is,

$$(1.2) \quad \Delta x = 0.$$

In other words, minimal surfaces are constructed from eigenfunctions of  $\Delta$  with eigenvalue zero.

According to the famous Douglas and Rado's solutions to the Plateau problem there exist ample examples of minimal surfaces in  $E^3$ . The study of minimal surfaces in  $E^3$  has attracted many mathematicians for many years (cf. [3]).

On the other hand, it is easy to see that circular cylinders in  $E^3$  are constructed from harmonic functions and eigenfunctions of  $\Delta$  with a nonzero eigenvalue, say  $\lambda$ . The position vector of such a surface admits the following simple spectral decomposition:

$$(1.3) \quad x = x_0 + x_q, \quad \text{with } \Delta x_0 = 0 \text{ and } \Delta x_q = \lambda x_q,$$

for some non-constant maps  $x_0$  and  $x_q$ , where  $\lambda$  is a non-zero constant. In the following, we simply call a surface  $M$  in a Euclidean space a *surface of null 2-type* if the position vector  $x$  of  $M$  has the spectral decomposition (1.3).

We ask the following simple geometric question :

*“Determine all surfaces in  $E^3$  which are constructed from eigenfunctions of  $\Delta$  with two eigenvalues 0 and  $\lambda$  ( $\neq 0$ ).”*

The purpose of this article is to give a complete solution to this question. More precisely, we shall prove the following

**THEOREM.** *A surface  $M$  in  $E^3$  is of null 2-type if and only if  $M$  is an open portion of a circular cylinder.*

## 2. Proof of Theorem.

Let  $M$  be a surface in a Euclidean 3-space  $E^3$ . We denote by  $h$ ,  $A$ ,  $H$ ,  $\nabla$  and  $D$  the second fundamental form, the Weingarten map, the mean curvature vector, the Riemannian connection and the normal connection of the surface  $M$  in  $E^3$ .

Let  $X, Y$  be two vector fields tangent to  $M$ . Then, for any constant vector  $c$  in  $E^3$ , we have

$$(2.1) \quad \begin{aligned} YX\langle H, c \rangle = & \langle D_Y D_X H, c \rangle - \langle \nabla_Y (A_H X), c \rangle \\ & - \langle A_{D_X H} Y, c \rangle - \langle h(Y, A_H X), c \rangle, \end{aligned}$$

where  $\langle, \rangle$  denotes the inner product in  $E^3$ . Let  $e_1, e_2$  be an orthonormal local frame fields tangent to  $M$ . Then (2.1) implies (cf. [2, p. 271])

$$(2.2) \quad \Delta H = \Delta^D H + \|h\|^2 H + \text{tr}(\bar{\nabla} A_H),$$

where  $\Delta^D H$  is the Laplacian of  $H$  with respect to the normal connection  $D$  and

$$(2.3) \quad \bar{\nabla} A_H = \nabla A_H + A_{DH}.$$

We need the following lemma.

**LEMMA.** *Let  $M$  be a surface in  $E^3$ . Then  $\text{tr}(\bar{\nabla} A_H) = 0$  if and only if  $\nabla \alpha^2$ , the gradient of  $\alpha^2$  ( $= \langle H, H \rangle$ ), is an eigenvector of the Weingarten map  $A$  with eigenvalue  $-\alpha$  whenever  $\nabla \alpha^2 \neq 0$ , that is, we have*

$$(2.4) \quad A(\nabla \alpha^2) = -\alpha \nabla \alpha^2 \quad \text{on } U = \{p \text{ in } M : \nabla \alpha^2(p) \neq 0\}.$$

*Proof of Lemma.* Let  $e_1, e_2$  be an orthonormal local frame field tangent to  $M$  and  $\xi = e_3$  a unit local field normal to  $M$ . Denote by  $\omega_A^B$  ( $A, B=1, 2, 3$ ) the connection forms associated with  $e_1, e_2, e_3$  and by  $\omega^1, \omega^2$  the dual frame of  $e_1, e_2$ . If we may choose  $e_1, e_2$  to be eigenvectors of the Weingarten map  $A$  ( $A = A_\xi$ ) with eigenvalues denoted by  $\kappa_1, \kappa_2$ , respectively, then we have

$$(2.5) \quad A_H(e_i) = \alpha \kappa_i e_i, \quad i, j, k=1, 2,$$

where  $H = \alpha \xi$ . For simplicity we denote  $\nabla_{e_i}$  by  $\nabla_i$ . From (2.5) we have

$$(2.6) \quad (\nabla_i A_H)e_j = \alpha(e_i \kappa_j)e_j + (e_i \alpha)\kappa_j e_j + \alpha \sum (\kappa_j - \kappa_k)\omega_j^k(e_i)e_k.$$

Thus, by Codazzi equation, we find

$$(2.7) \quad \alpha(e_i \kappa_j)e_j - \alpha(e_j \kappa_i)e_i = \sum \alpha \{(\kappa_i - \kappa_k)\omega_i^k(e_j) - (\kappa_j - \kappa_k)\omega_j^k(e_i)\}e_k$$

from which we obtain

$$(2.8) \quad \alpha(e_i \kappa_j) = \alpha(\kappa_j - \kappa_i)\omega_j^i(e_j) \quad \text{for } i \neq j.$$

Combining (2.6) and (2.8) we may obtain

$$(2.9) \quad \text{tr}(\nabla A_H) = \sum (\nabla_i A_H)e_i = \nabla \alpha^2 + A(\nabla \alpha).$$

Consequently, from (2.3) and (2.9) we get

$$(2.10) \quad \text{tr}(\bar{\nabla} A_H) = \nabla \alpha^2 + 2A(\nabla \alpha),$$

from which we obtain the lemma.

Now, assume  $M$  is a null 2-type surface in  $E^3$ . Then the position vector  $x$  of  $M$  takes the following form:

$$(2.11) \quad x = x_0 + x_q, \quad \Delta x_0 = 0 \quad \text{and} \quad \Delta x_q = \lambda x_q,$$

for some non-constant maps  $x_0$  and  $x_q$ . By using (1.1), (2.11) implies that

$$(2.12) \quad \Delta H = \lambda H.$$

Combining (2.2) and (2.12) we find

$$(2.13) \quad \Delta^D H = (\lambda - \|h\|^2)H$$

and

$$(2.14) \quad \text{tr}(\bar{\nabla} A_H) = 0.$$

Let  $U = \{p \in M : (\nabla \alpha^2)(p) \neq 0\}$ . Then  $U$  is an open subset of  $M$ . Assume that  $U$  is non-empty. Then, by Lemma, the Weingarten map  $A$  has eigenvalues  $-\alpha$  and  $3\alpha$  on  $U$ . Moreover, by Lemma, we may assume that  $e_1, e_2$  are orthonormal local frame fields in  $U$  such that  $e_1$  is parallel to  $\nabla \alpha^2$ . Then we have

$$(2.15) \quad \omega_3^1 = \alpha \omega^1, \quad \omega_3^2 = -3\alpha \omega^2,$$

$$(2.16) \quad d\alpha = (e_1 \alpha) \omega^1.$$

Taking the exterior differentiation of the first equation of (2.15) and applying (2.16) and the structure equations, we obtain

$$(2.17) \quad d\omega^1 = 0.$$

Hence we have locally

$$(2.18) \quad \omega^1 = du,$$

where  $u$  is a local function on  $U$ . Similarly, by taking the exterior differentiation of the second equation of (2.15), we may find

$$(2.19) \quad \omega_2^1(e_2) = (3e_1\alpha)/4\alpha.$$

From (2.18) we obtain

$$(2.20) \quad \omega_2^1(e_1) = 0.$$

From (2.16) and (2.18) we have

$$(2.21) \quad d\alpha \wedge du = 0.$$

This shows that  $\alpha$  is function of  $u$ , that is  $\alpha = \alpha(u)$ . In particular, we have

$$(2.22) \quad d\alpha = \alpha'(u)du,$$

$$(2.23) \quad 4\alpha\omega_2^1 = 3\alpha'(u)\omega^2.$$

Taking the exterior differentiation of (2.23), we may obtain the following second order ordinary differential equation:

$$(2.24) \quad 4\alpha\alpha'' - 7(\alpha')^2 + 16\alpha^4 = 0.$$

Let  $y = (\alpha')^2$ . Then it is easy to see that equation (2.24) can be reduced to the following first order differential equation:

$$(2.25) \quad 2\alpha y' - 7y = -16\alpha^4,$$

where  $y'$  denotes the derivative of  $y$  with respect to  $\alpha$ . From this equation we obtain the following solution:

$$(2.26) \quad y = (\alpha')^2 = C\alpha^{7/2} - 16\alpha^4,$$

where  $C$  is a constant.

On the other hand, since  $M$  is of codimension one, equation (2.13) and (2.15) imply

$$(2.27) \quad -\alpha\Delta\alpha = (10\alpha^2 - \lambda)\alpha^2.$$

By using (2.19) we find

$$(2.28) \quad 4\alpha(\nabla_2 e_2)\alpha = 3(\alpha')^2.$$

Therefore, by applying (2.16), (2.28) and the definition of  $\Delta$ , we may obtain

$$(2.29) \quad 4\alpha\Delta\alpha = 3(\alpha')^2 - 4\alpha\alpha''.$$

Combining (2.27) and (2.29) we find

$$(2.30) \quad 4\alpha\alpha'' - 3(\alpha')^2 + 4(\lambda - 10\alpha^2)\alpha^2 = 0.$$

Therefore, from (2.24) and (2.30), we obtain

$$(2.31) \quad (\alpha')^2 = 14\alpha^4 - \lambda\alpha^2.$$

Comparing equations (2.26) and (2.31), we conclude that  $\alpha$  is constant on  $U$  which contradicts to our assumption. Therefore,  $U$  is empty and consequently the null 2-type surface  $M$  has constant mean curvature  $\alpha$ . Thus, by applying (2.13) we see that the second fundamental form  $h$  has constant length. Hence, by the constancy of the mean curvature and the equation of Gauss, we have known that the Gaussian curvature of  $M$  is also constant. Since  $M$  is assumed to be of null 2-type, these conditions imply that  $M$  is an open portion of a circular cylinder (cf. [1, p. 118]). The converse of this is trivial. (Q. E. D.)

#### REFERENCES

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