

## Non-commutative valuation rings of $K(X; \sigma, \delta)$ over a division ring $K$

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**Abstract.** Let  $K$  be a division ring with a  $\sigma$ -derivation  $\delta$ , where  $\sigma$  is an endomorphism of  $K$  and  $K(X; \sigma, \delta)$  be the quotient division ring of the Ore extension  $K[X; \sigma, \delta]$  over  $K$  in an indeterminate  $X$ . First, we describe non-commutative valuation rings of  $K(X; \sigma, \delta)$  which contain  $K[X; \sigma, \delta]$ . Suppose that  $(\sigma, \delta)$  is compatible with  $V$ , where  $V$  is a total valuation ring of  $K$ , then  $R^{(1)} = V[X; \sigma, \delta]_{J(V)[X; \sigma, \delta]}$ , the localization of  $V[X; \sigma, \delta]$  at  $J(V)[X; \sigma, \delta]$ , is a total valuation ring of  $K(X; \sigma, \delta)$ . Applying the description above, then, second, we describe non-commutative valuation rings  $B$  of  $K(X; \sigma, \delta)$  such that  $B \cap K = V$ ,  $X \in B$  and  $B \subseteq R^{(1)}$ , which is the aim of this paper. In the end of each section we give several examples to display some of the various phenomena.

### 0. Introduction.

Let  $K$  be a division ring,  $\sigma$  be an endomorphism of  $K$  and  $\delta$  be a  $\sigma$ -derivation, i.e., an additive map  $\delta : K \rightarrow K$  such that  $\delta(ab) = \sigma(a)\delta(b) + \delta(a)b$  for all  $a, b \in K$ . As usual,  $K[X; \sigma, \delta] = \{f(X) \mid f(X) = a_n X^n + \cdots + a_0, a_i \in K\}$  is the Ore extension over  $K$  with  $Xa = \sigma(a)X + \delta(a)$  for any  $a \in K$ , where  $X$  is an indeterminate. It is a very interesting problem to describe all non-commutative valuation rings of  $K(X; \sigma, \delta)$ , the left quotient division ring of  $K[X; \sigma, \delta]$ . However, the problem seems rather difficult as can be seen in [BT], [BS], [KMP], [XKM<sub>1</sub>], [XKM<sub>2</sub>]. Let  $V$  be a total valuation ring of  $K$  and  $(\sigma, \delta)$  be compatible with  $V$ . Then, in [BT], they proved that  $R^{(1)} = V[X; \sigma, \delta]_{J(V)[X; \sigma, \delta]}$ , the localization of  $V[X; \sigma, \delta]$  at  $J(V)[X; \sigma, \delta]$ , is a total valuation ring of  $K(X; \sigma, \delta)$  such that  $R^{(1)} \cap K = V$ ,  $X \in R^{(1)}$  and we have obtained more detailed results on  $R^{(1)}$ , based on some properties of  $(\sigma, \delta)$  (see [XKM<sub>2</sub>]).

The aim of this paper is to describe non-commutative valuation rings  $B$  of  $K(X; \sigma, \delta)$  such that  $R^{(1)} \supseteq B$ ,  $B \cap K = V$  and  $X \in B$ .

The paper is organized as follows:

In Section 1, we shall study non-commutative valuation rings of  $K(X; \sigma, \delta)$  containing  $R = K[X; \sigma, \delta]$ , adopting the methods and results in [C], [LL] and [LM]. If  $\delta$  is not a quasi-algebraic  $\sigma$ -derivation, then there are no proper non-commutative valuation rings of  $K(X; \sigma, \delta)$  containing  $R$  (Corollary 1.2). In the case where  $\delta$  is a quasi-algebraic  $\sigma$ -derivation and  $\sigma \in \text{Aut}(K)$ , the group of all automorphisms of  $K$ , we shall describe all non-commutative valuation rings of  $K(X; \sigma, \delta)$  containing  $R$  (Theorem 1.7). If  $\sigma \notin \text{Aut}(K)$  and  $\delta$  is a quasi-algebraic  $\sigma$ -derivation, then there is a monic invariant polynomial  $p(X)$  of minimal non-zero degree such that  $K[X; \sigma, \delta]p(X)$  is a maximal

ideal. Set  $\hat{K} = \bigcup_{i=1}^{\infty} p(X)^{-i} K p(X)^i$ , a division ring containing  $K$ . We can extend  $\sigma$  and  $\delta$  to  $\hat{\sigma}$  and  $\hat{\delta}$  with  $\hat{\sigma} \in \text{Aut}(\hat{K})$  and obtain  $\hat{R} = \bigcup_{i=1}^{\infty} p(X)^{-i} R p(X)^i = \hat{K}[X; \hat{\sigma}, \hat{\delta}]$  with a maximal ideal  $\hat{P} = \hat{R}p(X)$ . If  $\hat{K}$  is left algebraic over  $K$  and  $Rp(X)$  is completely prime, then  $\hat{R}_{\hat{P}}$  is the only proper total valuation ring of  $K(X; \sigma, \delta)$  such that  $\hat{R}_{\hat{P}} \supseteq R$  (Proposition 1.9). If  $\hat{K}$  is not left algebraic over  $K$ , then the circumstances become very complicated so that we only treat the case where  $K$  is a field (Proposition 1.11).

Suppose that  $V$  is a total valuation ring of  $K$  and  $(\sigma, \delta)$  is compatible with  $V$ . Then  $\sigma$  and  $\delta$  naturally induce  $\bar{\sigma}$  and  $\bar{\delta}$  of  $\bar{V} = V/J(V)$ , a division ring and we can construct the Ore extension  $\bar{V}[X; \bar{\sigma}, \bar{\delta}]$  over  $\bar{V}$ . By applying the results in Section 1 to  $\bar{V}[X; \bar{\sigma}, \bar{\delta}]$ , in Section 2, we shall study non-commutative valuation rings  $B$  of  $K(X; \sigma, \delta)$  satisfying the following conditions:  $B \cap K = V$ ,  $X \in B$ ,  $B \subseteq R^{(1)}$ . If  $\bar{\delta}$  is not a quasi-algebraic  $\bar{\sigma}$ -derivation, then  $R^{(1)}$  is the only non-commutative valuation ring of  $K(X; \sigma, \delta)$  satisfying the conditions. If  $\bar{\sigma} \in \text{Aut}(\bar{V})$ , then we can describe all non-commutative valuation rings of  $K(X; \sigma, \delta)$  satisfying the conditions. However, in the case where  $\bar{\sigma} \notin \text{Aut}(\bar{V})$  and  $\bar{\delta}$  is a quasi-algebraic  $\bar{\sigma}$ -derivation, we can only describe non-commutative valuation rings of  $K(X; \sigma, \delta)$  satisfying the additional conditions in Propositions 1.9 and 1.11 respectively.

In the end of each section, we shall give several examples designed to display some of the various phenomena.

### 1. The non-commutative valuation rings of $K(X; \sigma, \delta)$ containing $K[X; \sigma, \delta]$ .

Throughout this paper,  $K$  is a division ring,  $\sigma$  is an endomorphism of  $K$  and  $\delta$  is a  $\sigma$ -derivation.

The aim of this section is to describe non-commutative valuation rings of  $K(X; \sigma, \delta)$  containing  $K[X; \sigma, \delta]$ .

There are three types of non-commutative valuation rings as follows: Let  $Q$  be a simple Artinian ring and let  $R$  be an order in  $Q$ , i.e.,  $R$  is a prime Goldie ring. We say that  $R$  is a *Dubrovin valuation ring* of  $Q$  if  $R$  is semi-hereditary and  $R$  is local, i.e.,  $R/J(R)$  is a simple Artinian ring, where  $J(R)$  is the Jacobson radical of  $R$ . Assume that  $Q$  is a division ring. A subring  $R$  of  $Q$  is said to be a *total valuation ring* of  $Q$ , if for any non-zero  $q \in Q$ , either  $q \in R$  or  $q^{-1} \in R$ . Furthermore, a total valuation ring  $R$  of  $Q$  is said to be *invariant* if  $q^{-1}Rq = R$  for all non-zero  $q \in Q$ . It is easy to see that a total valuation ring  $R$  is a Dubrovin valuation ring and the converse is not necessarily true. We refer to [MMU] for some properties of non-commutative valuation rings.

First, we shall study ideals in  $K[X; \sigma, \delta]$  where properties of  $(\sigma, \delta)$  are of critical importance. Following [LL], a  $\sigma$ -derivation  $\delta$  is said to be *quasi-algebraic* if there exist  $a_n = 1, a_{n-1}, \dots, a_0 \in K$ ,  $n > 0$  such that  $\sum_{i=1}^n a_i \delta^i = D_{a_0, \sigma^n}$ , where  $D_{a_0, \sigma^n}(a) = a_0 a - \sigma^n(a) a_0$  for all  $a \in K$ . In [LL], they conjectured that  $K[X; \sigma, \delta]$  is not simple if and only if  $\delta$  is a quasi-algebraic  $\sigma$ -derivation. In the case where  $\sigma \in \text{Aut}(K)$ , Lemonnier gave a necessary and sufficient condition for  $K[X; \sigma, \delta]$  to be not simple ([L]). In [LLM], they gave an affirmative answer to the conjecture by using some results in [LL].

**PROPOSITION 1.1** ([LLM, (3.6)]). *Let  $K$  be a division ring,  $\sigma$  be an endomorphism of  $K$  and  $\delta$  be a  $\sigma$ -derivation. Then  $K[X; \sigma, \delta]$  is not simple if and only if  $\delta$  is a quasi-algebraic  $\sigma$ -derivation.*

A non-commutative valuation ring  $B$  of  $K(X; \sigma, \delta)$  is said to be *proper* if  $B \neq K(X; \sigma, \delta)$ . Throughout this section, set  $R = K[X; \sigma, \delta]$ , which is a left principal ideal domain.

**COROLLARY 1.2.** *If  $\delta$  is not a quasi-algebraic  $\sigma$ -derivation, then there are no proper non-commutative valuation rings of  $K(X; \sigma, \delta)$  containing  $R$ .*

**PROOF.** Let  $B$  be a proper non-commutative valuation ring of  $K(X; \sigma, \delta)$  containing  $R$ . Then  $J(B) \neq 0$  and so  $J(B) \cap R \neq 0$ . Hence  $\delta$  is a quasi-algebraic  $\sigma$ -derivation by Proposition 1.1, a contradiction. □

From Corollary 1.2, we may assume that  $\delta$  is a quasi-algebraic  $\sigma$ -derivation. The *inner order* of  $\sigma$ , denoted by  $\circ(\sigma)$ , is defined by the smallest positive integer  $n$  such that  $\sigma^n = I_a$ , the inner automorphism induced by  $a$ , where  $a \in K$ ; if no such natural number  $n$  exists, then  $\circ(\sigma)$  is  $\infty$ . Note that  $\circ(\sigma) = n < \infty$ , then  $\sigma \in \text{Aut}(K)$ . A monic polynomial  $p(X)$  with  $\deg p(X) = n$  is said to be *invariant* if for any  $a \in K$ ,  $p(X)a = \sigma^n(a)p(X)$ ,  $p(X)X = (X + c)p(X)$  for some  $c \in K$ . Note that  $K[X; \sigma, \delta]p(X)$  is an ideal of  $K[X; \sigma, \delta]$  if and only if  $p(X)$  is invariant.

In the remainder of this section, except for Propositions 1.4 and 1.5, we always assume that  $\delta$  is a quasi-algebraic  $\sigma$ -derivation and  $p(X)$  is a monic invariant polynomial of minimal non-zero degree with  $\deg p(X) = n$ .

If  $\circ(\sigma) = m < \infty$ , then in [C], Cauchon proved that  $Z(R) = Z(K)_{\sigma, \delta}[\lambda p(X)^l]$  for some non-zero  $\lambda \in K$  and some natural number  $l$ , where  $Z(S)$  stands for the center of  $S$  for any ring  $S$  and  $Z(K)_{\sigma, \delta} = \{a \in Z(K) \mid \sigma(a) = a \text{ and } \delta(a) = 0\}$  (also see [LL, (2.8)]). We note that any non-zero prime ideal of  $R$  is maximal.

With this notation, we will describe all maximal ideals of  $R$  in the following lemma which is essentially due to Cauchon.

**LEMMA 1.3.** *Suppose that  $\delta$  is a quasi-algebraic  $\sigma$ -derivation.*

- (1) *If  $\circ(\sigma) = \infty$ , then  $P = K[X; \sigma, \delta]p(X)$  is the only maximal ideal of  $R$ .*
- (2) *If  $\circ(\sigma) < \infty$ , then any maximal ideal of  $R$  is one of the following:  $P = K[X; \sigma, \delta]p(X)$ ,  $M = R w(Y)$ , where  $Y = \lambda p(X)^l$  and  $w(Y)$  runs over all irreducible polynomials of  $Z(K)_{\sigma, \delta}[Y]$  different from  $Y$ .*

**PROOF.** It is clear that  $P = R p(X)$  is a maximal ideal of  $R$  in all cases.

(1) First, we note that if  $\circ(\sigma) = \infty$ , then  $Z(R) \subseteq K$ . To prove this, on the contrary, assume that there exists a polynomial  $c(X) = c_n X^n + c_{n-1} X^{n-1} + \dots + c_0 \in Z(R)$  with  $n \geq 1$ ,  $c_n \neq 0$ , then it is easy to see that  $\sigma^n$  is inner induced by  $c_n^{-1}$ , a contradiction. Hence  $P$  is the unique maximal ideal of  $R$  by [C, (6.2.13)].

(2) Let  $M$  be any maximal ideal of  $R$  with  $M = R q(X)$  for some monic invariant polynomial  $q(X)$  in  $R$  and  $M \neq P$ . Then  $q(X) = a w(Y) p(X)^m$  (see [LL, (2.8)]), where  $a \in K$ ,  $w(Y) \in Z(K)_{\sigma, \delta}[Y]$  and  $m \geq 0$ . Since  $M \neq P$ ,  $m = 0$  and  $w(Y)$  must be an irreducible polynomial different from  $Y$ , showing that  $M = R w(Y)$ . Conversely, it is easy to see that  $R w(Y)$  is a maximal ideal of  $R$ , where  $w(Y)$  is an irreducible polynomial of  $Z(K)_{\sigma, \delta}[Y]$  different from  $Y$ . □

The following two propositions are remarkable and may be implicitly known. However we shall give proofs of them for the reader's convenience.

**PROPOSITION 1.4.**  $\delta$  is inner if and only if there exists a monic invariant polynomial  $p(X) = X - b$  for some  $b \in K$ .

**PROOF.** Suppose that  $\delta$  is inner. Then there is an element  $b \in K$  such that  $\delta(a) = ba - \sigma(a)b$  for all  $a \in K$ . Then  $Xa = \sigma(a)X + \delta(a) = \sigma(a)X + ba - \sigma(a)b$  so that  $(X - b)a = \sigma(a)(X - b)$ . It easily follows that  $(X - b)X = (X - b + \sigma(b))(X - b)$  by using  $\delta(b) = b^2 - \sigma(b)b$ . This means that  $X - b$  is a monic invariant polynomial.

Conversely, suppose that  $X - b$  is a monic invariant polynomial. Then  $(X - b)a = \sigma(a)(X - b)$  and  $(X - b)a = \sigma(a)X + \delta(a) - ba$  for any  $a \in K$  and so  $\sigma(a)(X - b) = \sigma(a)X + \delta(a) - ba$ . Hence  $\delta(a) = ba - \sigma(a)b$ , i.e.,  $\delta$  is inner.  $\square$

**PROPOSITION 1.5.** Suppose that  $K$  is a field and that  $\sigma \neq 1$ . Then  $\delta$  is inner.

**PROOF.** Since  $\sigma \neq 1$ , there exists an element  $b \in K$  with  $\sigma(b) \neq b$ . For any  $a \in K$ ,  $\sigma(a)\delta(b) + \delta(a)b = \delta(ab) = \delta(ba) = \sigma(b)\delta(a) + \delta(b)a$  and so  $(\sigma(a) - a)\delta(b) = (\sigma(b) - b)\delta(a)$ . Let  $c = -\delta(b)/(\sigma(b) - b) \in K$ . Then  $\delta(a) = ca - \sigma(a)c$  for any  $a \in K$ , i.e.,  $\delta$  is inner.  $\square$

We shall study the proper non-commutative valuation rings of  $K(X; \sigma, \delta)$  containing  $R$  by using Lemma 1.3 and the following lemma which is implicitly known. An order  $S$  in a simple Artinian ring  $Q$  is called *Dedekind* if every one-sided ideal of  $S$  is a progenerator (see [MR, (5.2.10)]). A prime ideal  $P$  of  $S$  is *left localizable* if  $\mathcal{C}(P) = \{c \in S \mid c \text{ is regular mod } P\}$  is a left Ore set and any element in  $\mathcal{C}(P)$  is regular. We denote by  $S_P$  the localization of  $S$  at  $P$  if  $P$  is left localizable. If  $P$  is left and right localizable, then we say that  $P$  is *localizable*. If  $S$  is a Dedekind order in  $Q$ , then any non-zero prime ideal is a maximal ideal of  $S$  (see [MR, (5.4.5)]). Hence the following lemma follows from [D, Theorems 3 and 4, §2].

**LEMMA 1.6.** Let  $Q$  be a simple Artinian ring and  $S$  be a Dedekind order in  $Q$ . Then there is a one-to-one correspondence between the set of all proper Dubrovin valuation rings of  $Q$  containing  $S$  and the set of all non-zero maximal ideals of  $S$ , which is given by  $\varphi : B \rightarrow J(B) \cap S$  and  $\varphi^{-1} : P \rightarrow S_P$ , where  $B$  is a proper Dubrovin valuation ring of  $Q$  containing  $S$  and  $P$  is a non-zero maximal ideal of  $S$ .

If  $\sigma \in \text{Aut}(K)$ , then  $R$  is a principal ideal domain so that it is a Dedekind order in  $K(X; \sigma, \delta)$ . Thus the following theorem follows from Lemmas 1.3 and 1.6.

**THEOREM 1.7.** Suppose that  $\sigma \in \text{Aut}(K)$  and  $\delta$  is a quasi-algebraic  $\sigma$ -derivation such that  $p(X)$  is a monic invariant polynomial of minimal non-zero degree.

(1) If  $\circ(\sigma) = \infty$ , then  $R_P$  is the only proper Dubrovin valuation ring of  $K(X; \sigma, \delta)$  containing  $R$ , where  $R = K[X; \sigma, \delta]$  and  $P = Rp(X)$ .

(2) If  $\circ(\sigma) = m < \infty$ , then any proper Dubrovin valuation ring of  $K(X; \sigma, \delta)$  containing  $R$  is one of the following:  $R_P, R_M$ , where  $M = Rw(Y)$  is as in Lemma 1.3.

Next we shall study the case where  $\sigma$  is not an automorphism. Since  $P = Rp(X) \supsetneq p(X)R$ , we have an ascending chain of overrings;  $R \subsetneq p(X)^{-1}Rp(X) \subsetneq \cdots \subsetneq p(X)^{-m}Rp(X)^m \subsetneq \cdots$ . Set  $\hat{R} = \bigcup_{i=1}^{\infty} p(X)^{-i}Rp(X)^i$ . Furthermore,  $Kp(X) \supsetneq p(X)K$  so that we have an ascending chain of division overrings;  $K \subsetneq p(X)^{-1}Kp(X) \subsetneq \cdots \subsetneq$

$p(X)^{-m}Kp(X)^m \subsetneq \dots$ . And we set  $\hat{K} = \bigcup_{i=1}^{\infty} p(X)^{-i}Kp(X)^i$ , a division ring containing  $K$ . Let  $p(X)^{-m}ap(X)^m$  be any element in  $\hat{K}$  where  $a \in K$ . Define  $\hat{\sigma}(p(X)^{-m}ap(X)^m) = p(X)^{-m}\sigma(a)p(X)^m$ . It is easy to check that  $\hat{\sigma}$  is well-defined and  $\hat{\sigma} \in \text{Aut}(\hat{K})$ . By usage of  $p(X)$ , we have another automorphism  $\tau$  of  $\hat{K}$  defined by  $\tau(\alpha) = p(X)\alpha p(X)^{-1}$  for any  $\alpha \in \hat{K}$ . We note that we have a descending chain of subdivision rings;  $K \supseteq \tau(K) \supseteq \dots \supseteq \tau^m(K) \supseteq \dots$  and  $\sigma^n = \tau|_K$ , the restriction of  $\tau$  to  $K$ , where  $n = \deg p(X)$ .

We also note that  $\hat{R} = R$  if  $\sigma \in \text{Aut}(K)$ . Using this notation we have the following:

**PROPOSITION 1.8.** *Suppose that  $\sigma \notin \text{Aut}(K)$  and  $\delta$  is a quasi-algebraic  $\sigma$ -derivation such that  $p(X)$  is the unique monic invariant polynomial of minimal non-zero degree.*

- (1)  $\hat{R} = \hat{K}[X; \hat{\sigma}, \hat{\delta}]$  for some  $\hat{\delta}$ , a  $\hat{\sigma}$ -derivation.
- (2)  $\hat{\sigma} \in \text{Aut}(\hat{K})$  and  $\circ(\hat{\sigma}) = \infty$ .
- (3)  $\hat{P} = \hat{R}p(X)$  is the unique maximal ideal of  $\hat{R}$ . In particular,  $Rp(X)$  is completely prime if and only if  $\hat{P}$  is completely prime.

**PROOF.** (1) First, we define  $\hat{\delta}$  as follows; let  $\alpha = p(X)^{-l}ap(X)^l$  be any element of  $\hat{K}$ , where  $a \in K$ . Since  $p(X)^lX = (X + b_l)p(X)^l$  for some  $b_l \in K$ , we have  $(X + b_l)a = \sigma(a)X + \delta(a) + b_la = \sigma(a)(X + b_l) + a_l$ , where  $a_l = \delta(a) + b_la - \sigma(a)b_l \in K$  and

$$\begin{aligned} p(X)^lX\alpha &= p(X)^lXp(X)^{-l}ap(X)^l \\ &= (X + b_l)ap(X)^l \\ &= (\sigma(a)(X + b_l) + a_l)p(X)^l. \end{aligned}$$

So

$$\begin{aligned} X\alpha &= p(X)^{-l}(\sigma(a)(X + b_l) + a_l)p(X)^l \\ &= p(X)^{-l}\sigma(a)p(X)^lX + p(X)^{-l}a_l p(X)^l \\ &= \hat{\sigma}(\alpha)X + \hat{\delta}(\alpha), \end{aligned}$$

where  $\hat{\delta}(\alpha) = p(X)^{-l}a_l p(X)^l \in \hat{K}$ .

To show that  $\hat{\delta}$  is a  $\hat{\sigma}$ -derivation, let  $\alpha, \beta \in \hat{K}$ . Then

$$\begin{aligned} X(\alpha + \beta) &= X\alpha + X\beta \\ &= \hat{\sigma}(\alpha)X + \hat{\delta}(\alpha) + \hat{\sigma}(\beta)X + \hat{\delta}(\beta) \\ &= (\hat{\sigma}(\alpha) + \hat{\sigma}(\beta))X + \hat{\delta}(\alpha) + \hat{\delta}(\beta) \end{aligned}$$

and  $X(\alpha\beta) = \hat{\sigma}(\alpha\beta)X + \hat{\delta}(\alpha\beta)$ , which shows that  $\hat{\delta}(\alpha\beta) = \hat{\delta}(\alpha) + \hat{\delta}(\beta)$ . Further,  $X\alpha\beta = (\hat{\sigma}(\alpha)X + \hat{\delta}(\alpha))\beta = \hat{\sigma}(\alpha)\hat{\sigma}(\beta)X + \hat{\sigma}(\alpha)\hat{\delta}(\beta) + \hat{\delta}(\alpha)\beta$  and  $X\alpha\beta = \hat{\sigma}(\alpha)\hat{\sigma}(\beta)X + \hat{\delta}(\alpha\beta)$ . Thus  $\hat{\delta}(\alpha\beta) = \hat{\sigma}(\alpha)\hat{\delta}(\beta) + \hat{\delta}(\alpha)\beta$  and so  $\hat{\delta}$  is a  $\hat{\sigma}$ -derivation.

Next we prove that  $\hat{R} = \hat{K}[X; \hat{\sigma}, \hat{\delta}]$ . Since  $\hat{R}$  is a ring, it follows from the definition that  $\hat{R} \supseteq \hat{K}[X; \hat{\sigma}, \hat{\delta}]$ . To prove the converse inclusion, let  $\alpha = p(X)^{-l}f(X)p(X)^l \in \hat{R}$ , where  $f(X) = \sum a_i X^i$  and  $l$  is a non-negative integer. Since  $p(X)^lX = (X + b_l)p(X)^l$  for some  $b_l$  in  $K$ , we have

$$\begin{aligned} p(X)^{-l}Xp(X)^l &= p(X)^{-l}(X + b_l - b_l)p(X)^l \\ &= p(X)^{-l}(X + b_l)p(X)^l + p(X)^{-l}(-b_l)p(X)^l \\ &= X + p(X)^{-l}(-b_l)p(X)^l, \end{aligned}$$

which belongs to  $\hat{K}[X; \hat{\sigma}, \hat{\delta}]$ . Hence  $\alpha = p(X)^{-l}f(X)p(X)^l = \Sigma p(X)^{-l}a_i p(X)^l p(X)^{-l} \cdot X^i p(X)^l \in \hat{K}[X; \hat{\sigma}, \hat{\delta}]$ .

(2) We have proved that  $\hat{\sigma} \in \text{Aut}(\hat{K})$ . To prove that  $\circ(\hat{\sigma}) = \infty$ , on the contrary, assume that  $\hat{\sigma}^m = I_\alpha$  for some  $m \geq 1$  and  $\alpha \in \hat{K}$ , i.e.,  $\hat{\sigma}^m(\beta) = \alpha\beta\alpha^{-1}$  for all  $\beta \in \hat{K}$ . Write  $\alpha = p(X)^{-l}ap(X)^l$  and  $\beta = p(X)^{-l}bp(X)^l$  for some  $l \geq 0$  and  $a, b \in K$ . Then  $\hat{\sigma}^m(\beta) = p(X)^{-l}\sigma^m(b)p(X)^l$  and  $\alpha\beta\alpha^{-1} = p(X)^{-l}ap(X)^lp(X)^{-l}bp(X)^lp(X)^{-l}a^{-1}p(X)^l = p(X)^{-l}aba^{-1}p(X)^l$ . Hence  $\sigma^m(b) = aba^{-1}$  for any  $b \in K$ , contradicting to the assumption  $\sigma \notin \text{Aut}(K)$  and so  $\circ(\hat{\sigma}) = \infty$ .

(3) For any  $\alpha = p(X)^{-l}f(X)p(X)^l \in \hat{R}$ , we have  $p(X)\alpha = p(X)^{-(l-1)}f(X)p(X)^{l-1} \cdot p(X) \in \hat{P}$ , which implies that  $\hat{P}$  is an ideal of  $\hat{R}$ . We know from Lemma 1.3 that there is a unique maximal ideal, say,  $\hat{M} = \hat{R}\beta$ , so that  $p(X) = \gamma\beta$  for some  $\gamma \in \hat{R}$ . Write  $\gamma = p(X)^{-m}r(X)p(X)^m$  and  $\beta = p(X)^{-m}g(X)p(X)^m$ , where  $m \geq 0$  and  $r(X), g(X) \in R$ . So  $p(X) = p(X)^{-m}r(X)g(X)p(X)^m$  and thus  $p(X) = r(X)g(X)$ . Since  $\tau$  is extended to an automorphism of  $\hat{R}$  which is a conjugation by  $p(X)$ , we have  $\hat{R}\beta = \tau^m(\hat{R}\beta) = \hat{R}\tau^m(\beta) = \hat{R}g(X)$  and thus  $Rg(X) \subseteq \hat{R}g(X) \cap R \subseteq Rp(X)$  so that  $g(X) = c(X)p(X)$  for some  $c(X) \in R$ . It follows that  $P = Rp(X) = Rr(X)g(X) \subseteq Rg(X) = Rc(X)p(X) \subseteq Rp(X)$ . Hence  $\hat{P} = \hat{R}g(X)$ , which shows that  $\hat{P}$  is the unique maximal ideal of  $\hat{R}$ .

To show the last statement, suppose that  $\hat{P}$  is completely prime, then  $P$  is completely prime, because  $P = \hat{P} \cap R$ . Conversely, suppose that  $P$  is completely prime and assume that  $\alpha\beta \in \hat{P}$  with  $\alpha \notin \hat{P}$ , where  $\alpha, \beta \in \hat{R}$ . So  $\alpha\beta = \gamma p(X)$  for some  $\gamma \in \hat{R}$ . Write  $\alpha = p(X)^{-l}f(X)p(X)^l$ ,  $\beta = p(X)^{-l}g(X)p(X)^l$  and  $\gamma = p(X)^{-l}r(X)p(X)^l$  for some  $l \geq 0$  and  $f(X), g(X), r(X) \in R$ . Then we have  $f(X)g(X) = r(X)p(X)$  and so either  $f(X) \in P$  or  $g(X) \in P$ . If  $f(X) \in P$ , then  $f(X) = c(X)p(X)$  for some  $c(X) \in R$ . Thus  $\alpha = p(X)^{-l}f(X)p(X)^l = p(X)^{-l}c(X)p(X)^lp(X) \in \hat{P}$ , a contradiction. Hence  $g(X) \in P$  and so  $\beta \in \hat{P}$ , proving that  $\hat{P}$  is completely prime.  $\square$

$\hat{K}$  is said to be (left) algebraic over  $K$  if for any  $\alpha \in \hat{K}$ , there exist  $c_i \in K$ , not all zero, such that  $\sum_{i=0}^m c_i \alpha^i = 0$ .

In the case where  $P = Rp(X)$  is completely prime and  $\hat{K}$  is algebraic over  $K$ , we have the following:

**PROPOSITION 1.9.** *Let  $\delta$  be a quasi-algebraic  $\sigma$ -derivation with  $\sigma \notin \text{Aut}(K)$ . Suppose that  $\hat{K}$  is algebraic over  $K$  and  $P = Rp(X)$  is a completely prime ideal, where  $p(X)$  is the unique monic invariant polynomial of minimal non-zero degree. Then  $\hat{R}_{\hat{P}}$  is the unique proper total valuation ring of  $K(X; \sigma, \delta)$  containing  $R$ .*

**PROOF.** We know from Theorem 1.7 and Proposition 1.8 that  $\hat{R}_{\hat{P}}$  is a Dubrovin valuation ring of  $K(X; \sigma, \delta)$  containing  $R$ . Since  $\hat{P}$  is a completely prime ideal, we have  $\hat{R}_{\hat{P}}$  is total (see the proof of [MMU, (8.13)]). To show the uniqueness, let  $B$  be any proper total valuation ring of  $K(X; \sigma, \delta)$  containing  $R$ . Then we shall prove that

$B \supseteq \hat{R}$ . It suffices to prove that  $B \supseteq \hat{K}$ . Let  $\alpha = p(X)^{-l}ap(X)^l \in \hat{K}$  for some  $a \in K$  and  $l \geq 0$ . Since  $B$  is total, we have either  $\alpha \in B$  or  $\alpha^{-1} \in B$ . If  $\alpha \in B$ , then there is nothing to do. So we may assume  $\alpha^{-1} \in B$ . Since  $\hat{K}$  is algebraic over  $K$ , there are a natural number  $m$  and elements  $c_i \in K$ , not all zero, such that  $\sum_{i=0}^m c_i \alpha^{-i} = 0$ . We may assume that  $c_0 \neq 0, c_m \neq 0$ . Thus  $\tau^l(c_0) + \sum_{i=1}^m \tau^l(c_i)a^{-i} = \tau^l(0) = 0$  and so  $1 = -\sum_{i=1}^m \tau^l(c_0^{-1}c_i)a^{-i}$ . Multiplying  $a$  on the both side, we have  $a = -\sum_{i=1}^m \tau^l(c_0^{-1}c_i)a^{-i+1}$ . Hence we have  $\alpha = \tau^{-l}(a) = -\sum_{i=1}^m c_0^{-1}c_i \tau^{-l}(a^{-i+1}) = -\sum_{i=1}^m c_0^{-1}c_i \alpha^{-i+1} \in B$ . Since  $B \supseteq \hat{R}$ , we have  $B = \hat{R}_{\hat{p}}$  by Theorem 1.7.  $\square$

**COROLLARY 1.10.** *Let  $\delta$  be a quasi-algebraic  $\sigma$ -derivation with  $\sigma \notin \text{Aut}(K)$  and  $\delta$  be inner. Suppose that  $\hat{K}$  is algebraic over  $K$ . Then  $\hat{R}_{\hat{p}}$  is the unique proper total valuation ring of  $K(X; \sigma, \delta)$  containing  $R$ .*

**PROOF.** Since  $\delta$  is inner, there exists a monic invariant polynomial  $p(X) = X - b$  for some  $b \in K$  by Proposition 1.4. Hence  $R = K[Y, \sigma]$ , where  $Y = p(X)$ . This means that  $P = Rp(X)$  is a completely prime ideal and so  $\hat{R}_{\hat{p}}$  is the unique proper total valuation ring of  $K(X; \sigma, \delta)$  containing  $R$ .  $\square$

If  $\hat{K}$  is not algebraic over  $K$ , then it becomes very complicated, even in the case where  $K$  is a field. If  $K$  is a field, then there exists a monic invariant polynomial  $p(X) = X - b$  for some  $b \in K$  by Propositions 1.4 and 1.5 and so, as in Proposition 1.9,  $\hat{R}_{\hat{p}}$  is a proper total valuation ring of  $K(X; \sigma, \delta)$  containing  $R$ . But there are many others proper total valuation rings of  $K(X; \sigma, \delta)$  containing  $R$ , as it will be seen in the following:

**PROPOSITION 1.11.** *Suppose that  $K$  is a field with  $\sigma \notin \text{Aut}(K)$  and  $\delta$  is a quasi-algebraic  $\sigma$ -derivation. Let  $p(X) = X - b$  be an invariant polynomial and  $\hat{P} = \hat{R}p(X)$ . If  $\hat{K}$  is not algebraic over  $K$ , then*

- (1)  $\text{tr.deg}_K \hat{K} = \infty$  and
- (2) Let  $\mathfrak{B} = \{t_i \in \hat{K} \mid i \in \Lambda\}$  be a transcendental basis of  $\hat{K}/K$ , where  $\Lambda$  is an index set with  $\alpha$  as its ordinal number. Then there exist at least total valuation rings  $A_i$  and  $B_i$  ( $i \in \Lambda$ ) of  $K(X; \sigma, \delta)$  satisfying the following:

- (i)  $\hat{R}_{\hat{p}} \supseteq A_i$  for all  $i \in \Lambda$  and  $A_i$  are incomparable each other.
- (ii)  $B_1 \subsetneq B_2 \subsetneq \dots \subsetneq \hat{R}_{\hat{p}}$ .

**PROOF.** (1) Let  $K_i = p(X)^{-i}Kp(X)^i$  and assume that  $K = K_0 \subsetneq K_1 \subsetneq K_2 \subsetneq \dots \subsetneq K_l \subsetneq K_{l+1}$  such that  $K_i$  is algebraic over  $K_{i-1}$  for all  $0 < i \leq l$  and  $K_{l+1}$  is transcendental over  $K_l$ . If  $l > 1$ , then there exists an element  $t = p(X)^{-(l+1)}ap(X)^{l+1} \in K_{l+1}$  which is transcendental over  $K_l$ , where  $a \in K$ . Since  $\tau(t) = p(X)^{-l}ap(X)^l \in K_l$ , it is algebraic over  $K_{l-1}$ , i.e., there exist  $\beta_i \in K_{l-1}$ , not all zero, such that  $\sum_{i=0}^m \beta_i \tau(t)^i = 0$ , which implies  $\sum_{i=0}^m p(X)^{-1}\beta_i p(X)t^i = 0$ , i.e.,  $t$  is algebraic over  $K_l$ , a contradiction. So we may assume that  $t = p(X)^{-1}ap(X)$  is transcendental over  $K$  for some  $a \in K$ . Set  $t = t_1, \dots, t_l = p(X)^{-l}ap(X)^l$  for any natural number  $l$  and  $\bar{K}_l = K(t_1, \dots, t_l)$ , the field generated by  $K$  and  $t_1, \dots, t_l$ . Then it follows from the same method as the above that  $t_l$  is transcendental over  $\bar{K}_{l-1}$  for any  $l$  by induction on  $l$ . Hence  $\text{tr.deg}_K \hat{K} = \infty$ .

(2) Let  $\mathfrak{B} = \{t_i \in \hat{K} \mid i \in \Lambda\}$  be a transcendental basis of  $\hat{K}/K$ , where  $\Lambda$  is an index set whose ordinal number is  $\alpha$ , and let  $\bar{K} = K(t_i)_{i \in \Lambda}$  be the field extension of  $K$  generated by  $K$  and  $\mathfrak{B}$ .

(i) For any  $i \in \mathcal{A}$ , we define a valuation  $v_i$  of  $\bar{K}$  as follows;  $v_i(a) = 0 = v_i(t_j)$  for any  $a \in K$  and  $j \in \mathcal{A}$ ,  $j \neq i$  and  $v_i(t_i) = 1$ .  $v_i$  naturally determines a valuation of  $\bar{K}$  (see [G, (18.4)]) and let  $\bar{V}_i$  be the valuation ring of  $\bar{K}$  corresponding to  $v_i$ . It is clear that the rings  $\bar{V}_i$  are incomparable to each other. Since  $\hat{K}$  is algebraic over  $\bar{K}$ , there is an extension  $V_i$  of  $\bar{V}_i$  to  $\hat{K}$  for each  $i \in \mathcal{A}$  ([E, (13.2)]), and the rings  $V_i$  are incomparable. Now, since  $\hat{R} = \hat{K}[Y, \hat{\sigma}]$  with a maximal ideal  $\hat{P} = \hat{R}Y$ , where  $Y = p(X)$ , we have the natural homomorphism  $\varphi: \hat{R}_{\hat{P}} \rightarrow \hat{K}(\cong \hat{R}_{\hat{P}}/J(\hat{R}_{\hat{P}}))$ . Let  $A_i = \varphi^{-1}(V_i)$  be the complete inverse image of  $V_i$  by  $\varphi$  which are total valuation rings of  $K(X; \sigma, \delta)$  such that  $\hat{R}_{\hat{P}} \supseteq A_i$  by [XKM<sub>1</sub>, Proposition 1.7 (3)] and it is clear that the  $A_i$  are incomparable to each other.

(ii) Let  $G = \bigoplus \mathbf{Z}_i$  ( $i \in \mathcal{A}$  and  $\mathbf{Z}_i = \mathbf{Z}$ , the ring of integers) be a totally ordered abelian group by anti-lexicographical ordering, where  $\mathcal{A}$  is consider as a well-ordered set. We define a valuation  $w$  of  $\bar{K}$  as follows;  $w(a) = 0$  for all  $a \in K$  and  $w(t_i) = g_i = (\dots, 0, 1, 0, \dots) \in G$ , the  $i$ -th component is one and the other components are all zeros. Let  $W$  be the valuation ring of  $\bar{K}$  determined by  $w$ . As in [XKM<sub>1</sub>, Example 2.5], set  $F_i = \{g \in G_+ \mid \text{either } g \geq g_i \text{ or } g_i > g \text{ but the } i\text{-th component of } g \text{ is } 1 \text{ and } j\text{-th components are all zeros if } j > i \text{ for each } i\}$ , where  $G_+ = \{g \in G \mid g \geq 0\}$ . Then it is easy to check that  $F_i$  are prime filters (see [G, p. 196]). So, by [G, (17.8)],  $P_i = \{k \in \bar{K} \mid w(k) \in F_i\} \cup \{0\}$  are prime ideals of  $W$ . From the definitions we easily see that  $F_i \subsetneq F_j$  for any  $i, j \in \mathcal{A}$  with  $i > j$  so that  $P_i \subsetneq P_j$ . Thus we have the valuation rings  $W_{P_i}$  ( $i \in \mathcal{A}$ ) of  $\bar{K}$  which are well ordered;  $W_{P_1} \subsetneq W_{P_2} \subsetneq \dots \subsetneq \bar{K}$ . Hence, as in (i), we have a set of total valuation rings  $B_i$  ( $i \in \mathcal{A}$ ) of  $K(X; \sigma, \delta)$  such that  $B_1 \subsetneq B_2 \subsetneq \dots \subsetneq \hat{R}_{\hat{P}}$ .  $\square$

We end this section with some examples to display some of the various phenomena we have discussed. We start with the following obtained by [LM, (2.8)]. However we shall give an elementary proof of it for the reader's convenience.

**PROPOSITION 1.12.** *Let  $\sigma \in \text{Aut}(K)$  with  $\sigma\delta = \delta\sigma$  and  $\text{char } K = 0$ . If  $\delta$  is not inner, then it is not a quasi-algebraic  $\sigma$ -derivation.*

**PROOF.** Assume that  $\delta$  is a quasi-algebraic  $\sigma$ -derivation. Let  $p(X)$  be a monic invariant polynomial of minimal non-zero degree, say,  $p(X) = X^n + a_{n-1}X^{n-1} + \dots + a_0$  ( $n > 1, a_i \in K$ ). Since  $p(X)a = \sigma^n(a)p(X)$  for all  $a \in K$ , we have  $\sigma^n(a)a_{n-1} = n\delta(\sigma^{n-1}(a)) + a_{n-1}\sigma^{n-1}(a)$  by comparison of the coefficients of  $X^{n-1}$ . Hence  $\sigma(a)a_{n-1} = n\delta(a) + a_{n-1}a$  for all  $a \in K$ , because  $\sigma \in \text{Aut}(K)$  and so  $\delta(a) = (-n^{-1}a_{n-1})a - \sigma(a)(-n^{-1}a_{n-1})$ , an inner derivation which is a contradiction.  $\square$

We start off with the case  $\sigma = 1$  and we immediately have the following from Proposition 1.12.

**EXAMPLE 1.1.** Let  $F$  be a field with  $\text{char } F = 0$ ,  $K = F(t)$  be a rational function field over  $F$  in an indeterminate  $t$ ,  $\sigma = 1$  and  $\delta$  be the formal differentiation with respect to  $t$ . Then  $\delta$  is not quasi-algebraic.

A. Leory provided us with the following result:

**EXAMPLE 1.2.** Let  $F$  be a field with  $\text{char } F = p > 0$ ,  $K = F(t)$ , where  $t$  is an indeterminate over  $F$ ,  $\sigma = 1$  and let  $\delta$  be the formal differentiation with respect to  $t$ . Then  $\delta$  is quasi-algebraic and not inner.



PROOF. Since  $\delta^p = 0$  and  $\text{char } F = p$ , it follows that  $X^p$  is invariant. For any monic polynomial  $p(X) = X^n + a_{n-1}X^{n-1} + \dots + a_0$  with  $n < p$ , if  $p(X)$  is invariant, then we have  $\delta$  is inner as in Proposition 1.12, a contradiction. Hence,  $X^p$  is a monic invariant polynomial of minimal non-zero degree. Thus  $\delta$  is quasi-algebraic and not inner by Proposition 1.4. □

EXAMPLE 1.3 ([Le]). There exists a division ring with automorphism  $\sigma$  such that  $\circ(\sigma) < \infty$  and a quasi-algebraic  $\sigma$ -derivation  $\delta$  which is not inner. In fact,  $\sigma^2 = 1$ ,  $\delta^2 = 0$ ,  $\sigma\delta \neq \delta\sigma$  for an example in [Le].

To give examples of division rings such that  $\circ(\sigma) = \infty$  and  $\delta$  is a quasi-algebraic  $\sigma$ -derivation (or,  $\delta$  is not a quasi-algebraic  $\sigma$ -derivation), let  $F$  be a field with  $\sigma \in \text{Aut}(F)$  and  $K = F((t, \sigma))$ , the quotient ring of the skew formal power series ring  $F[[t, \sigma]]$ . We can naturally extend  $\sigma$  to an automorphism of  $K$  which is given by  $\sigma(\sum a_n t^n) = \sum(\sigma(a_n)t^n)$  for any  $\sum a_n t^n \in K$ . Now we define a map  $\delta$  from  $K$  to  $K$  as follows;  $\delta(\sum a_n t^n) = \sum n\sigma(a_n)t^{n+1}$ . Then we easily have the following properties;

- (1)  $\delta$  is a  $\sigma$ -derivation.
- (2)  $\sigma\delta = \delta\sigma$ .

EXAMPLE 1.4. Under the same notation as in the above, suppose that  $\circ(\sigma) = \infty$  for  $\sigma \in \text{Aut}(F)$  and  $\sigma(a) \neq a - 1$  for all  $a \in F$ .

- (1)  $\circ(\sigma) = \infty$  as  $\sigma \in \text{Aut}(K)$  and  $\delta$  is not inner.
- (2) If  $\text{char } F = 0$ , then  $\delta$  is not a quasi-algebraic  $\sigma$ -derivation.
- (3) If  $\text{char } F = p > 0$ , then  $\delta$  is a quasi-algebraic  $\sigma$ -derivation.

PROOF. (1) It is easily checked that  $\circ(\sigma) = \infty$ . To prove that  $\delta$  is not inner, on the contrary, assume that  $\delta$  is inner. Then there is an element  $\beta = (\sum_{n=k}^{\infty} b_n t^n)$  ( $b_n \in F$ ,  $b_k \neq 0, n \in \mathbf{Z}$ ) such that  $\delta(\alpha) = \beta\alpha - \sigma(\alpha)\beta$  for all  $\alpha \in K$ . So for any  $a \in F$ , we have  $0 = \delta(a) = \beta a - \sigma(a)\beta = (\sum_{n=k}^{\infty} b_n t^n)a - \sigma(a)(\sum_{n=k}^{\infty} b_n t^n)$ . By comparison of degrees in the equation, we have  $b_n \sigma^n(a) = \sigma(a)b_n$  for all  $n = k, k + 1, \dots$ . Hence,  $\beta = b_1 t$ , and  $t^2 = \delta(t) = b_1 t t - t b_1 t = (b_1 - \sigma(b_1))t^2$  and thus  $\sigma(b_1) = b_1 - 1$ , a contradiction. Hence  $\delta$  is not inner.

- (2) This follows from Proposition 1.12 and (1).
- (3) We easily see from the definition of  $\delta$  that  $\delta^p = 0$ , i.e.,  $\delta$  is quasi-algebraic. □

Next we will give an example of a field  $K$  such that  $\hat{K}$  is algebraic over  $K$ .

EXAMPLE 1.5. Let  $K = F(t)$  and let  $\sigma$  be an endomorphism of  $K$  determined by  $\sigma(a) = a$  for all  $a \in F$  and  $\sigma(t) = t^2$ . Since  $p(X) = X$  is a monic invariant polynomial of minimal non-zero degree in the skew polynomial ring  $K[X; \sigma]$ ,  $\hat{K} = \bigcup_{i=1}^{\infty} X^{-i} K X^i$  and  $\hat{K}$  is algebraic over  $K$ .

PROOF. Since  $\sigma(t) = t^2$ , it easily follows that  $(X^{-1}tX)^2 = X^{-1}t^2X = t$ , algebraic over  $K$ . Inductively, we have  $(X^{-n}tX^n)^{2^n} = X^{-(n-1)}(X^{-1}t^2X) \dots (X^{-1}t^2X)X^{n-1} = X^{-(n-1)}t^{2^{n-1}}X^{n-1} = t$ , algebraic over  $K$ . And it is easy to check that  $\hat{K}$  is generated by  $K$  and  $X^{-n}tX^n$ . Hence  $\hat{K}$  is algebraic over  $K$ . □

Finally we will give an example of a field  $K$  such that  $\hat{K}$  is not algebraic over  $K$ .

EXAMPLE 1.6. Let  $K = F(t_0, t_1, t_2, \dots)$ , where  $t_0, t_1, t_2, \dots$  are indeterminates. Let  $\sigma$  be an endomorphism of  $K$  determined by  $\sigma(a) = a$  for all  $a \in F$ ,  $\sigma(t_i) = t_{i+1}$  for all  $i \geq 0$  and  $\delta = 0$ . Then  $\hat{K} = \bigcup_{i=1}^{\infty} X^{-i} K X^i$  is not algebraic over  $K$  and  $\hat{K} = F(\dots, t_{-1}, t_0, t_1, \dots)$ , where  $t_{-n} = X^{-1} t_{-n+1} X$  for any natural number  $n$ .

PROOF. Let  $K_{-n} = F(t_{-n}, \dots, t_{-1}, t_0, t_1, t_2, \dots)$ , a field generated by  $t_{-n}, \dots, t_{-1}, t_0, t_1, t_2, \dots$  over  $F$ . Since  $\sigma$  induces an automorphism  $\hat{\sigma}$  of  $\hat{K}$  which is a conjugation by  $X$ , we have  $\hat{\sigma}(t_{-n}) = t_{-n+1}$  for all natural number and so  $\hat{\sigma}(K_{-n}) = K_{-n+1}$ . We shall prove that  $t_{-n}$  is transcendental over  $K_{-n+1}$  for any  $n$ . Assume that  $t_{-1}$  is algebraic over  $K_0$ , say,  $t_{-1}^l + a_{l-1} t_{-1}^{l-1} + \dots + a_0 = 0$ , where  $a_i \in K_0$  and  $l \geq 1$ . Then  $t_0^l + \sigma(a_{l-1}) t_0^{l-1} + \dots + \sigma(a_0) = \sigma(0) = 0$ , where  $\sigma(a_i) \in K_1$ , and so  $t_0$  is algebraic over  $K_1$ , a contradiction. We can prove that  $t_{-n}$  is transcendental over  $K_{-n+1}$  by the same way. In particular,  $\hat{K}$  is transcendental over  $K$ . The second statement is clear, because  $t_{-n} = X^{-n} t_0 X^n$  for any natural number  $n$ . □

**2. Non-commutative valuation rings of  $K(X; \sigma, \delta)$  contained in  $R^{(1)}$ .**

Let  $K$  be a division ring,  $\sigma$  be an endomorphism of  $K$ ,  $\delta$  be a  $\sigma$ -derivation and  $V$  be a total valuation ring of  $K$ . Throughout this section, we assume that  $(\sigma, \delta)$  is compatible with  $V$ , i.e.,  $\sigma(V) \subseteq V$ ,  $\sigma(J(V)) \subseteq J(V)$ ,  $\delta(V) \subseteq V$ ,  $\delta(J(V)) \subseteq J(V)$ . In [BT], they proved that  $J(V)[X; \sigma, \delta]$  is left localizable and  $R^{(1)} = V[X; \sigma, \delta]_{J(V)[X; \sigma, \delta]}$ , the localization of  $V[X; \sigma, \delta]$  at  $J(V)[X; \sigma, \delta]$ , is a total valuation ring of  $K(X; \sigma, \delta)$  with  $R^{(1)} \cap K = V$ ,  $X \in R^{(1)}$  and we studied some properties of  $R^{(1)}$  (see [XKM<sub>2</sub>]). In this section we shall study non-commutative valuation rings  $B$  of  $K(X; \sigma, \delta)$  such that  $B \cap K = V$ ,  $B \subseteq R^{(1)}$  and  $X \in B$ , which are the purpose of this paper. This will be done by combining the results in Section 1 and Proposition 2.1.

A left order  $S$  in a simple Artinian ring  $Q$  is said to be left Prüfer if any finitely generated left  $S$ -ideal in  $Q$  is a progenerator of  $S\text{-Mod}$ , the category of left  $S$ -modules ([MMU, §2]). We shall start with the following general case.

PROPOSITION 2.1. *Let  $S$  be a Dubrovin valuation ring of a simple Artinian ring  $Q$  and  $\varphi : S \rightarrow \bar{S} = S/J(S)$  be the natural homomorphism. Suppose that  $\mathfrak{R}$  is a left order in  $\bar{S}$  and let  $R = \varphi^{-1}(\mathfrak{R})$  be the complete inverse image of  $\mathfrak{R}$ . Then:*

- (1)  $R$  is a left order in  $Q$ .
- (2)  $R$  is a left Prüfer order in  $Q$  if and only if  $\mathfrak{R}$  is a left Prüfer order in  $\bar{S}$ .
- (3) Suppose that  $R$  is left Prüfer. Let  $\wp$  be a prime ideal of  $\mathfrak{R}$  and  $P = \varphi^{-1}(\wp)$ , a prime ideal of  $R$ . Then  $\wp$  is left localizable if and only if  $P$  is left localizable. Furthermore  $R_P = \varphi^{-1}(\mathfrak{R}_{\wp})$ .
- (4)  $R$  is a Dubrovin valuation ring if and only if  $\mathfrak{R}$  is a Dubrovin valuation ring.
- (5) Suppose that  $Q$  is a division ring and  $S$  is a total valuation ring of  $Q$ . Then  $R$  is a total valuation ring if and only if  $\mathfrak{R}$  is a total valuation ring.

PROOF. (1) First, we shall prove that for any  $s \in S$ , there exists a  $c \in U(S) \cap R$  with  $cs \in R$ , where  $U(S)$  is the group of units in  $S$ . If  $s \in J(S)$ , then there is nothing to do, because  $J(S) \subseteq R$ . So we may assume that  $s \notin J(S)$ , then  $\bar{s} = \bar{c}^{-1} \bar{r}$  for some  $c, r \in R$  with  $\bar{c} \in \mathcal{C}_{\mathfrak{R}}(0) = \{\bar{t} \in \mathfrak{R} \mid \bar{t} \text{ is regular}\}$ , equivalently,  $\bar{c} \bar{S} = \bar{S}$ . So by Nakayama's Lemma,  $c \in U(S)$  and  $c \in R$ , because  $\bar{c} \in \mathfrak{R}$ . Let  $\mathcal{C} = \{c \in R \mid c \in \mathcal{C}_S(0)\}$ , which is not empty.

Second, we shall prove that, for any  $q \in Q$ , there is a  $c \in \mathcal{C}$  with  $cq \in R$ . Since there is an element  $d \in \mathcal{C}_S(0)$  with  $dq \in S$ , there exists  $d_1 \in U(S) \cap R$  with  $d_1dq \in R$ . For this  $d_1d$ , there is a  $c_1 \in U(S) \cap R$  with  $c_1d_1d \in R$ . Hence  $c = c_1d_1d \in \mathcal{C}$  and  $cq \in R$ .

Now, for any  $r \in R$  and  $c \in \mathcal{C}$ , there is a  $d \in \mathcal{C}$  such that  $drc^{-1} = t \in R$  and so  $dr = tc$ , showing that  $\mathcal{C}$  is a left Ore set of  $R$ . Now it is clear that  $R_{\mathcal{C}} = \{c^{-1}r \mid c \in \mathcal{C} \text{ and } r \in R\} = Q$ .

(2) This is proved by the exactly same method as in [M, (3.1)].

(3) It is easy to see that  $\overline{\mathcal{C}_R(P)} = \mathcal{C}_{\mathfrak{R}}(\varphi)$  and  $\mathcal{C}_R(P) = \{c \in R \mid \bar{c} \in \mathcal{C}_{\mathfrak{R}}(\varphi)\}$ .

Furthermore, by the same way as in [MMU, (22.6)], we have  $\mathcal{C}_R(P) \subseteq \mathcal{C}_R(0)$  and  $\mathcal{C}_{\mathfrak{R}}(\varphi) \subseteq \mathcal{C}_{\mathfrak{R}}(0)$ . Suppose that  $P$  is left localizable. Then it is easy to see that  $\varphi$  is left localizable. To see that  $\varphi(R_P) = \mathfrak{R}_{\varphi}$ , let  $c \in \mathcal{C}_R(P)$ . Then  $\bar{c}\bar{S} = \bar{S}$  and so  $c \in U(S)$ . Thus it follows that  $S \supseteq R_P$  and so  $\varphi(R_P) = \mathfrak{R}_{\varphi}$  follows easily. Conversely, suppose that  $\varphi$  is left localizable. For any  $c \in \mathcal{C}_R(P)$  and  $r \in R$ , there are  $t \in R$  and  $d \in \mathcal{C}_R(P)$  with  $\bar{d}\bar{r} = \bar{t}\bar{c}$ . So  $dr - tc = m \in J(S)$ . Since  $c \in U(S)$ , we have  $m = nc$  for some  $n \in J(S)$ . Hence  $dr = (t + n)c$ , showing that  $P$  is left localizable. It is easy to see that  $R_P = \varphi^{-1}(\mathfrak{R}_{\varphi})$ .

(4) By [M, (3.1)],  $R$  is Prüfer if and only if  $\mathfrak{R}$  is Prüfer. So in both directions, it suffices to prove that  $J(R) = \varphi^{-1}(J(\mathfrak{R}))$ . To prove this, let  $I$  be a maximal right ideal of  $R$ . Then it is enough to prove that  $I \supseteq J(S)$ . On the contrary, suppose that  $I \not\supseteq J(S)$ . Then  $I + J(S) = R$  and so  $IS + J(S) = S$ . Hence  $IS = S$  and thus  $I = IR \supseteq IJ(S) = ISJ(S) = J(S)$ , a contradiction. Hence we have  $R/J(R) \cong \mathfrak{R}/J(\mathfrak{R})$ , i.e.,  $R$  is local if and only if  $\mathfrak{R}$  is local. Therefore  $R$  is a Dubrovin valuation ring if and only if  $\mathfrak{R}$  is a Dubrovin valuation ring.

(5) This follows from (4) and the proof of [MMU, (8.13)]. □

REMARK. (1) The statement (1) in Proposition 2.1 is valid if  $S$  is a left order in  $Q$  and  $\bar{S} = S/J(S)$  is a simple Artinian ring.

(2) It is tempting to conclude that  $R$  is an invariant valuation ring of a division ring  $Q$  if and only if  $\mathfrak{R}$  and  $S$  are invariant. However, this is not necessarily true as it will be seen in Example 2.5.

Now let  $\varphi : R^{(1)} = V[X; \sigma, \delta]_{J(V[X; \sigma, \delta])} \rightarrow \overline{R^{(1)}} = R^{(1)}/J(R^{(1)}) \cong \bar{V}(X; \bar{\sigma}, \bar{\delta})$  be the natural homomorphism, where  $\bar{\sigma}(\bar{v}) = [\sigma(v) + J(V)]$  and  $\bar{\delta}(\bar{v}) = [\delta(v) + J(V)]$  for any  $\bar{v} = [v + J(V)] \in \bar{V}$ . Set  $R = \varphi^{-1}(\bar{V}[X; \bar{\sigma}, \bar{\delta}]) = V[X; \sigma, \delta] + J(R^{(1)})$ , a left Prüfer order by Proposition 2.1, because  $\bar{V}[X; \bar{\sigma}, \bar{\delta}]$  is a left principal ideal domain.

We shall study non-commutative valuation rings  $B$  of  $K(X; \sigma, \delta)$  such that  $B \cap K = V$ ,  $R^{(1)} \supseteq B$  and  $X \in B$  by applying the results of Section 1 and Proposition 2.1 to the situation above. For simplicity, we denote by  $\mathcal{D}$  the set of all Dubrovin valuation rings  $B$  of  $K(X; \sigma, \delta)$  such that  $B \cap K = V$ ,  $R^{(1)} \supseteq B$  and  $X \in B$ .

PROPOSITION 2.2. *There is a one-to-one correspondence between  $\mathcal{D}$  and the set of all Dubrovin valuation rings  $\mathfrak{B}$  of  $\bar{V}(X; \bar{\sigma}, \bar{\delta})$  with  $\mathfrak{B} \supseteq \bar{V}[X; \bar{\sigma}, \bar{\delta}]$ , which is given by  $\varphi(B) = \mathfrak{B}$  and  $\varphi^{-1}(\mathfrak{B}) = B$ , where  $B \in \mathcal{D}$ .*

PROOF. Let  $B \in \mathcal{D}$  with  $B \neq R^{(1)}$ . Then  $B \supsetneq J(R^{(1)})$  and  $\varphi(B) = B/J(R^{(1)})$  is a Dubrovin valuation ring of  $\overline{R^{(1)}}$  (see [MMU, (6.6)]).

Conversely, let  $\mathfrak{B}$  be a Dubrovin valuation ring of  $\bar{V}(X; \bar{\sigma}, \bar{\delta})$  containing  $\bar{V}[X; \bar{\sigma}, \bar{\delta}]$ . Then it is easy to see that  $B = \varphi^{-1}(\mathfrak{B}) \in \mathcal{D}$  and  $\varphi(B) = \mathfrak{B}$  by Proposition 2.1.  $\square$

PROPOSITION 2.3. *If  $\bar{\delta}$  is not a quasi-algebraic  $\bar{\sigma}$ -derivation, then  $\mathcal{D} = \{R^{(1)}\}$ .*

PROOF. Let  $B \in \mathcal{D}$  with  $B \neq R^{(1)}$ . Then  $\mathfrak{B} = \varphi(B)$  is a proper Dubrovin valuation ring of  $\bar{V}(X; \bar{\sigma}, \bar{\delta})$  containing  $\bar{V}[X; \bar{\sigma}, \bar{\delta}]$  and so  $J(\mathfrak{B}) \cap \bar{V}[X; \bar{\sigma}, \bar{\delta}]$  is a non-zero ideal of  $\bar{V}[X; \bar{\sigma}, \bar{\delta}]$  which is a contradiction to Proposition 1.1.  $\square$

In the remainder of this section, we assume that  $\bar{\delta}$  is a quasi-algebraic  $\bar{\sigma}$ -derivation and let  $p(X) \in V[X; \sigma, \delta]$  is a monic polynomial such that  $\overline{p(X)} \in \bar{V}[X; \bar{\sigma}, \bar{\delta}]$  is a monic invariant polynomial of minimal non-zero degree (the existence of such  $\overline{p(X)}$  is guaranteed by Proposition 1.1). In the case  $\bar{\sigma} \in \text{Aut}(\bar{V})$ , we shall give a complete description of  $\mathcal{D}$  as follows:

THEOREM 2.4. *Suppose that  $\bar{\delta}$  is a quasi-algebraic  $\bar{\sigma}$ -derivation and  $\bar{\sigma} \in \text{Aut}(\bar{V})$ .*

- (1) *If  $\circ(\bar{\sigma}) = \infty$ , then  $\mathcal{D} = \{R^{(1)}, R_P\}$ , where  $P = Rp(X)$ .*
- (2) *If  $\circ(\bar{\sigma}) = m < \infty$ , then  $\mathcal{D} = \{R^{(1)}, R_P, R_M \mid P = Rp(X), M = Rw(X), \text{ where } w(X) \in V[X; \sigma, \delta] \text{ such that } \overline{w(X)} \text{ is an irreducible polynomial of } Z(\bar{V})_{\bar{\sigma}, \bar{\delta}}[Y] (Y = \bar{\lambda} \overline{p(X)}^l \text{ for some } \bar{\lambda} \in \bar{V} \text{ and } l \geq 1 \text{ as in Lemma 1.3)}\}$ . In particular,  $J(R_P) = R_P p(X) = p(X)R_P$  and  $J(R_M) = R_M w(X) = w(X)R_M$ .*

PROOF. Since  $p(X), w(X) \in U(R^{(1)})$ , we easily have  $P = \varphi^{-1}(\bar{V}[X; \bar{\sigma}, \bar{\delta}]\overline{p(X)}) = Rp(X) = p(X)R$  and  $M = \varphi^{-1}(\bar{V}[X; \bar{\sigma}, \bar{\delta}]\overline{w(X)}) = R w(X) = w(X)R$ , where  $R = V[X; \sigma, \delta] + J(R^{(1)})$ . Hence the theorem follows from Propositions 2.1, 2.2 and Theorem 1.7.  $\square$

REMARK. Under the same notation and assumptions as in Theorem 2.4,  $J(R^{(1)}) = \bigcap_{m=1}^{\infty} R_P p(X)^m = \bigcap_{m=1}^{\infty} R_M w(X)^m$ .

PROOF. Since  $J(R^{(1)})$  is a prime ideal of  $R_P$ , we have  $J(R^{(1)}) \subseteq \bigcap_{m=1}^{\infty} R_P p(X)^m$ . So  $0 = \varphi(J(R^{(1)})) \subseteq \bigcap_{m=1}^{\infty} \varphi(R_P) p(X)^m = 0$ , because  $\varphi(R_P)$  is a Noetherian Dubrovin valuation ring. Hence  $J(R^{(1)}) = \bigcap_{m=1}^{\infty} R_P p(X)^m$  and similarly,  $J(R^{(1)}) = \bigcap_{m=1}^{\infty} R_M w(X)^m$ .  $\square$

The property in the Remark above will characterize  $R_P$  and  $R_M$  as follows:

THEOREM 2.5. *Suppose that  $\bar{\delta}$  is a quasi-algebraic  $\bar{\sigma}$ -derivation and  $\bar{\sigma} \in \text{Aut}(\bar{V})$ . If  $B$  is a Dubrovin valuation ring of  $K(X; \sigma, \delta)$  such that  $B \cap K = V$ ,  $X \in B$  and  $J(B) = Bg(X) = g(X)B$  for some  $g(X) \in V[X; \sigma, \delta]$  with  $J(R^{(1)}) = \bigcap_{m=1}^{\infty} Bg(X)^m$ , then either  $B = R_P$  or  $B = R_M$ , where  $P$  and  $M$  are as in Theorem 2.4.*

PROOF. First note that if  $S$  is a Dubrovin valuation ring of  $K(X; \sigma, \delta)$  such that  $S \cap K = V$ ,  $S \supseteq R^{(1)}$ , then  $S = R^{(1)}$ . Assume that  $S \not\supseteq R^{(1)}$ . Then  $J(S) \subsetneq J(R^{(1)})$ , and so  $J(V)S = J(V)R^{(1)}S = J(R^{(1)})S = S$ . Write  $1 = vs$  for some  $v \in J(V)$  and  $s \in S$ . Then  $s = v^{-1} \in K \cap S = V$ , a contradiction. Hence  $S = R^{(1)}$  follows. Now, let  $B$  be a Dubrovin valuation ring of  $K(X; \sigma, \delta)$  satisfying the conditions in Theorem 2.5. Then by [BMO, theorem 5],  $J(R^{(1)})$  is Goldie prime, i.e., a prime ideal of  $B$  such that  $B/J(R^{(1)})$  is a Goldie ring. So  $B_{J(R^{(1)})}$  is a Dubrovin valuation ring by [MMU, (14.5)]. Since

any  $c(X) \in V[X; \sigma, \delta] \setminus J(V)[X; \sigma, \delta]$  is a unit in  $R^{(1)}$ , it easily follows that  $\mathcal{C}_B(J(R^{(1)})) \cong V[X; \sigma, \delta] \setminus J(V)[X; \sigma, \delta]$  and so  $B_{J(R^{(1)})} \cong R^{(1)}$ . Let  $W = B_{J(R^{(1)})} \cap K$  and we want to show that  $V = W$ .  $B \cong J(B_{J(R^{(1)})})$  implies that  $J(B_{J(R^{(1)})}) = J(R^{(1)})$ . Thus  $J(V) = J(R^{(1)}) \cap K = J(B_{J(R^{(1)})}) \cap K = J(W)$  and so  $V = W$  follows. Hence  $R^{(1)} = B_{J(R^{(1)})} \cong B$ . Thus we have either  $B = R_P$  or  $B = R_M$ , because  $B \cong R = V[X; \sigma, \delta] + J(R^{(1)})$ .  $\square$

If  $\bar{\sigma} \notin \text{Aut}(\bar{V})$ , then as in Section 1, let  $\hat{V} = \bigcup_{m=1}^{\infty} \overline{p(X)^{-m} \bar{V} p(X)^m}$ , a division ring containing  $\bar{V}$  and  $\hat{R} = \bigcup_{m=1}^{\infty} \overline{p(X)^{-m} \bar{R} p(X)^m}$ , where  $\bar{R} = \varphi(R) = \bar{V}[X; \bar{\sigma}, \bar{\delta}]$ . Then  $\hat{R} = \hat{V}[X; \hat{\sigma}, \hat{\delta}]$  for some  $\hat{\sigma} \in \text{Aut}(\hat{V})$ , and  $\hat{\delta}$  is a  $\hat{\sigma}$ -derivation by Proposition 1.8. Since  $\bar{R}p(X) \cong p(X)\bar{R}$ , we have  $Rp(X) = V[X; \sigma, \delta]p(X) + J(R^{(1)}) \cong p(X)R$  and so we can construct  $\hat{R} = \bigcup_{m=1}^{\infty} p(X)^{-m}Rp(X)^m$ , an over ring of  $R$ . It is easy to see that  $\hat{R} = \varphi^{-1}(\hat{\bar{R}})$ , a Prüfer order in  $K(X; \sigma, \delta)$  by Proposition 2.1. It follows from Proposition 1.8 that  $\hat{P} = \hat{\bar{R}}p(X)$  is the unique maximal ideal of  $\hat{R}$  and so  $\hat{R}_{\hat{P}} \in \mathcal{D}$ , by Propositions 2.1 and 2.2, where  $\hat{P} = \varphi^{-1}(\hat{\bar{P}})$ . Hence we have:

**THEOREM 2.6.** *Suppose that  $\bar{\delta}$  is a quasi-algebraic  $\bar{\sigma}$ -derivation and  $\bar{\sigma} \notin \text{Aut}(\bar{V})$ .*

- (1)  $\hat{R}_{\hat{P}} \in \mathcal{D}$ .
- (2) *If  $\hat{V}$  is algebraic over  $\bar{V}$  and  $\hat{P}$  is completely prime, then  $R^{(1)}$  and  $\hat{R}_{\hat{P}}$  are only total valuation rings of  $K(X; \sigma, \delta)$  in  $\mathcal{D}$ .*
- (3) *If  $\hat{V}$  is not algebraic over  $\bar{V}$  and  $\bar{V}$  is a field with  $\alpha = \text{tr.deg}_{\bar{V}} \hat{V}$ , then there are  $\{A_i\}, \{B_i\} \subseteq \mathcal{D}$  satisfying;*
  - (i)  $\hat{R}_{\hat{P}} \cong A_i$  for any  $i \in \Lambda$  and  $A_i$  are incomparable each other, where  $\Lambda$  is an index set as in Proposition 1.11.
  - (ii)  $B_1 \subsetneq B_2 \subsetneq \dots \subsetneq \hat{R}_{\hat{P}}$ .

**PROOF.** (1) This was proved in the paragraph before Theorem 2.6.

(2) This follows from Propositions 1.9 and 2.2.

(3) This follows from Propositions 1.11 and 2.2.  $\square$

Let  $K_0$  be a division ring and let  $\delta$  be a  $\sigma$ -derivation with  $\sigma\delta = \delta\sigma$ , where  $\sigma$  is an endomorphism of  $K_0$ . Further, let  $R = K_0[t]$  be the polynomial ring over  $K_0$  in an indeterminate  $t$  with  $at = ta$  for any  $a \in K_0$ ,  $P = tK_0[t]$ , a maximal ideal of  $R$  and  $V = K_0[t]_P$ , the localization of  $R$  at  $P$ , is a Noetherian total valuation ring. We naturally extend  $\sigma, \delta$  to  $K = K_0(t)$  as follows;  $\sigma(f(t)) = \sigma(a_0) + \sigma(a_1)t + \dots + \sigma(a_n)t^n$  and  $\delta(f(t)) = \delta(a_0) + \delta(a_1)t + \dots + \delta(a_n)t^n$  for any  $f(t) = a_0 + a_1t + \dots + a_nt^n \in K_0[t]$ .

**PROPOSITION 2.7.** *Under the same notation and assumptions as the above,  $(\sigma, \delta)$  is compatible with  $V$  and  $\bar{V} \cong K_0$  naturally.*

**PROOF.** Since  $\sigma\delta = \delta\sigma$ , it is easily checked that  $\delta$  is a  $\sigma$ -derivation on  $K$ . It is also clear that  $\sigma(K_0[t]) \subseteq K_0[t]$ ,  $\sigma(tK_0[t]) \subseteq tK_0[t]$ ,  $\delta(K_0[t]) \subseteq K_0[t]$ ,  $\delta(tK_0[t]) \subseteq tK_0[t]$ . So, to prove that  $(\sigma, \delta)$  is compatible with  $V$ , it suffices to prove that  $\delta(c(t)^{-1}) \in V$  for any  $c(t) \in K_0[t] \setminus tK_0[t]$ , because  $J(V) = tV$ . Since  $0 = \delta(c(t)c(t)^{-1}) = \sigma(c(t))\delta(c(t)^{-1}) + \delta(c(t))c(t)^{-1}$ , we have  $\delta(c(t)^{-1}) = -\sigma(c(t)^{-1})\delta(c(t))c(t)^{-1} \in V$ . The last statement is clear.  $\square$

As all examples in Section 1 satisfies  $\sigma\delta = \delta\sigma$  except for Example 1.3, applying Proposition 2.7, we have:

EXAMPLE 2.1. Let  $K_0$  be a division ring which is one of the examples in Section 1, except for Example 1.3,  $K = K_0(t)$  be the non-commutative rational function ring in an indeterminate  $t$  with  $at = ta$  for any  $a \in K_0$  and let  $V$  be as in Proposition 2.7. Then  $(\bar{\sigma}, \bar{\delta})$  satisfies the same properties as that of  $(\sigma, \delta)$  in Section 1.

Next we will give some examples of total valuation rings such that  $(\sigma, \delta)$  is compatible with  $V$  but  $(\bar{\sigma}, \bar{\delta})$  have different properties from  $(\sigma, \delta)$ . Let  $K_0$  be a division ring with  $\sigma \in \text{Aut}(K_0)$ ,  $K = K_0(t)$  and  $V = K_0[t]_P$ , where  $P = tK_0[t]$ , as before. For any  $f(t) = a_0 + a_1t + \dots + a_nt^n \in K_0[t]$ , we define  $\sigma(f(t)) = \sigma(a_0) + \sigma(a_1)t^2 + \dots + \sigma(a_n)t^{2^n}$ ,  $\delta(f(t)) = tf(t) - \sigma(f(t))t$ , an inner  $\sigma$ -derivation and extend  $\sigma, \delta$  to  $K$  naturally. It is easy to check that  $\sigma$  is an endomorphism of  $K$  but not automorphism and that  $(\sigma, \delta)$  is compatible with  $V$ . Since  $\bar{V} \cong K_0$  naturally, we have  $\bar{\sigma} \in \text{Aut}(\bar{V})$  and  $\circ(\bar{\sigma}) = n < \infty$  if  $\circ(\sigma) = n$  as an automorphism of  $K_0$ . To show that  $\bar{\delta} = 0$ , let  $g^{-1}f \in V$ , where  $f \in K_0[t]$  and  $g \in K_0[t] \setminus tK_0[t]$ . Since  $\delta(g^{-1}f) = \sigma(g^{-1})\delta(f) + \delta(g^{-1})f$  and  $\sigma(g^{-1})\delta(f) \in J(V)$ , it suffices to prove that  $\delta(g^{-1}) \in J(V)$ . However,  $0 = \delta(gg^{-1}) = \sigma(g)\delta(g^{-1}) + \delta(g)g^{-1}$  implies  $\delta(g^{-1}) = -\sigma(g)^{-1}\delta(g)g^{-1} \in J(V)$ , because  $\delta(g) \in J(V)$  and  $\sigma(g)^{-1} \in V$ . Hence  $\bar{\delta} = 0$ . Summarizing we have

EXAMPLE 2.2. Under the same notation and assumptions as the above, we have

- (1)  $(\sigma, \delta)$  is compatible with  $V$ .
- (2)  $\sigma \notin \text{Aut}(V)$  but  $\bar{\sigma} \in \text{Aut}(\bar{V})$  and  $\delta \neq 0$  but  $\bar{\delta} = 0$ . In particular,  $\circ(\bar{\sigma}) = n < \infty$  if  $\circ(\sigma) = n < \infty$  as an automorphism of  $K_0$ .

Let  $K_0$  be a division ring with  $\sigma \in \text{Aut}(K_0)$  and  $\circ(\sigma) = \infty$  (or  $\sigma \neq 1$  if  $K_0$  is a field) and let  $\delta$  be a  $\sigma$ -derivation of  $K_0$  with  $\sigma\delta = \delta\sigma$ . Further, let  $K = K_0((t, \sigma))$  be the quotient division ring of the skew formal power series ring  $V = K_0[[t, \sigma]]$  which is a Noetherian total valuation ring such that  $\bar{V} \cong K_0$ . As in Proposition 2.7, we extend  $\sigma, \delta$  to  $K$  as follows;

$$\sigma(\Sigma a_n t^n) = \Sigma \sigma(a_n) t^n$$

and

$$\delta(\Sigma a_n t^n) = \Sigma \delta(a_n) t^n \quad \text{for any } \Sigma a_n t^n \in K.$$

It is easily checked that  $\sigma\delta = \delta\sigma$  and that  $(\sigma, \delta)$  is compatible with  $V$ . Suppose that  $\delta$  is inner as a derivation of  $K_0$ , i.e.,  $\delta(a) = a_0a - \sigma(a)a_0$  for all  $a \in K_0$  and some  $a_0 \in K_0$ . Then we will prove that  $\delta$  is inner in  $K$  if and only if  $\sigma(a_0) = a_0$ . If  $\sigma(a_0) = a_0$ , then it is easily checked that  $\delta$  is inner in  $K$  induced by  $a_0$ . Conversely, assume that there is an element  $\alpha_0 = \Sigma b_n t^n \in K$  such that  $\delta(\alpha) = \alpha_0\alpha - \sigma(\alpha)\alpha_0$  for all  $\alpha \in K$ . For all  $a \in K$ , we have  $a_0a - \sigma(a)a_0 = \delta(a) = \alpha_0a - \sigma(a)\alpha_0 = \Sigma_n (b_n \sigma^n(a) - \sigma(a)b_n) t^n$ . Thus  $a_0a - \sigma(a)a_0 = b_0a - \sigma(a)b_0$  and so  $(a_0 - b_0)a = \sigma(a)(a_0 - b_0)$ . Hence  $a_0 = b_0$  follows, because  $\circ(\sigma) = \infty$  (or  $\sigma \neq 1$  if  $K_0$  is a field). Similarly,  $0 = b_n \sigma^n(a) - \sigma(a)b_n$  implies  $b_n = 0$  for any  $n \neq 0, n \neq 1$  and  $b_1 \sigma(a) = \sigma(a)b_1$ . So  $\alpha_0 = a_0 + b_1 t$  follows. Hence, in particular,  $(a_0a - \sigma(a)a_0)t = \delta(a)t = \delta(at) = (a_0 + b_1 t)at - \sigma(a)t(a_0 + b_1 t) = (a_0a - \sigma(a)\sigma(a_0))t + (b_1 \sigma(a) - \sigma(a)\sigma(b_1))t^2$  and so  $\sigma(a_0) = a_0$  follows. Hence we have:

EXAMPLE 2.3. Under the same notation and assumptions as the above, we take  $a_0 \in K_0$  with  $\sigma(a_0) \neq a_0$ . Then  $(\sigma, \delta)$  is compatible with  $V$  and  $\delta$  is not inner but  $\bar{\delta}$  is inner.

We will give an example of a valuation ring  $V$  of a field  $K$  such that  $\hat{K}$  is transcendental over  $K$  but  $\hat{V}$  is algebraic over  $\bar{V}$ .

**EXAMPLE 2.4.** Let  $K = F(t, t_0, t_1, t_2, \dots)$  be a rational function field over a field  $F$  in indeterminates  $t, t_0, t_1, t_2, \dots$ , let  $\sigma$  be an endomorphism of  $K$  determined by  $\sigma(a) = a$  for all  $a \in F$ ,  $\sigma(t) = t^2$ ,  $\sigma(t_i) = t_{i+1}$  for all  $i \geq 0$  and  $\delta = 0$ . Further, let  $G = \bigoplus \mathbf{Z}_i$  ( $\mathbf{Z}_i = \mathbf{Z}, i = 0, 1, 2, \dots$ ) be the totally ordered abelian group ordered by lexicographical ordering and define  $v(t) = 0 = v(a)$  for all non-zero  $a \in F$  and  $v(t_i) = (0, \dots, 0, 1, 0, \dots)$ , the  $i$ -th component is one and the other components are all zeros. Let  $V$  be a valuation ring of  $K$  determined by  $v$  (see [G, (18.4)]). We shall prove that  $\bar{V} \cong K_0 = F(t)$ . Let  $\alpha = fg^{-1}$  be any element of  $K$ , where  $f = a_0 + a_1t_1 + \dots + a_k t^k$ ,  $g = b_0 + b_1t_1 + \dots + b_m t^m$ ,  $a_i, b_i \in K_0$  (some  $a_i, b_i$  may be zeros if necessary) and  $t_i$  are monomials, e.g.,  $t_i = t_0^{l_0} \dots t_m^{l_m}$ . Now assume that  $v(\alpha) = 0$ , equivalently,  $v(f) = v(g)$ . Thus, by definition of  $v$ , we have  $a_0 \neq 0$  if and only if  $b_0 \neq 0$ . In the case where  $a_0 = 0$ , since  $v(f) = \min\{v(t_i)\}$ , we may assume that  $v(f) = v(t_1)$ . Then  $ft_1^{-1} = a_1 + a_2t_2t_1^{-1} + \dots + a_k t_k t_1^{-1}$  with  $v(t_i t_1^{-1}) > 0$  for any  $i \neq 1$  and  $\alpha = (ft_1^{-1})(gt_1^{-1})^{-1}$ . Thus, in any case, we may assume that  $f = f_0 + f_1$  and  $g = g_0 + g_1$ , where  $f_0, g_0 \in K_0$ ,  $f_0 \neq 0$ ,  $g_0 \neq 0$  and  $f_1, g_1 \in J(V)$ . Since  $g - g_0 = g_1 \in J(V)$  and  $g_0 \in U(V)$ , it follows that  $gg_0^{-1} - 1 \in J(V)$  and so  $g \in U(V)$ . Thus in particular,  $g_0^{-1} - g^{-1} \in J(V)$ . Hence  $\alpha - f_0g_0^{-1} = (f - f_0)g^{-1} + f_0(g^{-1} - g_0^{-1}) \in J(V)$  and so  $[\alpha + J(V)] = [f_0g_0^{-1} + J(V)]$ . It is clear that, for any  $\alpha, \beta \in K_0$ ,  $[\alpha + J(V)] = [\beta + J(V)]$  if and only if  $\alpha = \beta$ . Hence it easily follows that  $\bar{V} \cong F(t)$  with  $\bar{\sigma}([t + J(V)]) = [t^2 + J(V)]$  and so  $\hat{V}$  is algebraic over  $\bar{V}$  by Example 1.5. Further, let  $t_{-1} = X^{-1}t_0X$  as in Example 1.6. Then we have  $t_{-1}$  is transcendental over  $K$  by the exactly same way as in Example 1.6. Hence  $\hat{K}$  is transcendental over  $K$ .

As we have noticed in the remark to Proposition 2.1,  $R$  is not necessarily invariant despite  $S$  and  $\mathfrak{R}$  are invariant. Finally we shall give such an example.

**EXAMPLE 2.5.** Let  $F$  be a field with  $\sigma \in \text{Aut}(F)$  and  $V$  be a valuation ring of  $F$  with either  $\sigma(V) \not\subseteq V$  or  $\sigma(V) \subsetneq V$  (see [XKM<sub>1</sub>, Examples 2.2, 2.3, 2.5, 2.6 and 2.7]). Then  $S = F[X, \sigma]_{(X)}$ , the localization of  $F[X, \sigma]$  at the maximal ideal  $(X) = XF[X, \sigma]$ , is an invariant valuation ring of  $F(X, \sigma)$ . Let  $\varphi : S \rightarrow \bar{S} = S/J(S) (\cong F)$  be the natural homomorphism and let  $R = \varphi^{-1}(V)$ . Then  $R$  is not invariant by [XKM<sub>1</sub>, (1.7)], though  $S$  and  $V$  are both invariant.

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