

## Primary components of the ideal class group of an Iwasawa-theoretical abelian number field

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**Abstract.** Let  $S$  be a non-empty finite set of prime numbers, and let  $F$  be an abelian extension over the rational field such that the Galois group of  $F$  over some subfield of  $F$  with finite degree is topologically isomorphic to the additive group of the direct product of the  $p$ -adic integer rings for all  $p$  in  $S$ . Let  $m$  be a positive integer that is neither congruent to 2 modulo 4 nor divisible by any prime number outside  $S$  but divisible by all prime numbers in  $S$ . Let  $\Omega$  denote the composite of  $p^n$ -th cyclotomic fields for all  $p$  in  $S$  and all positive integers  $n$ . In our earlier paper [3], it is shown that there exist only finitely many prime numbers  $l$  for which the  $l$ -class group of  $F$  is nontrivial and the  $m$ -th cyclotomic field contains the decomposition field of  $l$  in  $\Omega$ . We shall prove more precise results providing us with an effective upper bound for a prime number  $l$  such that the  $l$ -class group of  $F$  is nontrivial and that the  $m$ -th cyclotomic field contains the decomposition field of  $l$  in  $\Omega$ .

### Introduction.

An abelian extension over the rational number field  $\mathbf{Q}$  in the complex number field  $\mathbf{C}$  will be called an abelian number field. Let  $S$  be a non-empty finite set of prime numbers, let  $\mathbf{Z}_p$  denote for each  $p \in S$  the ring of  $p$ -adic integers, and let  $\mathbf{Q}^S$  denote the abelian number field such that the Galois group  $\text{Gal}(\mathbf{Q}^S/\mathbf{Q})$  is topologically isomorphic to the additive group of the direct product of  $\mathbf{Z}_p$  for all  $p \in S$ . Let  $F$  be any abelian number field which is a finite extension of  $\mathbf{Q}^S$ . As is well known, arithmetic properties of  $F$  have been studied with great success in virtue of Iwasawa theory (cf. Friedman [1], Washington [6], [7], etc.). Let  $\Omega$  denote the composite of the cyclotomic fields  $\mathbf{Q}(e^{2\pi i/p^n})$  for all  $p \in S$  and all positive integers  $n$ . Take a positive integer  $m$ , with  $m \not\equiv 2 \pmod{4}$ , which is divisible by all prime numbers in  $S$  and not divisible by any prime number outside  $S$ . It then follows that  $\Omega = \mathbf{Q}^S(e^{2\pi i/m})$ . For each prime number  $l$ ,  $\Omega^{(l)}$  will denote the decomposition field of  $l$  for the abelian extension  $\Omega/\mathbf{Q}$ . Given any abelian number field  $M$ , we let  $C_M$  denote the ideal class group of  $M$  and, for each prime number  $l$ , we let  $C_M(l)$  denote the  $l$ -class group of  $M$ , i.e., the  $l$ -primary component of  $C_M$ .

After some initiative investigations of [2] partly based upon [6], using the algebraic interpretation by Leopoldt [5] of the analytic class number formula, we have shown in [3] that there exist only finitely many prime numbers  $l$  such that  $C_F(l)$  is nontrivial and such that  $\mathbf{Q}(e^{2\pi i/m})$  contains  $\Omega^{(l)}$ . Meanwhile, among others, the simplest case where  $F = \mathbf{Q}^S$  with  $|S| = 1$  is treated effectively by [4]. In the present paper, we shall pursue our arguments in [2], [3], [4] to prove some results actually giving us an explicit constant

$\mathfrak{C} > 0$  such that  $C_F(l)$  is trivial whenever

$$l \geq \mathfrak{C} \quad \text{and} \quad \Omega^{(l)} \subseteq \mathbf{Q}(e^{2\pi i/m}).$$

A few additional remarks, for instance, on the cardinality  $|C_F|$  will also be made in connexion with distinguished results in [1], [6]. We shall devote the last part of the paper to indispensable corrections to [3], [4].

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### 1. Statements of main results.

In this section, we shall state our main results, with giving definitions needed for the statements.

For each prime number  $p$ , put

$$\tilde{p} = p \quad \text{or} \quad \tilde{p} = 4$$

according as  $p > 2$  or  $p = 2$ ; for each positive integer  $u$ , let  $[u]_p$  denote the  $p$ -part of  $u$ , that is, the highest power of  $p$  dividing  $u$ . We note that  $\tilde{p} \leq [m]_p$  for each  $p \in S$  and that a prime number  $l \notin S$  satisfies  $\Omega^{(l)} \subseteq \mathbf{Q}(e^{2\pi i/m})$  if and only if, for each  $p \in S$ , either  $l^{\varphi(\tilde{p})} \not\equiv 1 \pmod{p[m]_p}$  or  $[l-1]_2 = [m]_2$  with  $p = 2$ , where  $\varphi$  denotes the Euler function as usual. Naturally, there exists a unique abelian number field  $k$  of finite degree with  $F = k\mathbf{Q}^S$  such that, for each  $p \in S$ , the  $p$ -part of the conductor of  $k$  divides  $\tilde{p}$ . We then find that  $k \cap \mathbf{Q}^S = \mathbf{Q}$ . Given any positive integer  $n$ , let  $D_n$  denote the absolute value of the discriminant of  $\mathbf{Q}(e^{2\pi i/n})$ , and let

$$\Xi(n) = (\varphi(n) - 1)^{(\varphi(n)-1)/2} \quad \text{or} \quad \Xi(n) = 1$$

according as  $n \geq 3$  or  $n \leq 2$ . It is well known that

$$D_n \prod_{p|n} \left( \frac{p-1}{p^{(p-2)/(p-1)}} \right)^{\varphi(n)} = \varphi(n)^{\varphi(n)},$$

$p$  ranging over the prime divisors of  $n$ . However the inequality

$$\frac{x-1}{x^{(x-2)/(x-1)}} \geq 1$$

holds for each real number  $x \geq 2$ . Hence we obtain  $D_n \leq \varphi(n)^{\varphi(n)}$ , which implies that

$$\frac{(\varphi(n) - 1)^{\varphi(n)}}{D_n} \geq \left( 1 - \frac{1}{\varphi(n)} \right)^{\varphi(n)}.$$

Whenever  $\varphi(n) \geq 2$ , the right hand side of the above inequality is at least equal to  $1/4$  since, for a real variable  $\xi > 1$ ,  $(1 - 1/\xi)^\xi$  is an increasing function. Hence, taking account of the fact that  $D_2 = D_1 = 1$ , we always have

$$\frac{\Xi(n)}{\sqrt{D_n}} > \frac{1}{2\sqrt{\varphi(n)}}. \quad (1)$$

Now, for any abelian number field  $M$ , we denote by  $M^+$  the maximal real subfield of  $M$ , so that  $M = M^+$  if  $M$  is real. We see particularly that

$$F^+ = k^+ \mathbf{Q}^S, \quad k^+ \cap \mathbf{Q}^S = \mathbf{Q}.$$

Let  $u_0$  be the least common multiple of  $m$  and the exponent of  $\text{Gal}(k/\mathbf{Q})$ ; let  $n_0$  be the least common multiple of  $m$  and the exponent of  $\text{Gal}(k^+/\mathbf{Q})$ . It is obvious that  $u_0 = 2n_0$  or  $u_0 = n_0$ . Let  $d = \prod_{p \in S} ([n_0]_p / p)$ , and let  $\mathbf{Q}^*$  denote the unique subfield of  $\mathbf{Q}^S$  with degree  $d$ . Then  $k^+ \mathbf{Q}^*$  is the unique intermediate field of  $F^+/k^+$  with degree  $d$  over  $k^+$ . We write  $h^*$  for the class number of  $k^+ \mathbf{Q}^*$ . Let  $\lambda_0$  be the number of distinct prime divisors of  $n_0$ , and  $v$  the product of prime numbers ramified in  $k^+$  or belonging to  $S$ . We put

$$J = \frac{2^{\lambda_0-2} \varphi(2v) \varphi(n_0)^3 \Xi(n_0) \sum_{p \in S} \varphi(p-1)}{(\log 2) D_{n_0}^{(\varphi(n_0)-1)/(2\varphi(n_0))}}.$$

We further put

$$w = \max_{p \in S} \frac{(p-1) \varphi(\tilde{p}) [m]_p^{1/\varphi(p-1)}}{p}, \quad \kappa = \left( \frac{1}{\pi} + \frac{1}{s} \right) \max_{p \in S} \frac{d \prod_{q \in S} \tilde{q}}{[d]_p \tilde{p}},$$

$$\Lambda = \log \left( J (\varphi(2v) n_0 w (t\kappa)^{1/\sum_{p \in S} \varphi(p-1)})^{1/\varphi(n_0)} \right).$$

Here  $s$  denotes the minimum of  $\tilde{p}$  for all prime divisors  $p$  of  $v$ , and  $t$  the maximal divisor of the conductor of  $k^+$  relatively prime to  $m$ . In view of (1), we easily have  $\Lambda > 1$ .

**THEOREM 1.** *Assume that  $F$  is real:  $F^+ = F$ ,  $k^+ = k$ . Let  $l$  be a prime number such that  $\Omega^{(l)} \subseteq \mathbf{Q}(e^{2\pi i/m})$ ,  $l$  does not divide  $u_0 h^* t = n_0 h^* t$ , and*

$$l \geq \left( J \Lambda \left( 1 + \frac{\log \Lambda}{\Lambda - 1} \right) \right)^{\varphi(n_0)}.$$

*Then  $C_F(l)$ , the  $l$ -class group of  $F$ , is trivial.*

Next, let  $\mathbf{Q}'$  be the subfield of  $\mathbf{Q}^S$  with degree  $m^2 / \prod_{p \in S} p$ . We write  $h^-$  for the relative class number of  $k\mathbf{Q}'$ , namely, the positive integer defined as the ratio of the class number of  $k\mathbf{Q}'$  to that of  $(k\mathbf{Q}')^+ = k^+ \mathbf{Q}'$ . We put  $m' = \prod_{p \in S} [u_0]_p$ , and write  $t'$  for the

maximal divisor of the conductor of  $k$  relatively prime to  $m$ . Denoting by  $r$  the minimum of  $[m]_p$  for all  $p \in S$ , we put

$$\delta = \max_{p \in S} \frac{p^{1/\varphi([m]_p)} (\log [m]_p + c)}{[m]_p}, \quad \text{with } c = \frac{\pi}{r \sin(\pi/r)} - \log \frac{\pi}{2}.$$

Note that  $c > 1 - \log(\pi/2) > 1/2$ . For each abelian number field  $M$ , we let  $\mathfrak{N}_M$  denote the norm map  $C_M \rightarrow C_{M^+}$ , and let  $C_M^-(l)$  denote, for each prime number  $l$ , the  $l$ -primary component of the kernel of the group homomorphism  $\mathfrak{N}_M$ .

**THEOREM 2.** *Assume that  $F$  is imaginary. Let  $l$  be a prime number such that  $\Omega^{(l)} \subseteq \mathbf{Q}(e^{2\pi i/m})$ ,  $l$  does not divide  $mh^-$ , and*

$$l \geq \left( \frac{\delta t' m' \prod_{p \in S} \tilde{p}}{2\pi} \right)^{\varphi(u_0)}.$$

*Then  $C_F^-(l)$  is trivial.*

As  $\mathfrak{N}_F$  is surjective by class field theory (in the case where  $F$  is imaginary), it turns out that, for each prime number  $l$ ,  $C_F(l)$  is trivial if and only if both  $C_{F^+}(l)$  and  $C_F^-(l)$  are trivial. Thus we obtain the following result from the above two theorems.

**THEOREM 3.** *Assume that  $F$  is imaginary. Let  $l$  be a prime number such that  $\Omega^{(l)} \subseteq \mathbf{Q}(e^{2\pi i/m})$ ,  $l$  does not divide  $n_0 h^* t h^-$ , and*

$$l \geq \max \left( \left( J\Lambda \left( 1 + \frac{\log \Lambda}{\Lambda - 1} \right) \right)^{\varphi(n_0)}, \left( \frac{\delta t' m' \prod_{p \in S} \tilde{p}}{2\pi} \right)^{\varphi(u_0)} \right).$$

*Then  $C_F(l)$  is trivial.*

## 2. Proofs of main results.

In this section, we shall prove the first two theorems stated in the preceding section.

Given any primitive Dirichlet character  $\chi$ , we denote by  $g_\chi$  the order of  $\chi$ , denote by  $f_\chi$  the conductor of  $\chi$ , put  $\zeta_\chi = e^{2\pi i/f_\chi}$ , and define  $\chi^*$  to be the homomorphism of  $\text{Gal}(\mathbf{Q}(\zeta_\chi)/\mathbf{Q})$  into the multiplicative group  $\mathbf{C}^\times = \mathbf{C} \setminus \{0\}$  such that, for each integer  $u$  relatively prime to  $f_\chi$ ,  $\chi(u)$  is the image under  $\chi^*$  of the automorphism in  $\text{Gal}(\mathbf{Q}(\zeta_\chi)/\mathbf{Q})$  sending  $\zeta_\chi$  to  $\zeta_\chi^u$ . Let  $K_\chi$  denote the fixed field in  $\mathbf{Q}(\zeta_\chi)$  of the kernel of  $\chi^*$ :

$$\text{Gal}(\mathbf{Q}(\zeta_\chi)/K_\chi) = \text{Ker}(\chi^*).$$

Then  $K_\chi$  is a cyclic extension over  $\mathbf{Q}$  of degree  $g_\chi$  with conductor  $f_\chi$ .

Next, suppose that  $\chi(-1) = 1$ , namely,  $K_\chi$  is real and that  $\chi$  is not principal. Let  $E_\chi$  denote the group of units  $\varepsilon$  in  $K_\chi$  such that, for every proper subfield  $L$  of  $K_\chi$ , the norm of  $\varepsilon$  for  $K_\chi/L$  is 1 or  $-1$ . Let

$$\theta_\chi = \prod_a (e^{\pi ia/f_\chi} - e^{-\pi ia/f_\chi}),$$

with the product taken over the odd integers  $a$  satisfying

$$\chi(a) = 1, \quad 0 < a < \frac{f_\chi}{\gcd(2, f_\chi)}.$$

Let  $\mathfrak{R}_\chi$  denote the group ring of  $\text{Gal}(\mathbf{Q}(e^{\pi i/f_\chi})/\mathbf{Q})$  over the ring  $\mathbf{Z}$  of (rational) integers. Take an automorphism  $\sigma$  of  $\mathbf{Q}(e^{\pi i/f_\chi})$  for which the restriction  $\sigma|_{\mathbf{Q}(\zeta_\chi)}$  satisfies  $\chi^*(\sigma|_{\mathbf{Q}(\zeta_\chi)}) = e^{2\pi i/g_\chi}$ , and put

$$\Delta = \prod_p (1 - \sigma^{g_\chi/p}) \quad \text{in } \mathfrak{R}_\chi,$$

where  $p$  ranges over all prime divisors of  $g_\chi$ . Considering the multiplicative group  $\mathbf{Q}(e^{\pi i/f_\chi})^\times$  to be an  $\mathfrak{R}_\chi$ -module in the usual manner, let

$$\eta = \theta_\chi^\Delta,$$

and let  $H_\chi$  denote the  $\mathfrak{R}_\chi$ -submodule of  $\mathbf{Q}(e^{\pi i/f_\chi})^\times$  generated by  $\eta$  and  $-1$ . Note here that  $H_\chi$  depends only on  $\chi$  because  $\eta^2$ , an element of  $K_\chi$ , does not depend on the choice of  $\sigma$ . It is known that  $H_\chi$  is a subgroup of  $E_\chi$  with finite index (cf. [5, Section 8]). We denote by  $h_\chi$  the index of  $H_\chi$  in  $E_\chi$ :

$$h_\chi = (E_\chi : H_\chi).$$

Now, to prove Theorem 1, the following result is essential.

**PROPOSITION 1.** *Assume  $F$  to be real. Let  $l$  be a prime number not dividing  $n_0 h^* t$  such that  $\Omega^{(l)} \subseteq \mathbf{Q}(e^{2\pi i/m})$  and that  $C_F(l)$  is not trivial. Then there exists a real number  $x_0 > 1$  for which*

$$\frac{x_0^{\varphi(n_0)}}{\varphi(2v)n_0 w} < l < \left( J \left( \log x_0 + \frac{\log(t\kappa)}{\varphi(n_0) \sum_{p \in S} \varphi(p-1)} \right) \right)^{\varphi(n_0)}.$$

**PROOF.** Let  $\mathfrak{X}$  be a set of nonprincipal primitive Dirichlet characters such that  $K_\chi \subset F$  for each  $\chi$  in  $\mathfrak{X}$  and that, for any nonprincipal primitive Dirichlet character  $\psi$  with  $K_\psi \subset F$ , there exists just one Dirichlet character  $\chi$  in  $\mathfrak{X}$  satisfying  $K_\chi = K_\psi$ . For each subfield  $L$  of  $F$ , let  $\mathfrak{X}(L)$  denote the set of Dirichlet characters  $\chi$  in  $\mathfrak{X}$  with  $K_\chi \subseteq L$ . Since  $C_F(l)$  is not trivial, there exists a subfield  $K'$  of  $F$  of finite degree with class number divisible by  $l$ ;  $l \mid |C_{K'}|$ . Since  $l$  does not divide  $h^*[K'k\mathbf{Q}^* : \mathbf{Q}]$  by the hypothesis on  $l$ , we know from [5, Satz 21] that

$$\prod_{\chi \in \mathfrak{X}(K')} [h_\chi]_l = |C_{K'}(l)| > 1$$

and that

$$\prod_{\chi \in \mathfrak{X}(k\mathbf{Q}^*)} [h_\chi]_l = [h^*]_l = 1. \quad (2)$$

In particular, there exists a Dirichlet character  $\psi$  in  $\mathfrak{X}(K')$  with  $l \mid h_\psi$ .

Next, for any subset  $R$  of  $S$ , let  $\mathfrak{X}^R$  denote the set of Dirichlet characters  $\chi$  in  $\mathfrak{X}$  for which

$$\{p \in S \mid [f_\chi]_p = \tilde{p}[g_\chi]_p, [g_\chi]_p \geq [m]_p\} = R.$$

Obviously,  $\mathfrak{X}$  is the disjoint union of  $\mathfrak{X}^R$  for all subsets  $R$  of  $S$ . Hence there exists a unique subset  $R_0$  of  $S$  such that  $\psi$  belongs to  $\mathfrak{X}^{R_0}$ . We note that  $[f_\chi]_q \leq \tilde{q}[g_\chi]_q$  for every  $\chi$  in  $\mathfrak{X}$  and every prime number  $q$ . Therefore, a Dirichlet character  $\chi$  in  $\mathfrak{X}$  belongs to  $\mathfrak{X}^\emptyset$  if and only if

$$[f_\chi]_p < \tilde{p}[g_\chi]_p \quad \text{or} \quad [f_\chi]_p = \tilde{p}[g_\chi]_p < \tilde{p}[m]_p$$

for every  $p \in S$ . As the first inequality above implies that  $p[f_\chi]_p$  divides the product of  $\tilde{p}$  and the exponent of  $\text{Gal}(k/\mathbf{Q})$ , we see that any  $\chi \in \mathfrak{X}^\emptyset$  satisfies  $p[f_\chi]_p \leq \tilde{p}[n_0]_p$  for every  $p \in S$ . This fact gives  $\mathfrak{X}^\emptyset \subseteq \mathfrak{X}(k\mathbf{Q}^*)$ . Hence we obtain  $R_0 \neq \emptyset$  from (2). It further follows that  $[f_\psi]_p \geq \tilde{p}[m]_p$  for all  $p \in R_0$ . Hence  $f_\psi$  is not a prime number. Let us define a positive integer  $n_\psi$  by

$$n_\psi = g_\psi \prod_{p \in R_0} \frac{[m]_p}{[g_\psi]_p}.$$

Clearly,  $n_\psi$  divides  $g_\psi$  and is divisible by all prime divisors of  $g_\psi$ . Also,  $n_\psi$  divides  $n_0$  since  $[g_\psi]_p$  divides the exponent of  $\text{Gal}(k/\mathbf{Q})$  for every  $p \in S \setminus R_0$  with  $[f_\psi]_p < \tilde{p}[g_\psi]_p$ . The hypothesis on  $l$  implies that  $\mathbf{Q}(e^{2\pi i/n_\psi})$  contains the decomposition field of  $l$  for  $\mathbf{Q}(e^{2\pi i/g_\psi})/\mathbf{Q}$  as well as that  $l$  does not divide  $f_\psi g_\psi$ . Therefore, by Proposition 2 of [3],

$$l < \sqrt{D_{n_\psi}} \left( \frac{2^{\lambda(\psi)-2} \varphi(f_\psi) \varphi(n_\psi)^2 \Xi(n_\psi)}{(\log 2) g_\psi \sqrt{D_{n_\psi}}} \log \left( \frac{f_\psi}{\pi} + 1 \right) \right)^{\varphi(n_\psi)} \quad (3)$$

where  $\lambda(\psi)$  denotes the number of distinct prime divisors of  $g_\psi$ ; furthermore, by Proposition 4 of [3],

$$l > \frac{g_\psi}{\varphi(f_\psi) n_\psi} \left( \prod_{p \in R_0} \frac{p^{\varphi(p-1)} [f_\psi]_p}{((p-1)\varphi(\tilde{p}))^{\varphi(p-1)} [n_\psi]_p} \right)^{1/A} \quad (4)$$

where  $A = \sum_{p \in R_0} \varphi(p-1)$  (for the corrections of Propositions 3, 4 of [3], see 3 of Section 4).

Now, the relation  $n_\psi \mid n_0$  induces

$$\lambda(\psi) \leq \lambda_0, \quad \varphi(n_\psi) \mid \varphi(n_0). \quad (5)$$

We know however that, for any integer  $u \geq 3$ ,

$$\frac{\Xi(u)^{\varphi(u)}}{D_u^{(\varphi(u)-1)/2}} = \left( \left( 1 - \frac{1}{\varphi(u)} \right)^{\varphi(u)} \prod_{p \mid u} \left( \frac{p-1}{p^{(p-2)/(p-1)}} \right)^{\varphi(u)} \right)^{(\varphi(u)-1)/2},$$

with  $p$  ranging over the prime divisors of  $u$ , and that the function  $(1 - 1/\xi)^\xi$  of a real variable  $\xi > 1$  is increasing. Therefore

$$\frac{\Xi(n_\psi)^{\varphi(n_\psi)}}{D_{n_\psi}^{(\varphi(n_\psi)-1)/2}} \leq \frac{\Xi(n_0)^{\varphi(n_0)}}{D_{n_0}^{(\varphi(n_0)-1)/2}}. \quad (6)$$

We also have

$$\frac{f_\psi}{\pi} + 1 \leq \left( \frac{1}{\pi} + \frac{1}{s} \right) f_\psi \leq t\kappa \prod_{p \in R_0} [f_\psi]_p, \quad (7)$$

because  $f_\psi \geq s$ ,  $[f_\psi]_p \leq [t]_p$  for each prime number  $p$  outside  $S$ ,  $[f_\psi]_p \leq [n_0]_p \tilde{p}/p$  for each prime  $p$  in  $S \setminus R_0$ , and  $R_0 \neq \emptyset$ . Further, the integer  $\varphi(f_\psi)/g_\psi$  divides  $\varphi(2v)$ :

$$\frac{\varphi(f_\psi)}{g_\psi} \mid \varphi(2v). \quad (8)$$

Indeed, for each prime number  $p$ ,  $\varphi([f_\psi]_p)$  divides  $\varphi(\tilde{p}[g_\psi]_p) = \varphi(\tilde{p})[g_\psi]_p$ . We now let

$$x_0 = \left( \prod_{p \in R_0} [f_\psi]_p \right)^{1/(\varphi(n_0)A)},$$

so that

$$x_0 > 1, \quad \prod_{p \in R_0} [f_\psi]_p \leq x_0^{\varphi(n_0) \sum_{p \in S} \varphi(p-1)}.$$

Since

$$\frac{2^{\lambda_0-2} \varphi(2v) \varphi(n_0)^2}{\log 2} \log \left( \frac{f_\psi}{\pi} + 1 \right) > 1,$$

it then follows from (3), (5), (6), (7), and (8) that

$$\begin{aligned} l &< \frac{\Xi(n_\psi)^{\varphi(n_\psi)}}{D_{n_\psi}^{(\varphi(n_\psi)-1)/2}} \left( \frac{2^{\lambda_0-2} \varphi(2v) \varphi(n_0)^2}{\log 2} \log \left( \frac{f_\psi}{\pi} + 1 \right) \right)^{\varphi(n_\psi)} \\ &\leq \frac{\Xi(n_0)^{\varphi(n_0)}}{D_{n_0}^{(\varphi(n_0)-1)/2}} \left( \frac{2^{\lambda_0-2} \varphi(2v) \varphi(n_0)^2}{\log 2} \log \left( t\kappa x_0^{\varphi(n_0) \sum_{p \in S} \varphi(p-1)} \right) \right)^{\varphi(n_0)}. \end{aligned}$$

Thus

$$l < \left( J \left( \log x_0 + \frac{\log(t\kappa)}{\varphi(n_0) \sum_{p \in S} \varphi(p-1)} \right) \right)^{\varphi(n_0)}.$$

On the other hand,

$$\begin{aligned} &\log \left( \left( \prod_{p \in R_0} \frac{p^{\varphi(p-1)}}{((p-1)\varphi(\tilde{p}))^{\varphi(p-1)} [m]_p} \right)^{1/A} \right) \\ &= \frac{\sum_{p \in R_0} \varphi(p-1) \log(p/((p-1)\varphi(\tilde{p})[m]_p^{1/\varphi(p-1)}))}{\sum_{p \in R_0} \varphi(p-1)} \\ &\geq \min_{p \in R_0} \left( \log \frac{p}{(p-1)\varphi(\tilde{p})[m]_p^{1/\varphi(p-1)}} \right) \geq \log \frac{1}{w}, \end{aligned}$$

whence, by the fact that  $[n_\psi]_p = [m]_p$  for every  $p \in R_0$ , we obtain

$$\left( \prod_{p \in R_0} \frac{p^{\varphi(p-1)} [f_\psi]_p}{((p-1)\varphi(\tilde{p}))^{\varphi(p-1)} [n_\psi]_p} \right)^{1/A} \geq \frac{x_0^{\varphi(n_0)}}{w}.$$

This, together with (4) and (8), yields

$$l > \frac{x_0^{\varphi(n_0)}}{\varphi(2v)n_0w}.$$

□

Once Proposition 1 is verified, we can proceed to:

PROOF OF THEOREM 1. For simplicity, let

$$\begin{aligned} \alpha_1 &= (\varphi(2v)n_0w)^{1/\varphi(n_0)} J, \quad \alpha_2 = \frac{\log(t\kappa)}{\varphi(n_0) \sum_{p \in S} \varphi(p-1)}, \\ \beta &= \Lambda \left( 1 + \frac{\log \Lambda}{\Lambda - 1} \right). \end{aligned}$$



As is already seen,  $\Lambda > 1$  so that  $\beta > 1$ . Since

$$\Lambda = \log \alpha_1 + \alpha_2, \quad \log \beta < \log \Lambda + \frac{\log \Lambda}{\Lambda - 1} = \frac{\Lambda \log \Lambda}{\Lambda - 1},$$

and since the function  $\xi - \log \xi$  of a real variable  $\xi \geq 1$  is increasing, we see that, for each real number  $x$  with  $x/\alpha_1 \geq \beta$ ,

$$\begin{aligned} x - \alpha_1 \log x - \alpha_1 \alpha_2 &= \alpha_1 \left( \frac{x}{\alpha_1} - \log \frac{x}{\alpha_1} - \Lambda \right) \geq \alpha_1 (\beta - \log \beta - \Lambda) \\ &> \alpha_1 \left( \Lambda \left( 1 + \frac{\log \Lambda}{\Lambda - 1} \right) - \frac{\Lambda \log \Lambda}{\Lambda - 1} - \Lambda \right) = 0. \end{aligned}$$

Now, contrary to the conclusion of the theorem, suppose that  $C_F(l)$  is not trivial. Then, by Proposition 1,

$$\left( \frac{x_0 J}{\alpha_1} \right)^{\varphi(n_0)} < (J(\log x_0 + \alpha_2))^{\varphi(n_0)}, \quad \text{i.e.,} \quad x_0 - \alpha_1 \log x_0 - \alpha_1 \alpha_2 < 0,$$

$x_0$  being the same as in the proposition. Thus we have  $x_0/\alpha_1 < \beta$ , i.e.,  $x_0 < \alpha_1 \beta$ . Therefore, for some real number  $x'$ ,

$$x_0 < x' < \alpha_1 \beta, \quad x' - \alpha_1 \log x' - \alpha_1 \alpha_2 = 0,$$

so that

$$J(\log x_0 + \alpha_2) < J(\log x' + \alpha_2) = \frac{Jx'}{\alpha_1} < J\beta.$$

Proposition 1 implies however that  $l < (J(\log x_0 + \alpha_2))^{\varphi(n_0)}$ . We are thus led to a contradiction  $l < (J\beta)^{\varphi(n_0)}$ . Hence the theorem is proved.  $\square$

Next, let us give:

**PROOF OF THEOREM 2.** Note first that  $m$  divides  $m'$  and that  $m'$  equals  $m$  if and only if, for each  $p \in S$ , the  $p$ -part of the exponent of  $\text{Gal}(k/\mathbf{Q})$  divides  $m$ . Since  $m'/m$  is not divisible by any prime number outside  $S$ , we may assume that  $m' = m$ .

For each positive integer  $n$ , let  $\mathfrak{Y}_n$  denote the set of primitive Dirichlet characters  $\chi$  with  $\chi(-1) = -1$  such that  $K_\chi$  is contained in the composite of  $k$  and the subfield of  $\mathbf{Q}^S$  of degree  $n$ . Let  $\Sigma$  be the finite set of algebraic integers in the form

$$(1 - e^{2\pi i/u}) \sum_{a=1}^{\varphi(\tilde{u})/2} \frac{e^{2\pi i y_a/u}}{1 - e^{2\pi i f_{\chi,u} z_a/u}} \sum_{b=1}^{f_{\chi,u}} \chi(j_{a,b}) e^{2\pi i z_a b/u}. \quad (9)$$

Here  $u$  ranges over the integers  $> 1$  dividing  $m$  such that  $\gcd(u, m/u) = 1$ ,  $\tilde{u}$  denotes the product of  $\tilde{p}$  for all distinct prime divisors  $p$  of  $u$ ,  $\chi$  runs through  $\mathfrak{V}_{m/u}$ ,  $f_{\chi,u}$  denotes the maximal divisor of  $f_\chi$  relatively prime to  $u$ , each  $y_a$  runs through  $\mathbf{Z}$ , each  $j_{a,b}$  runs through  $\mathbf{Z}$ , and each  $z_a$  ranges over all integers relatively prime to  $u$ . Furthermore, we then denote by  $g_{\chi,u}$  the maximal divisor of  $g_\chi$  relatively prime to  $u$ , whence  $ug_{\chi,u}$  is the least common multiple of  $u$  and  $g_\chi$  by the assumption  $m = m'$ ; we also let

$$\gamma_u = p^{1/\varphi(u)} \quad \text{or} \quad \gamma_u = 1$$

according as  $u = [m]_p$  for some  $p \in S$  or  $u$  is not a prime-power.

Now, in view of Theorem 1 of [2], it suffices to prove that every nonzero element of  $\Sigma$  is relatively prime to  $l$  (cf. [4, Section 2] as well). Noting that an element of  $\Sigma$  in the form (9) belongs to

$$\mathbf{Q}(e^{2\pi i/u}, e^{2\pi i/g_\chi}) = \mathbf{Q}(e^{2\pi i/(ug_{\chi,u})}),$$

let  $N$  be the norm for  $\mathbf{Q}(e^{2\pi i/(ug_{\chi,u})})/\mathbf{Q}$  of that element of  $\Sigma$ . Put  $\rho = e^{2\pi i/u}$  for simplicity. Let  $U_0$  be the set of positive integers  $< ug_{\chi,u}$  relatively prime to  $ug_{\chi,u}$ ,  $U_1$  the set of positive integers  $< u$  relatively prime to  $u$ , and  $U_2$  the set of all positive integers  $< u/2$ . Then

$$\begin{aligned} |N| &\leq \gamma_u^{\varphi(ug_{\chi,u})} \prod_{n \in U_0} \left( \sum_{a=1}^{\varphi(\tilde{u})/2} \frac{f_{\chi,u}}{|1 - \rho^{f_{\chi,u} z_a n}|} \right) \\ &\leq \gamma_u^{\varphi(ug_{\chi,u})} \left( \frac{1}{\varphi(ug_{\chi,u})} \sum_{n \in U_0} \sum_{a=1}^{\varphi(\tilde{u})/2} \frac{f_{\chi,u}}{|1 - \rho^{f_{\chi,u} z_a n}|} \right)^{\varphi(ug_{\chi,u})} \\ &= \left( \frac{\gamma_u \varphi(\tilde{u}) f_{\chi,u}}{2\varphi(u)} \sum_{n \in U_1} \frac{1}{|1 - \rho^n|} \right)^{\varphi(ug_{\chi,u})}, \\ \sum_{n \in U_1} \frac{1}{|1 - \rho^n|} &= \sum_{n \in U_1} \frac{1}{2 \sin(\pi n/u)} \leq 2 \left( \sum_{n \in U_2} \frac{1}{2 \sin(\pi n/u)} \right) \\ &< 2 \left( \frac{1}{2 \sin(\pi/u)} + \sum_{n \in U_2 \setminus \{1\}} \frac{u}{\pi} \int_{\pi(n-1)/u}^{\pi n/u} \frac{dx}{2 \sin x} \right) \\ &< \frac{1}{\sin(\pi/u)} + \frac{u}{\pi} \int_{\pi/u}^{\pi/2} \frac{dx}{\sin x} = \frac{u}{\pi} \left( \frac{\pi/u}{\sin(\pi/u)} + \log \frac{1}{\tan(\pi/(2u))} \right) \\ &< \frac{u}{\pi} \left( \frac{\pi/r}{\sin(\pi/r)} + \log \frac{2u}{\pi} \right) = \frac{u(\log u + c)}{\pi}. \end{aligned}$$

Hence

$$|N| < \left( \frac{\gamma_u f_{\chi,u} \varphi(\tilde{u}) u (\log u + c)}{2\pi \varphi(u)} \right)^{\varphi(ug_{\chi,u})} = \left( \frac{f_{\chi,u} \gamma_u \tilde{u} (\log u + c)}{2\pi} \right)^{\varphi(ug_{\chi,u})}.$$

In addition,

$$ug_{\chi,u} \mid u_0, \quad \gamma_u \tilde{u} (\log u + c) > 3^{3/2} \left( \log 3 + \frac{1}{2} \right) > 2\pi,$$

and the ratio  $t'm\tilde{m}/(u\tilde{u})$  is an integer divisible by  $f_{\chi,u}$ . We thus obtain

$$|N| < \left( \frac{t'm\tilde{m}\gamma_u (\log u + c)}{2\pi u} \right)^{\varphi(u_0)}.$$

On the other hand, the function  $(\log \xi + c)/\xi$  of a real variable  $\xi \geq e^{1-c}$  is decreasing. The definition of  $\delta$  therefore yields

$$|N| < \left( \frac{t'm\tilde{m}\delta}{2\pi} \right)^{\varphi(u_0)}.$$

Hence, by the hypothesis on  $l$ , we can deduce that all nonzero elements of  $\Sigma$  are relatively prime to  $l$ .  $\square$

### 3. Additional results.

Based upon some results in Section 1 and in [1], [3], [6], we shall add below simple remarks mainly on the cardinality of  $C_F$ .

Let  $\bar{S}$  denote the set of positive integers  $\not\equiv 2 \pmod{4}$  not divisible by any prime number outside  $S$  but divisible by all prime numbers in  $S$ :

$$\bar{S} = \{u \in \mathbf{Z} \mid u > 0, \quad u \not\equiv 2 \pmod{4}, \quad \mathbf{Q}^S(e^{2\pi i/u}) = \Omega\}.$$

In particular,  $m$  belongs to  $\bar{S}$ . At first, suppose  $F$  to be imaginary, so that  $k$  is also imaginary. Let  $p$  be any prime number in  $S$ , and let  $k_\infty$  denote the basic  $\mathbf{Z}_p$ -extension over  $k$ , namely, the intermediate field of  $F/k$  such that  $\text{Gal}(k_\infty/k)$  is topologically isomorphic to the additive group of  $\mathbf{Z}_p$ . Then, by Corollary 3 of [6, Section V], there exist infinitely many prime numbers  $l$  for which  $C_{k_\infty}^-(l)$  is not trivial. However, for any prime number  $l$  outside  $S$ , the natural homomorphism  $C_{k_\infty}(l) \rightarrow C_F(l)$  is injective and maps  $C_{k_\infty}^-(l)$  into  $C_F^-(l)$ . Corollary 3 of [6, Section V] thus implies that there are infinitely many prime numbers  $l$  for which  $C_F^-(l)$  is not trivial. Furthermore, the composite of  $\mathbf{Q}(e^{2\pi i/u})$  for all  $u \in \bar{S}$  coincides with  $\Omega$ . Hence, from Theorem 2, we obtain the following result.

**PROPOSITION 2.** *If  $F$  is imaginary, then for any  $u \in \bar{S}$ , there exist an example of  $m$  and a prime number  $l$  such that  $\Omega^{(l)} \not\subseteq \mathbf{Q}(e^{2\pi i/u})$ ,  $\Omega^{(l)} \subseteq \mathbf{Q}(e^{2\pi i/m})$ ,  $C_F^-(l)$  is not trivial, and either  $l \mid h^-$  or*

$$l < \left( \frac{\delta t' m' \prod_{p \in S} \tilde{p}}{2\pi} \right)^{\varphi(u_0)}.$$

REMARK. In the proposition, one can choose the example of  $m$  to be a multiple of  $u$ ; moreover, the condition  $\Omega^{(l)} \not\subseteq \mathbf{Q}(e^{2\pi i/u})$  implies that  $l^{\varphi(\tilde{p})} \equiv 1 \pmod{p[u]_p}$  for some  $p \in S$  whence  $l > \min_{q \in S} (q[u]_q)^{1/\varphi(\tilde{q})}$ .

Certainly, as above,  $C_F$  is infinite whenever  $F$  is imaginary, but we have not find any real example of  $F$  for which the statement  $|C_F| = \infty$  is proved or disproved. Let  $C'_F$  denote the direct sum in  $C_F$  of  $C_F(l)$  for all prime numbers  $l$  outside  $S$ ;

$$C_F = C'_F \oplus \left( \bigoplus_{p \in S} C_F(p) \right).$$

In any case, the assertion (A) in the main theorem of [1] guarantees the finiteness of  $C_F(l)$  for every prime number  $l$  outside  $S$ . Therefore, Theorem 1 of [3] or Theorem 1 of Section 1 yields at least:

PROPOSITION 3. *Assume that  $F$  is real. Then  $C'_F$  is finite if and only if there exists an element  $u'$  of  $\tilde{S}$  with the property that  $C_F(l)$  is trivial for any prime number  $l$  satisfying  $\Omega^{(l)} \not\subseteq \mathbf{Q}(e^{2\pi i/u'})$ .*

We conclude this section with:

REMARK. If  $F$  is real, then Greenberg's conjecture on  $\mathbf{Z}_l$ -extensions for prime numbers  $l$  implies that  $C_F(p)$  is trivial for all  $p \in S$ , namely, that  $C_F = C'_F$ .

#### 4. Corrections to [3], [4].

Finally, we shall correct some mistakes in [3], [4]. The first and second corrections are due to one of the referee's comments on the present paper. The last correction is necessary to justify the proof of Theorem 1.

1. The clause "if and only if  $l^{\varphi(\tilde{p})} \not\equiv 1 \pmod{\mu_p \tilde{p}}$  for any  $p \in S$ " in the 11th line from the bottom on [3, p. 828] should be "if and only if, for each  $p \in S$ , either  $l^{\varphi(\tilde{p})} \not\equiv 1 \pmod{p\mu_p}$  or  $\mu_p \parallel l - 1$  with  $p = 2$ ". Accordingly, when 2 belongs to  $S$ , the 7th line from the bottom on [3, p. 828] should be

$$\liminf_{x \rightarrow \infty} \frac{|P_F(x)|}{\pi(x)} \geq \lim_{x \rightarrow \infty} \frac{|P_0(x)|}{\pi(x)} = \left(1 - \frac{3}{\mu_2}\right) \prod_{p \in S \setminus \{2\}} \left(1 - \frac{1}{\mu_p}\right).$$

2. Quite similarly to the above, the condition " $l^{\varphi(q)} \not\equiv 1 \pmod{qp^\nu}$ " in [4, Theorems 1, 2 and Proposition], line 11 on [4, p. 376], lines 16, 21 on [4, p. 390], and line 17 on [4, p. 392] must be changed into the condition that "either  $l^{\varphi(q)} \not\equiv 1 \pmod{p^{\nu+1}}$  or  $2^\nu \parallel l - 1$  with  $p = 2$ ". Along with this, line 15 on [4, p. 393] should be changed, for example, into

$$\geq \lim_{x \rightarrow \infty} \frac{|\{l \in P(x) \mid l^{\varphi(q)} \not\equiv 1 \pmod{p^{\nu+1}}\}|}{\pi(x)} = 1 - \frac{q^2}{p^{\nu+2}}.$$

**3.** In [3, Proposition 3], we should additionally assume that “ $n$  is divisible by all prime divisors of  $g_\chi$ ”. Indeed, without such a hypothesis, we can not always define  $b_{\mathbf{x},u,j}$  for  $(\mathbf{x}, u, j) \in \mathcal{F}$  and  $b_{\mathbf{w},u}$  for  $(\mathbf{w}, u) \in \mathfrak{B} \times I$  in the proof of [3, Proposition 3] (cf. [3, pp.844, 850]) while the hypothesis guarantees the definitions of all  $b_{\mathbf{x},u,j}$  and all  $b_{\mathbf{w},u}$ . Furthermore, the proof of [3, Proposition 4] is based upon [3, Proposition 3]. We must therefore replace [3, Proposition 4] by the following:

**PROPOSITION 4.** *Let  $l$  be a prime number,  $n$  be a positive divisor of  $g_\chi$  divisible by all prime divisors of  $g_\chi$  such that  $\mathbf{Q}(\zeta_n)$  contains the decomposition field of  $l$  for  $\mathbf{Q}(\zeta_{g_\chi})/\mathbf{Q}$ , and  $R$  be a finite subset of  $\mathbf{P}$  such that every  $p$  in  $R$  satisfies  $\tilde{p} \mid n$  and  $f(p) = \tilde{p}g(p)$ . Suppose that*

$$l \mid h_\chi, \quad l \nmid f_\chi g_\chi, \quad R \neq \emptyset.$$

*Then*

$$l > \frac{g_\chi}{\varphi(f_\chi)n} \left( \prod_{p \in R} \frac{p^{\varphi(p-1)} f(p)}{((p-1)\varphi(\tilde{p}))^{\varphi(p-1)} \nu_p} \right)^{1/\sum_{p \in R} \varphi(p-1)}$$

where, for each  $p$  in  $R$ ,  $\nu_p$  denotes the  $p$ -part of  $n$ .

On the other hand, as for the proof of [3, Theorem 1], [3, Proposition 3] is used only to prove [3, Proposition 4], and [3, Proposition 4] only to prove [3, Proposition 5]. Since  $n_\psi$  is divisible by all prime divisors of  $g_\psi$  (cf. [3, p.854]), we can use the above Proposition 4 instead of [3, Proposition 4] in the proof of [3, Proposition 5] (cf. [3, p.855]). Similarly, for our proof of Theorem 1, we can do the same in the proof of Proposition 1 (cf. (4)). In passing, “ $G_1$  containing” in lines 12, 13 of [3, p.849] should be “the group generated in  $G_1$  by”.

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